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Uridine 5′-diphosphoglucuronosyltransferase genetic polymorphisms and response to cancer chemotherapy

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Abstract

Pharmacogenetics aims to elucidate how genetic variation affects the efficacy and side effects of drugs, with the ultimate goal of personalizing medicine. Clinical studies of the genetic variation in the uridine 5′-diphosphoglucuronosyltransferase gene have demonstrated how reduced-function allele variants can predict the risk of severe toxicity and help identify cancer patients who could benefit from reduced-dose schedules or alternative chemotherapy. Candidate polymorphisms have also been identified *in vitro*, although the functional consequences of these variants still need to be tested in the clinical setting. Future approaches in uridine 5′-diphosphoglucuronosyltransferase pharmacogenetics include genetic testing prior to drug treatment, genotype-directed doseescalation studies, study of genetic variation at the haplotype level and genome-wide studies.

Keywords

epirubicin; flavopiridol; glucuronidation; irinotecan; neutropenia; raloxifene; tamoxifen; TAS-103; uridine 5′-diphosphoglucuronosyltransferase; vorinostat

> Cancer constitutes one of the main leading causes of death worldwide. One of the principal modalities of cancer treatment is chemotherapy. There is high interindividual variability in response to anticancer agents, some of which is caused by inherited variation in drug

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metabolizing enzymes. Pharmacogenetics investigates how genetic variation affects drug efficacy and side effects, with the goal of individualizing medical treatment to improve patient care.

Many anticancer agents are metabolized by glucuronidation, a metabolic reaction that increases drug solubility in water and facilitates their biliary or urinary excretion from the human body [1-3]. The glucuronidation reaction involves conjugation of uridine 5′ diphosphoglucuronic acid to aglycones that contain oxygen, carboxyl, nitrogen or sulfur functional groups via uridine 5′-diphosphoglucuronosyltransferase (UGT) enzymes. UGTs are differentially expressed throughout the human body. Most human UGTs are found in the liver (e.g., UGT1A1, UGT1A3, UGT1A4, UGT1A5, UGT1A6, UGT1A9, UGT2B4, UGT2B7, UGT2B10, UGT2B15 and UGT2B17), the main organ responsible for drug metabolism. However, other UGTs (e.g., UGT1A7, UGT1A8, UGT1A10, UGT2B11 and UGT2B28) are expressed exclusively in extrahepatic tissues [4-7]. The *UGT* genes are classified into families, *UGT1* and *UGT2*, and, based on their sequence similarity, are further organized into subfamilies, *UGT1A, UGT2A* and *UGT2B*. Extensive genetic variation exists in the *UGT1A* and *UGT2B* genes [8,9]. Table 1 provides an overview of the many anticancer drugs that are glucuronidated in humans. Specific allele frequencies and a description of the *in vitro* effects of the *UGT* variants investigated in pharmacogenetic studies of anticancer agents are listed in Table 2.

This article will focus on how genetic variation in the *UGT* genes affects anticancer drug response, including both toxicity and efficacy. We report on anticancer drugs for which there is considerable glucuronidation and for which the UGTs responsible for the reaction have been identified. We will discuss pharmacogenetic studies of irinotecan, tamoxifen, raloxifene, epirubicin, vorinostat, flavopiridol and TAS-103 in detail. In this article, we use the nomenclature described in the *UGT* nomenclature webpage [301].

Irinotecan

The best example of how *UGT* genetic variation alters drug response is provided by irinotecan. This anticancer drug is used in many different schedules and disease settings, including colorectal cancer, small-cell lung cancer, breast cancer and gastric cancer [10-12]. It has also been approved by the US FDA for use in combination with 5-fluorouracil and leucovorin (folinic acid, fluorouracil and irinotecan [FOLFIRI]) for first-line treatment of patients with metastatic colorectal cancer and as second-line therapy for metastatic colorectal cancer refractory to 5-fluorouracil and leucovorin treatment [302].

Irinotecan is a prodrug of SN-38, a topoisomerase I inhibitor [13]. The cytotoxic activity of SN-38 is 100- to 1000-times greater than that of irinotecan [14]. SN-38 is further metabolized and deactivated in the liver to SN-38G [15], primarily by UGT1A1 [16-18] and to a lower extent also by UGT1A3, UGT1A6 and UGT1A9 [17-21]. UGT1A7 metabolizes SN-38 *in vitro* to a very high extent [18-20,22], but, since it is exclusively found in extrahepatic tissues [23] (reviewed in [4,24,25]), it does not contribute to SN-38 glucuronidation in the liver, where most SN-38G is formed.

Patients receiving irinotecan commonly experience severe myelosuppression (resulting in neutropenia) and delayed-onset diarrhea. Severe neutropenia and diarrhea seem to be caused by SN-38-induced damage to the bone marrow and gastrointestinal mucosa, respectively. Both myelosuppression and diarrhea need to be closely monitored. When experienced as grade 3 or 4 toxicities, they require treatment delay to allow for recovery and a decrease in dosing before the next irinotecan treatment.

More than 35 pharmacogenetic studies have evaluated whether there is a genetic predisposition to the risk of severe toxicity from irinotecan. Most studies have focused on investigating how genetic variation in the *UGT1A1* gene affects the drug pharmacodynamics owing to its primary role in the elimination of SN-38. An association between irinotecan toxicity and impaired UGT1A1 activity was initially observed in cancer patients suffering from Gilbert's syndrome [26,27], a common and mild inherited liver disorder that causes mild hyperbilirubinemia owing to a deficiency in UGT1A1 activity [28]. Case reports indicated that these patients developed severe diarrhea, neutropenia and transient increases in unconjugated bilirubin following irinotecan treatment [26,27]. The patients had high biliary SN-38 concentrations, suggesting reduced glucuronidation. Around the same time, *in vitro* studies showed that SN-38 is metabolized by UGT1A1, and there is an inverse correlation between the number of thymineadenine (TA) repeats in the promoter region of *UGT1A1* and glucuronidation of SN-38 and bilirubin [16,29]. Since then, many clinical studies have investigated whether genetic polymorphisms in the *UGT1A* genes involved in SN-38 disposition, particularly *UGT1A1*, predispose patients to severe irinotecan toxicity. These studies have been summarized in Table 3.

UGT1A **variation & irinotecan toxicity**

Many irinotecan pharmacogenetic studies have investigated whether the *UGT1A1*28* allele is associated with the risk of developing severe drug-related toxicity. *UGT1A1*28* has an additional TA repeat $[A(TA)₇TAA]$ in the TATA box of the promoter region, which in most individuals contains the $A(TA)_{6}TAA$ allele known as *UGT1A1*1*. Transcriptional activity is inversely related to the number of TA repeats, and as the number of TA repeat increases, UGT1A1 glucuronidation activity is significantly reduced [28-32]. *UGT1A1*28* is also associated with reduced *UGT1A1* mRNA expression [33]. The first prospective irinotecan pharmacogenetic study investigated whether the incidence of toxicity correlated with variability in the *UGT1A1* promoter. The trial enrolled predominantly Caucasian patients with refractory disease to be treated with 300–350 mg/m² irinotecan once every 3 weeks. At 300 mg/m² , patients with one or two *UGT1A1*28* alleles had a trend toward lower absolute neutrophil counts $(n = 20)$ [30]. In 65 patients enrolled in the same trial and treated with 350 mg/m^2 irinotecan (the current dosage of irinotecan, after a change in FDA recommendations), 50% of *UGT1A1*28* homozygotes experienced grade 4 neutropenia as the most common toxicity compared with 13% of heterozygotes and 0% of the *UGT1A1*1/ *1* patients [32]. Having a *UGT1A1*28/*28* genotype conferred a 9.3-fold risk (95% CI: 2.4–36.4) of suffering neutropenia when compared with *UGT1A1*28/*1* and *UGT1A1*1/*1*. Multiple subsequent irinotecan studies have also reported increased risk in carriers of *UGT1A1*28/*28* for developing neutropenia [34-38]. The largest study group was trial N9741, comprised of 520 advanced colorectal cancer patients treated with combination therapy (irinotecan/5-fluorouracil/leucovorin: $n = 114$; oxaliplatin/5-fluorouracil/leucovorin: $n = 299$; and irinotecan/oxaliplatin: $n = 107$ [35]. Preliminary reports found a statistically significant association between *UGT1A1*28/*28* and higher incidence of severe neutropenia in all patients combined and in patients on the irinotecan/oxaliplatin arm. Irinotecan/ oxaliplatin patients homozygous for *UGT1A1*28* had a 15.3-fold (95% CI: 3.0–77.9) and 35.0-fold (95% CI: 3.6–40.9) increased risk of developing grade 4 neutropenia and grade 3 febrile neutropenia, respectively. Japanese carriers of *UGT1A1*28* are also at higher risk for developing severe leukopenia and neutropenia [39-42]. For example, in a Japanese cohort of 118 patients in different regimens containing irinotecan, the *UGT1A1*28* allele distribution in patients who experienced grade 4 leukopenia was significantly different from that of patients without toxicity [39]. Multivariate analysis also showed that patients with one or two *UGT1A1*28* alleles had a significant risk for severe toxicity.

Ramírez et al. Page 4

An association between the incidence of diarrhea and *UGT1A1*28* has also been observed by some investigators. In a study of Caucasians receiving irinotecan-containing regimes, 70% of the carriers of *UGT1A1*28/*28* developed diarrhea versus 33% of *UGT1A1*28/*1* versus 17% of *UGT1A1*1/*1* [43]. Metastatic colorectal patients on FOLFIRI who were homozygous for *UGT1A1*28* were also at higher risk of severe diarrhea [38]. The presence of the *UGT1A1*28* allele also correlated with severe diarrhea and was a significant risk factor for toxicity in additional studies in Caucasian and Japanese populations [39-40,44-46]. However, other studies have not provided evidence of an association between severe irinotecan-induced diarrhea and *UGT1A1*28*, possibly owing to insufficient sample size, low allele frequency in Asian studies, lower irinotecan doses and heterogeneity in treatment schedules [42,47-54].

To investigate the reason for the inconsistencies observed in the relationship between *UGT1A1*28* and severe irinotecan-induced toxicity, a meta-analysis was conducted integrating the results of nine clinical trials including 821 patients in total and encompassing different dose schedules (weekly, biweekly and every 3 weeks) [55]. The study found that the risk for severe hematologic toxicity in patients with *UGT1A1*28/*28* was dose dependent. The risk of developing grade 3–4 neutropenia in patients with *UGT1A1*28/*28* genotype is significantly higher than for carriers of *UGT1A1*1/*28* or *UGT1A1*1/*1* at high (dose: >250 mg/m²; odds ratio [OR]: 3.22; 95% CI: 1.52–6.81; p = 0.008) and medium doses (dose: 150–250 mg/m²; OR: 27.8; 95% CI: 4.00–195; p = 0.005) but not at lower doses (dose: <150 mg/m²; OR: 1.80; 95% CI: 0.37–8.84; p = 0.41) [55]. The authors concluded that the *UGT1A1*28/*28* genotype may be useful as a predictive marker of toxicity only at intermediate or high irinotecan doses (150–250 mg/m²) administered every 2 or 3 weeks, but treatment decisions at lower doses do not need to be made based on genotype. No associations were found between *UGT1A1*28* and diarrhea, and for incidence of diarrhea in *UGT1A1*28/*28* patients and dose ($p = 0.8$).

*UGT1A1*6* (211G>A, G71R), a missense mutation found in Asians [9,56], is associated with reduced enzyme activity [18,57,58]. It has been shown to predict for toxicity in Asian patients receiving irinotecan for the treatment of non-small-cell lung cancer. A clinical trial of 81 Koreans given irinotecan and cisplatin found an association between homozygosity for *UGT1A1*6* and the incidence of grade 4 neutropenia (OR: 6.11) [48,49]. Additional studies conducted in Japanese and Chinese have confirmed the association between *UGT1A1*6* and incidence of severe leukopenia and neutropenia [41,42,59,60]. Coexistence of *UGT1A1*28* and *UGT1A1*6* has been shown to decrease glucuronidation activity in an additive manner [39,41,61-64] and genotyping both alleles would be important to predict toxicity in Asians (reviewed in [65,66]) Genotyping *UGT1A1*6* in Caucasians, however, has no practical value due to its rarity [32,34].

Irinotecan pharmacogenetic studies have also investigated the impact of *UGT1A1*27, UGT1A1*93* and *UGT1A1*60* on toxicity. The effect of the *UGT1A1*27* (686C>A, P229Q) allele, which reduces glucuronidation activity, has not been evaluated in Caucasians due to its rarity [32,34]. It has been found to be associated with severe neutropenia and diarrhea in Japanese but as it co-occurs with *UGT1A1*28*, it is hard to assess its effect [39,62,67]. *UGT1A1*93* (−3156G>A) and *UGT1A1*60 (*−3279T>G*)* occur in Caucasians, Asians and Africans [30,56,68]. *UGT1A1*93* was strongly correlated with severe neutropenia in irinotecan patients with advanced disease refractory to other agents and seemed to distinguish between different phenotypes of total bilirubin (an established marker of *UGT1A1* status) better than *UGT1A1*28* [32]. Consistent with this hypothesis, a study of colorectal cancer patients treated with FOLFIRI demonstrated a significant trend of increased incidence of severe neutropenia among −3156 genotypes [37]. Severe hematologic toxicity was more frequent in individuals with −3156A regardless of whether

they carried the *UGT1A1*1* or *UGT1A1*28* alleles. A hazard ratio for severe hematological toxicity of 8.4 was found for patients with −3156A/A genotype compared with −3156G/G*.* Homozygosity for −3156G>A was also related to the risk of neutropenia in irinotecan patients with extensive-stage small-cell lung cancer [69]. However, as no functional studies have been performed for *UGT1A1*93*, and since this allele is highly linked to *UGT1A1*28* and *UGT1A1*60* in Caucasians and Asians [32,62,67,70], further studies are needed. *UGT1A1*60* has decreased transcriptional activity [71]. In Caucasian metastatic patients treated with FOLFIRI, *UGT1A1*60/*60* was associated with severe hematologic toxicity in the first treatment cycle when compared with −3279T/T carriers, although it was not a significant predictor after multivariate analysis [70]. In Japanese patients, *UGT1A1*60* has been associated with severe leukopenia and/or diarrhea [40]. Homozygosity for *UGT1A1*60* also increased the risk of severe toxicity. However, in multivariate analyses including *UGT1A1*28*, this association did not hold, although the association with *UGT1A1*28* did, suggesting that *UGT1A1*28* is a stronger predictive factor for toxicity than *UGT1A1*60*. Another study investigating the role of *UGT1A1*60* in the severe side effects experienced by Korean patients could not demonstrate an association with toxicity [48]. Owing to the high linkage between *UGT1A1*28, UGT1A1*60* and *UGT1A1*93,* more evidence is needed to assess whether genotyping *UGT1A1*60* and *UGT1A1*93* would add predictive power to that provided by *UGT1A1*28*, especially in Caucasian populations where *UGT1A1*28* is common.

UGT1A9 and UGT1A7 also metabolize SN-38. UGT1A9 is expressed in the liver but UGT1A7 is only expressed in extrahepatic sites. Common polymorphisms in *UGT1A9* include *UGT1A9*1b* (−118(dT)_{9>10}), generated by insertion of an extra thymidine in the promoter region [72], and I399C>T [73]. The functional significance of both polymorphisms is unclear. Although *UGT1A9*1b* has been associated with increased luciferase activity [72], further studies have not shown a significant increase in reporter gene expression or alterations in hepatic protein expression or activity when compared with the reference allele *UGT1A9*1a* [73-75]. I399C>T was originally correlated with increased UGT1A9 protein levels and activities and in low linkage disequilibrium with *UGT1A9*1b* in Caucasian livers [73]. However, a second study did not find an association between I399C>T and *UGT1A9* mRNA expression and activity, and found I399C>T and *UGT1A9*1b* to be in complete linkage disequilibrium [75]. An *in vivo* study also could not find an association between I399C>T and glucuronidation of mycophenolic acid, a probe UGT1A9 substrate [76]. *UGT1A7* is also polymorphic; *UGT1A7*2* (387T>G/391C>A/392G>A, N129K/R131K) and *UGT1A7*3* (387T>G/391C>A/392G>A/622T>C, N129K/R131K/W208R) are common while *UGT1A7*4* (622T>C, W208R) is very rare (reviewed in [9,77]). *UGT1A7*2* has similar glucuronidation activity to *UGT1A7*1*. Both *UGT1A7*3* and *UGT1A7*4* have reduced activity [18,23].

Most studies of the effect of genetic variation in *UGT1A9* and *UGT1A7* on irinotecan toxicity have shown an association between low-activity alleles and increased toxicity. In Koreans, increased incidence of grade 3 diarrhea has correlated with both *UGT1A9*1a/*1a* and *UGT1A7*3/*3* [48]. In this study, increased incidence of severe toxicity was also found in the presence of combined mutations with low activity (*UGT1A1*28* and *UGT1A7*4*). However, studies in Japanese and Caucasians found that severe hematologic toxicity was more frequent in individuals with *UGT1A9*1b* [60,70], although the results did not hold in multivariate analyses [70]. Two Caucasian clinical trials showed that carriers of the lowactivity allele *UGT1A7*3* had increased severe hematologic toxicity and/or diarrhea [46,70]. *UGT1A7* −57T>G, in linkage disequilibrium with *UGT1A7*^{*4}, was associated with anemia, and both leukopenia and thrombocytopenia were more prevalent in patients with the highrisk alleles *UGT1A1*28, UGT1A7*2* and *UGT1A7* −57T>G [78]. Overall, these results do not allow any firm conclusion to be drawn owing to the high linkage existing between

*UGT1A7*3* and *UGT1A9*1a* with both *UGT1A1*28* and *UGT1A1*6* [47,48,70,79-82]. Regarding *UGT1A9* I399C>T, no association was found between this allele and severe neutropenia in Japanese patients [83]. In this same study, this variant was in linkage with *UGT1A9*1b, UGT1A7*2* and *UGT1A7*3*, and 85% of the *T* alleles were linked with the *UGT1A1* wild-type haplotype.

The most common *UGT1A1* haplotype in Caucasians (frequency of 34.2%) harbors all reference sequence alleles except for *UGT1A9*1a*: *UGT1A1* −3279T, *UGT1A1* −3156G, *UGT1A1* −53(TA)₆, *UGT1A7* +387T, *UGT1A7* +622T and *UGT1A9* −118(T)₁₀. In a clinical study, this haplotype together with sex had a protective effect on irinotecan-induced severe hematologic toxicity during the entire course of therapy [70]. In Japanese patients, haplotypes containing *UGT1A1*28, UGT1A7*1* and *UGT1A9*1b* or *UGT1A1*6, UGT1A7*3* and *UGT1A9*1a* had a greater incidence of severe neutropenia when compared with the reference sequence haplotype containing *UGT1A1*1, UGT1A7*1* and *UGT1A9*1a*, demonstrating the clinical impact of *UGT1A1*28* and *UGT1A1*6* [42].

UGT1A1 **variation & irinotecan pharmacokinetics**

Many studies have used the relative extent of SN-38 glucuronidation (area under curve $[AUC]_{SN-38G}/AUC_{SN-38}$ as a marker for UGT1A1 activity. The majority of the studies investigating the role of *UGT1A1*28* on irinotecan pharmacokinetics have found a significant reduction in the relative extent of glucuronidation in Caucasian [31,32,36,52,54,62,84,85] and Japanese subjects [40-42] carrying this allele. A similar relationship has been found between *UGT1A1*6* and the extent of glucuronidation in Japanese patients [41-42,62,63], Koreans [48,49], Chinese patients, Malays and Indians [59]. An additive effect of *UGT1A1*28* and *UGT1A1*6* on the relative extent of SN-38 glucuronidation has been reported in Japanese [42]. Caucasian and Japanese carriers of *UGT1A1*60* have also shown decreased AUC_{SN-38G} : AUC_{SN-38} ratios [40,42,70].

*UGT1A7*3* and homozygosity for *UGT1A9*1a/*1a* have been reported to be associated with reduced glucuronidation ratios in Koreans [48,49]. The same association with *UGT1A7*3* was observed in Caucasians [70]. As both *UGT1A7*3* and *UGT1A9*1a* are linked with *UGT1A1*28* and *UGT1A1*6* [42,47,81,82,86], and UGT1A7 is not expressed in the liver, the effect of these variants on SN-38 glucuronidation requires further investigation. *UGT1A9* I399T carriers have shown increased glucuronidation ratios in Japanese populations, but this is very likely to be due to the close association of I399C with *UGT1A1*28, UGT1A1*6* or *UGT1A1*60*, as after stratifying patients by *UGT1A1*28, UGT1A1*6* or *UGT1A1*60* haplotypes associated with reduced glucuronidation activity, the significant effect was no longer observed [83]. In another study, I399T alleles were associated with higher glucuronidation activity and lower systemic exposure to SN-38 when analysis was restricted to patients with *UGT1A1*1/*1* genotype [87]. However, when analyzing only *UGT1A1*6/*6* patients, the effect was not observed. In this population, there was very low degree of linkage between I399C>T and the rest of the *UGT1A1* functional variants (i.e., *UGT1A1*28, UGT1A1*6, UGT1A1*93* and *UGT1A1*60*) and only a weak linkage with *UGT1A9*1b*.

*UGT1A1*28* has also been associated with increased SN-38 AUC in some studies [31,32], but it does not have an effect on irinotecan AUC, as expected. In a trial of Asian cancer patients (Chinese, Malays and Indians), *UGT1A1*6* was associated with higher SN-38 exposure, but the same effect was not observed in *UGT1A1*28* carriers [59]. In Koreans, patients with *UGT1A1*6/*6, UGT1A7*3/*3* and *UGT1A9*1a/*1a* genotypes had increased SN-38 AUC [48]. Overall, these results suggest that the relative extent of glucuronidation of SN-38 is a good marker of *UGT1A1* status.

A few studies in Japanese populations have analyzed the correlation between haplotypes and glucuronidation activity. Japanese patients bearing haplotypes harboring either *UGT1A1*6* or *UGT1A1*28* exhibited lower glucuronidation ratios than those without these alleles [42,62]. An additive effect of haplotypes containing *UGT1A1*28* and *UGT1A1*6* on reduced AUC ratio was also observed [42]. Haplotypes with *UGT1A1*60* had reduced glucuronidation although the trend did not reach statistical significance. In another trial, reduced glucuronidation was observed in patients homozygous for the haplotype *UGT1A1*6 UGT1A7* −57G *UGT1A7*3 UGT1A9*1a* (present in 15% of the patients) due mainly to the presence of *UGT1A1*6* [82].

UGT1A **variation & antitumor efficacy**

Studies evaluating the role of *UGT1A1*28* in antitumor response of Caucasian patients treated with irinotecan have given contradictory results. *UGT1A1*28/*28* was associated with complete and partial response [70] and higher response rate [36] in FOLFIRI trials. However, other studies have found homozygosity for *UGT1A1*28* to be associated instead with lower response [38] or to have no relationship with it [35,47,88]. Studies have reported an association between homozygosity for *UGT1A1*28* and both stable disease [70] and decreased risk of tumor progression [36]. By contrast, another study found no significant improvement in time to progression in carriers of *UGT1A1*28* [35,51].

Most studies have found no correlation between *UGT1A1*28* and median survival [51], overall survival [35,70] or disease-free survival [88]. A marginally significant association has been found between poorer overall survival and *UGT1A1*28* [43], and between lower median survival and *UGT1A1*28/*28* [51]. However, another study observed a marginally significant tendency for better disease-free survival for *UGT1A1*28/*28* carriers [37].

*UGT1A1*6/*6* has been correlated with lower tumor response, progression-free survival and overall survival in non-small-cell lung cancer Asian patients [48,49] *UGT1A1*93/*93*, which also reduces glucuronidating activity, has been associated with partial and complete response in Caucasians, in agreement with the observations previously described with *UGT1A1*28/*28* carriers [70]. However, a similar association was not observed in *UGT1A1*60/*60* patients [70].

In metastatic Caucasian cancer patients, patients with *UGT1A1*93/*93* genotype showed significantly better responses than those with *UGT1A1*1/*1* [70]. In the same study, no associations with tumor response were observed with *UGT1A1*60, UGT1A7*2, UGT1A7*3, UGT1A7*4* and *UGT1A9*1b* variants. In other studies, *UGT1A9*1b/*1b* was predictive of worse progression-free survival in Koreans [49], and *UGT1A9*1a/*1a* was associated with increased response in Caucasians [47].

Given the contradictory nature of some of these results, prospective and randomized studies are needed to evaluate whether *UGT1A* variation can predict antitumor efficacy, also considering additional factors, such as patient characteristics, the biological state of the tumors and environmental factors.

Genetic testing for irinotecan treatment

The irinotecan label was amended in 2005, prompted by findings from clinical trials linking *UGT1A1*28* homozygosity to increased risk for neutropenia. The revised package insert recommends that patients with *UGT1A1*28/*28* genotype should receive a lower irinotecan dose [302]. To facilitate the clinical integration of the genetic test, the FDA approved the Invader® UGT1A1 Molecular Assay from Third Wave Technologies, Inc. (WI, USA) [303]. This genetic test helps identify cancer patients who might be at increased risk of severe

toxicity and could benefit from either an irinotecan dose reduction or alternative chemotherapy regimens [65].

Further evaluation of the relationship between genotype and severe toxicity has shown that *UGT1A1*28/*28* may be useful as a predictive marker of toxicity at only intermediate or high irinotecan doses (150–250 mg/m²) administered every 2 or 3 weeks [55]. Patients with *UGT1A*28/*28* genotype do not tolerate standard doses of irinotecan owing to excessive toxicity and should undergo dose reductions [32,34,43,89], the extent of which is still not established. Patients of Asian origin would benefit from genetic testing for *UGT1A1*6* because of its predictive value for toxicity [90,91].

Genotype-directed dose-escalation studies

It can be postulated that the dose of irinotecan is suboptimal in patients with both *UGT1A1*1/*28* and *UGT1A1*1/*1* genotypes. These individuals may benefit from higher doses.

A recent study performed in FOLFIRI patients of Caucasian origin demonstrated the feasibility of optimization of irinotecan dosing according to *UGT1A1*28* genotype [92]. By performing dose-escalation studies in patients with *UGT1A1*1/*1* and *UGT1A1*1/*28* genotypes, the clinical trial demonstrated that *UGT1A1*1/*1* and *UGT1A1*1/*28* patients could be safely treated every 2 weeks with irinotecan doses of 370 and 310 mg/m², respectively. These doses are considerably higher that the recommended irinotecan dose of 180 mg/m² in FOLFIRI, and demonstrates that patients who are not homozygous for *UGT1A1*28* can tolerate higher doses of irinotecan. Additionally, a genotype-directed doseescalation study of a population consisting mainly of Caucasian patients is ongoing and aims to determine the optimal doses of irinotecan administered as single agent and stratified by *UGT1A1* genotype [93]. So far, the study shows that *UGT1A1*1/*1* patients can be treated safely at higher doses and that dose escalation beyond the standard irinotecan dose is not tolerated by *UGT1A1*1/*28* patients. Genotype-directed dosing, including *UGT1A1*6* in addition to *UGT1A*28,* has also been studied. Korean patients treated with irinotecan and a fixed dose of capecitabine every 3 weeks can tolerate 350 mg/m^2 irinotecan if they have *UGT1A1*1/*1, UGT1A1*28/*1* or *UGT1A1*1/*6* genotypes, and 200 mg/m² if their genotypes are *UGT1A1*28/*28, UGT1A1*28/*6* or *UGT1A1*6/*6* [94]. Japanese patients harboring *UGT1A1*28/*28, UGT1A1*28/*6* or *UGT1A1*6/*6* genotypes tolerate lower irinotecan doses administered every 2 weeks (maximum tolerated dose: 150 mg/m²) than patients with one or two copies of the *UGT1A1*1* allele [95].

Tamoxifen

Tamoxifen is an oral, nonsteroidal anti-estrogen for the prevention and treatment of steroid hormone receptor-positive breast cancer and for lowering breast cancer incidence in highrisk women [96-98]. The drug binds to the estrogen receptor and competitively inhibits the binding of estrogen in breast tissue. Tamoxifen undergoes extensive hepatic metabolism by cytochrome P (CYP) 450 [99-109], UGT [110-120] and sulfotransferase enzymes [116,121].

The most abundant tamoxifen metabolite is *N-*desmethyltamoxifen, produced by CYP3A4 and CYP3A5 [101-103,108,122]. Tamoxifen has two clinically active metabolites: *trans*-4 hydroxytamoxifen [123,124] and *trans*-4-hydroxy-*N-*desmethyl-tamoxifen [96,109,110,123-126], also known as endoxifen [127]. *Trans*-4-hydroxytamoxifen is a primary metabolite formed mainly by CYP2D6 [99,101,103-107,109], while endoxifen is formed by 4-hydroxylation of *N-*desmethyltamoxifen by CYP2D6 and by demethylation of *trans*-4-hydroxytamoxifen by CYP3A4 and CYP3A5 [101,102]. Endoxifen has equivalent potency to 4-hydroxytamoxifen [109,126], but as the patient plasma levels of endoxifen are higher than those of 4-hydroxytamoxifen [109,127], endoxifen may contribute more significantly to the anti-estrogenic action of tamoxifen, especially in CYP2D6-extensive metabolizers [109,123,126].

Tamoxifen and its two clinically active metabolites, *trans*-4-hydroxytamoxifen and *trans*endoxifen, undergo extensive glucuronidation in humans [110-117,119,120]. Both tamoxifen and *trans*-4-hydroxytamoxifen undergo *N-*glucuronidation by UGT1A4 to form *trans*-tamoxifen-*N*+-glucuronide, *trans*-4-hydroxytamoxifen-*N*+-glucuronide and the geometrical isomer *cis*-4-hydroxytamoxifen-*N*+-glucuronide [113,115,116,120]. The isomerization reaction favors *trans*-4-hydroxytamoxifen over the *cis*-isomer [117,128]. *Trans*-4-hydroxytamoxifen and *trans*-endoxifen are conjugated by *O*-glucuronidation to form *trans*-4-hydroxytamoxifen-*O*-glucuronide by UGT2B7 [113,115], *cis*-4 hydroxytamoxifen-*O*-glucuronide by UGT1A10, UGT1A1, UGT2B7 and UGT2B15 [113,115,116], *trans*-endoxifen-*O*-glucuronide by UGT1A10, UGT1A8 and UGT2B7 [113], and *cis*-endoxifen-*O*-glucuronide by UGT1A10, UGT1A9 and UGT1A1 [113].

In vitro studies have investigated the effect of genetic variants in *UGT1A1 (UGT1A1*28), UGT1A4 (UGT1A4*2* and *UGT1A4*3b), UGT1A8 (UGT1A8*2* and *UGT1A8*3), UGT1A10* (*UGT1A10*2a*) and *UGT2B7 (UGT2B7*2a)* on the glucuronidation of tamoxifen and its active metabolites (Table 4). *UGT2B7*2a* exhibited a decrease in glucuronidation activity against *trans*-4-hydroxytamoxifen and *trans*-endoxifen in human liver microsomes and cell homogenates [119]. Similar results were obtained for homozygotes compared with wild-type in human liver microsomes. *UGT1A8*3* completely abolished the glucuronidation activity of both compounds, while *UGT1A8*2* had a very minor effect (reduction) on endoxifen glucuronidation. *UGT1A4*3b*, a low-activity allele, showed increased activity against *N*glucuronidation of tamoxifen and 4-hydroxytamoxifen in one study [129], and no effect in another [119]. The other variants had no effect compared with the reference alleles. Future studies should explore whether these polymorphisms can predict clinical response to treatment with tamoxifen.

Raloxifene

Raloxifene is an oral selective estrogen receptor modulator (reviewed in [130]). It has been approved by the FDA as adjuvant therapy for reducing the risk for invasive breast cancer in postmenopausal women with either osteoporosis or at increased risk for invasive breast cancer [131]. Raloxifene appears to be as effective as tamoxifen in reducing invasive breast cancer risk and has a lower risk of adverse events [132]. The drug is also used for the prevention and treatment of osteoporosis in postmenopausal women (reviewed in [133]).

Raloxifene undergoes extensive presystemic glucuronidation and enterohepatic circulation [130,133,134]. The metabolites produced are raloxifene-4′-β-glucuronide and raloxifene-6 β-glucuronide, both of which have low affinity for the estrogen receptor and are less potent at inhibiting cell proliferation than raloxifene [135]. The main metabolite found in human plasma and in jejunal and ileal microsomes is raloxifene-4′-β-glucuronide [134,136,137], which is formed mainly by UGT1A10 followed by UGT1A8. Hepatic UGT1A9, UGT1A1, UGT1A3 and UGT2B7 also contribute to the reaction to a lower extent [136,138]. Human liver microsomes, on the other hand, favor glucuronidation at the 6-position [136]. The main enzyme producing raloxifene-6-β-glucuronide is UGT1A1, but there is also some contribution by extrahepatic UGT1A8 and hepatic UGT1A9 and UGT1A3 [136,138].

Only one study has evaluated the influence of polymorphic variation in *UGT*, in particular *UGT1A1*28*, on raloxifene glucuronidation. This *in vivo* study of 57 postmenopausal women treated for osteoporosis measured the concentrations of raloxifene and its two glucuronides in serum samples, and the change in bone mineral density after 1 year of

raloxifene therapy [139]. Patients with *UGT1A1*28*/**28* genotype had increased exposure to raloxifene and its glucuronides, and a significant increase in hip bone mineral density compared with *UGT1A1*1*/**28* and *UGT1A1*1*/**1* patients. A significant increase in glucuronides in *UGT1A1*28/*28* carriers was unexpected, and the authors hypothesized that it may be due to impaired excretion. Additional studies are needed to clarify the relationship between glucuronidation and *UGT1A1*28*, and to examine whether the variants have an effect on breast cancer reduction in women undergoing raloxifene treatment.

Epirubicin

Epirubicin, the 4′-epi-isomer of doxorubicin [140], is used for the treatment of advanced breast cancer (reviewed in [141,142]) and gynecological cancers [143,144]. Its primary cellular target is topoisomerase II [140], and one of its main toxic effects is myelosuppresssion [145]. Epirubicin is metabolized quickly in the human body to form epirubicin-glucuronide, epirubicinol and epirubicinol-glucuronide [146,147]. Studies with human liver microsomes expressing specific human UGTs and recombinant enzymes have demonstrated that epirubicin glucuronide is formed by UGT2B7 [148]. No differences in glucuronidation were observed in HEK-293 cells expressing *UGT2B7*1a* (reference sequence) and the common *UGT2B7*2a* variant [148]. Subsequent studies in Caucasian human liver microsomes showed that samples containing *UGT2B7* haplotype 4 (−45597G; −6682_−6683A; 372A; IVS1+9_IVS1+10A; IVS1+829T; IVS1+985G; IVS1+999C; IVS1+1250G; 801T; IVS4+185C) had a statistically significant 27% average increase in epirubicin glucuronidation compared with the diplotypes without haplotype 4 [149]. The putative functional variants of haplotype 4 are *IVS1* +985A>G, +735A>G and +1062C>T. One of these variants, 735A>G (*UGT2B7*1c*), has recently been associated with higher *in vivo* and *in vitro* zidovudine clearance and hepatic *UGT2B7* expression [150]. The effect of this allele and the other two putative functional variants in the *in vivo* glucuronidation of epirubicin need to be tested in clinical studies.

The *UGT2B7* promoter variant −161C/T has been associated with differences in morphine glucuronidation in acute pain patients. In this study, $-161C/T$ was in complete linkage disequilibrium with *UGT2B7*2a,* and individuals with −161C/C and 802C/C genotypes had reduced morphine glucuronidation [151]. However, other clinical studies have not found an association between these two variants and either morphine glucuronidation to morphine serum ratios or morphine analgesic effect [152-154]. A recent pharmacogenetic study of epirubicin in breast cancer patients studied the impact of −161C/T on pharmacokinetics and toxicity [155]. Patients with the CC genotype had a significant decrease in epirubicin clearance (88.9 l/h) compared with CT and TT patients (129 l/h). Incidence rates of grade 3– 4 leukopenia during cycle 1 were also higher in CC carriers (78%) versus CT and TT (48%) [155]. As the functional significance of −161C/T has not been proven, future studies are needed to validate these findings and assess the impact of haplotype 4 on epirubicin pharmacokinetics and pharmacodynamics.

Vorinostat

Vorinostat, also known as suberoylanilide hydroxamic acid, is an oral inhibitor of histone deacetylases. It was approved in 2006 by the FDA for the treatment of cutaneous T cell lymphoma [156]. It is also being tested for the treatment of a number of solid malignancies [157-159]. Toxicity includes thrombocytopenia, diarrhea, nausea and anorexia [158-160].

Vorinostat undergoes extensive metabolism to two inactive metabolites: an *O*-glucuronide and 4-anilino-4-oxobutanoic acid [161-163]. *In vitro* experiments with UGT overexpressing cell homogenates showed high levels of vorinostat glucuronidation metabolism by hepatic UGT2B17 and UGT1A9, and extrahepatic UGT1A8 and UGT1A10 [164]. UGT1A3 and

UGT1A7 also glucuronidated vorinostat but to a lower extent. Another study of recombinant UGTs confirmed the major contribution of UGT2B17 to vorinostat glucuronidation and also identified UGT1A1 and UGT2B7 as important hepatic isoforms [165]. *In vitro* experiments investigated the effect of the copy-number variation in *UGT2B17 (UGT2B17*2)* and missense polymorphisms in *UGT1A7, UGT1A8* and *UGT1A10* on vorinostat glucuronidation (Table 4) [164]. Human liver microsomes with *UGT2B17*2/*2* genotype had significantly lower vorinostat glucuronidation activity and *UGT2B17* mRNA levels compared with livers with at least one *UGT2B17*1* allele. Similar levels of glucuronidation and gene expression were observed for individuals with one or two *UGT2B17*1* alleles. Homozygotes for *UGT2B17*2* also had lower affinity (higher Michaelis constant value) for vorinostat compared with homozygotes for *UGT2B17*1*. Regarding the polymorphisms in extra hepatic tissues, *UGT1A7*2, *3* and **4* did not have an impact on vorinostat glucuronidation, while *UGT1A8*2* had decreased glucuronidation capacity, and both *UGT1A8*3* and *UGT1A10*2a* exhibited no glucuronidation activity. Clinical studies are needed to further explore the association of *UGT* polymorphic variation and response to vorinostat.

Flavopiridol

Flavopiridol is a cyclin-dependent kinase inhibitor in clinical development for the treatment of chronic lymphocytic leukemia [166]. It has a dose-limiting toxicity of secretory diarrhea when administered as a 72-h continuous infusion [167-169] attributable to luminal exposure to flavopiridol [167].

Studies in human liver microsomes have shown that flavopiridol forms two glucuronides. The major metabolite is 7-*O*-β-glucopyranuronosyl-flavopiridol, formed mainly by UGT1A9 and to a minor extent by UGT1A1, UGT1A4, UGT1A8 and UGT1A10 [170,171]. 5-*O*-β-glucopyranuronosyl-flavopiridol, the minor glucuronide, is formed by UGT1A1, UGT1A4 and to a lower extent by UGT1A9 [170,171]. In a study with Caucasian human livers, no association was observed between flavopiridol glucuronidation, *UGT1A9* mRNA levels and *UGT1A9*1b* and I399C>T polymorphisms [171]. *In vitro* hepatic flavopiridol glucuronidation also does not appear to be affected by the rare coding variants, *UGT1A9*2* (C3Y) and *UGT1A9*3* (M33T) [80].

Flavopiridol glucuronidation has correlated with toxicity. A clinical trial of 22 metastatic renal cancer patients used the ratio of flavopiridol glucuronide to parent drug in plasma (metabolic ratios) at the end of the infusion during cycle 1 as a marker for UGT activity [167]. The metabolic ratios showed a bimodal distribution. Patients who experienced diarrhea had significantly lower glucuronidation activity than those without toxicity. Correlation between glucuronidation and response rates could not be examined, as the drug was inactive in this patient cohort.

A pharmacogenetic study administered flavopiridol as an intravenous infusion for 1 h to 55 patients with refractory neoplasms [172]. The dose-limiting toxicities observed were neutropenia, fatigue and diarrhea. The study investigated the effect of *UGT1A1*28* and found no association between the variant allele and either pharmacokinetics or the occurrence and severity of diarrhea and neutropenia. This is consistent with *in vitro* evidence showing that UGT1A1 plays a minor role in flavopiridol glucuronidation.

TAS-103

TAS-103 inhibits topoisomerases I and II and the function of the signal-recognition particle in directing the delivery of secretory proteins [173]. Correlation studies with human liver microsomes showed that TAS-103 is glucuronidated mainly by UGT1A1 [174]. A clinical

trial of TAS-103 administered weekly at various doses investigated the influence of *UGT1A1*28* on pharmacokinetics and severe toxicity [175]. Drug-related toxicities included neutropenia and mild thrombocytopenia. There was no relationship between TAS-103 and TAS-103G concentrations and *UGT1A1*28*. At 130- and 160-mg/m² doses, the majority of the *UGT1A1*28/*28* carriers experienced dose limiting toxicities (67%) compared with 40% of the individuals with *UGT1A1*28/*1* and 11% of those with *UGT1A1*1/*1*. The number of patients in each dose group was small, however, and further studies are needed to evaluate the effect of *UGT1A1* genetic variation on response to TAS-103.

Additional genetic variation to be investigated in future studies

This article focused on polymorphisms associated with response to anticancer agents. Genetic variation also exists in other *UGT* genes and could be investigated in future studies of drugs metabolized by these specific *UGT* isoforms. Among these additional candidate polymorphisms is *UGT2B15*2* (235G>T, D85Y) [176], a common allele (reviewed in [9]) that is associated with reduced *in vitro* and *in vivo* glucuronidation of oxazepam [177-179] and diminished *in vivo* clearance of lorazepam [180]. Another important and common polymorphism is *UGT1A6*2* (S7A/T181A/R184S) [181], which appears to play an important role in the glucuronidation of UGT1A6 substrates, although these effects are substrate dependent *in vitro* [181-183]. *In vivo, UGT1A6*2* has been associated with reduced exposure to acetaminophen and salicylic acid [184,185]. Other *UGT1A6* variants, present at a lower frequency (1–2%), include *UGT1A6*3* (R184S) and *UGT1A6*4* (T181A), but their functionality has not been studied (reviewed in [9]). Additional *UGT1A9* polymorphisms to those discussed include *UGT1A9*3* (M33T), which also shows a substrate-dependent effect *in vitro* and is present at an allele frequency of 4% in Caucasians [80,186].

Discussion

Many anticancer agents are metabolized by glucuronidation. Polymorphisms in the *UGT* genes may affect how patients respond to these drugs. Irinotecan, a drug used for treating metatastic colorectal cancer and other tumor types, has been studied in multiple pharmacogenetic studies aiming to determine how genetic variation in *UGT1A* influences drug-treatment response. Many studies have demonstrated how *UGT1A1*28* and *UGT1A1*6* increase the risk for experiencing severe neutropenia [32,34-38,41,42,46,48,49,59,60,64,88], the most frequent dose-limiting toxicity of irinotecan treatment. Although some studies suggest genetic variation in *UGT1A9* and *UGT1A7* may also influence response to irinotecan treatment [46,47,48,49,60,70], no firm conclusion can be drawn as there is high linkage between allelic variation in these genes and both *UGT1A1*28* and *UGT1A1*6* [42,47,48,70,79-82,86]. The latest studies are using haplotype approaches to study the combined effects of *UGT* polymorphisms on irinotecan pharmacodynamics and pharmacokinetics [42,62,70,82]. Genotype-directed studies are also being conducted to individualize treatment and find optimal irinotecan doses in patients, depending on their *UGT1A1* genotypes [92-95]. Tamoxifen, used in the treatment of estrogen-receptor-positive breast cancer, is metabolized extensively in the human body. *In vitro* studies have investigated the effect of several *UGT* variants on glucuronidation of the drug and its active metabolites. Candidate polymorphisms to be studied *in vivo* for their capacity to predict clinical response include *UGT2B7*2a, UGT1A8*3* and *UGT1A4*3b* [119,129]. Epirubicin, used to treat advanced breast and gynecological cancers, causes leukopenia. Incidence of severe toxicity in breast cancer patients taking epirubicin has been associated with the *UGT2B7* −161C/T variant [155]. Future studies are needed to validate these findings and study the effect of *UGT2B7* haplotype 4 on drug response [149]. *In vitro* studies of vorinostat, used in the treatment of cutaneous T cell lymphoma and solid

malignancies, suggest that *UGT2B17*2* and *UGT1A8*2* may influence how individuals react to the drug [164]. In conclusion, candidate polymorphisms in the *UGT* genes have been identified for a number of anticancer drugs. Future studies are needed to validate findings and clinically test whether genotyping will help predict response to these drugs.

Conclusion

Genetic variation in the *UGT* gene is associated with response to anticancer agents. The best example to demonstrate the clinical impact of *UGT* polymorphisms on anticancer treatment is irinotecan, used in colorectal cancer therapy. The reduced-function alleles *UGT1A1*28* and *UGT1A1*6* predict for the risk of severe irinotecan toxicity and help identify cancer patients who could benefit from reduced doses of irinotecan or alternative chemotherapy. Functional variants in the *UGT1A4, UGT1A8, UGT2B7* and *UGT2B17* genes also predict the *in vitro* or *in vivo* glucuronidation of tamoxifen, epirubicin and vorinostat, although the functional consequences of these variants still need to be tested in the clinical setting.

Future perspective

The results from studies that have been conducted thus far are promising, and future studies will continue to identify and investigate the functional consequences of individual polymorphic variants and haplotypes to predict drug pharmacokinetics and pharmacodynamics.

Prospective studies of the effect of genetic variation in candidate genes should be conducted, ensuring that the study design has adequate statistical power and controls to account for the effects of covariates. Genetic testing will be more widely used prior to treatment in order to optimize dosage, predict risk for adverse effects and help identify patients who could benefit from either reduced doses or other chemotherapy agents.

Although selecting genes associated with drug disposition has shown promising results for irinotecan patients, new technological advances will allow researchers to adopt genomewide approaches involving single nucleotide polymorphisms arrays, microarrays and proteomics to select new candidate genes and analyze extended haplotypes.

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UGT: Uridine 5'-diphosphoglucuronosyltransferase. UGT: Uridine 5′-diphosphoglucuronosyltransferase.

ANC: Absolute neutrophil count; UGT: Uridine 5′-diphosphoglucuronosyltransferase.

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Pharmacogenetic studies of anticancer drugs that undergo glucuronidation. **Pharmacogenetic studies of anticancer drugs that undergo glucuronidation.**

tuGT2B7 haplotype 4 is defined by -45597G; -6682_-6683A; 372A; IVS1+9_IVS1+10A; IVS1+829T; IVS1+985G; IVS1+999C; IVS1+1250G; 801T; IVS4+185C. FEC100: 5-fluorouracil 500 mg/m², *†*UGT2B7 haplotype 4 is defined by −45597G; −6682_−6683A; 372A; IVS1+9_IVS1+10A; IVS1+829T; IVS1+985G; IVS1+999C; IVS1+1250G; 801T; IVS4+185C. FEC100: 5-fluorouracil 500 mg/m2, epirubicin 100 mg/m² and cyclophosphamide 500 mg/m²; UGT: Uridine 5'-diphosphoglucuronosyltransferase. epirubicin 100 mg/m2 and cyclophosphamide 500 mg/m2; UGT: Uridine 5′-diphosphoglucuronosyltransferase.

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