SNP Profile within the Human Major Histocompatibility Complex Reveals an Extreme and Interrupted Level of Nucleotide Diversity

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The human major histocompatibility complex (MHC) is characterized by polymorphic multicopy gene families, such as HLA and MIC (PERB11); duplications; insertions and deletions (indels); and uneven rates of recombination. Polymorphisms at the antigen recognition sites of the HLA class I and II genes and at associated neutral sites have been attributed to balancing selection and a hitchhiking effect, respectively. We, and others, have previously shown that nucleotide diversity between MHC haplotypes at non-HLA sites is unusually high (>10%) and up to several times greater than elsewhere in the genome (0.08%–0.2%). We report here the most extensive analysis of nucleotide diversity within a continuous sequence in the genome. We constructed a single nucleotide polymorphism (SNP) profile that reveals a pattern of extreme but interrupted levels of nucleotide diversity by comparing a continuous sequence within haplotypes in three genomic subregions of the MHC. A comparison of several haplotypes within one of the genomic subregions containing the HLA-B and -C loci suggests that positive selection is operating over the whole subgenomic region, including HLA and non-HLA genes.

[The sequence data for the multiple haplotype comparisons within the class I region have been submitted to DDBJ/EMBL/GenBank under accession nos. AF029061, AF029062, and AB031005–AB031010. Additional sequence data have been submitted to the DDBJ data library under accession nos. AB031005–AB03101 and AF029061–AF029062.]

Nucleotide diversity within the human genome has been estimated to be between 0.08% and 0.2% (Li and Saddler 1991; Rowen et al. 1996; Horton et al. 1998; Lai et al. 1998; Satta et al. 1998). However, average pairwise comparisons between the HLA class I genes in the major histocompatibility complex (MHC) on chromosome 6 are much higher (up to 8.6%) (Satta et al. 1998), and genomic differences remote from the HLA class I genes may be >10% when two haplotypes are compared (Guillaudeux et al. 1998; Horton et al. 1998; Gaudieri et al. 1999). The elevated level of nucleotide diversity within the antigen-presenting HLA class I and II genes has been attributed to balancing selection acting on the antigen recognition sites (Hughes and Nei 1988, 1989), with differences outside of the HLA coding region associated with a hitchhiking effect (Grimsley et al. 1998, Guillaudeux et al. 1998; Horton et al. 1998). In *Drosophila*, it has been shown that the hitch-

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hiking effect of balancing selection on neutral sites is affected by mutation and recombination rates (Kreitman and Hudson 1991; Aquadro 1992).

We have analyzed genomic subregions within the MHC described as polymorphic frozen blocks (PFB) (Marshall et al. 1993; Dawkins et al. 1999). These PFBs can be up to several hundred kilobases in length, and in *cis* combinations are observed in a population as MHC haplotypes (Degli-Esposti et al. 1992). PFBs contain polymorphic genes and have been shown to possess extensive genomic nucleotide diversity that suppresses recombination within the blocks but not between the blocks (Dawkins et al. 1999).

In this study, we constructed a single nucleotide polymorphism (SNP) profile of a continuous sequence from three separate genomic subregions of the MHC, including the region containing HLA-B and -C termed the β block and the region spanning HLA-A, -G, and -F termed the α block. In this paper, SNP will refer only to nucleotide substitutions and not to indels. Given the very low meiotic recombination rate (Dawkins et al. 1999) within the blocks and the balancing selection occurring at the HLA class I loci (HLA-A, -B, and -C),

the SNP profile is expected to show peaks at these loci with decreasing levels of nucleotide diversity at distant neutral sites (Kreitman and Hudson 1991; Aquadro 1992; Satta et al. 1998). However, our results clearly show the SNP profiles are extreme and interrupted with numerous peaks and troughs within the MHC, suggesting that selection is occurring at HLA and non-HLA class I loci.

RESULTS AND DISCUSSION

Extreme and Interrupted Nucleotide Diversity Profile Within the MHC

Our own continuous sequence within the MHC has been enhanced by three sequencing groups (Mizuki et al. 1997; Guillaudeux et al. 1998; Shiina et al. 1998; including sequence submissions by A. Hampe from Centre National de la Recherche Scientifique, Rennes, France), allowing an extension of earlier analyses of the nucleotide diversity between two haplotypes at sites distant from the HLA class I loci (Fig. 1) (Abraham et al. 1993). The SNP profiles within the MHC are much more extensive and complex than those within another region on chromosome 6 (6p23) that contain the polymorphic SCA1 gene (Horton et al. 1998) and other regions of the genome (Fig. 1; Table 1). The SNP profiles we obtained within the genomic subregions of the MHC are extreme and interrupted with several peaks (Fig.1). With the addition of retroelement indels (such as *Alu*s) and other smaller indels, the level of nucleotide diversity within the MHC is even greater (Table 1).

Multiple Haplotype Comparisons Reveal a Similar Nucleotide Diversity Profile Within the MHC

The variation in nucleotide diversity within the class II region appears to be related to the different haplotype comparisons (Fig. 1). In contrast, each haplotype comparison in the class I region contains regions of low nucleotide diversity (<1%) and peaks (>10%) (Table 1). The SNP profiles in Figure 1 only compare two haplotypes at any one site within the MHC. We predict that when multiple haplotypes are compared the shape of the SNP profile will be similar, but the level of nucleotide diversity between any two MHC haplotypes will reflect the age of their last common ancestor. To determine whether the level of nucleotide diversity in Figure 1 is consistent between haplotypes, we compared five regions of low, medium, and high nucleotide diversity within the β block of different MHC haplotypes (Table 2). The only exception was the comparison of 44.1 and 57.1 haplotypes in region ii (Table 2). As expected, the comparison between the recently diverged 7.1 and 8.1 haplotypes shows a low mean nucleotide diversity (Table 2). Overall, these results indicate that the level of nucleotide diversity between different haplotype comparisons will reflect the SNP profile observed in Figure 1.

To test for nucleotide diversity heterogeneity within the five regions described in Table 2, we used the goodness-of-fit statistic described by Kreitman and Hudson (1991). There was heterogeneity within the five regions at the $P = 0.001$ level of significance.

Evolutionary History of the MHC Plays a Role in Shaping the Nucleotide Diversity Profile

To investigate the factors influencing the shape of the SNP profiles, we examined the duplications and indels characteristic of the MHC (Gaudieri et al. 1997a,b; Kulski et al. 1999b). In the β block, HLA-B and -C, MICB (PERB11.2), and MICA (PERB11.1) genes are contained within two sets of duplicated segments that each share approximately 30 kb of sequence (Fig. 1) (Gaudieri et al. 1997a). The segments contain all the major peaks within this region except for the TA-rich expansion within the LTR region of human endogenous retroviral (HERV)–L (Fig. 1) (Kulski et al. 1999a). Each duplicated segment contains at least one major peak in nucleotide diversity (Fig. 1A), with the level of nucleotide difference between them probably caused by the earlier duplication of the HLA-B and -C segments (Gaudieri et al. 1997a; Kulski et al. 1999b). Some of the troughs within and between the duplicated segments can be explained by recent insertion events. For example, the HERV-K9I sequence telomeric of HLA-C inserted into the HLA-C duplication segment shows a low level of nucleotide diversity (Fig. 1). This HERV has still retained large open reading frames (Kulski et al. 1999a), suggesting it is a recent insertion event. Furthermore, a 10-kb region between the HLA-B and -C duplication segments is duplicated in a telomeric region between HLA-30 and MICC (PERB11.3), which may also be the result of a recent translocation because it shows a low level of nucleotide diversity (Fig. 1). Thus, several troughs within the SNP profile of the β block can be accounted for by recent insertions and translocations. However, even after excluding all indels from the duplication segments within the β block, the SNP profile remains extreme and interrupted with peaks at non-HLA class I loci.

Within the α block, the SNP profile shows three broad but distinct peaks in the level of nucleotide diversity (Fig. 1). This block is subject to flawed multisegmental duplications that have been separated into three tripartite segmental regions: I, II, and III (Kulski et al. 1999b). Kulski et al. (1999b) show that the segments (duplicons) containing HLA-A, -G, and -F duplicated during different times, with the segment containing HLA-F diverging first, then HLA-G and -A, respectively. The greater nucleotide diversity around HLA-A compared with HLA-G and -F is opposite to that

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			Regions ¹																
						ii			iii			iv			v				
	AHs ²	44.1	57.1	8.1	AHs	44.1	57.1	62.1	AHs	44.1	62.1	AH _s	44.1	57.1	AHs	44.1	57.1	8.1	
Mean nucleotide																			
diversity (%)	44.1				44.1				44.1			44.1			44.1				
	57.1	0.18			57.1	0.13						57.1	0.58		57.1	1.64			
	8.1	0.13	0.26												8.1	1.07	1.67		
	62.1	0.08	0.23	0.15	62.1	1.07	1.14		62.1	0.29		62.1	0.31	0.43					
					18.2	0.73	0.8	1.37	7.1	0.46	0.49				7.1	1.07	1.74	0.25	
Number of sites	12263				13715				13372			4847			6953				
Total number of																			
polymorphic sites	40				213				82			32			161				
Level of nucleotide																			
diversity	Low					High				Medium			Medium			High			

Table 2. Mean Nucleotide Diversity of Multiple Haplotype Comparisons within the Class I Region of the MHC (Block)

¹Regions i–v correspond to Figure 1.
²Ancestral haplotypes taken from Degli-Esposti et al. (1992).
The HLA alleles for the MHC ancestral haplotypes are as follows: 57.1 (HLA−A1; B57; DR7), 7.1 (HLA−A3; B7; DR15), 8.1 The Mann, Boleth, and CGM1 cell lines have been designated the MHC AHs 44.1, 62.1, 8.1 (one chromosome of the CGM1 cell line appears to contain the ß block of the 8.1 AH), respectively.

expected from the evolutionary history of the segmental regions (Fig. 1) (Kulski et al. 1999b). This suggests that other forces besides neutral accumulation of nucleotide differences are occurring within this region.

Low Nucleotide Diversity Coincides with the Predicted End Points of the **B** Block

Two regions within the β block centromeric of MICB (PERB11.2) and telomeric of HLA-C show very low levels of nucleotide diversity (0% to ∼2%) (Fig. 1). These two regions are rich in *Alu* sequences (Fig. 1C). The *Alu*s within these regions belong to different subtypes, ranging from *Alu* J sequences that have been inserted in early primates to more recent *Alu* Y inserts in apes (Kapitonov and Jurka 1996). *Alu* sequences have been associated with microsatellites and polymorphism (Epstein et al. 1990), with a likely positive correlation with time of insertion. In addition, the *Alu*-rich regions are also rich in hypermutatable CpG dinucleotides (Fig. 1B) (Holliday and Grigg 1993). Thus, the low level of nucleotide diversity observed within the *Alu*-rich regions suggests that there is a suppression of nucleotide diversity. These regions of low nucleotide diversity coincide with the predicted end points of the β block (Marshall et al. 1993; Dawkins et al. 1999). In addition, two regions of low nucleotide diversity (0%–2%) within the β block centromeric of MICB (PERB11.2) and telomeric of HLA-C coincide with the proposed centromeric and telomeric boundaries of the PFB (Marshall et al. 1993; Dawkins et al. 1999).

A decrease in nucleotide diversity is expected at the ends of the PFBs where recombination may occur, and this is reflected in the SNP pattern observed in Figure 1. Similarly, hitchhiking from balancing selection acting on the HLA loci would result in a decrease in nucleotide diversity flanking the loci when the recombination rate increases. Thus, the hitchhiking effect from the HLA class I genes is expected to contribute to only a single peak at the loci, which is clearly not the case in the HLA class I duplicated region of the MHC (Fig. 1).

Selection Pressure on Non-HLA class I Sequences in the MHC

Figure 1 shows that peaks in nucleotide diversity correspond to HLA and non-HLA class I genes and certain retroelements. Two peaks in nucleotide diversity at non-HLA class I regions are greater than the HLA-B and -C peaks in the β block. The two peaks correspond to the HERV-I sequence and its flanking L1 sequences and to a CpG and G+C–rich region telomeric of HERV-I containing a mixture of *Alu* and L1 sequences with a large open reading frame corresponding to the reverse transcriptase domain in the L1 sequence (Fig. 1). Within the SNP profile of the α block, the highest peak in nucleotide diversity occurs centromeric of HLA-A in a region containing a copy of HERV-16 (Fig. 1). Other non-HLA class I peaks in the SNP profile within the α and β blocks include regions telomeric of the transcribed genes MICB (PERB11.2) and MICA (PERB11.1). As discussed above, these peaks are within the more recently duplicated MIC (PERB11) segments. Therefore, the SNP profiles within the MHC do reflect the expected profile of selection occurring not only at the antigen presenting HLA class I genes (Hughes and Nei 1988; Satta et al. 1998), but also at other loci, such as MIC (PERB11) genes, some HERV and L1 sequences, and, potentially, the whole genomic subregion.

Other Non-HLA Genes Within the MHC that Are Transcribed and Polymorphic

Non-HLA class I polymorphic sequences that are tran-

scribed in the β block include polymorphic MIC (PERB11) genes (Gaudieri et al. 1997c) and HERVs. The MIC (PERB11) genes have been shown to be involved in the activation of NK and T cells (Bauer et al. 1999) and are associated with susceptibility to several diseases (Dawkins et al. 1999). However, the type of selection acting on the MIC (PERB11) genes is so far unknown. The level of nucleotide diversity within HERV-I and flanking L1 sequences is higher or at least equivalent to that observed at HLA-B and -C (Fig. 1A) (Guillaudeux et al. 1998; Gaudieri et al. 1999). Thus, although the role of HERV-I and L1 sequences within the β block is unknown, it seems likely they are under selection. The duplicated HERV-16 sequences within the β block differ in their level of nucleotide diversity (Fig. 1). One of the copies of HERV-16, named P5–1, is transcribed in lymphoid cells and tissues in an antisense direction to its internal RTase sequence, and it has been suggested that this transcript may have an antiviral role (Kulski and Dawkins 1999)

In addition, we could not find an overall correlation between CpG frequency and the level of nucleotide diversity in the MHC genomic subregions we had examined (Fig. 1B). The correlation between CpG frequency and nucleotide diversity is expected when mutation pressure is stronger than selection, given the hypermutatable change from methylated cytosine in CpG to TpG (Holliday and Grigg 1993). Moreover, it has recently been shown that the level of variation in synonymous substitutions within genes correlates to the frequency of CpG dinucleotide sequences (K. Tsunoyama, pers. comm.). This result is consistent with our proposal that selection occurs over the whole genomic subregion and not only at the HLA class I loci under balancing selection.

We constructed SNP profiles within genomic subregions of the MHC under the expectation that balancing selection was occurring at the antigen-presenting HLA class I loci (HLA-A, -B, and -C). However, our results clearly show that the SNP profiles within the genomic subregions are extreme and interrupted with several peaks and troughs. Although duplications and indels have contributed to the SNP profiles constructed within the MHC, we propose that selection has also acted to shape the SNP profiles not only at HLA class I genes but at other sites. The SNP profiles suggest that selection may be occurring at sites outside of the HLA class I genes and over the whole genomic subregion because there are peaks within the profile at non-HLA class I loci and highly polymorphic non-HLA class I genes are transcribed within the region.

Our hypothesis of selection occurring at multiple sites within the genomic subregions assumes a constant mutation rate. We cannot eliminate the possibility that there is variation in the mutation rate; however, one indicator of mutation rate, CpG%, does not correlate with nucleotide diversity.

We conclude that hitchhiking and other factors influence the nucleotide diversity profile within the MHC and that selection operates on non-HLA class I sequences and potentially over the entire genomic subregion. The nucleotide diversity seen in Figure 1, and usually attributed to hitchhiking and balancing selection at the HLA genes, is probably further confounded by the segmental duplications and retroelement indel events occurring at different times in primate history.

METHODS

Sequences

The sequences used in the SCA1 and class II region have been previously described (Horton et al. 1998). The SNP profile spanning IkBL to telomeric of HLA-C in the β block is broken into three different haplotype comparisons. From IkBL to MICA (PERB11.1), cosmids from the Mann cell line (HLA-A29; -B44; -Cw4; -DR7) (AC004181, AC006046, AC004183, AC004184, AC004215, AC004214) (Guillaudeux et al. 1998) were compared with the Boleth cell line (HLA-A2; -B62; -Cw10; -DR4) (AB000882) (Shiina et al. 1998). From MICA (PERB11.1) to HERV-I, the Mann cell line (AC004180 and AC004182) was compared with the heterozygous CGMI cell line (in this comparison, HLA-A3; -B8; -Cw; -DR3) (D84394) (Mizuki et al. 1997). The region from HERV-I to telomeric of HLA-C was compared with that between the two haplotypes in CGMI (HLA-A3,29; -B8,14; -Cw-; -DR3,7) (AC004205, AC004204, AC006048, AC004185, and AC006047 were compared with D84394) (Guillaudeux et al. 1998; Shiina et al. 1998).

To determine the level of sequence error within the β block, we compared a sequence from the same haplotype from two different sequencing groups. In this case, cosmid Y5C028 (AC004210) was compared with D84394, with a resultant substitution and indel error rate of less than 0.05%. To determine the degree of nucleotide diversity within the α block, cosmids from the CGMI cell line (AC004178, AC004199, AC005404, AC004200, AC004203, AC004194, AC004193, AC004172, AC004192, AC004173, AC004170, and AC004213) (Guillaudeux et al. 1998) were compared with the DDBJ/EMBL/GenBank accession numbers U51588 and AF055066 (submitted by A. Hampe from Centre National de la Recherche Scientifique, Rennes, France). The probing, mapping, and sequencing of the clones for the 57.1, 8.1, 7.1, and 18.2 haplotypes within the regions i–v in Figure 1 have been previously described (Leelayuwat et al. 1992; Gaudieri et al. 1997b). The following DDBJ/EMBL/GenBank accession numbers for the regions i–v were used: AF029062 (8.1) and AF029061 (57.1) for region i (Gaudieri et al. 1997b); AB031005 (57.1) and AB031008 (18.2) for region ii (Leelayuwat et al. 1992; Gaudieri et al. 1997b); AB031007 (7.1) for region iii (Gaudieri et al. 1997b); AB031010 (57.1) for region iv (Gaudieri et al. 1997b); and AB031006 (57.1) and AB031009 (7.1) for region v (Leelayuwat et al. 1992; Gaudieri et al. 1997b). For the calculation of nucleotide diversity in Table 2, only sequences with twofold coverage or greater were used.

Sequence analysis

All sequence alignments were produced using the program ClustalW (http://www.ddbj.nig.ac.jp/E-mail/clustalwe.html), and the resultant outputs were used in the program CLTOSS (http://193.50.234.246/∼beaudoin/anrs/cgi-bin/ Pre_align_process2.cgi). CLTOSS removed all gaps from the alignments to normalize the number of nucleotides examined in each window. The nucleotide diversity comparisons, G+C%, and CpG changes were calculated using an in-house program called Window6.pl. RepeatMasker2 (http:// ftp.genome.washington.edu/cgi-bin/RepeatMasker) was used to identify retroelement sequences, and its output was illustrated using an in-house program called DrawRep.pl.

The correlation between CpG% and nucleotide diversity was calculated using Pearson's correlation coefficient (Microsoft Excel version 5.0) after the removal of the CpG islands of reported genes and the TA-rich region in HERV-L.

To test whether nucleotide diversity levels were statistically different in regions of the β block profile, we used the method described by Kreitman and Hudson (1991; Hartl and Clark 1997). To test for heterogeneity, a goodness-of-fit statistic was used as described by Kreitman and Hudson (1991):

$$
X_C^2 = \sum_{i=1}^k (s(i)_{obs} - s(i)_{exp})^2 / \text{Var}(s(i)_{exp})
$$

in which $s(i)_{obs}$ is the observed number of polymorphic sites in the *i*th region, and *s*(*i*)*exp* is the expected number of polymorphic sites based on the total number of polymorphic sites and length of the *k* regions.

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