

RESEARCH ARTICLE

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Swiftly Computing Center Strings

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Abstract

Background: The center string (or closest string) problem is a classic computer science problem with important applications in computational biology. Given k input strings and a distance threshold d , we search for a string within Hamming distance at most d to each input string. This problem is NP complete.

Results: In this paper, we focus on exact methods for the problem that are also swift in application. We first introduce data reduction techniques that allow us to infer that certain instances have no solution, or that a center string must satisfy certain conditions. We describe how to use this information to speed up two previously published search tree algorithms. Then, we describe a novel iterative search strategy that is efficient in practice, where some of our reduction techniques can also be applied. Finally, we present results of an evaluation study for two different data sets from a biological application.

Conclusions: We find that the running time for computing the optimal center string is dominated by the subroutine calls for $d = d_{\text{opt}} - 1$ and $d = d_{\text{opt}}$. Our data reduction is very effective for both, either rejecting unsolvable instances or solving trivial positions. We find that this speeds up computations considerably.

Background

The CENTER STRING problem (also known as CLOSEST STRING problem) is defined as follows: given k strings of length L over an alphabet Σ and a distance threshold d , find a string of length L that has Hamming distance at most d to each of the given strings.

The CENTER STRING problem has been studied extensively in theoretical computer science and, particularly, in computational biology [1,2], and has various applications such as degenerate PCR primer design [3] or motif finding [1,4]. We are particularly interested in its application as part of finding approximate gene clusters. The increasing speed of genome sequencing and the resulting increase in the number of available data sets offers the possibility of comparing the gene order of whole genomes. During the course of evolution, speciation results in the divergence of genomes that initially have the same gene order and content. Conserved gene order is evidence of a particular biological signal [5]. Approximate gene cluster models account for reordering inside the gene cluster, as well as additional and missing genes in the genomes compared [6,7]. The *center gene*

cluster model limits the distance between the gene cluster and each of the approximate occurrences. For given approximate occurrences, finding the center gene cluster is equivalent to finding a center string for binary input strings.

Previous work

The CENTER STRING problem is NP complete [1,8], hence no polynomial time algorithm can exist unless $P = NP$. Different approaches have been studied for the problem. Ma and Sun [9] presented a polynomial time approximation scheme with time complexity $O(Lk^{O(\epsilon^{-3})})$ for an approximation ratio of $1 + \epsilon$ for any $\epsilon > 0$. In addition, heuristics and parallel implementations with good practical running times have been developed [10,11]. The drawback of these approaches is that they cannot guarantee that an exact solution will be found.

In parameterized algorithmics, we use a parameter to describe the complexity of a problem instance. We restrict the super-polynomial running time of an algorithm using this parameter while at the same time still guaranteeing that optimal solutions are found. Formally, a problem with input size n and parameter k is *fixed-parameter tractable* if it can be solved in $O(f(k) \cdot p(n))$ time, where f is an arbitrary function and p is a polynomial. Parameters that have been studied in the literature

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for the CENTER STRING problem are the distance threshold d and the number of input strings k . For the latter parameter, Gramm *et al.* [12] showed that the problem is fixed-parameter tractable using an Integer Linear Program. Evaluations indicate that this approach is of theoretical interest only and impractical for $k \geq 5$. Regarding the distance threshold d , in the same paper an algorithm was given with running time $O(kL + kd^{d+1})$. Later, Ma and Sun [9] presented an algorithm with running time $O(kL + kd \cdot 16^d(|\Sigma| - 1)^d)$. Recently, Wang and Zhu [2] further improved running times to $O(kL + kd \cdot 9.53^d(|\Sigma| - 1)^d)$, and Chen *et al.* [13] to $O(kL + kd^2 6.74^d)$ for binary strings. These algorithms are based on the search tree paradigm. Note that for binary strings, the term $(|\Sigma| - 1)^d$ vanishes.

Besides these fixed-parameter approaches, Meneses *et al.* [14] proposed a heuristic to compute upper and lower bounds using a branch-and-bound algorithm and, very recently, Kelsey and Kotthoff [15] investigated a constraint programming approach.

All of the above results, as well as our results presented below, deal with the CENTER STRING problem under the Hamming distance. Nicolas and Rivals [16] showed that the CENTER STRING problem under the Levenshtein distance is NP-hard and W[1]-hard regarding the number of input strings, even for binary strings. On this account, no FPT algorithm with parameter k can exist unless $FPT = W[1]$. Furthermore, the authors generalized these results to any weighted edit distance satisfying a certain natural condition, namely, a slightly tightened triangle inequality (see Property 1 in [16] for details). Note, that CENTER STRING is polynomial if the number of input strings and the weighted edit distance are fixed [16].

Our contribution

In this paper, we focus on exact methods that are also swift in application. We have developed an advanced preprocessing to filter out unsolvable instances quickly. Additionally, we compute rules that can be used within search tree algorithms to bound the search space, excluding unsolvable instances. We show how to integrate this information into the algorithms from [9,12]. We then present a new iterative search strategy called *MismatchCount*, which, despite its bad worst-case running time, works well in practice. We implemented all three algorithms to evaluate their performance in combination with our preprocessing. We present results of our experimental evaluation, showing that preprocessing and the novel algorithm improve running times by several orders of magnitude. We find that, in particular, the cases $d = d_{opt} - 1$ and $d = d_{opt}$ are notoriously difficult for all approaches, where d_{opt} is the smallest distance value for which a solution exists.

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Methods

Preliminaries

For a string s over a finite alphabet Σ , let $s[i]$ be the i th character of s and $s[i, j]$ the substring of s starting at position i and ending at position j . The length of s is denoted by $|s|$.

The *Hamming distance* $d_H(s, t)$ of two strings s and t of the same length L is the number of positions p with $s[p] \neq t[p]$. Let $R = \{p_1, \dots, p_m\} \subseteq \{1, \dots, L\}$ be a set of positions such that $p_i < p_{i+1}$ for all $1 \leq i < m$. Then $s|_R := s[p_1] \dots s[p_m]$ denotes the subsequence of s restricted to the positions in R . We define the Hamming distance of two strings s and t restricted to R as $d_H^R(s, t) := d_H(s|_R, t|_R)$. For two strings s and t , let $D_{s, t} := \{p : s[p] \neq t[p]\} \subseteq \{1, \dots, L\}$ be the set of positions where s and t differ, and let $E_{s, t} := \{p : s[p] = t[p]\} = \{1, \dots, L\} \setminus D_{s, t}$ be the set of positions where s and t are identical. Note that $d_H^{D_{s, t}}(s, t) = |D_{s, t}| = d_H(s, t)$. For k input strings s_1, \dots, s_k , we write $D_{i, j} := D_{s_i, s_j}$ and $E_{i, j} := E_{s_i, s_j}$. As noted in the introduction, we will often limit ourselves to a binary alphabet $\Sigma = \{0, 1\}$, here, we define $\overline{s[p]} = 1 - s[p]$.

The CENTER STRING problem is defined as follows: for strings s_1, \dots, s_k of length L over an alphabet Σ , and a distance threshold d , find a string \hat{s} of length L , called *center string*, which has Hamming distances at most d to each of the given strings.

We note that permuting positions of all strings by *the same* permutation, results in an equivalent instance. Let π be a permutation over positions $1, \dots, L$. For a string $s = s(1) \dots s(L)$ of length L , let $\pi(s) := s(\pi(1)) s(\pi(2)) \dots s(\pi(L))$ be the permuted string. Let s_1, \dots, s_k be an instance of CENTER STRING problem, in which all strings have length L . Then, a given string s has Hamming distance at most d to all strings s_1, \dots, s_k , if and only if $\pi(s)$ has Hamming distance at most d to all strings $\pi(s_1), \dots, \pi(s_k)$. For k strings s_1, \dots, s_k and distance threshold d , we can construct a *naïve kernel* as follows [12]: a position p is called *clean* if all sequences coincide at this position, i.e. $s_i[p] = s_j[p]$ for all $1 \leq i < j \leq k$. If a position is not clean, we call it *dirty*. One can easily see that there can be at most kd dirty positions if an instance with k strings allows for a center string with distance d . If a position is not dirty, then all strings share the same character at this position, and the center string will also share this character. We can thus remove all clean positions and obtain an instance of length $L \leq kd$. Now let us assume that d is given to us as a parameter. Again, we remove

all clean positions from the instance. If the resulting strings have more than kd characters, the instance can be rejected. Similarly, we can reject an instance that contains a string pair with distance larger than $2d$, since the Hamming distance is a metric and satisfies the triangle inequality. In our algorithms, we assume a distance threshold d to be given. In applications, we might not know the distance threshold d in advance but instead search for a center string minimizing d . We can do so by calling our algorithms repeatedly, increasing $d = 0, 1, 2, \dots$ until a solution is found for $d = d_{\text{opt}}$. Both in theory and in our experimental evaluation, we find that the running time of this iteration is governed by the last subroutine calls with $d = d_{\text{opt}} - 1$ and $d = d_{\text{opt}}$. To this end, we will put special focus on these two cases in our evaluations.

Our proposed data reduction often allows us to infer that no solution can exist for a particular distance threshold d . However, where we cannot rule out the existence of a center string by data reduction (what is obviously the case when $d = d_{\text{opt}}$), we still have to decide whether a valid center string exists. All algorithms for doing so, such as those presented in [2,9,12] and the *MismatchCount* algorithm presented in this paper, scan through all 2^L possible binary strings and test whether any such string is a center string of the input. The algorithms differ in the order in which they process the 2^L strings and, in particular, how they constrain the search space to speed up computations.

Data reduction

Our data reduction is based on the pairwise comparison of the input strings. Given an instance s_1, \dots, s_k and d of the CENTER STRING problem, we can divide all pairs of strings $\{s_i, s_j\}$ into three groups: pairs with distance less than $2d - 1$, greater than $2d$, or equal to $2d$ or $2d - 1$. If two strings s_i, s_j with Hamming distance $d_H(s_i, s_j) > 2d$ exist, then the instance has no solution. A center string \hat{s} can have at most distance d to each of s_i and s_j and, hence, $d_H(s_i, s_j) \leq d_H(s_i, \hat{s}) + d_H(\hat{s}, s_j) \leq 2d$. Therefore, $d \geq \frac{1}{2} \max_{i,j} d_H(s_i, s_j)$ must hold for the instance to have a solution.

Solving trivial positions

Some positions of the solution string can be trivially solved. This is based on the following observation:

Lemma 1. *Given strings s_1, \dots, s_k and a center string \hat{s} with distance d . For two strings s_i, s_j such that $d_H(s_i, s_j) = 2d$ or $d_H(s_i, s_j) = 2d - 1$, we have*

$$\hat{s}[p] = s_i[p] = s_j[p] \text{ for all } p \in E_{i,j}.$$

Proof. A center string with distance at most d to all strings is located centrally between the two strings s_i

and s_j with distance $2d$ and therefore has distance d to both of them. Thus, all positions fixed between s_i and s_j must also be fixed in \hat{s} . We can extend our reasoning to string pairs with distance $2d - 1$. We need to change d positions in at least one of the strings and $E_{i,j}$ is the set of equal positions between *both* strings, hence we are still not allowed to change any position $p \in E_{i,j}$.

As a reduction rule, if we find two strings s_i, s_j with $d_H(s_i, s_j) \geq 2d - 1$, then we can set $\hat{s}[p] := s_i[p]$ for all $p \in E_{i,j}$ and mark these positions as “permanent”. Let \mathcal{P} denote this set of permanent positions. We can generalize this rule to solve additional positions. Assume a specific $d_i := d$ for each input string s_i , which is increased by one for every solved position that does not match s_i . If we find two strings s_i, s_j with $d_H(s_i, s_j) = d_i + d_j$, we can again set $\hat{s}[p] := s_i[p]$ for all $p \in E_{i,j}$ and mark these positions as “permanent”. In the case $d_i = d_j$ the rule remains the same as that given above. We repeat this rule until no fitting string pair s_i, s_j can be found.

Applying this reduction rule, we may run into *conflicts* where we have to permanently set a certain position to ‘0’ and ‘1’ simultaneously. We infer that the instance has no solution for the current choice of d . If we do not have a conflict, then applying this data reduction results in a partially solved solution string \hat{s} with $\hat{s}[p] = c \in \Sigma$ fixed for all $p \in \mathcal{P}$, whereas all positions not in \mathcal{P} still have to be decided.

Computation of position subsets

We focus next on pairs of strings s_i, s_j with $d_H(s_i, s_j) < 2d - 1$. For a given center string \hat{s} we define

$$X_{i,j}(\hat{s}) := \{p \in E_{i,j} : s_i[p] = s_j[p] \neq \hat{s}[p]\}$$

as the set of positions where s_i and s_j agree, but disagree with the center string \hat{s} . We extend the reasoning behind Lemma 1 as follows:

Lemma 2. *Given strings s_1, \dots, s_k and a center string \hat{s} with distance d . For two strings s_i, s_j such that $d_H(s_i, s_j) < 2d - 1$, we have*

$$|X_{i,j}(\hat{s})| \leq d - \frac{1}{2}d_H(s_i, s_j).$$

Proof. Set $D := D_{i,j}$. Regarding the distances between $\hat{s}|_D$ and $s_i|_D$ as well as $s_j|_D$, we can state that $\hat{s}|_D$ has a distance of at least $\frac{1}{2}d_H(s_i, s_j)$ to at least one of the strings $s_i|_D$ or $s_j|_D$:

$$\max\{d_H(s_i|_D, \hat{s}|_D), d_H(s_j|_D, \hat{s}|_D)\} \geq \frac{1}{2}d_H(s_i, s_j).$$

This is true since d_H is a metric and the triangle inequality holds, $d_H(s_i|_D) \leq d_H(s_i|_D, \hat{s}|_D) + d_H(s_j|_D, \hat{s}|_D)$. Since we need a distance of at least $\frac{1}{2}d_H(s_i, s_j)$ to solve

the positions from D , a distance of at most $d - \frac{1}{2}d_H(s_i, s_j)$ remains to solve the positions from $E_{i,j}$.

Lemma 2 implies that the maximum number of positions $p \in E_{i,j}$ that we are allowed to choose in the center string with $\hat{s}[p] \neq s_i[p]$ is bounded by $d - \frac{1}{2}d_H(s_i, s_j)$. We can transform this observation into a reduction rule as follows: when, during search tree traversal or by other reduction rules, we have a partially solved solution string \hat{s} such that

$$|X_{i,j}(\hat{s})| > d - \frac{1}{2}d_H(s_i, s_j)$$

for any pair s_i, s_j , then we can infer that \hat{s} cannot be extended to a solution for the current choice of d . For each pair s_i, s_j , we therefore set $x_{i,j} := d - \frac{1}{2}d_H(s_i, s_j)$ and store all tuples $(E_{i,j}, x_{i,j})$ in an array \mathcal{T} .

Removing redundant information from \mathcal{T} may lead to further trivially solved positions. This is done by removing, for all $1 \leq i < j \leq k$, all positions $p \in \mathcal{P} \cap E_{i,j}$ from $E_{i,j}$. Moreover, if $\hat{s}[p] \neq s_i[p]$ then we decrease $x_{i,j}$ by one.

For $x_{i,j} = 0$ we set all positions p from $E_{i,j}$ to “permanent” and include them in \mathcal{P} . Since \mathcal{P} has changed, we continue our data reduction again until there is no tuple $(E_{i,j}, x_{i,j})$ with $x_{i,j} = 0$ in \mathcal{T} . For $x_{i,j} < 0$ we can easily infer that a conflict must exist and, as a result, the instance has no valid solution for this distance threshold d .

Cascading

To enlarge further the number of solved positions we consider all pairs of strings s_i, s_j with $x_{i,j} = 1$ and use *cascading*. A valid center string \hat{s} has to agree with s_i in at least $|E_{i,j}| - 1$ positions from $E_{i,j}$, hence for binary strings, at most one position $p \in E_{i,j}$ can be set to $\hat{s}[p] = \overline{s_i[p]}$.

To this end, we test for all positions $p \in E_{i,j}$ what we can infer from setting $\hat{s}[p] = \overline{s_i[p]}$. This implies $x_{i,j} = 0$, hence we add the remaining positions $q \in E_{i,j}, q \neq p$, to \mathcal{P} and reduce the tuple set \mathcal{T} . If we run into a conflict during this reduction, we know that setting $\hat{s}[p] = \overline{s_i[p]}$ cannot result in a valid solution. In this case, we infer $\hat{s}[p] = s_i[p]$ and permanently set position p .

Unfortunately, if there is no conflict, setting $\hat{s}[p] = s_i[p]$ is not mandatory. Nonetheless, we get a partially solved solution string $\hat{s}_{p,v}$ and a set of “potentially permanent” positions $\mathcal{P}_{p,v}$ depending on the position p and the value $v = \overline{s_i[p]}$. We store this information in a set of rules \mathcal{R} .

We can use the set of rules \mathcal{R} when solving the remaining instance, for example by means of a search tree

algorithm. If, during the search tree traversal, we decide to set $\hat{s}[p] = v$ for the solution string \hat{s} , then we can immediately start the above data reduction. For all positions $q \in \mathcal{P}_{p,v} \setminus \mathcal{P}$, we set the solution string $\hat{s}[q] = \hat{s}_{p,v}[q]$. For the remaining positions $q \in \mathcal{P}_{p,v} \cap \mathcal{P}$ the condition $\hat{s}[q] = \hat{s}_{p,v}[q]$ must be met, otherwise we run into a conflict and, thus, this branch of the search tree does not lead to a valid solution.

Integration into search tree algorithms

We can use the information derived during preprocessing, stored in the sets $\mathcal{P}, \mathcal{T}, \mathcal{R}$, to speed up the algorithms of Ma and Sun [9], and Gramm *et al.* [12]. Unfortunately, the use of $\mathcal{P}, \mathcal{T}, \mathcal{R}$ does not change the worst-case running times of both algorithms. But our preprocessing, as an algorithm engineering technique, allows us to speed up the algorithms in practice.

The algorithm of Ma and Sun tackles the more general NEIGHBOR STRING problem. Given s_1, s_2, \dots, s_k of length L and non-negative integers d_1, d_2, \dots, d_k , find a string \hat{s} of length L such that $d(\hat{s}, s_i) \leq d_i$ for every $1 \leq i \leq k$. The algorithm starts by testing whether s_1 is already a valid solution. If not, there has to be at least one s_{i_0} with $d_H(s_1, s_{i_0}) \geq d_{i_0}$. For these two strings s_1 and s_{i_0} , we create the sets of equal positions $E := E_{1,i_0}$ and different positions $D := D_{1,i_0}$, as well as the substrings $s_1|_D$ and $s_{i_0}|_D$. Note that $d_H^D(s_1, s_{i_0}) = d_H(s_1, s_{i_0})$. From these strings, one can infer that $d_H^D(\hat{s}, s_1) \leq d_1$ and $d_H^D(\hat{s}, s_{i_0}) \leq d_{i_0}$. To fit s_1 to the solution string \hat{s} , it is necessary to change the positions in D without exceeding the limits d_1 and d_{i_0} . Thus, for any string t of length $|D|$ we test whether $t = \hat{s}|_D$ is a possible solution. Hence, the width of the search tree is based on the number of strings t that fulfill the condition

$$|t| = |D| \text{ and } d_H(t, s_1|_D) \leq d_1 \text{ and } d_H(t, s_{i_0}|_D) \leq d_{i_0}.$$

For these eligible strings t , we obtain a new branch of the search tree by creating a new NEIGHBOR STRING instance. The new distance thresholds e_i depend on the distance of t to the substrings $s_i|_D$, so $e_i := d_i - d_H(t, s_i|_D)$. For e_1 we have the additional constraint $e_1 := \min \left\{ d_1 - d_H(t, s_1|_D), \left\lceil \frac{d_1}{2} - 1 \right\rceil \right\}$. For further information about this approach, see [9].

The algorithm of Gramm et al. is a depth-bounded search tree that is initialized with any $s \in \{s_1, \dots, s_k\}$, which is adapted step by step to the solution. The first step is to find a string s_i that differs from the candidate string s in more than d positions. If no such string exists, then s is a valid solution. Otherwise, we change s until a center string \hat{s} is found or more than d positions

in s are changed. This results in a maximum tree height of d . From the set D_{s,s_i} we choose $d + 1$ positions to branch, leading to a total tree size of $(d + 1)^d = O(d^d)$. Since $d < |D_{s,s_i}| \leq 2d$ and $|D_{\hat{s},s_i}| \leq d$, at most d elements from D_{s,s_i} do not converge to a solution. Therefore, choosing $d + 1$ elements from D_{s,s_i} produces at least one exact move. For a detailed description of the algorithm, see [12].

Integrating the set of solved positions \mathcal{P} into the algorithm of Ma and Sun is straightforward, since we can delete all solved positions and decrease d_i by one for every mismatch.

For the algorithm of Gramm *et al.* we cannot have different d_i , hence we have to test whether or not the position is permanent within the search tree. Assume s is the candidate string. For any position p from \mathcal{P} we set $s[p] := \hat{s}[p]$. During the algorithm, we ensure that none of these positions is changed. Let $\mathcal{Q} := \{1, \dots, L\} \setminus \mathcal{P}$ be the set of positions that are not permanent. For each string s_i we can estimate the distance threshold d_i between $s_i|_{\mathcal{Q}}$ and $\hat{s}|_{\mathcal{Q}}$ as described for NEIGHBOR STRING instances. Instead of choosing $d + 1$ positions to branch, we now have to choose only $d_i + 1$ positions from $D_{s,s_i} \setminus \mathcal{P}$. Given that, for all positions in \mathcal{P} , the candidate string was set to the value of the solution string, there are no positions $p \in \mathcal{P} \cap D_{s,s_i}$ with $s_i[p] = \hat{s}[p]$, and hence $|\mathcal{P} \cap D_{s,s_i}| = d - d_i$. Since $|D_{s,s_i} \setminus \mathcal{P}| \leq d + d_i$ and $|D_{\hat{s},s_i} \leq d|$ at most $d - d + d_i$ positions do not converge to a solution. Therefore, among the $d_i + 1$ possible modifications from $D_{s,s_i} \setminus \mathcal{P}$, there is at least one that brings us *towards* a solution.

To integrate \mathcal{T} and \mathcal{R} , we exclude branches which cannot produce a valid solution. Branches are pruned by simply testing whether the (partial) string candidate of the search tree conflicts with the information. For a position p from a particular NEIGHBOR STRING instance we use $m(p)$ to denote the corresponding position in the original instance.

In the algorithm of Ma and Sun, when creating all strings t of length $|D|$, we test for their consistency with the rules from \mathcal{R} . Assume $t = p_1 \dots p_l$ with $p_i \in D, 1 \leq i \leq l$. For all $p_i \in D, 1 \leq i \leq l$ we check whether there is a rule $(\hat{s}_{m(p_i),t[i]}, \mathcal{P}_{m(p_i),t[i]}) \in \mathcal{R}$ and test if the remaining positions in t are consistent with the partially solved solution string. If that is not the case, the current t will not lead to a valid solution. There is even more information in \mathcal{R} that we can use. If we find a t that is consistent with \mathcal{R} , we use the solved positions from all sets $\mathcal{P}_{m(p_i),t[i]}$ with $1 \leq i \leq |t|$, to reduce the NEIGHBOR STRING instance for the recursion step. For that reason we build an overlay of all $\hat{s}_{m(p_i),t[i]}|_E$ with $p_i \in D$ to get a new set of solved positions. Furthermore, we can check the consistency of t with \mathcal{T} . For all $(E_{i,j}, x_{i,j}) \in \mathcal{T}$ we test

whether t has more inconsistent positions than are allowed. Assume $t = p_1 \dots p_l$. We count all positions p_n with $m(p_n) \in E_{i,j}$ and $s_i[m(p_n)] \neq t[n]$. If there are more than $x_{i,j}$ of these positions, the current t is not consistent with \mathcal{T} and hence cannot produce a valid solution.

In the algorithm of Gramm *et al.*, we can restrict the positions we can choose to branch. Assume s_k is the string with $d_H(s, s_k) > d$. We can only branch over a position p if we checked the following condition for all $(E_{i,j}, x_{i,j}) \in \mathcal{T}$ containing p : if $s[p] = s_i[p] \neq s_k[p]$ we would have to change $s[p]$ to $s_k[p]$, thus we would set $\hat{s}[p] = \overline{s_i[p]}$. For that reason we have to check at how many positions from $E_{i,j}$ the candidate string s differs from s_i . If this number is at least $x_{i,j}$, we are not allowed to set a further position p to $\overline{s_i[p]}$ and hence we interdict branching over p . Now, let p be the current position to branch at, and set $v := s_i[p]$. If \mathcal{R} contains $\hat{s}_{p,v}$, we have to adapt the candidate string s to $\hat{s}_{p,v}$ before calling the recursion. If s *conflicts* with $\hat{s}_{p,v}$, this branch of the search tree does not lead to a valid solution.

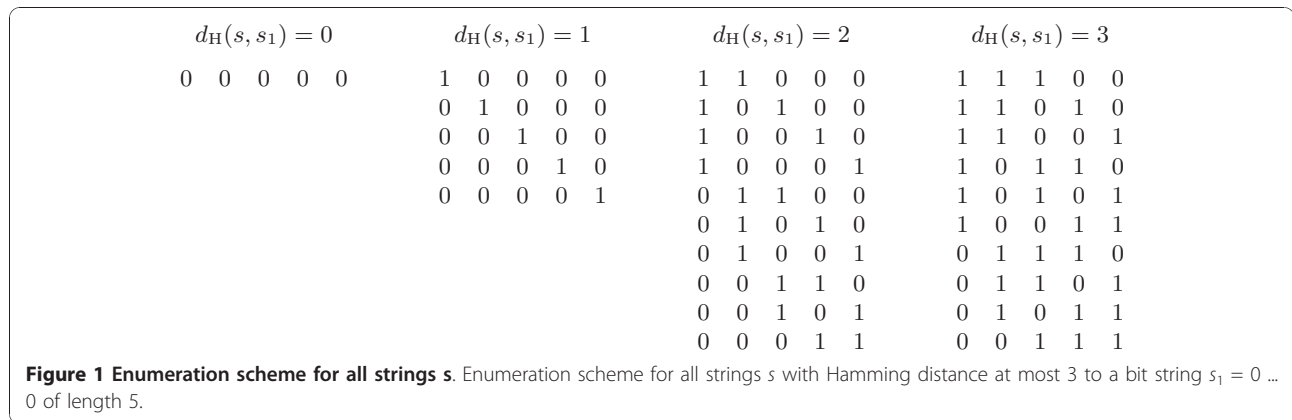
Algorithm MismatchCount

Even after applying our data reduction rules, we have to solve the remaining instance using an algorithm such as those from [9,12]. In this section we present another such procedure, *MismatchCount*, which is efficient in practice, as we will show below. Given binary strings s_1, \dots, s_k of length L and a distance threshold d , the *MismatchCount* algorithm solves the CLOSEST STRING problem as follows: we iterate through all strings s with distance at most d to a chosen string s_i – without loss of generality, we may choose that string to be $s_1 = 0 \dots 0$. This leaves us with a search space of size $\sum_{d'=0}^d \binom{L}{d'}$. We present an enumeration scheme for those s that allows efficient testing for the center condition on each candidate and that makes it possible to skip large areas of the search space based on information gained while checking those candidates.

We enumerate the mismatch positions for d mismatches in s_1 (and therefore the center string candidates s), which is equivalent to generating all binary numbers of length m with d bits set to 1, in reverse order (Figure 1). For every s we check its Hamming distance to the remaining strings s_2, s_3, \dots, s_k . Rather than computing these distances anew for each candidate, we update the Hamming distances derived from the previous candidate s' . We do this by increasing or decreasing the distances to reflect the changed positions.

The running time for verifying a center candidate s is therefore bounded by $O(g \cdot k)$, where g is the number of positions changed from s' to s .

We can determine the overall number of changes performed during the enumeration of all center candidates



as follows: using the enumeration scheme presented, each position p in s is changed once to '1' and once to '0' for every configuration of $s[1, p - 1]$ with at most d mismatches to $s_1[1, p - 1]$. There are $\binom{p - 1}{d'}$ such configurations for each $d' = 1, 2, \dots, d$. Summing over all possible combinations of p and d' , the number of bit changes performed can be bounded by $O(2^L)$. Since we need to update k Hamming distances for each character change in s , the overall worst-case running time of the algorithm is bounded by $O(k \cdot 2^L)$.

However, this worst-case analysis refers to the exploration of all legal mismatch configurations of s . As already mentioned above, the enumeration scheme enables us to skip large areas of the search space. Using the maximum Hamming distance $d_{\max} = \max_{i = 2, \dots, k}(d_H(s, s_i))$ computed in each iteration, we can derive a lower bound for the number of positions we have to change in s in order to fulfill the center condition. Therefore, for each candidate s taken into consideration, we compute

$$c_{\min} = \left\lceil \frac{d_{\max} - d}{2} \right\rceil,$$

where $2 \cdot c_{\min}$ is the minimum number of positions in s we have to change when its successor is generated. We can use this condition in two ways.

First, we cannot change $2 \cdot c_{\min}$ positions in s by changing the positions of fewer than c_{\min} mismatches. Therefore, if all current candidates s with $d_H(s_1, s) = d'$ are enumerated and we encounter a candidate that reveals a $c_{\min} > d'$, we can then generate candidates with $d_H(s_1, s) = c_{\min}$, without the enumeration of all s with $d_H(s_1, s) \in \{d', d' + 1, \dots, c_{\min} - 1\}$.

Furthermore, even if c_{\min} does not exceed d' for a currently observed candidate, we can use that bound to skip the enumeration of certain candidates, i.e. continue with the enumeration scheme where the c_{\min} -th mismatch from the right is moved next (Figure 2). The enumeration steps in between can be omitted because they involve moving fewer than c_{\min} mismatch positions and

we know that we have to change at least $2 \cdot c_{\min}$ positions in s .

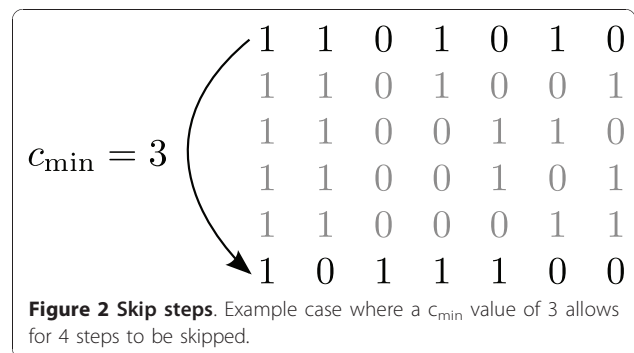
Applying the data reduction to this algorithm is straightforward. Recall that \mathcal{Q} is the set of positions that are not permanent. Then, the reduced instance is $s_1|_{\mathcal{Q}}, \dots, s_k|_{\mathcal{Q}}$. When estimating for every candidate s its Hamming distance to each remaining string s_i , we have to add the additional amount $d_H(\hat{s}|_{\mathcal{P}}, s_i|_{\mathcal{P}})$ to the distances of the reduced strings. This is done only once at the beginning, since we update the Hamming distances during the algorithm.

Within the other algorithms we use the information from \mathcal{R} and \mathcal{T} to cut off branches of the search tree that cannot contain a valid solution. However, MismatchCount uses an iterative search strategy and positions are not going to be fixed, but can be inverted again. Therefore the use of \mathcal{R} and \mathcal{T} to fix positions interferes with the use of c_{\min} to skip the enumeration of certain candidates.

Results and Discussion

Generating center instances

To evaluate our algorithms, we use instances generated in the context of finding *approximate gene clusters*. The order of genes in genomes can be used to determine the



function of unknown genes, as well as the phylogenetic history of the organisms. On this global scale, each gene is represented by one character (or number), and orthologous genes are mapped to the same character. Gene clusters are sets of genes that occur as single contiguous blocks in several genomes. Unfortunately, the requirement of exact occurrences of gene clusters turns out to be too strict for the biological application. This leads to the development of the center gene cluster model [7], which we recapitulate shortly in the following.

Let S_1, \dots, S_k be the genome strings, where each character represents a gene from the alphabet Σ . Let $S_j[l_j \dots r_j]$ denote the substring of S_j from position l_j to position r_j . Let $\mathcal{CS}(S) \subseteq \Sigma$ be the set of genes in a string $S \in \Sigma^*$. Finally, let D be the symmetric set distance, $D(C, C') = |C \setminus C'| + |C' \setminus C|$. For some distance threshold δ , the center gene cluster model asks for all gene clusters $C \subseteq \Sigma$ of some minimal size such that, for each $j \in \{1, \dots, k\}$, there exist l_j, r_j with

$$D(C, \mathcal{CS}(S_j[l_j \dots r_j])) \leq \delta.$$

Now, the important point is that the algorithm for center gene cluster detection [7], computes candidates instead of directly finding center gene clusters. These candidates are intervals $[l_1, r_1], \dots, [l_k, r_k]$ such that the sets $C_j := \mathcal{CS}(S_j[l_j \dots r_j])$ might allow for some center $C \subseteq \Sigma$ with $D(C, C_j) \leq \delta$ for all $j = 1, \dots, k$. Our task is to check if the resulting center does indeed meet the distance threshold.

We can transform the approximate occurrences C_j , for $j = 1, \dots, k$, to binary state strings by iterating over all genes that appear in at least one approximate occurrence, using '1' if the approximate occurrence contains the gene, and '0' if it does not. The order of genes is not important in this transformation, but has to be identical for all strings, see also the Preliminaries. Searching for a center gene cluster under the symmetric set distance, is equivalent to searching for a binary string in the transformed instance under the Hamming distance.

The resulting instances are often rather "short", as most approximate gene clusters contain only few genes. To construct longer and, hence, harder instances for our evaluation, we simply concatenate several of these short instances (that are blocks of k binary strings) into one long instance, being a single block of k binary strings. This allows us to evaluate the performance of the different methods at the borderline between "tractable" and "intractable" instances. At the same time, we argue that the resulting instances are still "biologically valid."

For our evaluation, we use genomes from the NCBI Genome database <http://www.ncbi.nlm.nih.gov/sites/entrez?db=genome>. Grouping of genes into gene families

is done based on the cluster of orthologous groups categorization <http://www.ncbi.nlm.nih.gov/COG/>. We used two protocols to construct the two data sets, where we believe the second data set to be closer to the biological application that we have in mind.

For the first data set we used five γ -proteobacteria (Table 1). Each approximate gene cluster instance consists of five approximate occurrences, one on each genome. An approximate gene cluster instance is converted to five binary strings, as described above. We concatenated instances (each consisting of five strings) until the desired length L was reached. Additional strings were constructed in the same fashion, incorporating further cluster occurrences. We created up to 50 instances for each combination of k and L with $k = 20, 30, 40, 50$ and $L = 250, 300, \dots, 500$.

We generated the second data set using 43 genomes (Table 2). To obtain larger instances, we concatenated smaller instances until a pre-defined length L was reached. We created 100 instances for each combination of k and L with $k = 4, 6, 8, 10$ and $L = 20, 25, \dots, 40$. Note that we do not "concatenate instances vertically", so the resulting instances are probably closer to the "biological truth" than those of the previous protocol.

To compute d_{opt} we have to increase d stepwise, starting from the lower bound for d_{opt} , given by $d_{\text{lower}} = \frac{1}{2} \max_{i,j} d_H(s_i, s_j)$. We removed all instances that could not be decided for any d with $d_{\text{lower}} \leq d \leq d_{\text{opt}}$ within a time limit of 10 minutes by any of the algorithms, since we cannot determine the right d_{opt} . This left us with 664 instances for the first data set and 1957 instances for the second one.

Removing trivial columns

To avoid taking trivial columns into account, we kept only the dirty columns, representing the "hard part" of the instances. We use L' to denote the length of these reduced instances. We stress that in the following, all

Table 1 Genomes from the NCBI Genome database for first data set.

Species name	Refseq	Genes	PC
<i>Buchnera aphidicola</i> str. APS	NC_002528	607	564
<i>Escherichia coli</i> str. K-12 substr. MG1655	NC_000913	4493	4149
<i>Haemophilus influenzae</i> Rd KW20	NC_000907	1789	1657
<i>Pasteurella multocida</i> subsp. multocida str. Pm70	NC_002663	2092	2015
<i>Xylella fastidiosa</i> 9a5c	NC_002488	2838	2766

Five γ -proteobacteria from the NCBI Genome database, used for detection of approximate gene clusters to generate biological instances of the center string problem. 'Refseq' is the reference sequence from NCBI Genome database, 'PC' the number of protein-coding genes.

Table 2 Genomes from the NCBI Genome database for second data set

Species name	Refseq	Genes	PC
<i>Aquifex aeolicus</i>	NC_000918	1580	1529
<i>Clostridium acetobutylicum</i> ATCC 824	NC_003030	3843	3671
<i>Corynebacterium glutamicum</i> ATCC 13032	NC_003450	3073	2993
<i>Deinococcus radiodurans</i> R1 chromosome 1,	NC_001263	2687	2629
<i>Deinococcus radiodurans</i> R1 chromosome 2	NC_001264	369	268
<i>Fusobacterium nucleatum</i>	NC_003454	2125	2063
<i>Listeria innocua</i> Clip11262	NC_003212	3065	2968
<i>Mesorhizobium loti</i>	NC_002678	6804	674
<i>Mycoplasma genitalium</i>	NC_000908	524	475
<i>Mycoplasma pneumoniae</i>	NC_000912	733	689
<i>Mycoplasma pulmonis</i>	NC_002771	815	782
<i>Mycobacterium tuberculosis</i> CDC1551	NC_002755	4293	4189
<i>Ralstonia solanacearum</i> , megaplasmid	NC_003296	1684	1676
<i>Ralstonia solanacearum</i>	NC_003295	3503	3437
<i>Rickettsia conorii</i> str. Malish 7	NC_003103	1414	1374
<i>Salmonella typhimurium</i> LT2	NC_003197	4620	4423
<i>Staphylococcus aureus</i> subsp. aureus N315	NC_002745	2664	2583
<i>Synechocystis</i> sp. PCC 6803	NC_000911	3229	3179
<i>Thermotoga maritima</i>	NC_000853	1928	1858
<i>Ureaplasma urealyticum</i>	NC_011374	695	646
<i>Bacillus halodurans</i> C-125	NC_002570	4170	4065
<i>Bacillus subtilis</i>	NC_014479	4170	4062
<i>Borrelia burgdorferi</i>	NC_001318	890	851
<i>Buchnera</i> sp. APS	NC_002528	607	564
<i>Campylobacter jejuni</i>	NC_008787	1707	1653
<i>Caulobacter crescentus</i>	NC_002696	3819	3737
<i>Chlamydia pneumoniae</i>	NC_000922	1122	1052
<i>Chlamydia trachomatis</i>	NC_000117	940	895
<i>Escherichia coli</i> O157:H7	NC_002695	5371	5229
<i>Escherichia coli</i> str. K-12 substr. MG1655	NC_000913	4493	4149
<i>Haemophilus influenzae</i> Rd	NC_000907	1789	1657
<i>Helicobacter pylori</i> 26695	NC_000915	1627	1573
<i>Helicobacter pylori</i> str. J99	NC_000921	1534	1488
<i>Lactococcus lactis</i>	NC_002662	2425	2321
<i>Xylella fastidiosa</i>	NC_002488	2838	2766
<i>Neisseria meningitidis</i> serogroup B str. MC58	NC_003112	2225	2063
<i>Pasteurella multocida</i> PM70	NC_002663	2092	2015
<i>Pseudomonas aeruginosa</i> PA01	NC_002516	5669	5566
<i>Rickettsia prowazekii</i> str. Madrid E	NC_000963	888	835
<i>Streptococcus pneumoniae</i>	NC_012467	2254	2073
<i>Streptococcus pyogenes</i> str. SF370 serotype M1	NC_002737	1810	1696
<i>Treponema pallidum</i>	NC_000919	1095	1036
<i>Vibrio cholerae</i> chromosome 1	NC_012668	2897	2768
<i>Vibrio cholerae</i> chromosome 2	NC_012667	1013	1004
<i>Neisseria meningitidis</i> serogroup A str. Z2491	NC_003116	2065	1909
<i>Mycobacterium leprae</i> str. TN	NC_002677	2770	1605

Genomes from the NCBI Genome database used for detection of approximate gene clusters to generate biological instances of the center string problem. 'Refseq' is the reference sequence from NCBI Genome database, 'PC' the number of protein-coding genes.

computations and evaluations are performed on these reduced instances. The amount of reduction shows the difference between the two data sets. While in the first data set we only kept between 35.7% and 56.5% dirty columns, the instances from the second data set are much harder, containing on average between 89.0% and 97.0% dirty columns, depending on the number of strings. The number of dirty columns increases with the number of strings (Table 3).

We concentrate on the computation of center strings for $d = d_{opt}$ and $d = d_{opt} - 1$, since these are the computationally hard instances (Figure 4). For the parameterized algorithms, worst-case running times grow exponentially in d , and running times of algorithms are also dominated by these cases in practice.

Excluding unsolvable instances by preprocessing

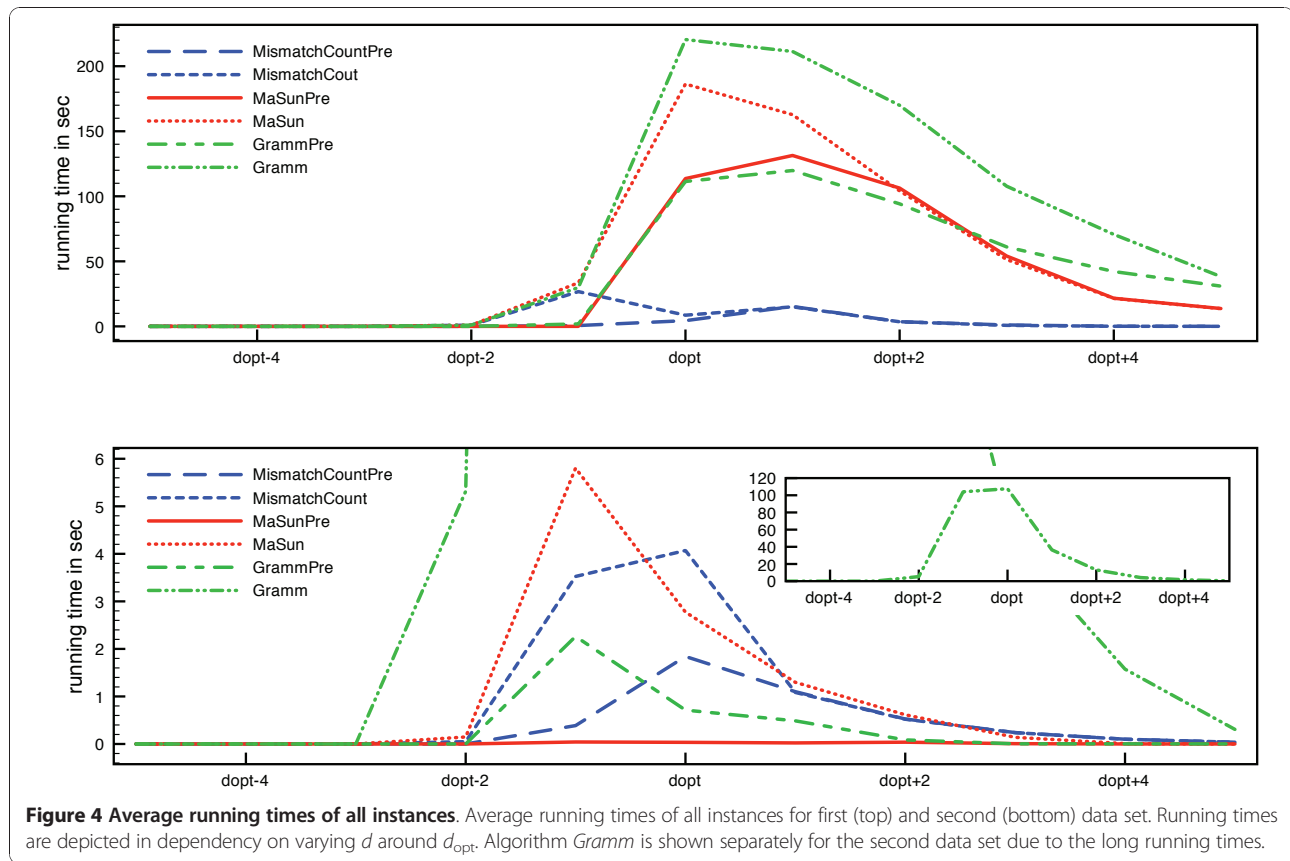
Our preprocessing allows us to exclude unsolvable instances more efficiently than the computation of the naïve kernel, when d is too small for a center string to exist. This is of particular interest as, here, our algorithms have to scan the complete solution space to ensure that no solution exists. Recall that, during the computation of the naïve kernel, instances with more than kd dirty columns or $d < \frac{1}{2} \max_{i,j} d_H(s_i, s_j)$ are rejected, since the instance cannot have a solution for this choice of d . The percentage of instances excluded by preprocessing for $d = d_{opt} - 1$ ranges between 50 and 100 (Table 4). Our improved preprocessing always filters out more instances than does the naïve kernel. For different k , we can exclude between 96.2% and 100% of instances, that have not been filtered by the naïve kernel for the first data set and, for the second data set, we can exclude between 87.7% and 94.3% of instances. Recall that the instances we removed (261 for the first data set and 43 for the second one) have not been filtered by preprocessing for their lower bound d . Since we cannot determine whether this lower bound is the real d_{opt} or $d_{opt} - 1$, these instances are not taken into account, leading to the high percentages in the first data set.

Solving trivial positions by preprocessing

The second advantage of our method is the computation of positions that can be trivially solved during preprocessing. The percentage of fixed positions is high for

Table 3 Average percentage of dirty columns depending on k

data set	first (5 species)				second (43 species)			
number of sequences k	20	30	40	50	4	6	8	10
dirty columns	35.7	43.9	50.4	56.5	89.0	93.8	95.3	97.0



the important case $d = d_{opt}$. In fact, for the first data set an average of 56.2% of the positions were fixed for these instances during preprocessing, and 31.7% for the second data set. Recall that *MismatchCount* and the algorithm of Ma and Sun work on these reduced instances. The number of solved positions depends on the d_{opt} / L' ratio of the instance, since at least $L' - 2d_{opt}$ are fixed if a string pair with distance $2d_{opt}$ exists, and decreases with increasing d_{opt} / L' (Figure 3). If we use d_{opt} / L' as a measure for the hardness of the instance, the difference between the two data sets is obvious.

For the first data set we further observe that there is no “twilight zone” of fixed positions. In 80.9% of the

instances, more than 40% of positions were fixed; in 15.4%, the data reduction did not fix any positions, and in fewer than 3.8% of the instances, we observed a fixing of up to 40% of positions.

Running times

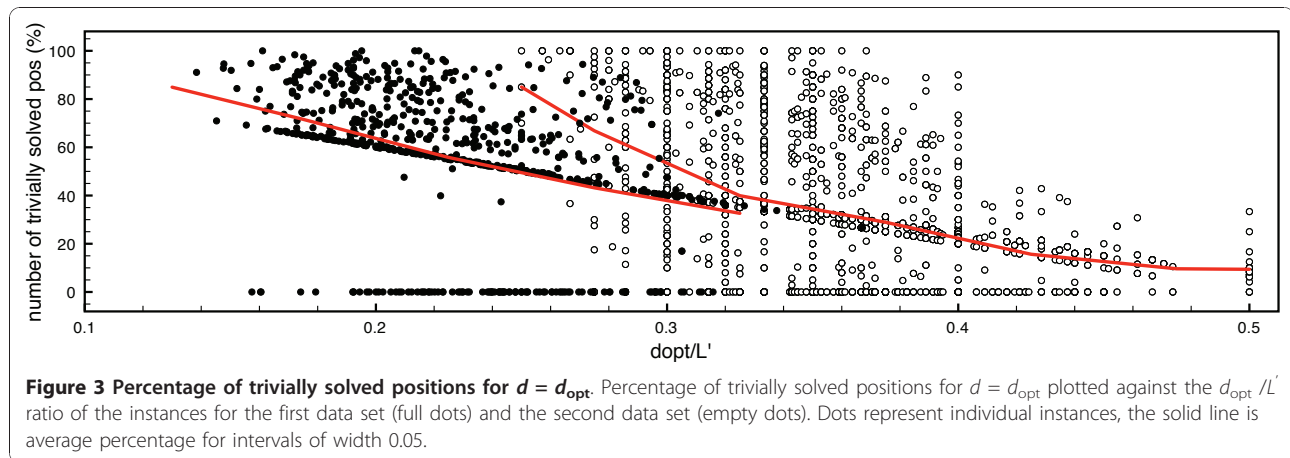
We have implemented the algorithms of Gramm et al. [12], Ma and Sun [9], and the *MismatchCount* algorithm, referred to as “*Gramm*”, “*MaSun*” and “*MismatchCount*”, respectively. These algorithms do not include any preprocessing beyond the naïve kernel. Name suffix “*Pre*” indicates that preprocessing and algorithm engineering are enabled. For the *MismatchCount* algorithm, only the information from \mathcal{P} is used.

We implemented all algorithms in Java and compiled them with the Sun Java Standard Edition compiler version 1.6. We did all computations on a quad-core 2.2 GHz AMD Opteron processor with 5 GB of main memory under the Solaris 10 operating system. The running times presented are the core running times of the algorithms and do not include I/O or removal of clean columns. We restricted running time to 10 minutes per instance.

We first show that running times of all algorithms are really dominated by the cases $d = d_{opt} - 1$ and $d = d_{opt}$

Table 4 Percentage of instances excluded by preprocessing, for $d = d_{opt} - 1$.

data set	first (5 species)				second (43 species)			
	20	30	40	50	4	6	8	10
naïve kernel (%)	81.3	82.2	85.0	86.1	92.9	68.4	56.6	50.0
our preprocessing, from remaining (%)	100	100	96.2	100	94.3	89.6	87.7	90.6
total excluded instances (%)	100	100	99.4	100	99.6	96.7	94.7	95.3



(Figure 4). It is clear that it is sufficient to concentrate on the two cases $d = d_{opt} - 1$ and $d = d_{opt}$. The short running times for $d_{opt} - 1$ for the first data set are again due to the removal of instances for which the lower bound could not be decided. Note that if there is no string pair with distance $2d_{opt}$ or $2d_{opt} - 1$, we cannot avoid calling the algorithm with $d = d_{opt} - 1$ to ensure that d_{opt} is truly optimal.

To show how running times depend on d_{opt} , we pooled instances with respect to the optimum center distance d_{opt} . For $d = d_{opt} - 1$ we excluded all instances where $d < L'/k$ after removing clean columns, or $d < \frac{1}{2} \max_{i,j} d_H(s_i, s_j)$ as these obviously have no solution, leaving us with 644 instances for the second data set, while the 108 remaining instances for the first data set are not enough to analyze. Even if instances are not rejected by preprocessing, the algorithms tend to reject instances more quickly if the preprocessing information is used. Different percentages were rejected by the algorithms within different sets of time limits for the second data set (Table 5).

Using data reduction and information gained during preprocessing reduces the running times of the algorithms for both $d = d_{opt} - 1$ and $d = d_{opt}$ in all cases (Figure 5). On the first data set, *MismatchCount* using the preprocessing information outperforms the other algorithms, while *MaSunPre* is best on the second data set, especially where $d = d_{opt}$. The improvement of

MismatchCount is least significant since the information from \mathcal{R} and \mathcal{T} cannot be used.

Conclusions

We have presented improved preprocessing for the CENTER STRING problem. This is based on the observation that, for strings with an optimal center at distance d , there are usually many pairs of strings with distance close or equal to $2d$. Our data reduction allows us to reject more instances that do not have a valid center string, and to draw conclusions about certain positions of a center string. We show how this information can be used in the search tree algorithms of Gramm *et al.*, and Ma and Sun. We have also presented the *MismatchCount* algorithm for binary alphabets.

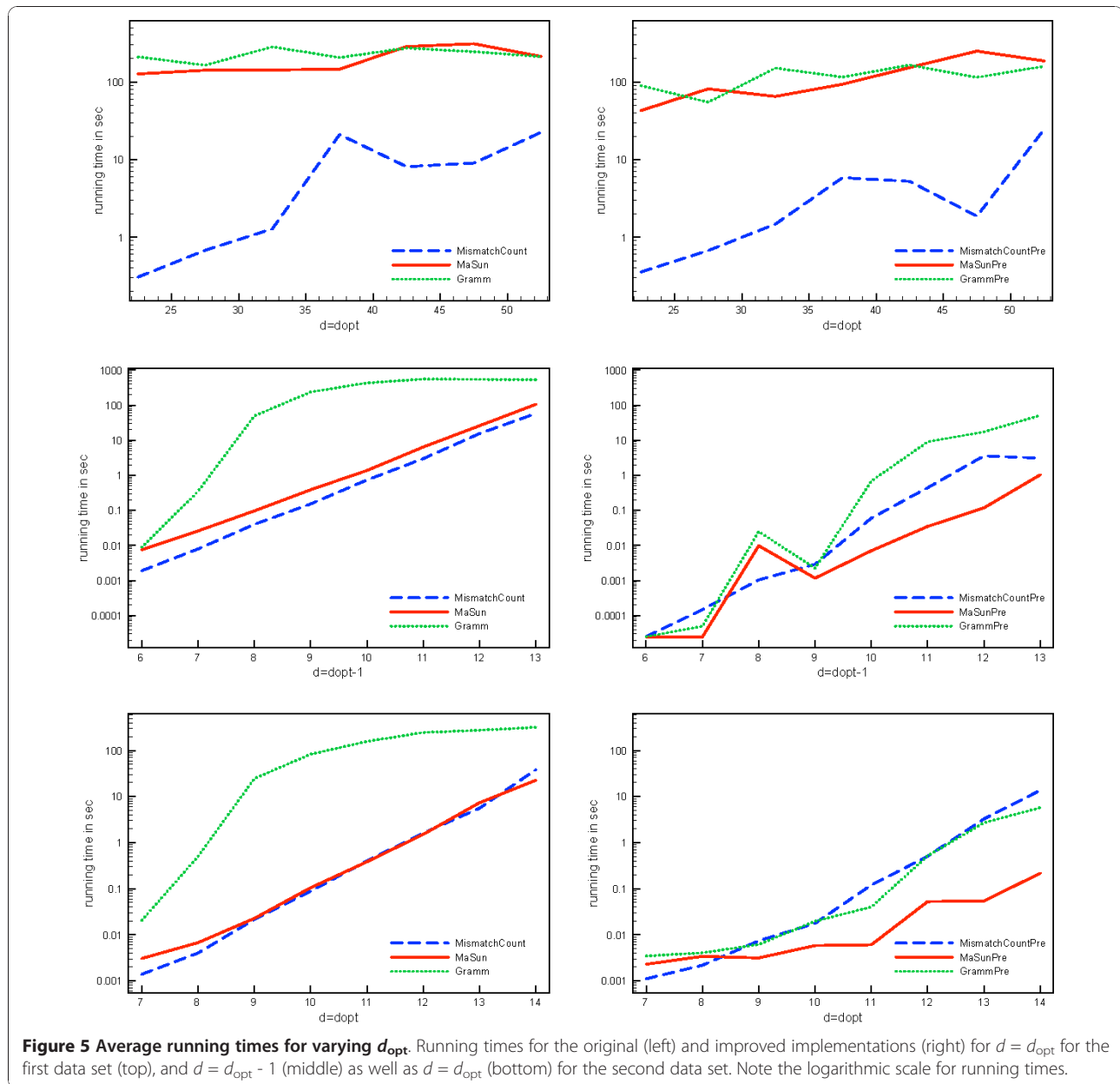
In our experimental evaluation, we showed that, without preprocessing, the *MismatchCount* algorithm has better running times than the other two algorithms. Furthermore, our data reduction is very efficient and algorithms using this information outperform the original ones, with the overall best performance shown by *MismatchCount* on the first data set and the algorithm of Ma and Sun in combination with our preprocessing on the second. Our data reduction is particularly helpful for tackling the case $d = d_{opt} - 1$, as we can exclude more instances.

For the Levenshtein distance and weighted edit distances, the CENTER STRING problem is W

Table 5 Percentage of instances excluded by the algorithms within different time limits, for $d = d_{opt} - 1$.

	<i>MCPre</i>	<i>MC</i>	<i>MaSunPre</i>	<i>MaSun</i>	<i>GrammPre</i>	<i>Gramm</i>
time limit 10 min (%)	100	100	100	100	98.5	9.0
time limit 1 min (%)	98.5	98.5	100	98.5	83.6	4.5
time limit 1 sec (%)	34.3	23.9	89.6	17.9	34.3	1.5

Only the 67 instances of the second data set not rejected by the preprocessing were taken into account. 'MC' denotes the *MismatchCount* algorithm.



[1]-hard regarding the number of input strings. To the best of our knowledge, it is an open problem if these problems are $W[1]$ -hard regarding the distance parameter, too. In this case, our parameterized methods would be not applicable for these distances.

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Authors' contributions

FH and SB jointly developed the data reduction methods. KJ, LK and JS jointly developed the MismatchCount algorithm. FH carried out the computational studies and drafted the manuscript. All authors read and approved the final manuscript.

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