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## Allogeneic Hematopoietic Cell Transplantation for Chronic Myelomonocytic Leukemia: Relapse-Free Survival is Determined by Karyotype and Comorbidities

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## Abstract

Hematopoietic cell transplantation (HCT) offers potentially curative therapy for Chronic Myelomonocytic Leukemia (CMML). We evaluated HCT outcomes in 85 patients with CMML, 1.0–69.1 (median 51.7) years of age, with follow-up extending to 19 years. CMML was considered *de novo* in 71 and secondary in 14 patients. Conditioning regimens were of various intensities. Thirty-eight patients had related (34 HLA identical), and 47 (39 HLA matched) unrelated donors. The source of stem cells was marrow in 32 and peripheral blood progenitor cells in 53 patients. Acute GVHD grades II–IV occurred in 72% and chronic GVHD in 26% of patients. Relapse incidence was 27% at 10 years. Relapse correlated with increasing scores by the MD Anderson prognostic score (p=0.01). The major causes of death were relapse and infections  $\pm$ GVHD. Progression-free survival was 38% at 10 years. Mortality was negatively correlated with pre-HCT hematocrit (p=0.007), and increased age (p=.02). WHO classification did not statistically significantly affect outcome. Thus, a proportion of patients with CMML have lasting remissions following allogeneic HCT and appear to be cured of their disease.

## Keywords

CMML; Cytogenetics; Co-morbidity; Hematopoietic cell transplantation

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Conflicts of Interest: None.

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## INTRODUCTION

Chronic myelomonocytic leukemia (CMML), currently characterized as a myelodysplastic/ myeloproliferative disorder by World Health Organization (WHO) criteria, is a heterogeneous disease with variable course, generally ending in progression to acute myeloid leukemia. Various classification systems have been described [1]. The WHO distinguishes CMML-1 (<10% marrow blasts) and CMML-2 (10-20% blasts), for which median survivals of 20 and 15 months, respectively, have been reported [2]. The International Prognostic Scoring System (IPSS), recognized dysplastic and proliferative forms of CMML [3], with Jak2 mutations present in approximately 10% of patients with proliferative CMML [4-6]. Investigators at M.D. Anderson Cancer Center proposed a 4stage classification on the basis of circulating immature cells, hemoglobin levels, lymphocyte counts, and marrow blasts [1]. Based on the presence of these risk factors, they divided patients into four groups with median life expectancies ranging from 5 to 24 months. Additional studies suggest that younger age at the time of diagnosis, splenomegaly, lymphadenopathy, elevated lactate dehydrogenase (LDH) levels and clonal cytogenetic abnormalities are associated with a more rapid progression [7,8]. While occasional patients have prolonged remissions with aggressive chemotherapy, the only current therapy with proven curative potential is hematopoietic cell transplantation (HCT) [9–12]. We reported previously results in 43 patients transplanted at the Fred Hutchinson Cancer Research Center (FHCRC) [11]. Here, we present results in 42 new patients and provide long-term follow-up extending to 19 years for previously reported patients.

## PATIENTS AND METHODS

#### **Patients and Disease Characteristics**

Between May 1986 and December 2008, 85 patients with CMML had HCT at the FHCRC, 42 of these since our initial report in 2005 [11]. All provided informed consent for enrollment in investigational protocols and for long-term follow-up as required by the Institutional Review Board of the FHCRC. Patient and disease characteristics are summarized in Table 1. Patients were 1.0–69.1 (median 51.7) years old. By WHO criteria [13], 57 patients (67%) had CMML-1 and 26 (31%) had CMML-2; in two patients, the staging was inconclusive. In 54 patients (64%) the WBC was <13,000 at HCT, thus qualifying as dysplastic CMML. Among these 54 patients 8 had low-risk, 23 intermediate-1, 15 intermediate-2, and 7 high-risk disease by IPSS criteria [3] (cytogenetic information was missing for one patient). Among 81 patients with cytogenetic data, 45 (53%) were considered good risk, 14 (16%) intermediate risk, and 22 (26%) poor risk according to IPSS criteria. Using the M.D. Anderson prognostic score (MDAPS), 32 patients had low-risk, 23 intermediate-1, 17 intermediate-2, and 8 high-risk disease (data incomplete in 5 patients).

In 14 patients CMML was thought to be "secondary", following treatment for non- Hodgkin or Hodgkin lymphoma in 4, aplastic anemia in 2, breast cancer in 2, and one each for idiopathic thrombocytopenic purpura, chronic lymphocytic leukemia, Wegener's granulomatosis, rhabdomyosarcoma, acute myeloid leukemia and liver transplantation.

Treatment before transplantation included transfusions alone in 13 patients; 49 patients received hydroxyurea or cytoreductive chemotherapy or both; ten received erythropoietin, prednisone or differentiating agents alone or in combination. Fifteen underwent splenectomy with or without other therapeutic modalities. Nine received other treatment including azacytidine or decitabine in 5, imatinib in two, thalidomide and lenalidomide in two.

The HCT comorbidity index (HCT-CI) score was 0 in 19, 1–2 in 23, 3 in 19, and 4–11 in 18 patients; the score could not be calculated in 8 patients due to missing data [14].

Donor and transplant characteristics are summarized in Table 2.

#### **Donor Selection**

HLA typing of related donors involved intermediate resolution molecular typing for HLA-A, -B,-C and DQB1, and high resolution typing for DRB1 [15]. Unrelated donors were typed for HLA-A, -B, -C, and -DRB1 by high resolution and for DQB1 by intermediate resolution typing [15]. Thirty-eight patients (45%) had related donors – 32 were genotypically HLA-identical siblings, two were HLA-matched family members other than siblings, four were HLA non-identical family members (parent differing for HLA-A; sibling differing for HLA-A, -B, and –DR; child differing for HLA-A, and –DR; in one the donor information was incomplete), and 47 (55%) had unrelated donors, 39 were HLA matched, and 8 were HLA non-identical (four differing for HLA-A, three for HLA-DR, and one with an undetermined mismatch).

#### Source of Stem Cells

The stem cell source was bone marrow in 32 (38%), and G-CSF-mobilized peripheral blood progenitor cells (PBPC) in 53 patients (62%).

#### **Conditioning Regimen**

Conditioning regimens were determined by sequential protocols active at the time of HCT (Table 2). Ten patients were conditioned with busulfan (BU) 7 mg/kg orally (po), cyclophosphamide (CY) 50 mg/kg intravenously (iv), and total body irradiation (TBI)  $6 \times 200$  cGy over 3 days for a total of 12 Gy. Eleven patients received BU, 7 mg/kg po and TBI,  $6 \times 200$  cGy over three days for a total of 12 Gy. Twelve patients received fludarabine 120 mg/m<sup>2</sup> iv over 3 days and BU 16 mg/kg po over 4 days (targeted to plasma levels of 800–900 ng/mL). Twenty-nine patients received BU 16 mg/kg po (targeted to plasma levels of 800–900 ng/mL) plus CY 120 mg/kg iv; 8 patients received CY 120 mg/kg iv and fractionated TBI 14.4/13.2 Gy over 3 to 4 days; six patients received <sup>131</sup>I conjugated anti-CD45 antibody iv combined with TBI, 200 cGy and fludarabine  $3 \times 30$  mg/m<sup>2</sup> iv; six received TBI 2 or 3 Gy, with or without the addition of fludarabine,  $3 \times 30$  mg/m<sup>2</sup> iv; and three patients received fludarabine  $5 \times 30$  mg/m<sup>2</sup> iv plus treosulfan 14 g/m<sup>2</sup> iv [16].

#### **GVHD Prophylaxis**

Graft versus host disease (GVHD) prophylaxis consisted of cyclosporine (CSP) and methotrexate (MTX) in 44 patients, tacrolimus and MTX in 20, CSP and mycophenolate mofetil (MMF) in 12, tacrolimus and MMF in 3, and CSP plus other combinations [17] in six patients.

#### Evaluation

Engraftment was defined as the first of 3 consecutive days with an absolute neutrophil count  $(ANC) \ge 0.5 \times 10^{9}/L$ , and platelet engraftment as the first of three days with platelet counts of greater than  $20 \times 10^{9}/L$ , without transfusion support. Acute and chronic GVHD severity were assessed and treated as described previously [18–20]. We did not reclassify chronic GVHD according to the more recently developed NIH consensus criteria [21,22].

Relapse/disease progression was defined as re-appearance/ persistence of host cells with the morphologic, cytogenetic, molecular or immunophenotypic markers of the disease pre-transplant.

#### **Statistical Analysis**

Survival was defined as the time from transplant to death or date of last contact. Relapsefree survival was defined as the time from transplant to relapse or death by causes other than relapse. Non-relapse mortality was defined as death without prior relapse. Estimates of the probability of overall and relapse-free survival were obtained by the Kaplan-Meier method, and estimates of the probability of relapse, non-relapse mortality, and chronic GVHD were summarized using cumulative incidence estimates. Death without relapse was considered a competing risk for NRM, NRM a competing risk for relapse, and death without chronic GVHD a competing risk for chronic GVHD. Association of various factors with the causespecific hazard of failure for each of these endpoints was assessed using Cox regression. The factors assessed, along with univariate regression results, are contained in Table 3. All 2sided pvalues from regression models were estimated using the Wald test, and no adjustments were made for multiple comparisons. Data were analyzed as of 1/27/10.

#### RESULTS

#### Engraftment

Seventy-seven patients (91%) achieved sustained engraftment, as defined by neutrophil counts of  $0.5 \times 10^5$ /L, at 9 to 31 (median 18) days, including one patient, prepared with a reduced intensity regimen) in whom the ANC never declined below  $0.5 \times 10^5$ /L. Seven of the remaining 8 patients died between day 11 and day 80 without achieving  $0.5 \times 10^5$ /L. One patient showed 100% donor cells (CD3<sup>+</sup> and CD33<sup>+</sup>) initially, but never achieved a neutrophil count of  $\ge 0.5 \times 10^9$ /L and died on day 438 with recurrent CMML. A transfusion-independent platelet count of  $20 \times 10^9$ /L or greater was reached at 8 to 97 (median 14.5) days, by 67 patients (79%); 18 patients died between days 11 and 115 without platelet reconstitution.

#### **GVHD**

Acute GVHD of grades II–IV developed in 58 (72%), and grades III–IV in 21 (26%) of the 81 patients who were assigned a grade. Chronic GVHD occurred in 37 patients by 2 years for a cumulative incidence estimate of 44%. In addition, one patient was diagnosed with chronic GVHD nearly 8 years following transplantation.

#### **Relapse and Non-relapse Mortality**

Relapse or progression of CMML occurred in 22 patients between 28 and 1585 (median 183) days after transplantation (Figure 1). The estimated probability of relapsed disease progression was 24% at 2 years and 27% at 10 years. Univariate regression models are summarized in Table 3. MDAPS was statistically significantly associated with the risk of relapse, while poor-risk cytogenetics were suggestively associated with the risk of relapse. Female patients with a female donor had a higher risk of relapse compared to male patients transplanted from a male donor, although the univariate global p-value for patient/donor gender was p=0.22. In a multivariable regression model (Table 4), MDAPS showed a similar magnitude of association as in the univariate model, while patient/donor gender showed a suggestive association (in particular, F/F compared to M/M).

Overall, 29 deaths without a prior relapse had occurred by the time of last contact, for a 2year estimate of NRM of 33%, and a 10-year estimate of NRM of 34%. As indicated in Table 3, in univariate regression models pre-transplant hematocrit, cytogenetics, Spanish classification, HCT-CI, and age showed statistically significant or suggestive associations with the risk of NRM. In a multivariable regression model, each of these covariates showed a statistically significant association with NRM, although cytogenetics and Spanish classification failed to show an association when both were included in the model, due to the strong correlation between these two factors.

#### **Causes of Death**

At the time of last contact (database locked on 01/27/2010), 49 patients had died, 20 with progression or relapse of CMML and 29 from non-relapse causes. These included multi-organ failure (n= 13, associated with GVHD in 2), viral or fungal infections (n=7), central nervous system or pulmonary hemorrhage (n=2), respiratory failure (n=2), and GVHD (n=2); in 3 patients the cause of death was not determined.

#### **Overall and Relapse-free Survival**

Currently 36 patients are surviving (34 in remission) at 0.5 to 19.1 (median 5.2) years, with a probability of survival (relapse-free survival) at 10 years of 40% (38%) (Figure 1)

Univariate regression models are summarized in Table 3. For both overall survival and relapse-free survival, the same factors were either statistically significantly or suggestively associated with outcome (pre-transplant hemoglobin, hematocrit, and platelet counts; cytogenetics, HCT-CI, age, and Spanish classification. In a multivariable regression model, increasing pre-transplant hematocrit was associated with decreased mortality and increased relapse-free survival, and increasing age, higher HCT-CI (Figure 2), and poor-risk cytogenetics were each associated with increased mortality and reduced relapse-free survival (Table 4).

## DISCUSSION

CMML is a hematopoietic malignancy with dysplastic and proliferative characteristics. While some patients have a relatively indolent disease course extending over several years, others progress rapidly to acute leukemia. Intensive chemotherapy as used for remission induction in patients with acute leukemia has met with limited success. The probability of achieving complete remissions is low, and remission duration has been short. A randomized trial comparing etoposide and hydroxyurea showed superior survival with hydroxyurea [23]. More recent trials using farnesyl transferase inhibitors [24] or hypomethylating agents [25] showed less early toxicity and mortality than observed with conventional chemotherapy, but typically resulted in only short-lasting responses.

Hematopoietic cell transplantation is currently the only treatment modality with proven curative potential, offering the chance of long-term survival. The present findings confirm our earlier results, showing an estimated post-transplant survival probability of 40% at 10 years with follow-up of surviving patients extending to two decades. The major factors determining long-term relapse-free survival as well as overall survival were pre-transplant hematocrit, cytogenetic risk category, co-morbidity index, and age. While disease classification by MDAPS criteria could predict the risk of recurrent malignancy, as already suggested by our initial report [11], neither this nor any other classification examined in the present analysis statistically significantly affected long-term survival or relapse-free survival. However, the parameters identified as significant were reminiscent of those described by Spanish investigators as determining survival in non-transplanted patients [7]. In an analysis of data on 419 patients who had been followed for a median of 33 months, these investigators identified in univariate analysis CMML-2 with the presence of two or three peripheral blood cytopenias, poor-risk cytogenetics (defined as trisomy-8 or complex karyotype), and red blood cell transfusion dependence as factors that were associated with shorter overall survival and higher risk of evolution to acute leukemia (p<.001) [7].

Of note, patient age, CMV status, source of stem cells, and type of donor did not statistically significantly affect overall or relapse-free survival, although female-to-female transplants were associated with a higher probability of relapse compared to male-to-male transplants in univariate analysis. Whether patient/donor gender is truly associated with relapse or is a product of multiple comparisons is not known. A global analysis of the four gender combinations was not statistically significant (p=0.10), and lacking a biological explanation for this association we acknowledge that the observed association may not be real. Similarly, the type of conditioning regimen did not statistically significantly affect outcome; it appeared, however, that patients conditioned with fludarabine and targeted busulfan had a low relapse incidence and the highest probability of survival. Many reports on transplant outcome in patients with myelodysplastic syndromes included patients with CMML [9,12,26], while few focused on this disease group exclusively [10,11,27]. A report from the Mayo Clinic on 17 patients with CMML, 26-60 years of age, showed a 41% NRM with 3 patients (18%) surviving in remission at a median follow-up of about 3 years. The incidence of relapse was 41%. A report from King's College in London summarized results in 18 patients, 38 - 66 years of age, most of whom had received T cell depleted transplants following fludarabine/busulfan conditioning [28]. The 3-year overall survival was 31%, and the relapse incidence 47%. Similar to the present study, high risk cytogenetics were associated with mortality; however, small patient numbers prevented a strong statistical assessment. Mittal et al. included 7 patients with CMML in a report from the M.D. Anderson Cancer Center, showing a relapse-free survival of 37% with a median follow-up of 1.5 years [26]. Kroger et al. reported relapse-free survival of 18% at 40 months, in a study summarizing results from multiple institutions, some of which used T-cell depletion of the stem cell inoculum [27]. The present results in patients transplanted at a single institution compare favorably with those data.

All published reports, including the present one, have identified disease relapse as a major problem, occurring in 25% to more than 40% of patients. It was of note, however, that the cumulative incidence of relapse in the small cohort of patients conditioned with a low-intensity regimen of fludarabine and 200 cGy of TBI, 25%, was not significantly different from the incidence observed with higher-intensity regimens, consistent with a clinically relevant graft-versus-leukemia effect as also suggested by other investigators [10,27].

Even so, survival with this regimen was not improved relative to other conditioning strategies, conceivably related to higher rates of co-morbidities in patients included in this cohort. Although patient selection bias obviously could play a large role, the similar incidence rates of relapse with conditioning regimens of various intensities suggest that modalities other than cytotoxic therapy should be incorporated into transplant regimens for patients with CMML.

Overall and relapse-free survivals in this updated analysis were similar to results presented in our previous report [11]. High-risk karyotypes were correlated with high relapse rates, although the impact of karyotype decreased in multivariable analysis when other factors, including hematologic parameters pre-transplant were entered into the analysis. The effect of these factors indicates that the MDAPS or the Spanish classification identify parameters with significant impact on transplant outcome [1]. As in our initial study [11], co-morbidity scores were correlated with increasing non-relapse mortality. Thus, while currently used transplant regimens may be quite effective in patients without significant co-morbidities and with good-risk cytogenetics, new strategies are required for patients with high-risk features. Whether the use of radioactive isotope-conjugated antibodies to hematopoietic cells in the conditioning regimen can improve overall results remains to be determined. The subcohort of patients in the present study that was treated by this approach was too small to allow for firm conclusions.

Our observation that disease parameters identified as prognostically relevant in patients not undergoing HCT were also prognostically relevant for outcomes after HCT is of note. For one, this observation should allow to select high-risk patients for HCT. Secondly, it might be possible to identify patients with deteriorating parameters and possibly proceed with HCT earlier than would have otherwise have been the case. Clearly, the HCT-CI, hematologic parameters and cytogenetic findings are the factors with the most profound impact on posttransplant outcome, and novel regimens with low toxicity but greater efficacy in patients with high-risk cytogenetics must be developed.

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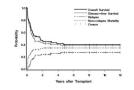
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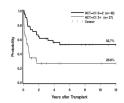


#### Figure 1. Survival, relapse and non-relapse mortality

Shown for all patients are overall and disease (relapse)-free survival, and the probabilities of relapse and non-relapse mortality. Tickmarks indicate censored patients.

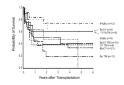


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## Figure 2. Survival dependent upon pre-transplant co-morbidities

Shown are the probabilities of survival for 42 patients with HCT-CI scores 0-2 (52.7%), and for 37 patients with scores of 3 or greater (26.6%)



#### Figure 3. Survival by conditioning regimen

Shown are survivals with specific conditioning regimens of various dose intensities. (The group of 3 patients conditioned with fludarabine and treosulfan is not included.)

#### Table 1

#### Patient and Disease Characteristics

Variable		Number Patients
Number of patients		85
Age (years), range (median)	1–69.1 (51.7)	
Sex (male/female)		52/33
Diagnosis		
FAB		
Proliferative		28
Non-proliferative		54
WHO		
CMML 1		57
CMML 2		26
IPSS risk		
Low		8
Intermediate-1		23
Intermediate-2		15
High		7
MDAPS		
Low		32
Intermediate-1		23
Intermediate-2		17
High		8
Hematology Parameters median (range)		
WBC (× 10 <sup>9</sup> /L)	7.38 (0.08–85.5)	
Lymphocytes (× $10^9/L$ )	1.55 (0–12.83)	
Platelets (× $10^{9}/L$ )	63 (7–882)	
Hemoglobin (gm/dL)	10.5 (7.2–15.7)	
Cytogenetics risk (by IPSS)		
Good		45
Intermediate		14
Poor		22
Pre-transplantation therapy		
None or Transfusion only		13
Cytoreductive with or without HU		49
Differentiating agents*		10
Splenectomy with or without other treatment modalities		15

Variable	Number of Patients
Other modalities	9

Abbreviations:

HU=hydroxyurea; FAB = French-American-British classification; WHO = World Health Organization

IPSS = International Prognostic Scoring System; MDAPS = MD Anderson Prognostic Score; WBC = white blood cell count (see text)

### Table 2

## Donor and Transplant Characteristics

Variable	Number of Patient
Donor age (yrs), range(median) 3.4 - 69.1 (40.1)	
Sex, male/female	51/34
Donor/patient CMV status*	
_/_	21
-/+	26
+/+	25
+/-	11
Donor patient relationship	
-Related	
HLA-identical sibling	32
HLA-matched relative other than sibling	2
HLA-mismatched relative	4
-Unrelated	
HLA-matched	39
HLA-mismatched	8
Donor / Patient sex	
F/F	13
F/M	21
M / F	20
M / M	31
Conditioning regimen	
BU (7 mg/kg)/CY (50 mg/kg)/TBI (12 Gy)	10
BU (7 mg/kg)/TBI (12 Gy)	11
BU (16 mg/kg)/CY (120 mg/kg)/THY (4.5 mg/kg)	29
CY (120 mg/kg)/TBI (14.4 or 13.2 Gy)	8
TBI $(2-3 \text{ Gy}) \pm \text{FLU} (90 \text{ mg/m}^2)$	6
FLU (120 mg/m <sup>2</sup> )/BU (16 mg/kg)	12
TBI (2 Gy)/iodine 131-anti-CD45 antibody	6
FLU (150 mg/m <sup>2</sup> )/Treosulfan (3×14 g/m <sup>2</sup> )	3
GVHD prophylaxis regimen	
CSP/MTX	44
CSP/MMF	12
CSP/Other combinations	6
FK506/MTX	20
FK506/MMF	3

Variable		Number of Patients
Source of Stem Cells		
Marrow		32
PBPC		53
Cell dose, range (median)		
Marrow	$0.7-7.8~(2.9) \times 10^8/kg$	
PBPC (CD34+)	4.0–30.0 (10.9) $\times$ 10 <sup>6</sup> /kg	

\* Data missing for two donors.

 $^{\dagger}$ Eight patients (4 conditioned with targeted BU/CY, 4 conditioned with FLU/targeted BU, and one conditioned with CY/TBI) also received antithymocyte globuline [ATG]. One patient conditioned with BU/CY was also given amifostine, 340 mg/m<sup>2</sup> [29].

Abbreviations: Bu = busulfan; CMV = cytomegalovirus; CSP = cyclosporine; HLA = Human leukocyte antigen; F= female; FK506 = tacrolimus; Flu = fludarabine; M= male; MMF = mycophenolate mofetil; MTX = methotrexate; PBPC = peripheral blood progenitor cells; TBI = total body irradiation

#### Table 3

## Univariate Regression Results

Factor	<b>Overall Mortality</b>	Mortality or Relapse	NRM	Relapse
MD Anderson	1.11 (0.87–1.42, p=.40)	1.14 (0.90–1.45, p=.29)	0.88 (0.63–1.21, p=.42)	1.63 (1.11–2.39, p=.01)
Prognostic Score*				
$WBC > 13 \times 10^{9}/l$	1	1	1	1
$WBC < 13 \times 10^9/l$	1.11 (0.60–2.05, p=.74)	0.99 (0.55–1.78, p=.96)	0.88 (0.40–1.93, p=.75)	1.14 (0.46–2.79, p=.78)
Patient/Donor Sex				
M/M	1	1	1	1
F/F	0.90 (0.41–1.99, p=.79)	1.07 (0.50–2.31, p=.85)	0.41 (0.12–1.42, p=.16)	3.55 (1.04-12.13, p=.04)
F/M	0.58 (0.26–1.28, p=.18)	0.63 (0.29–1.36, p=.24)	0.33 (0.11–0.99, p=.05)	1.75 (0.49–6.21, p=.39)
M/F	0.81 (0.39–1.66, p=.56)	0.85 (0.41–1.74, p=.65)	0.62 (0.25–1.53, p=.30)	1.68 (0.45–6.25, p=.44)
	global p=.60	global p=.61	global p=.16	global p=.22
Patient/Donor CMV status				
-/-	1	1	1	1
+/+	1.37 (0.62–3.02, p=.43)	1.32 (0.60–2.90, p=.50)	1.38 (0.50–3.80, p=.53)	1.22 (0.34–4.32, p=.76)
+/	1.45 (0.66–3.21, p=.35)	1.68 (0.78-3.65, p=.19)	1.55 (0.56–4.26, p=.40	1.89 (0.57–6.27, p=.30)
-/+	1.03 (0.37–2.84, p=.95)	1.07 (0.39-2.94, p=.90)	0.62 (0.13-3.07, p=.56)	1.69 (0.42–6.76, p=.46)
	global p=.75	global p=.55	global p=.61	global p=.71
Hemoglobin <sup>*</sup>	0.86 (0.73–2.36, p=.37)	0.87 (0.75–1.02, p=.09)	0.87 (0.70–1.08, p=.21)	0.87 (0.69–1.10, p=.24)
Hematocrit <sup>*</sup>	0.92 (0.87–0.98, p=.007)	0.94 (0.89–0.99, p=.02)	0.92 (0.85–0.99, p=.03)	0.96 (0.89–1.04, p=.34)
Lymphocytes*	1.00 (0.90–1.12, p=.96)	1.02 (0.91–1.13, p=.77)	0.95 (0.80–1.13, p=.55)	1.08 (0.94–1.23, p=.29)
Platelets*	1.00 (0.99–1.00, p=.05)	1.00 (0.99–1.00, p=.07)	1.00 (0.99–1.00, p=.15)	1.00 (0.99–1.00, p=.27)
Donor				
Matched Sibling	1	1	1	1
Non-sibling Relative	0.45 (0.10-1.96, p=.29)	0.44 (0.10–1.93, p=.28)	0.45 (0.06–3.63, p=.46)	0.43 (0.05–3.46, p=.43)
Unrelated	1.03 (0.56–1.91, p=.92)	1.11 (0.60–2.04, p=.74)	1.26 (0.55–2.91, p=.58)	0.95 (0.39–2.33, p=.91)
Cytogenetics				
Good/Intermediate	1	1	1	1
Poor	2.12 (1.15–3.90, p=.02)	2.20 (1.21–3.99, p=.009)	2.20 (1.00–4.82, p=.05)	2.20 (0.89–5.47, p=.09)
Source of Stem Cells				
PBPC	1	1	1	1
Marrow	1.40 (0.80–2.47, p=.24)	1.31 (0.75–2.30, p=.34)	1.52 (0.73–3.15, p=.26)	1.08 (0.45–2.57, p=.87)
No Excess Blasts	1	1	1	1
E	0.97 (0.55–1.71, p=.92)	1.00 (0.57–1.74, p=.99)	0.83 (0.40–1.73, p=.62)	1.29 (0.54–3.07, p=.57)
Excess Blasts	0.97 (0.95 1.71, p=.92)	100 (010 / 11/ 1, p 199)	0.00 (0110 1170, p 102)	1.29 (0.51 5.07, p=.57)

Factor	<b>Overall Mortality</b>	Mortality or Relapse	NRM	Relapse
CMML1	1.10 (0.59–2.02, p=.77)	1.07 (0.59–1.94, p=.83)	1.57 (0.67–3.67, p=.30)	0.68 (0.28–1.61, p=.38)
Cytogenetics (Spanish)*	2.07 (1.13–3,82, p=.02)	2.11 (1.17–3.83, p=.01)	2.83 (1.32–6.07, p=.008)	1.36 (0.50–3.71, p=.55)
IPSS*	1.28 (0.89–1.86, p=.19)	1.27 (0.88–1.82, p=.21)	1.22 (0.76–1.98, p=.41)	1.33 (0.76–2.32, p=.32)
HCT-CI 0–2	1	1	1	1
HCT-CI > 2	2.80 (1.53–5.14, p=.0008)	2.53 (1.41–4.55, p=.002)	4.31 (1.79–10.39, p=.001)	1.47 (0.62–3.47, p=.38)
Age*	1.02 (1.00–1.04, p=.06)	1.02 (1.00–1.04, p=.06)	1.03 (0.99–1.06, p=.06)	1.01 (0.98–1.04, p=.47)
Disease duration*	1.02 (0.90–1.17, p=.75)	1.02 (0.90–1.17, p=.73)	0.88 (0.63–1.20, p=.43)	1.10 (0.96–1.24, p=.18)
Chronic GVHD**	1.15 (0.55–2.40, p=.70)	1.19 (0.56–2.54, p=.66)	2.33 (0.62–8.68, p=.21)	0.82 (0.31–2.13, p=.68)
Year of transplant*	0.99 (0.94–1.04, p=.69)	1.00 (0.95–1.05, p=.85)	0.99 (0.93–1.06, p=.81)	1.00 (0.93–1.08, p=.99)

\* Modeled as continuous linear variables; HR (hazard ratio) reflects increase in hazard associated with increase in one unit. For age, year of transplant and disease duration, one unit corresponds to 1 year. For pre-transplant hematologic parameters the units were as follows; hemoglobin – g/l; hematocrit – percent ; lymphocytes and platelets – 10<sup>9</sup>/l.

\*\* modeled as time-dependent covariate

Abbreviations: M= male, F = female; CMV = cytomegalo virus; PBPC = peripheral blood progenitor cells; IPSS = International Prognostic Scoring System; HCT-CI \_ hematopoietic cell transplantation comorbidity index; GVHD = graft versus host disease;

#### Table 4

## Multivariable Analysis of Outcomes (Hazard Ratio [95% confidence limits, p-value))

	Relapse	NRM	Mortality or Relapse	<b>Overall Mortality</b>
Patient/Donor Sex				
M/M	1			
F/F	4.64 (1.20–18.01, p=.03)			
F/M	1.86 (0.45–7.69, p=.39)			
M/F	1.60 (0.35–7.19, p=.54)			
	Global p=.10			
MDAPS	1.65 (1.11–2.45, p=.01)			
Hematocrit		0.92 (0.84–1.00, p=.06)		0.94 (0.88–1.00, p=.04)
HCT-CI				
0–2	1	1	1	1
>2		3.97 (1.54–10.23, p=.004)	2.46 (1.33–4.54, p=.004)	2.62 (1.36–5.05, p=.004)
Cytogenetics (IPSS)				
Good/Interm.	1	1	1	1
Poor		3.09 (1.21–7.88, p=.02)	3.35 (1.73–6.48, p=.0003)	2.73 (1.37–5.44, p=.004)
Age		1.04 (1.00–1.07, p=.06)	1.03 (1.01–1.06, p=.009)	1.03 (1.00–1.05, p=.02)

Abbreviations: CI = confidence interval; F = female; HCT-CI = Hematopoietic cell transplantation co-morbidity index; HR = hazard ratio; IPSS = International Prognostic Scoring System; M = male; NRM = Non-relapse mortality. MDAPS = MD Anderson Prognostic Score.