ORIGINAL ARTICLE

Predicting 30-day mortality of aortic valve replacement by the AVR score

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Published online: 15 April 2011 © Springer Media / Bohn Stafleu van Loghum 2011

Abstract

Objectives The objective of this study is to develop a simple risk score to predict 30-day mortality of aortic valve replacement (AVR).

Methods In a development set of 673 consecutive patients who underwent AVR between 1990 and 1993, four independent predictors for 30-day mortality were identified: body mass index (BMI) \geq 30, BMI <20, previous coronary artery bypass grafting (CABG) and recent myocardial infarction. Based on these predictors, a 30-day mortality risk score—the AVR score—was developed. The AVR score was validated on a validation set of 673 consecutive patients who underwent AVR almost two decennia later in the same hospital.

Results Thirty-day mortality in the development set was $\leq 2\%$ in the absence of any predictor (class I, low risk), 2–5% in the solitary presence of BMI ≥ 30 (class II, mild risk), 5–15% in the solitary presence of previous CABG or recent myocardial infarction (class III, moderate risk), and >15% in the solitary presence of BMI <20, or any combination of BMI ≥ 30 , previous CABG or recent myocardial infarction (class IV, high risk). The AVR score correctly predicted 30-day mortality in the validation set: observed 30-day mortality in

Presented at the scientific spring meeting of the Dutch Society of Thoracic Surgery (NVT) on 21 May 2010 in Utrecht, the Netherlands.

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F. E. E. Vermeulen · W. J. van Boven Department of Cardiothoracic Surgery, St. Antonius Hospital, Nieuwegein, the Netherlands the validation set was 2.3% in 487 class I patients, 4.4% in 137 class II patients, 13.3% in 30 class III patients and 15.8% in 19 class IV patients.

Conclusions The AVR score is a simple risk score validated to predict 30-day mortality of AVR.

Keywords Aortic valve stenosis \cdot Aortic valve replacement \cdot EuroSCORE \cdot STS score

Introduction

The European System for Cardiac Operative Risk Evaluation (EuroSCORE) and its American counterpart, the Society of Thoracic Surgeons (STS) score are currently the most used risk scores to predict operative mortality of cardiac surgery [1, 2]. Besides being complex, these risk scores have not been especially developed for patients undergoing aortic valve replacement (AVR). Therefore, we aimed to develop a risk score that was both simple and specifically applicable to patients undergoing AVR. In addition, similarly to most cardiac surgery centres reporting 30-day mortality, we designed the AVR score to predict 30day mortality. This is in contrast to the EuroSCORE and STS score, which predict mortality within 30 days or later if the patients are still hospitalised [3, 4].

Methods

Study population

The study population consisted of a development and validation set.

Development set

The development set comprised 673 consecutive adult patients who underwent their first AVR, either isolated or combined with concomitant coronary artery bypass grafting (CABG), in our hospital between 1 January 1990 and 31 December 1993.

Validation set

The validation set comprised 673 consecutive adult patients who underwent their first AVR, either isolated or combined with CABG, in the same hospital between 1 January 2007 and 16 February 2009.

Operative technique

In the development set, all aortic valve replacements were performed by a midsternal approach. In the validation set, however, 85 patients (12.6%) underwent minimal access AVR instead of standard median sternotomy, and in 188 patients (27.9%), minimal extracorporeal circulation was utilised instead of conventional cardiopulmonary bypass. In 82 patients from the validation set (12.2%), minimal access AVR was combined with minimal extracorporeal circulation, as described by Yilmaz et al. [5].

Statistical analysis

Multiple regression analysis (forward stepwise technique) was performed on the development set to identify independent predictors for 30-day mortality. A 30-day mortality risk score-the AVR score-was developed by calculating 30-day mortality for all possible combinations of independent predictors. Calculation of 30-day mortality was done according to the logistic regression equation with the following formula: 30-day mortality risk (%) = $e^{(\beta o + \sum \beta i Xi)} / 1 + e^{(\beta o + \sum \beta i Xi)} \times 100$, where 'e' is the natural logarithm (2.718281828...), βo is the constant of the regression equation and βi is the set of β coefficients of the independent predictors Xi in the logistic regression equation. The AVR score was validated in the validation set. Discrimination of the AVR score was assessed in both the development and validation sets by the area under the receiver operating characteristic curve (c-statistic). Calibration of the AVR score was assessed by the Hosmer-Lemeshow goodness-of-fit test in the development set and by plotting observed versus predicted 30-day mortality graphically in the validation set. Binary (yes/no) variables were labelled as yes versus no or missing. Missing data on categorical variables were automatically categorised into the lowest risk category. Continuous outcomes and dichotomous variables were analysed using t tests and χ^2 tests, respectively. Twosided tests of significance are reported, and p values <0.05 were considered statistically significant. Data were analysed by SPSS version 17.0 for Windows (SPSS, Inc., Chicago, Illinois).

Candidate independent predictors for 30-day mortality

The candidate independent predictors for 30-day mortality in the development set were based on previous research, including EuroSCORE and STS score [1, 2, 6-8], and defined as: age, categorised as <70 years (reference), 70-80 years, and ≥80 years; gender; previous CABG; previous percutaneous coronary intervention; previous balloon aortic valvuloplasty; previous valve surgery (surgical or percutaneous cardiac valve replacement or repair, excluding previous AVR); previous cardiac surgery (requiring opening of the pericardium); previous ischaemic stroke; recent myocardial infarction (≤6 weeks before operation); old myocardial infarction (>6 weeks before operation); hypertension (blood pressure >140/ 90 mmHg or use of antihypertensive medication); chronic lung disease (chronic obstructive pulmonary disease, asthma, or pulmonary fibrosis); carotid disease (transient ischaemic attack, carotid endarterectomy, carotid occlusion, or >50% stenosis); peripheral arterial disease (claudication, surgical or percutaneous intervention to the extremities, excluding carotid disease); diabetes (insulin-dependent or non-insulin-dependent); atrial fibrillation (paroxysmal or persistent/permanent); serum creatinine $\geq 177 \mu mol/l$ (2.0 mg/dl); serum creatinine >200 µmol/l (2.3 mg/dl); dialysis; immunosuppressive therapy (excluding topical applications, one time systemic therapy, or preoperative protocol); body mass index (BMI), categorised as BMI 20-30 (reference), BMI <20, and BMI \geq 30; active endocarditis (still on antibiotic treatment at time of surgery); unstable angina; left main disease; New York Heart Association class; predominant aortic stenosis; predominant aortic regurgitation; bicuspid aortic valve; moderate left ventricular function (ejection fraction 30-50%); poor left ventricular function (ejection fraction <30%); severe pulmonary hypertension (mean pulmonary artery pressure >40 mmHg); urgent operation (within days); emergency operation (before next working day) and concomitant CABG.

Results

Preoperative and operative characteristics of the 673 patients in the development set and 673 patients in the validation set are depicted in Table 1. The patients in the

Variable	Development set $(n=673)$	Validation set $(n=673)$	P value
Age, years	65.4±10.7	70.6±10.6	< 0.001
Male	385 (57.2)	404 (60.0)	0.319
STS score	$1.9{\pm}1.6$	$2.2{\pm}1.7$	0.004
Logistic EuroSCORE	5.0 ± 5.1	8.1±7.3	< 0.001
Additive EuroSCORE	5.5±2.4	6.5±2.6	< 0.001
NYHA class	2.5 ± 0.9	$2.7 {\pm} 0.7$	0.001
Missing	4 (0.6)	547 (81.3)	
Predominant aortic stenosis	562 (83.5)	593 (88.1)	0.019
Predominant aortic regurgitation	111 (16.5)	80 (11.9)	0.019
Previous intervention			
CABG	26 (3.9)	39 (5.8)	0.126
PCI	16 (2.4)	60 (8.9)	< 0.001
Balloon aortic valvuloplasty	3 (0.4)	2 (0.3)	1.000
Valve surgery ^a	9 (1.3)	7 (1.0)	0.802
Cardiac surgery ^a	37 (5.5)	47 (7.0)	0.311
Previous ischaemic stroke	27 (4.0)	28 (4.2)	0.892
Recent myocardial infarction ^a	12 (1.8)	6 (0.9)	0.235
Old myocardial infarction ^a	83 (12.3)	63 (9.4)	0.096
Hypertension ^a	333 (49.5)	267 (39.7)	< 0.001
Missing	-	402 (59.7)	
Chronic lung disease ^a	79 (11.7)	97 (14.4)	0.169
Carotid disease ^a	63 (9.4)	109 (16.2)	< 0.001
Peripheral arterial disease ^a	47 (7.0)	66 (9.8)	0.076
Insulin-dependent diabetes	18 (2.7)	38 (5.6)	0.009
Non-insulin-dependent diabetes	49 (7.3)	94 (14.0)	< 0.001
Paroxysmal atrial fibrillation	66 (9.8)	57 (8.5)	0.449
Persistent/permanent atrial fibrillation	38 (5.6)	51 (7.6)	0.188
Serum creatinine (µmol/l)	97.2 ± 44.2^{b}	88.4±35.4 ^c	< 0.001
Dialysis	3 (0.4)	7 (1.0)	0.342
Immunosuppressive therapy ^a	16 (2.4)	23 (3.4)	0.330
Body mass index	26±3	27±4	< 0.001
<20	9 (1.3)	6 (0.9)	0.605
20-30	586 (87.1)	520 (77.3)	< 0.001
≥30	78 (11.6)	147 (21.8)	< 0.001
Active endocarditis	4 (0.6)	12 (1.8)	0.075
Unstable angina	36 (5.3)	8 (1.2)	< 0.001
Left main disease	13 (1.9)	12 (1.8)	1.000
Aortic valve area (cm ²) ^d	$0.73 {\pm} 0.28$	$0.80 {\pm} 0.22$	< 0.001
Missing	327 (48.6)	109 (16.2)	
Left ventricular ejection fraction ^d			
≥50%	566 (84.1)	519 (77.1)	0.001
30-50%	78 (11.6)	109 (16.2)	0.018
<30%	29 (4.3)	45 (6.7)	0.072
Severe pulmonary hypertension ^a	24 (3.6)	32 (4.8)	0.339
Missing	102 (15.2)	_	
Urgent/emergency operation ^a	28 (4.2)	20 (3.0)	0.244
Concomitant CABG	194 (28.8)	300 (44.6)	< 0.001

Values are presented as mean \pm standard deviation or *n* (%) *CABG* coronary artery bypass grafting, *PCI* percutaneous coronary intervention, *NYHA* New York Heart Association, *STS* Society of Thoracic Surgeons ^a See text for explanation ^b 1.1±0.5 mg/dl

 $^{\rm c}$ 1.0±0.4 mg/dl

^d On echocardiography

validation set were older and had more adverse risk factors, resulting in a higher EuroSCORE and STS score. Four patients (0.6%) in the development set and two (0.3%) in the validation set died during the operation. Three patients (0.4%) in the development set and one (0.1%) in the validation set survived the operation, but died within 72 h from operation. Twenty-six patients (3.9%) in the development set and 31 (4.6%) in the validation set died while they were still admitted (in-hospital mortality). Thirty-day mortality in the development set was 2.8% (19 deaths) and 3.6% (24 deaths) in the validation set. Mortality within 30 days from AVR or later if the patients are still hospitalised (operative mortality as defined by the Euro-SCORE and STS score) was 3.9% (26 deaths) in the validation set.

AVR score development

Multiple regression analysis performed on the development set demonstrated the following variables to be independent predictors for 30-day mortality (in descending order of significance): BMI <20, previous CABG, recent myocardial infarction, and BMI \geq 30 (Table 2). Calculation of predicted 30-day mortality for all possible combinations of independent predictors resulted in the AVR score, as shown in Table 3. Thirty-day mortality was $\leq 2\%$ in the absence of any of these predictors (class I, low risk), 2-5% in the solitary presence of BMI ≥30 (class II, mild risk), 5-15% in the solitary presence of previous CABG or recent myocardial infarction (class III, moderate risk), and >15% in the solitary presence of BMI <20, or any combination of BMI ≥30, previous CABG, or recent myocardial infarction (class IV, high risk). The c-index of the AVR score was 0.73, implying the AVR score to accurately distinguish between patients who died versus those who survived in the development set. The *p* value of the Hosmer–Lemeshow test of the AVR score was 0.67, implying the AVR score to accurately predict 30day mortality in the development set.

AVR score validation

Observed 30-day mortality in the validation set was 2.3% in 487 class I patients (11 out of 487), 4.4% in

137 class II patients (6 out of 137), 13.3% in 30 class III patients (4 out of 30) and 15.8% in 19 class IV patients (3 out of 19). The c-index of the AVR score in the validation set was 0.66, implying a moderate ability of the AVR score to distinguish between patients who died versus those who survived. The AVR score predicted 30-day mortality in the validation set correctly, as shown by the calibration plot of observed versus AVR score predicted 30-day mortality, depicted in Fig. 1.

EuroSCORE and STS score for the different subpopulations of the validation set

In the subpopulation of 487 class I patients with a predicted 30-day mortality of $\leq 2\%$ and an observed 30-day mortality of 2.3%, the logistic EuroSCORE, additive EuroSCORE and STS score were 7.3±6.5%, 6.3±2.5% and 2.1±1.6%, respectively. In the subpopulation of 137 class II patients with a predicted 30-day mortality of 2-5% and an observed 30-day mortality of 4.4%, the logistic EuroSCORE, additive Euro-SCORE and STS score were 7.0 \pm 5.6%, 6.3 \pm 2.2% and 2.0 \pm 1.5%, respectively. In the subpopulation of 30 class III patients with a predicted 30-day mortality of 5-15% and an observed 30-day mortality of 13.3%, the logistic Euro-SCORE, additive EuroSCORE and STS score were 19.9± 8.7%, $10.4\pm1.8\%$ and $3.9\pm2.3\%$, respectively. In the subpopulation of 19 class IV patients with a predicted 30-day mortality of >15% and an observed 30-day mortality of 15.8%, the logistic EuroSCORE, additive EuroSCORE and STS score were 17.9±9.6%, 9.7±2.4% and 3.3±1.6%, respectively.

Discussion

In this study, the AVR score is presented, a simple risk score to predict 30-day mortality of AVR. This risk score can serve as an adjunct to the current but more complex risk scores, EuroSCORE and STS score, for providing a first, 'quick-look' impression of 30-day mortality of AVR.

The AVR score was developed in a development set and validated on a validation set of patients undergoing AVR.

Table 2	Independent predictors
for 30-da	y mortality of AVR in
the devel	opment set $(n=673)$

AVR aortic valve replacement, *CI* confidence interval, *CABG* coronary artery bypass grafting, *BMI* body mass index

Predictor	β coefficient	Odds ratio (95% CI)	P value
BMI <20	3.093	22.04 (3.95-123.01)	< 0.001
Previous CABG	2.386	10.87 (3.20-36.90)	< 0.001
Recent myocardial infarction	2.185	8.90 (1.76-45.05)	0.008
BMI ≥30	1.309	3.70 (1.21-11.30)	0.021
Constant	-4.346		

Table 5 The AVK SCO

Predictor (BMI ≥30, previous CABG, recent myocardial infarction, BMI <20)	AVR score predicted 30-day mortality (%)	AVR score risk class
None	≤2	I (low risk)
BMI ≥30	2-5	II (mild risk)
Previous CABG	5-15	III (moderate risk)
Recent myocardial infarction	5-15	III (moderate risk)
BMI <20	>15	IV (high risk)
Any combination of BMI ≥30, previous CABG, or recent myocardial infarction	>15	IV (high risk)

AVR aortic valve replacement, BMI body mass index, CABG coronary artery bypass grafting

The development set, however, differed in several ways from the validation set. The patients in the validation set were operated upon almost two decennia later than the patients in the development set. Also, their risk profiles were higher, having a higher age and more adverse risk factors, resulting in a higher EuroSCORE and STS score. Despite these differences, the AVR score performed well. So, the predicting power of the independent AVR score predictors BMI ≥30, BMI <20, previous CABG, and recent myocardial infarction seemed to have remained constant over the last two decennia. Although the adverse effect of a low BMI on 30-day mortality of AVR was also recognised by Florath et al. [9], (extreme) obesity is more widely assumed to be an adverse risk factor [10, 11]. Several explanations for a low BMI being an adverse risk factor for early postoperative death have been proposed. Patients with a low percentage of body fat



Fig. 1 Calibration plot of observed versus AVR score predicted 30day mortality of the 673 patients in the validation set who underwent AVR

may have less nutritional reserve to cope with complications. Also, due to more haemodilution by a fixed bypass circuit during cardiopulmonary bypass, patients with a low BMI may experience greater postoperative weight gain and transfusion requirements [12]. A (very) high BMI may cause more postoperative death by complications such as deep sternal infection, renal failure, or respiratory failure [13]. Previous CABG is known to increase the risk of inhospital mortality after redo cardiac surgery by intraoperative adverse events such as injury to bypass grafts, heart or great vessels [14]. Patients requiring AVR after previous CABG seem to be particularly at risk, possibly due to older age and the presence of left ventricular hypertrophy [15]. Recent myocardial infarction is acknowledged to be an adverse risk factor for early postoperative death after cardiac surgery, however only in patients with unstable angina undergoing CABG [16, 17]. To our knowledge, the presented study is the first to combine these separate risk factors into a simple risk score for patients undergoing AVR.

Limitations

The AVR score is not applicable to very high risk or very old patients because the number of these patients in the development set was relatively low: only four patients (0.6%) with STS score $\geq 10\%$, 11 (1.6%) with logistic EuroSCORE $\geq 20\%$, and 34 (5.1%) with age ≥ 80 years. Also, in contrast with the EuroSCORE and STS score, the AVR score is only applicable to patients undergoing AVR, with or without concomitant CABG. Both the EuroSCORE and STS score were based on thousands of patients referred by numerous centres. The AVR score was based on a relatively small number of patients from a single centre. As a logical consequence, the number of deaths was also small, hampering the power of this study.

Conclusion

The AVR score is a simple risk score to predict 30-day mortality of AVR.

Acknowledgements We would like to thank Yvonne van Hees, MSc for her efforts in developing the databases and entering data. Furthermore, we are grateful to Diane Vermeulen, MD, MSc for her efforts in entering data, and to Geert J.M.G. van der Heijden, MD, MSc and Henry A. van Swieten, MD, PhD for their efforts in developing the databases.

Disclosures This work was supported by Stichting Hartenzorg Sint Antonius, Nieuwegein; Stichting Nuts Ohra; and Jacques de Jong Stichting, all from the Netherlands.

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