

# Neuroprotection mediated through estrogen receptor- $\alpha$ in astrocytes

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Estrogen has well-documented neuroprotective effects in a variety of clinical and experimental disorders of the CNS, including autoimmune inflammation, traumatic injury, stroke, and neurodegenerative diseases. The beneficial effects of estrogens in CNS disorders include mitigation of clinical symptoms, as well as attenuation of histopathological signs of neurodegeneration and inflammation. The cellular mechanisms that underlie these CNS effects of estrogens are uncertain, because a number of different cell types express estrogen receptors in the peripheral immune system and the CNS. Here, we investigated the potential roles of two endogenous CNS cell types in estrogen-mediated neuroprotection. We selectively deleted estrogen receptor- $\alpha$  (ER $\alpha$ ) from either neurons or astrocytes using well-characterized *Cre-loxP* systems for conditional gene knockout in mice, and studied the effects of these conditional gene deletions on ER $\alpha$  ligand-mediated neuroprotective effects in a well-characterized model of adoptive experimental autoimmune encephalomyelitis (EAE). We found that the pronounced and significant neuroprotective effects of systemic treatment with ER $\alpha$  ligand on clinical function, CNS inflammation, and axonal loss during EAE were completely prevented by conditional deletion of ER $\alpha$  from astrocytes, whereas conditional deletion of ER $\alpha$  from neurons had no significant effect. These findings show that signaling through ER $\alpha$  in astrocytes, but not through ER $\alpha$  in neurons, is essential for the beneficial effects of ER $\alpha$  ligand in EAE. Our findings reveal a unique cellular mechanism for estrogen-mediated CNS neuroprotective effects by signaling through astrocytes, and have implications for understanding the pathophysiology of sex hormone effects in diverse CNS disorders.

multiple sclerosis | astrogliosis | conditional knockout

The female sex hormone, estrogen, is neuroprotective in many clinical and experimental CNS disorders, including autoimmune conditions such as multiple sclerosis (MS), neurodegenerative conditions such as Alzheimer's and Parkinson diseases, and traumatic injury and stroke (1–4). Estrogen treatment has been shown to ameliorate clinical disease and decrease neuropathology in these disease models (1–4). Pharmacological studies have suggested roles for different estrogen receptors, but the cell types that mediate neuroprotective effects of estrogen are not known for any experimental or clinical condition. Identifying cells that bear specific estrogen receptor subtypes and are essential for specific estrogen-mediated effects is fundamental to elucidating and therapeutically exploiting the mechanisms that underlie estrogen-mediated neuroprotection. Toward this end, we used a genetic loss-of-function strategy. We selectively deleted estrogen receptor- $\alpha$  (ER $\alpha$ ) from two different CNS cell types, neurons and astrocytes, and then determined the effects of these conditional gene deletions on the ability of ER $\alpha$ -ligand treatment to ameliorate disease severity of experimental autoimmune encephalomyelitis (EAE) in mice.

EAE is the most widely used mouse model of MS and, like MS, is a CNS autoimmune disease characterized by demyelination and axonal degeneration (5). Estrogen exerts a beneficial effect on the clinical course and neuropathology of EAE that is mediated at

least in part by ER $\alpha$ , as shown by studies using ER $\alpha$ -selective ligands or global gene deletion (6, 7). ER $\alpha$  ligand treatment in EAE significantly ameliorates clinical symptoms and reduces both inflammation and axonal loss in the spinal cord (8). The cell types mediating the effects of ER $\alpha$  in EAE are not known because ER $\alpha$  is expressed by various immune cells, as well as CNS neurons and glia (9–12). Bone marrow chimera studies revealed that ER $\alpha$  expression in the peripheral immune system is not required for estradiol-mediated protection during EAE (9), suggesting that ER $\alpha$  expression on a CNS cell type is critical for ER $\alpha$ -mediated neuroprotection. Although neurons are an obvious potential CNS target of estradiol and ER $\alpha$  ligand-mediated protection, astrocytes, a type of CNS glia with many complex functions in health and disease (13, 14), represent an alternative candidate cell that has been implicated in regulation of CNS inflammation and neuroprotection in vivo in various models of CNS diseases, including EAE (15, 16).

In this study we tested the hypothesis that CNS neuroprotective effects of estrogen mediated through ER $\alpha$  in vivo are effectuated via expression of ER $\alpha$  in either neurons or astrocytes. We selectively deleted ER $\alpha$  in either neurons or astrocytes using well-characterized *Cre-loxP* systems for conditional gene knockout (CKO) in mice. We then studied the effects of adoptive EAE (4) in neuronal-ER $\alpha$ -CKO, astrocyte-ER $\alpha$ -CKO, and WT mice that were gonadectomized and treated with ER $\alpha$  ligand or vehicle. Gonadectomized mice were used to avoid the potential confound of various circulating sex hormones. Our findings show that signaling through ER $\alpha$  in astrocytes, but not in neurons, is essential for the neuroprotective effects of systemic treatment with ER $\alpha$  ligand on clinical function, CNS inflammation, and axonal loss during EAE.

## Results

**ER $\alpha$  Is Specifically Deleted from Either Neurons or Astrocytes in the Respective Neuronal-ER $\alpha$ -CKO or Astrocyte-ER $\alpha$ -CKO Models.** To target ER $\alpha$ -CKO to neurons, we used a rat neuronal specific enolase (rNSE)-*Cre* line previously shown at the single-cell level to reliably target *Cre* activity selectively to essentially all neuronal cells throughout the brain and spinal cord, with no targeting of astrocytes, microglia, or oligodendrocytes (17, 18). To target ER $\alpha$ -CKO to astrocytes, we used a mouse glial fibrillary acid protein (mGFAP)-*Cre* line previously shown at the single-cell level to reliably target *Cre* activity selectively to essentially all astrocytes throughout the brain and spinal cord (19). Previous

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evaluation of reporter protein expression of over 2,000 cells at the single-cell level, quantitatively demonstrated that this mGFAP-Cre line reliably targets Cre activity to over 98% of reactive astrocytes, with no targeting of neurons, microglia, or oligodendrocytes in traumatically injured spinal cord. In addition, no cortical or brainstem neurons that project into the spinal cord are targeted by Cre-activity, as shown by retrograde tract-tracing (19). These neuronal or astrocyte Cre mice were crossed with previously well-characterized ER $\alpha$ -loxP mice (20).

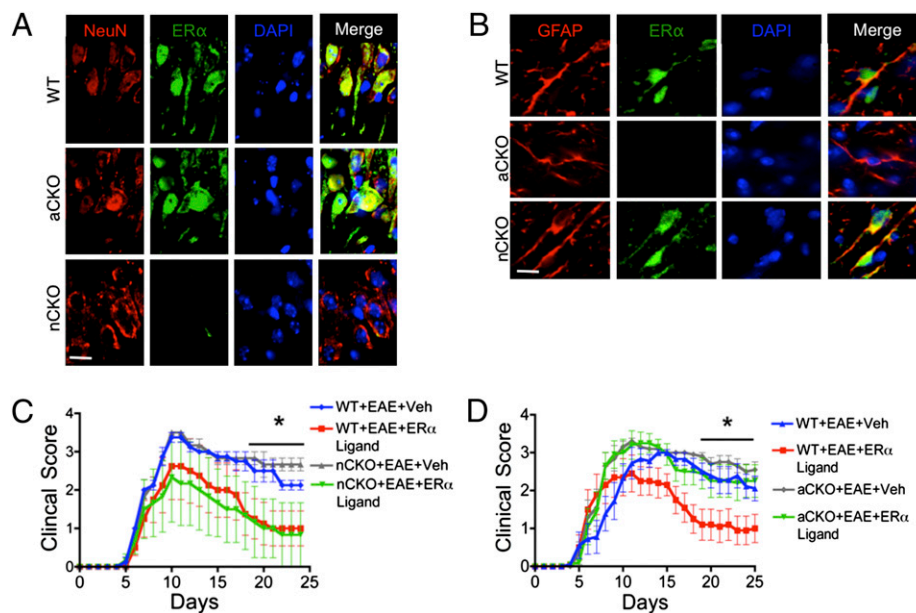
To determine the efficacy and selectivity of ER $\alpha$  deletion in either neurons or astrocytes, we assessed levels of immunohistochemically detectable ER $\alpha$  using a well-characterized antibody (21). WT mice with EAE exhibited readily detectable immunoreactive ER $\alpha$  in CNS neurons (Fig. 1A) and astrocytes (Fig. 1B). Neuronal-ER $\alpha$ -CKO mice with EAE did not express ER $\alpha$  at detectable levels in neurons (Fig. 1A) but did express ER $\alpha$  in astrocytes at levels that were indistinguishable from those in WT mice (Fig. 1B). Astrocyte-ER $\alpha$ -CKO mice with EAE did not express ER $\alpha$  at detectable levels in astrocytes (Fig. 1B) but did express ER $\alpha$  in neurons at levels that were indistinguishable from those in WT mice (Fig. 1A). In addition, ER $\alpha$  mRNA and protein, as detected by RT-PCR (Fig. S1A and C) or immunoblot (Fig. S1B and C), respectively, were essentially absent in astrocyte cultures from astrocyte-ER $\alpha$ -CKO mice, although present in astrocytes from WT mice. Together, these findings demonstrate both the efficacy and specificity of our models for conditional ER $\alpha$  deletion from either neurons or astrocytes. Untreated neuronal-ER $\alpha$ -CKO mice and astrocyte-ER $\alpha$ -CKO mice were behaviorally and histologically indistinguishable from WT mice.

**ER $\alpha$  Expression Is Necessary in Astrocytes, but Not Neurons, for Clinical Disease Protection.** We first determined the clinical effect of ER $\alpha$  ligand treatment starting 7 d before adoptive EAE induction (4) in separate experiments that compared neuronal-ER $\alpha$ -CKO mice or astrocyte-ER $\alpha$ -CKO with their respective

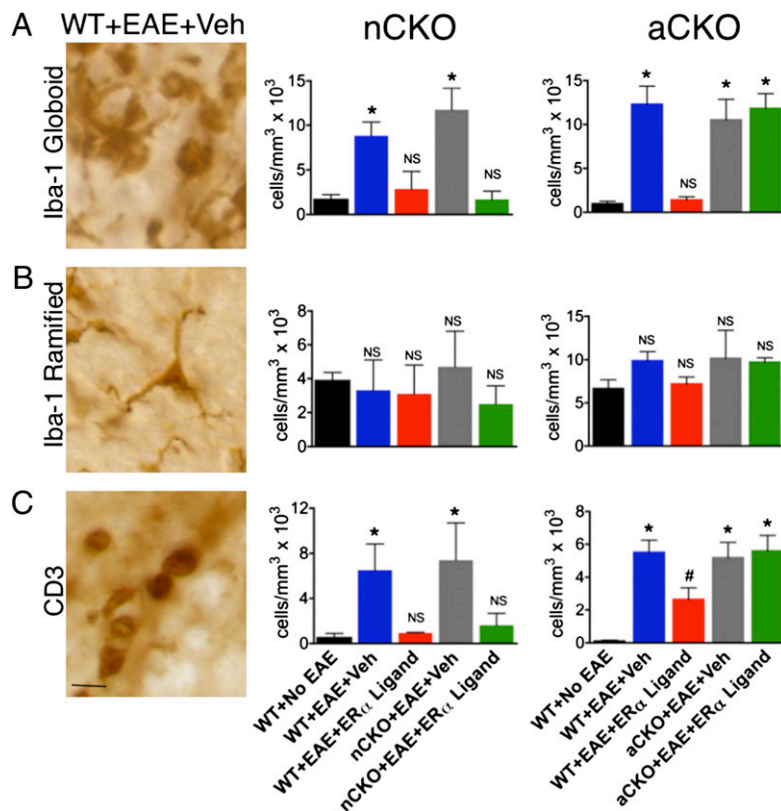
controls (Fig. 1C and D). Neuronal-ER $\alpha$ -CKO mice (Fig. 1C) and astrocyte-ER $\alpha$ -CKO mice (Fig. 1D) treated with vehicle exhibited EAE courses that were indistinguishable in clinical severity from those of vehicle-treated WT mice, whereas ER $\alpha$  ligand-treated WT mice exhibited significantly less severe clinical disease (Fig. 1C and D), demonstrating a protective effect of ER $\alpha$  ligand when administered in the effector phase of adoptive EAE. Neuronal-ER $\alpha$ -CKO mice treated with ER $\alpha$  ligand also exhibited significant amelioration of clinical disease that was of a level comparable to that seen in WT EAE mice treated with ER $\alpha$  ligand (Fig. 1C). In striking contrast, astrocyte-ER $\alpha$ -CKO mice treated with ER $\alpha$  ligand exhibited severe clinical disease that was indistinguishable in severity from that of vehicle-treated WT EAE mice (Fig. 1D). Taken together, these observations demonstrate that ER $\alpha$  expression in astrocytes, but not in neurons, is required for the protective effects of ER $\alpha$  ligand on EAE clinical scores.

**ER $\alpha$  Expression Is Necessary in Astrocytes, but Not Neurons, to Prevent Macrophage and T-Cell Inflammation in the CNS.** Following final assessments of clinical scores on day 25 after initiation of EAE, all mice were either fixed by cardiac perfusion for histopathological evaluation or processed for flow cytometry. CNS inflammation during EAE includes both T cells and cells of the monocyte lineage (8, 15). We first used immunohistochemical identification and a stereological procedure (StereoInvestigator) to quantify these cell types in the spinal cord dorsal column (Fig. 2).

To identify cells of the monocyte lineage, we used immunohistochemistry for Iba-1 and divided positive cells into two phenotypes: those with a globoid shape associated with monocytes and phagocytic macrophages (Fig. 2A), and those with a ramified shape associated with CNS resident microglia (Fig. 2B), as previously described (15). Vehicle-treated WT, neuronal-ER $\alpha$ -CKO, and astrocyte-ER $\alpha$ -CKO mice with EAE all exhibited significantly greater numbers of globoid Iba-1-stained cells com-



**Fig. 1.** (A and B) Verification of gene deletion specificity in astrocyte-ER $\alpha$ -CKO (aCKO) and neuronal-ER $\alpha$ -CKO (nCKO) mouse models. (C and D) EAE clinical disease severity scores showing that protective effects of ER $\alpha$  ligand require ER $\alpha$  in astrocytes, but not in neurons. (A) Immunohistochemistry shows ER $\alpha$  colocalized with NeuN and DAPI in WT and aCKO mice with EAE, but not in nCKO mice with EAE. (B) ER $\alpha$  is colocalized with GFAP and DAPI in WT and nCKO mice with EAE, but not in aCKO mice with EAE. (Scale bars, 15  $\mu$ m.) (C) WT and nCKO mice with EAE and given ER $\alpha$  ligand both had significantly better clinical scores compared with WT or nCKO mice with EAE and given vehicle.  $n = 6$  per group. (D) Only WT mice, but not aCKO mice, with EAE and given ER $\alpha$  ligand had significantly better clinical scores compared with WT or aCKO mice with EAE and given vehicle.  $n = 12$  per group.  $*P < 0.05$  (repeated-measures ANOVA with post hoc Bonferroni pairwise analysis).



**Fig. 2.** Immunohistochemical evidence that ER $\alpha$  is required in astrocytes, but not neurons, to reduce numbers of Iba-1 globoid macrophages and CD3 T cells in dorsal column white matter. (A) Iba-1 globoid macrophages were significantly reduced in WT and nCKO mice with EAE treated with ER $\alpha$  ligand, but not in aCKO mice with EAE treated with ER $\alpha$  ligand. (B) Iba-1 ramified microglia exhibited no significant difference in number across all experimental groups. (C) CD3 T cells were reduced in WT and nCKO mice with EAE treated with ER $\alpha$  ligand, but not in aCKO mice with EAE treated with ER $\alpha$  ligand. (Scale bar, 15  $\mu$ m.)  $n = 6$  per group. \* $P < 0.05$ ; NS, not significant vs. WT+No EAE; # $P < 0.05$  vs. WT+EAE+Veh, aCKO+EAE+Veh, or aCKO+EAE+ER $\alpha$  ligand (ANOVA with post hoc Bonferroni pairwise analysis).

pared with WT mice without EAE (Fig. 2A), in a manner consistent with macrophage infiltration in the CNS of EAE mice. ER $\alpha$  ligand treatment significantly reduced the numbers of globoid Iba-1 cells in WT and neuron-ER $\alpha$ -CKO mice with EAE to levels indistinguishable from WT mice without EAE, but had no effect in astrocyte-ER $\alpha$ -CKO mice with EAE, which had numbers indistinguishable from vehicle-treated EAE mice (Fig. 2A and Fig. S2A and C). There were no differences in the number of ramified Iba-1 microglia among the four experimental groups (Fig. 2B).

To identify T cells, we used immunohistochemistry for CD3 (Fig. 2C and Fig. S2B and D). Vehicle-treated WT, neuron-ER $\alpha$ -CKO, and astrocyte-ER $\alpha$ -CKO mice with EAE all exhibited significantly greater numbers of CD3-stained T cells in the spinal cord dorsal columns compared with WT mice without EAE (Fig. 2C). ER $\alpha$  ligand treatment significantly reduced the numbers of CD3 T cells in WT and neuron-ER $\alpha$ -CKO mice with EAE to levels indistinguishable from WT mice without EAE, but had no effect in astrocyte-ER $\alpha$ -CKO mice with EAE, which had numbers of CD3 T cells indistinguishable from vehicle-treated EAE mice (Fig. 2C and Fig. S2B and D).

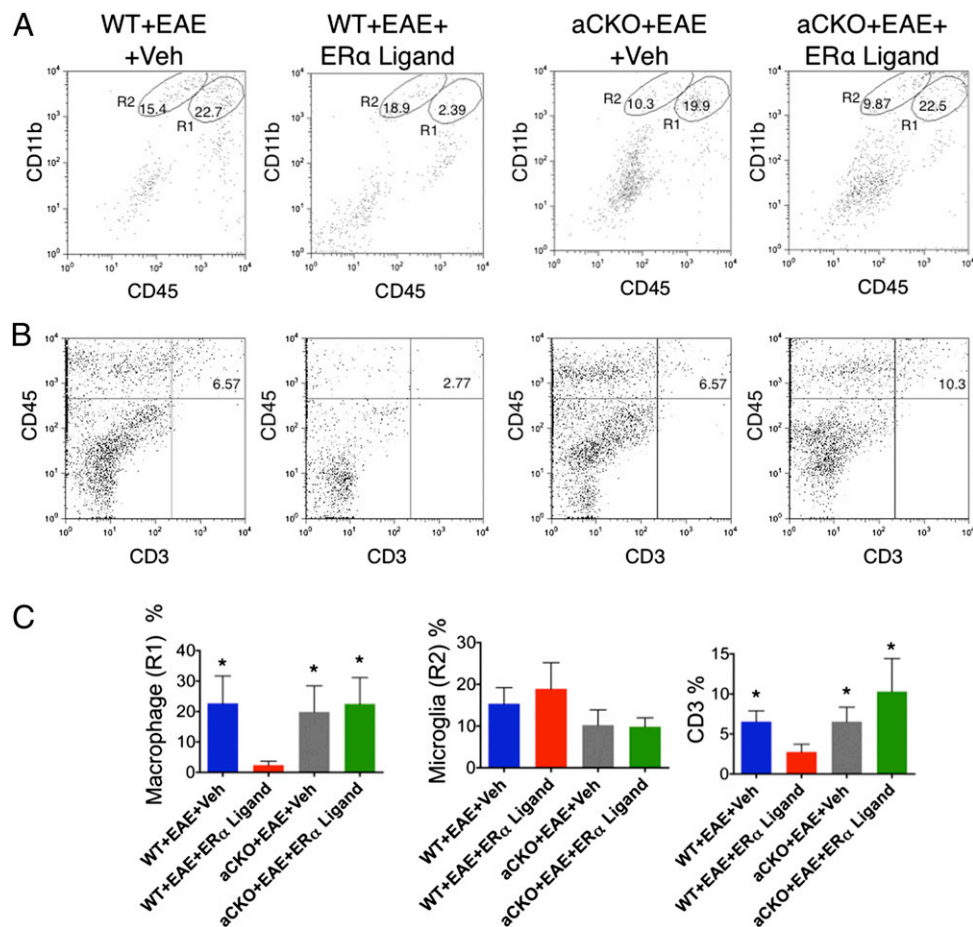
To compliment and extend the immunohistochemical data, we used flow cytometry, focusing on the astrocyte-ER $\alpha$ -CKO mice in which the effects of ER $\alpha$  ligand treatment examined thus far had been significantly abrogated. ER $\alpha$  ligand treatment significantly reduced the numbers of CD11b<sup>hi</sup>/CD45<sup>hi</sup> macrophages and of CD45<sup>hi</sup>/CD3<sup>hi</sup> T cells in the CNS of WT mice with EAE compared with vehicle-treated WT mice with EAE, but had no effect on the numbers of these cells in astrocyte-ER $\alpha$ -CKO mice with EAE (Fig. 3). As with immunohistochemistry, there were

no differences in the number of microglia (CD11b<sup>hi</sup>/CD45<sup>int</sup>) among the four experimental groups (Fig. 3).

Taken together, these immunohistochemical and flow cytometry data demonstrate that ER $\alpha$  expression in astrocytes, but not in neurons, is required for the ability of ER $\alpha$  ligand treatment to significantly ameliorate macrophage and T-cell infiltration into CNS parenchyma, the hallmarks of CNS inflammation in EAE.

#### ER $\alpha$ Expression Is Necessary in Astrocytes, but Not Neurons, to Attenuate Axonal Loss and Gliosis.

We next evaluated EAE neuropathology, which is characterized by patchy demyelination and axonal loss in spinal cord white matter (8, 15, 22). Axonal loss correlates with clinical disease severity (22). To assess demyelination, we quantified the area occupied by immunofluorescence staining of myelin basic protein (MBP) in the spinal cord dorsal column. Compared with WT mice without EAE, mice from all EAE experimental groups exhibited many patchy areas of severe loss of MBP staining (Fig. S3A and D) and average levels of MBP staining were significantly lower (Fig. 4A), but there were no significant differences among any of the EAE treatment groups (Fig. 4A), suggesting that ER $\alpha$  ligand treatment did not act to protect against myelin loss. To determine axon numbers in the spinal cord dorsal column we used immunofluorescence staining for neurofilament 200 (NF200) and semiautomated counting software (Image J) (15). Vehicle-treated WT, neuron-ER $\alpha$ -CKO, and astrocyte-ER $\alpha$ -CKO mice with EAE all exhibited patchy areas of axon loss (Fig. S3B and E) and average numbers of axons were significantly lower compared with WT mice without EAE (Fig. 4B). EAE WT and neuron-ER $\alpha$ -CKO mice treated



**Fig. 3.** Flow cytometry evidence that ER $\alpha$  is required in astrocytes, but not neurons, to reduce macrophage and T-cell inflammation. (A and C) Macrophages (R1) (CD11b<sup>hi</sup>/CD45<sup>hi</sup>) and (B and C) T-cells (CD45<sup>hi</sup>/CD3<sup>hi</sup>) were significantly reduced in WT, but not aCKO mice, treated with ER $\alpha$  ligand via flow cytometry from the CNS. (A and C) There were no significant differences among all groups in numbers of microglia (R2) (CD11b<sup>hi</sup>/CD45<sup>int</sup>).  $n = 5$  per group. \* $P < 0.05$ . NS, not significant vs. WT+EAE+ER $\alpha$  ligand (ANOVA with post hoc Bonferroni pairwise analysis).

with ER $\alpha$  ligand exhibited axon numbers not significantly different from WT mice without EAE (Fig. 4B). In contrast, astrocyte-ER $\alpha$ -CKO mice with EAE treated with ER $\alpha$  ligand had axon numbers not significantly different from vehicle-treated EAE mice (Fig. 4B), demonstrating that ER $\alpha$  expression in astrocytes, but not in neurons, is required for the protective effects of ER $\alpha$  ligand treatment on axon number in EAE.

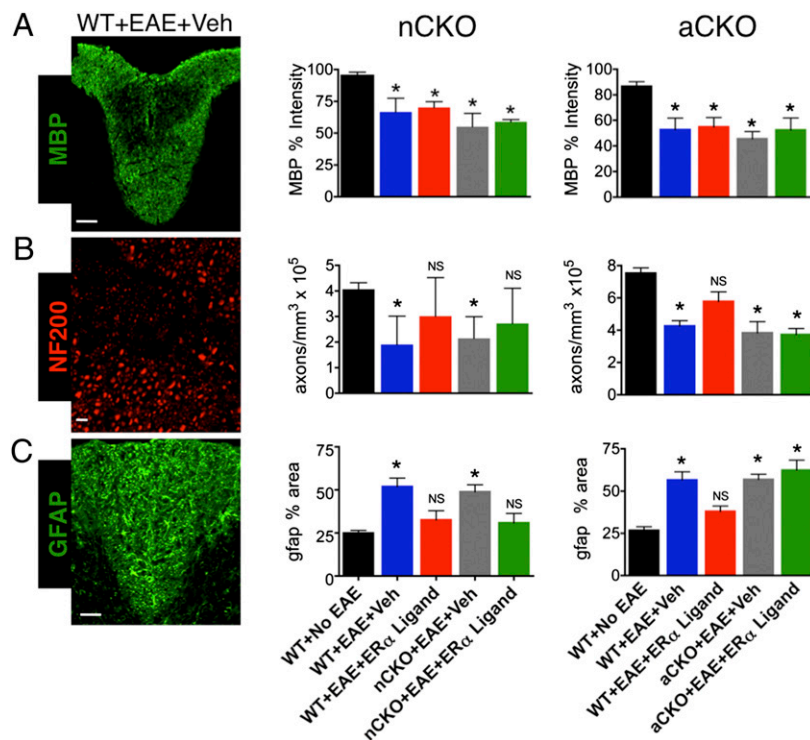
Astroglia is prominent in areas of tissue pathology in EAE (15). We quantified the area occupied by immunofluorescence staining for GFAP, the canonical marker for reactive astrocytes (16). ER $\alpha$  ligand treatment significantly reduced the areas of GFAP staining in dorsal column white matter of both WT and neuron-ER $\alpha$ -CKO mice with EAE to levels indistinguishable from WT mice without EAE, but had no effect on GFAP staining area in astrocyte-ER $\alpha$ -CKO mice with EAE (Fig. 4C and Fig. S3 C and F), demonstrating that ER $\alpha$  expression in astrocytes, but not in neurons, is required for the reduction in reactive astroglia mediated by ER $\alpha$  ligand treatment.

## Discussion

Taken together, these data demonstrate that neuroprotective effects of ER $\alpha$  ligand treatment in EAE, including improved clinical function, reduced white matter inflammatory cell infiltrate, and axonal sparing, are dependent on signaling through ER $\alpha$  in astrocytes, but not neurons. The findings do not exclude additional effects of estrogens or related steroids that might be mediated by ER $\beta$ , or nonclassical effects, on astrocytes or other

CNS cell types, including neurons (8, 20, 21). Sexual dimorphism is increasingly recognized in CNS molecular mechanisms (23), and circulating levels of endogenous or administered estrogens influence disease severity in a wide variety of CNS disorders, including autoimmune inflammation (4), traumatic injury (24, 25), stroke (3), and neurodegenerative disease (1, 3, 26), all of which have postulated inflammatory involvement. Our findings show that astrocytes are the principal cells required for mediating the neuroprotective effects of ER $\alpha$  signaling in an autoimmune CNS inflammatory condition.

Astrocytes are complex cells that are increasingly implicated as playing essential roles in normal CNS function and the response to CNS disease (13, 14). Astrocytes in vitro can produce a wide variety of molecules with pro- or anti-inflammatory effects and astrocytes in vivo can regulate CNS inflammation through both pro- and anti-inflammatory mechanisms (16). Although it was known that astrocytes express estrogen receptors (21) and that estrogen treatment decreases expression of various proinflammatory molecules made by astrocytes in vitro (27), until now a direct effect of estrogen on ER $\alpha$ -bearing astrocytes in vivo had not been addressed. Our data identify astrocytes as unique and critical effector cells of estrogen-mediated neuroprotective effects during CNS inflammation, and have implications for understanding the pathophysiology of sex hormone effects in diverse CNS disorders. Rather than by acting directly through neurons, our findings show that estrogen signaling via ER $\alpha$  can significantly attenuate an inflammatory neurodegenerative process by acting



**Fig. 4.** Immunohistochemical evidence that ER $\alpha$  is required in astrocytes, but not neurons, to protect against axonal loss and reactive astrogliosis. (A) MBP stained intensity and area were significantly reduced in all EAE groups, but were not significantly altered by ER $\alpha$  ligand treatment in any group. (Scale bar, 120  $\mu$ m.) (B) Numbers of NF200<sup>+</sup> axons were significantly reduced in WT mice with EAE; and treatment with ER $\alpha$  ligand ameliorated axonal loss in WT and nCKO mice, but not aCKO mice, with EAE. (Scale bar, 20  $\mu$ m.) (C) GFAP stained area was significantly increased in WT mice with EAE; and treatment with ER $\alpha$  ligand ameliorated this increase in WT and nCKO mice, but not aCKO mice, with EAE. (Scale bar, 40  $\mu$ m.)  $n = 6$  per group. \* $P < 0.05$ ; NS, not significant vs. WT+No EAE (ANOVA with post hoc Bonferroni pairwise analysis).

through astrocytes, pointing toward novel cell-nonautonomous mechanisms of neuroprotection in the CNS.

## Materials and Methods

Neuron-ER $\alpha$ -CKO were generated by crossing rNSE-Cre mice (17, 18) with mice carrying an ER $\alpha$  gene in which exon 3 was flanked by loxP sites (ER $\alpha^{flox/flox}$ ) (20), as detailed in *SI Materials and Methods*. This process created WT (rNSE Cre<sup>-/-</sup> ER $\alpha^{flox/flox}$ ) mice and nCKO (rNSE Cre<sup>+/+</sup> ER $\alpha^{flox/flox}$ ) mice. Astrocyte-ER $\alpha$ -CKO mice were generated by crossing mice of mGFAP-Cre line 73.12 (19), with mice carrying an ER $\alpha$  gene in which exon 3 was flanked by loxP sites (ER $\alpha^{flox/flox}$ ) (20). This process created WT (mGFAP Cre<sup>-/-</sup> ER $\alpha^{flox/flox}$ ) mice and aCKO (mGFAP Cre<sup>+/+</sup> ER $\alpha^{flox/flox}$ ) mice. Purified primary astrocyte cultures were prepared from individual postnatal day 1 to 3 male and female mouse pups, as described in *SI Materials and Methods*. Ovary tissue and primary hypothalamic astrocyte cultures were prepared from two female and two male 40-d-old WT and CKO mice, as described in *SI Materials and Methods*. For adoptive EAE, recipient female C57BL/6 WT and CKO mice had been gonadectomized at 4 wk of age, and had EAE induced by adoptive transfer at 8 wk of age. Recipient mice were either treated every other day with the ER $\alpha$  ligand, 4,4',4''-(4-Propyl-[1H]-pyrazole-1,3,5-triyl) trisphenol (Tocris) at the dose of 10 mg/kg per day or vehicle diluted with 10% molecular-grade ethanol (EM

Sciences) and 90% Miglyol 812N liquid oil (Sasol North America), beginning 7 d before adoptive transfer. EAE mice were killed and after 25 d of disease, as described in *SI Materials and Methods*. Immunohistochemistry was performed on the dorsal column of thoracic spinal cords using CD3, Iba-1, GFAP, NF200, and MBP, as detailed in *SI Materials and Methods*. Flow cytometry was performed on day 25, as well using CD45, CD3, and CD11b cell surface markers, as detailed in *SI Materials and Methods*. Differences in EAE clinical scores were determined by repeated measures one-way ANOVA. All data shown are mean  $\pm$  SEM. Immunohistochemical data were analyzed by one-way ANOVA. For these analyses, one-way ANOVA, Bonferroni post hoc analysis was performed on F-stat values and significance was determined at the 95% confidence interval (Prism).

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