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## IMMUNE DYSREGULATION BY THE RHEUMATOID ARTHRITIS SHARED EPITOPE<sup>1</sup>

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### Abstract

Rheumatoid arthritis (RA) is closely associated with *HLA-DRB1* alleles that code a five-amino acid sequence motif in positions 70–74 of the HLA-DR $\beta$  chain, called the shared epitope (SE). The mechanistic basis of SE-RA association is unknown. We have recently found that the SE functions as an allele-specific signal transducing ligand that activates a nitric oxide (NO)-mediated pathway in other cells. To better understand the role of the SE in the immune system, here we have examined its effect on T cell polarization in mice. In CD11c<sup>+</sup>CD8<sup>+</sup> dendritic cells (DCs) the SE inhibited the enzymatic activity of indoleamine 2,3 dioxygenase (IDO), a key enzyme in immune tolerance and T cell regulation, while in CD11c<sup>+</sup>CD8<sup>-</sup> DCs the ligand activated robust production of IL-6. When SE-activated DCs were co-cultured with CD4<sup>+</sup> T cells, the differentiation of Foxp3<sup>+</sup> T regulatory (Treg) cells was suppressed, while Th17 cells were expanded. The polarizing effects could be seen with SE-positive synthetic peptides, but even more so, when the SE was in its natural tri-dimensional conformation as part of HLA-DR tetrameric proteins. *In vivo* administration of the SE ligand resulted in higher abundance of Th17 cells in the draining lymph nodes and increased IL-17 production by splenocytes. Thus, we conclude that the SE acts as a potent immune-stimulatory ligand that can polarize T cell differentiation toward Th17 cells, a T cell subset that has been recently implicated in the pathogenesis of autoimmune diseases, including RA.

### INTRODUCTION

Rheumatoid arthritis (RA) is a chronic inflammatory disease that leads to joint destruction and early death (1, 2). The pathogenesis of the disease is not fully understood, but previous studies have shown that RA is closely associated with *HLA-DRB1* alleles that code a five amino acid sequence motif in residues 70–74 of the DR $\beta$  chain (3, 4) – commonly referred to as the “shared epitope” (SE). The disease in SE-positive patients begins earlier and is more erosive than in SE-negative individuals (5). The mechanism underlying the effect of SE in RA is unclear. Based on the known role of MHC molecules in antigen presentation, the prevailing hypotheses postulate that either presentation of arthritogenic self-peptides (6), molecular mimicry with foreign antigens (7), or T cell repertoire selection (8) are involved.

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Although these hypotheses are plausible, evidence to support them is inconclusive. We have recently discovered a novel functional role of the SE: acting as a signal transduction ligand that activates innate immune signaling in other cells. Our data have shown that whether expressed in its native conformation on the cell surface, or as a cell-free HLA-DR tetrameric molecule, or engineered into large recombinant proteins, or as a short synthetic peptide, the SE activated in all cases nitric oxide (NO)-mediated signaling *in trans* in a strictly allele-specific manner (9–11).

SE-triggered signaling is transduced via cell surface calreticulin (CRT) (12), a known innate immunity receptor (13), which is expressed on the surface of many cells (14, 15). CRT serves as the signal-transducing receptor for members of the collectin family and other innate immune system ligands (16). Importantly, CRT plays a pivotal role in the junction between tolerance and autoimmunity due to its critical role in elimination of apoptotic cells (17). Aberrant activation of CRT-mediated pathway can lead to autoimmunity as exemplifies by conditions that involve defective CRT-mediated clearance of apoptotic cells (18).

CRT is expressed on dendritic cells (DCs), which are believed to play a role in the pathogenesis of RA (19). DCs are strategically positioned in the interface between the innate and adaptive immune systems. In addition to their antigen presentation role, DCs also induce tolerance through cross-talk with regulatory T (Treg) cells (20). A growing body of evidence indicates that the tolerogenic effect of DCs is mediated to a large extent by indoleamine 2,3 dioxygenase (IDO), an enzyme that catabolizes tryptophan (21). IDO is inducible by IFN $\gamma$  (22) and by CTLA-4 (23), while NO (24, 25) and IL-6 (26) potently inhibit its activity. Relevant to RA, activation of IDO in DCs by Treg-expressed CTLA-4 has been shown to inhibit Th17 cells (27), a T cell subset that is believed to play a key role in RA pathogenesis (28).

To gain insights into the role of the SE in immune regulation, in this study we have undertaken to examine its functional effects on DCs. We show here that the SE inhibits IDO activity in the CD11c<sup>+</sup>CD8<sup>+</sup> subset of murine DCs and increases IL-6 production by CD11c<sup>+</sup>CD8<sup>-</sup> DCs. This leads to enhanced differentiation and expansion of Th17 cells with a reciprocal effect on Treg cells.

## MATERIALS AND METHODS

### Mice and reagents

All mice were from Jackson Laboratory. Experiments were carried out in 5–10 week-old male DBA/1, Balb/c, C57BL/6, or a DBA/1 mouse line carrying transgenic (Tg) collagen type II (CII)-specific TCR [D1Lac.Cg-Tg(TCR $\alpha$ ,TCR $\beta$ )24Efro/J]. For brevity, the latter mouse line is designated here as “CII-TCR Tg mice”. The animals were housed in the University of Michigan Unit for Laboratory Animal Medicine facility. All experiments were performed in accordance with protocols approved by University of Michigan Committee on Use and Care of Animals.

Monoclonal antibodies against mouse CD3 (clone 2C11), IL-4 (clone 11B11), IFN $\gamma$  (clone R46A2), and IL-2 (clone S4B6) were purified from the supernatants of hybridomas obtained from the University of Michigan Hybridoma Core Facility. Purified anti-mouse CD28 (clone 37.51) and murine rIL-23 were purchased from e-Bioscience (San Diego, CA). Human rTGF $\beta$  and rIFN $\gamma$ , as well as murine rIL-4, rIFN $\gamma$ , rGM-CSF and rIL-6 were purchased from Peprotech (Rocky Hill, NJ).

Peptides were synthesized and HPLC-purified to > 90% by the University of Michigan Protein Structure Facility as previously described (9, 12). SE-expressing 15mer peptides, designated as 65–79\*0401 (aa sequence 65-KDLLEQKRAAVDTYC-79), or 65–79\*0404 (aa sequence 65-KDLLEQRRAAVDTYC-79), corresponded to the third allelic hypervariable region (HVR3) of the DR $\beta$  chain encoded by of SE-positive *HLA-DRB1\*0401* or *HLA-DRB1\*0404* alleles, respectively. Control 15mer peptides 65–79\*0402 (65-KDILEDERRAAVDTYC-79) and 65–79\*0403 (65-KDLLEQRRAEVDTYC-79) corresponded to the HVR3 of the DR $\beta$  chain encoded by of SE-negative *HLA-DRB1\*0402* or *HLA-DRB1\*0403* alleles, respectively. The CII<sub>259–273</sub> peptide, which corresponds to residues 259–273 of chicken CII, was kindly provided by Dr. Steven Lundy.

Chimeric hepatitis B core (HBc) particles engineered to express the HVR3 of the HLA-DR $\beta$  chain were prepared as previously described (9) at the Latvian Biomedical Research and Study Center, (Riga, Latvia). HBc particles expressing a SE-positive HVR3, encoded by *HLA-DRB1\*0401* (designated here as HBc\*0401) or a SE-negative HVR3, encoded by *HLA-DRB1\*0402* (designated here as HBc\*0402) were used in this study as previously described (9). SE-positive HLA-DR tetramers DRB1\*0401/DRA1\*0101 (designated here as T-DRB1\*0401), SE-negative DRB1\*1501/DRA1\*0101 (T-DRB1\*1501), and SE-negative DRB1\*0301/DRA1\*0101 (T-DRB1\*0301), all containing identical class II-associated invariant chain peptide (CLIP) in the peptide-binding groove, were generated by the National Institutes of Health Tetramer Core Facility as previously described (26). Unless stated otherwise, all chemicals were from Sigma-Aldrich (St. Louis, MO).

### Isolation and culture of cells

Murine L cell transfectants expressing human HLA-DR $\alpha/\beta$  heterodimers (29) and human fibroblast line M1 (9) were maintained as we previously described. For generation of CD11c<sup>+</sup>DCs, mouse bone marrow cells were plated in culture flasks ( $2 \times 10^6$  cells/ml per T150, Costar, Corning, NY) in RPMI 1640 medium containing 2 mM L-glutamine, 10% FBS, 1% Penicillin-Streptomycin, 10 mM HEPES buffer solution, 10 mM Sodium Pyruvate, 50 mM 2-mercaptoethanol, GM-CSF (10 ng/ml) and IL-4 (10 ng/ml). On day 3, half of the medium was removed and fresh medium containing GM-CSF (10 ng/ml) and IL-4 (10 ng/ml) were added. After 5–7 days, DCs were purified using positive selection columns with CD11c microbeads (Miltenyi Biotec Inc, CA, USA) as previously described (30). For preparation of CD11c<sup>+</sup>CD8<sup>+</sup> and CD11c<sup>+</sup>CD8<sup>-</sup> DCs, freshly isolated splenic DCs were subjected to positive selection with CD11c and CD8 $\alpha$  microbeads. Purified DC subsets were then cultured in RPMI 1640 medium containing 2 mM L-glutamine, 10% FBS, 1% Penicillin-Streptomycin, 10 mM HEPES buffer solution, 10 mM Sodium Pyruvate and 50 mM 2-mercaptoethanol. CD4<sup>+</sup> T cells were isolated from the spleen, using a negative selection immunomagnetic isolation kit (EasySep<sup>®</sup>, Stem Cell technology, Vancouver, Canada) according to the manufacturer's instructions. To purify CD4<sup>+</sup>CD25<sup>-</sup>CD62L<sup>+</sup>CD44<sup>-</sup> naïve T cells, CD4<sup>+</sup>T cells were incubated with FITC anti-mouse CD4 and a mixture of PE-labeled anti-CD25, APC-labeled anti-CD62L and Pe-Cy7-labeled anti-CD44 antibodies (all from Biolegend, San Diego, CA). CD4<sup>+</sup>CD25<sup>-</sup>CD62L<sup>+</sup>CD44<sup>-</sup> naïve T cells were sorted using a FACSDiva<sup>™</sup> instrument (Becton Dickinson, Franklin Lakes, NJ) with a purity > 98%.

### Measurement of NO production, IDO activity and cytokine secretion

To determine the rate of NO production, cells were loaded with 20  $\mu$ M of the fluorescent NO probe 4,5-diaminofluorescein diacetate (DAF-2DA) and the fluorescence level was recorded every 5 minutes over a period of 500 minutes using a Fusion  $\alpha$ HT system (PerkinElmer Life Sciences) at an excitation wavelength of 488 nm and emission wavelength of 515 nm. To determine IDO enzymatic activity, the generation of its product,

kynurenine was measured as previously reported (31). Cytokine concentrations were measured in cell culture supernatants using a Luminex platform (Millipore Corporation, Danvers, MA). In some experiments, cytokines were determined using ELISA (Quantikine®, R&D Systems, Minneapolis, MN) following the manufacture's instruction.

### Determination of surface CRT expression on DCs

Splenic cells from DBA/1 mice were isolated followed by purification of DC subtypes using positive selection columns with CD11c and CD8α microbeads. Purified cells were stained for flow cytometry analysis using PE anti-mouse CD8 (clone 53-6.7, BD Pharmingen, San Jose, CA), FITC anti-rabbit CRT (ABR - Affinity Bioreagents, Rockford, IL) and isotype controls (Biolegend, San Diego, CA).

### Treg differentiation

DBA/1 bone marrow-derived CD11c<sup>+</sup>DCs were placed in 24-well plates (BD Biosciences, San Jose, CA) at a density of  $2.5 \times 10^5$  cells per well and cultured overnight with or without 50 μg/ml of peptidic (65-79\*0401 or 65-79\*0402), or 2 μg/ml of tetrameric (T-DR1\*0401, T-DR1\*0301, or T-DR1\*1501) ligands at 37°C. On the following day,  $5.0 \times 10^5$  CD4<sup>+</sup> T cells or CD4<sup>+</sup>CD25<sup>-</sup>CD62L<sup>+</sup>CD44<sup>-</sup> naïve T cells, isolated as described above, were added to each well in addition to anti-CD3 antibodies (5 μg/ml) and rhTGFβ (2.5 ng/ml).

After 5 days in culture, cells were harvested and stained for flow cytometric analysis using FITC anti-mouse CD4 (clone XMG1.2), PE anti-mouse CD25 (clone PC61) and isotype controls (Biolegend, San Diego, CA). Next, cells were permeabilized and fixed using a Cytotfix/Cytoperm kit (BD Biosciences, San Jose, CA) as recommended by the manufacturer. After permeabilization, cells were stained using an APC-conjugated anti-mouse Foxp3 antibody (clone FLK-16S from e-Bioscience, San Diego, CA) and analyzed by FACScalibur flow cytometer using the CELLQuest™ software (Becton Dickinson, Franklin Lakes, NJ).

### Th17 differentiation

Bone marrow-derived CD11c<sup>+</sup>DCs ( $2.5 \times 10^5$  cells per well) were cultured overnight in 24-well plates with or without SE ligands or controls as above. Then,  $5 \times 10^5$  CD4<sup>+</sup> T cells or CD4<sup>+</sup>CD25<sup>-</sup>CD62L<sup>+</sup>CD44<sup>-</sup> naïve T cells were added at the ratio of 2:1 in the presence of Th17-polarizing cytokine/antibodies cocktail containing: anti-IL4 (2 μg/ml), anti-IFNγ (2 μg/ml), anti-IL2 (3 μg/ml), rhTGFβ (5 ng/ml), rmIL-6 (20 ng/ml), rmIL-23 (10 ng/ml), anti-CD3 (5 μg/ml) and anti-CD28 (1 μg/ml) as previously described (32).

After 6 days, cells were stimulated with PMA (5 ng/ml) and ionomycin (500 ng/ml) for the last 6 hrs of culture. Brefeldin A (10 μg/ml) was added to the culture for the last 5 hrs. Cells were then harvested and stained for surface marker using PercP anti-mouse CD4 or isotype control (Biolegend, San Diego, CA) followed by fixation and permeabilization using a Cytotfix/Cytoperm™ kit. Intracellular staining was performed using PE-conjugated anti-mouse IL-17A mAb (clone TC11-18H 10.1 from Biolegend, San Diego, CA). Mean fluorescence intensity and percentages of stained cells were determined by flow cytometry.

### Proliferation assays

Cells were labeled with 1 μM of CFSE (Molecular Probes™, Invitrogen Corporation, Carlsbad, CA), stained with CD4-PercP, CD25-Pe, and Foxp3-APC or IL-17A-APC antibodies (Biolegend, San Diego, CA) and proliferation was determined by measuring the percentages of CFSE-labeled cycling CD4<sup>+</sup> T, CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>+</sup> Treg or CD4<sup>+</sup>IL17A<sup>+</sup> Th17 cells, using a FACS analysis.

### Determination of the SE polarizing effect *In vivo*

Mice were injected subcutaneously in the footpad with 100 µg of chicken collagen type II (CII) (Chondrex, Inc, Redmond, WA) emulsified in CFA (4 mg/ml). The inoculums contained 10 µg of either SE-positive 65–79\*0401 or SE-negative 65–79\*0402 ligands in PBS, or an equal volume of PBS alone. Animals were sacrificed 7 days after immunization. For Th17 quantification studies, inguinal and popliteal lymph nodes were collected and single cell suspensions were prepared. Unfractionated lymph node cells were cultured with PMA, Ionomycin and Brefeldin A for 6 hours as above. Cells were stained with FITC anti-mouse CD4 or isotype controls, followed by fixation and permeabilization using a Cytotfix-Cytoperm™ kit. After permeabilization, intracellular staining was performed using PE-conjugated anti-mouse IL17A and APC-conjugated anti-mouse IFN-γ and cells were analyzed by flow cytometry as above. To measure IL-17 production, splenocytes from mice immunized as above were stimulated *in vitro* with 5 µg of CII<sub>259–273</sub> peptide. At different time points thereafter, supernatants were collected and assayed for IL-17 by ELISA as above.

### Data analysis

A 2-tailed Student's *t*-test was used. Unless stated otherwise, an asterisk indicates  $p < 0.05$ .

## RESULTS

### The SE inhibits IDO activity

In previous studies we have demonstrated that the SE ligand indiscriminately activates NO signaling in different cell lineages from several species (9–12). As a prelude to investigating the immune regulatory effect of the SE, we first confirmed that it activates NO signaling in DCs. As expected, the SE activated robust NO production in CD11c<sup>+</sup>DCs from several mouse strains in a strictly allele-specific manner (Supplemental Figure 1). Thus, similar to its effect in many other cell lineages, the SE activates NO signaling in mouse DCs as well.

Given the known inhibitory effect of NO on IDO activity (24), we next examined whether the SE could affect IDO enzymatic activity. In addition to a small subset of DCs (33), IDO is expressed in several other cell lineages, including fibroblasts. Given the much greater abundance of fibroblasts over IDO-producing DCs, we first determined the effect of the SE on IDO activity in murine fibroblast L-cells transfectants expressing functionally and structurally intact HLA-DRα/β heterodimeric molecules on their surface through cDNA transfection (29). As can be seen in Fig. 1A, transfectants expressing SE-positive HLA-DR molecules on their surface (lines L-565.5 and L-300.8, expressing the SE-positive DRβ 0401 or DRβ 0404 molecules, respectively) produced significantly less kynurenine in response to IFNγ, compared to transfectants expressing SE-negative HLA-DR molecules (lines L-514.3 and L-259.3 expressing SE-negative DRβ 0402 or DRβ 0403 molecules, respectively). An identical pattern was seen when M1 fibroblasts were stimulated with SE peptidic ligands 65–79\*0401 or 65–79\*0404. As can be seen in Fig. 1B, the SE ligands strongly inhibited IFNγ-induced IDO activity. SE-negative controls 65–79\*0402 and 65–79\*0403 did not inhibit IDO activity. Consistent with previous studies (24, 34) the NO-donor S-nitroso-N-acetylpenicillamine (SNAP) inhibited IDO activity too. Thus, these results demonstrate that the SE, whether physiologically expressed on the cell surface, or added as a cell-free ligand effectively and specifically inhibits the activity of the tolerogenic enzyme IDO in human and murine cells.

In order to proceed with the studies reported here that are focused on DBA/1 mice, we first confirmed (Fig. 1C) that IFNγ-induced IDO activity in this strain is found in CD11c<sup>+</sup>CD8<sup>+</sup> DCs, but not in CD11c<sup>+</sup>CD8<sup>-</sup> DCs, similar to published reports in other strains (33). To

examine the effect of maturation on IDO activity in DBA/1 mice, CD11c<sup>+</sup>CD8<sup>+</sup> DCs were incubated for 24 hrs with or without LPS (1 µg/ml) and IFN $\gamma$ -induced IDO activity was determined. As shown in Fig. 1D, activation of IDO in immature DCs was significantly more potent than in mature cells. We also confirmed that, similar to other mouse strains, IDO activation in DBA/1 mice is inhibited by NO by demonstrating that IFN $\gamma$ -induced IDO activity in DBA/1 immature CD11c<sup>+</sup>CD8<sup>+</sup> DCs is potently inhibited by the NO-donor SNAP (Fig. 1E). Finally, to determine the effect of the SE on IDO activity in DCs, DBA/1 immature CD11c<sup>+</sup>CD8<sup>+</sup> DCs were pre-incubated with HbC particles engineered to express the HVR3 (residues 65–79) encoded by the SE-positive allele *DRB1\*0401* (designated HbC\*0401), or the HVR3 encoded by the SE-negative allele *DRB1\*0402* (HbC\*0402). Cells were then stimulated with IFN $\gamma$  and IDO activity was determined as above. As can be seen in Fig. 1F, SE-positive HbC\*0401 but not SE-negative HbC\*0402 particles significantly inhibited IDO activity in DCs. Thus, our data indicate that the SE ligand inhibits IDO activity in CD11c<sup>+</sup>CD8<sup>+</sup> DCs.

### Cytokine production by SE-stimulated DCs

In addition to IDO-mediated T cell regulation, DCs can affect immune responses by producing cytokines capable of activating or expanding particular subsets of T cells, thereby polarizing the immune response. For example, in mice, the combination of IL-6 and TGF $\beta$  facilitates differentiation of Th17 cells, while IL-23 is involved in the expansion of this subset (35). In order to determine whether SE-activated signaling in DCs induces cytokine production, we studied supernatants of SE-stimulated DCs. As can be seen in Fig. 2, the SE ligand 65–79\*0401 activated a robust production of IL-6 in CD11c<sup>+</sup>CD8<sup>-</sup> DCs, but not in the CD11c<sup>+</sup>CD8<sup>+</sup> subset. IL-6 levels peaked at a relatively early time point (24h) and later declined. This pattern is likely a result of short half life of the peptidic ligand due to rapid degradation in tissue culture conditions. The SE-negative control 65–79\*0402 did not trigger any cytokine production. Other cytokines (IL-4, IL-10, IL-12 IL-1 $\beta$ , TGF $\beta$ ) did not show any increased production, attesting to the specificity of the SE effect (Fig. 2). Thus, while in CD8<sup>+</sup> DCs the SE inhibited IDO activity (Fig. 1) its IL-6 production effect was restricted to the CD8<sup>-</sup> DCs subset (Fig. 2). The reason for this dichotomy is presently unknown, but may be attributed to differential abundance of the receptor CRT on the surface of these two DC subsets (Supplemental Figure 2).

It is worth pointing out that SE-activated IL-6 production could be seen in CD11c<sup>+</sup>CD8<sup>-</sup> DCs only when they were separated from the CD11c<sup>+</sup>CD8<sup>+</sup> subset, but not when unfractionated CD11c<sup>+</sup> DCs were assayed (Fig. 2). This could be explained by the fact that although CD11c<sup>+</sup>CD8<sup>+</sup> DCs are a small subset (~5–15% of splenic CD11c<sup>+</sup> cells), once activated by the SE, they could exert potent inhibitory effect on the activation of CD11c<sup>+</sup>CD8<sup>-</sup> DCs, consistent with previously reported DCs suppressive effects (36). Recent studies have indeed shown that IDO produced by a small subset of DCs can dominantly suppress production of IL-6 in other DCs (37, 38). We should point out however that in preliminary studies using pharmacologic IDO inhibitors we could not reverse the apparent suppressive effect of IDO-producing DCs (data not shown). More experimental effort is required to conclusively address this question.

IL-23 levels in DCs did not increase following stimulation with the SE ligand (Fig. 3). However, in the presence of LPS (100 ng/ml), the SE had a prolonged synergistic effect in CD11c<sup>+</sup>DCs. As can be seen, the SE had no effect when applied alone, but in the presence of LPS it had a synergistic effect, which lasted for up to 72 hours after stimulation, long after LPS effect had subsided (Fig.3). The effect was specific for IL-23, since no synergism was found in the production of another LPS-inducible cytokine, IL-6 (Fig. 3, bottom).

### Inhibition of Treg differentiation by the SE

It has been previously demonstrated that IDO inhibition (39) or increased IL-6 levels (40) inhibit Treg cells. As shown above, the SE inhibited IDO activity in CD11c<sup>+</sup>CD8<sup>+</sup> DCs and increased IL-6 production in CD11c<sup>+</sup>CD8<sup>-</sup> DCs. Therefore, our next step was to determine whether the SE interferes with Treg differentiation or expansion. Accordingly, DBA/1 CD11c<sup>+</sup>DCs were first incubated overnight with the SE ligand 65–79\*0401, or SE-negative control 65–79\*0402, or with medium. DCs were then co-cultured with purified syngeneic CD4<sup>+</sup> T cells (Fig. 4A) or CD4<sup>+</sup>CD25<sup>-</sup>CD62L<sup>+</sup>CD44<sup>-</sup> naïve T cells (Fig. 4B) in the presence of TGF-β (2.5 ng/ml) and anti-CD3 antibodies (5.0 μg/ml). After 5 days, CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>+</sup> Treg abundance was determined by flow cytometry. As shown in Figs. 4A and 4B, the SE ligand 65–79\*0401 significantly inhibited Treg expansion and differentiation, respectively. The inhibitory effect of 65–79\*0401 on Treg differentiation was statistically significant, yet modest. We have previously observed that peptidic SE ligands exert weaker signaling effects due to their flexible conformation in solution (9, 10, 12). To address this possibility, similar Treg differentiation experiments were performed using SE-positive HLA-DR tetramer (designated T-DRB1\*0401), or control, SE-negative HLA-DR tetramers (T-DRB1\*1501 or T-DRB1\*0301) instead of soluble peptides. The HLA-DR molecule in tetramers is folded in its natural tri-dimensional conformation and therefore better preserves the physiologic function of the protein. Fig. 4C shows that the SE-positive tetramer T-DRB1\*0401 indeed had a specific and much more potent inhibitory effect on Treg differentiation.

### SE-activated DCs facilitate Th17 differentiation

As shown above, in the CD11c<sup>+</sup>CD8<sup>-</sup> DC subset, the SE ligand 65–79\*0401 triggered a robust production of IL-6, an obligatory cytokine for Th17 differentiation. IL-23 production by LPS-treated DCs was also augmented by 65–79\*0401. We therefore next determined whether the SE ligand can facilitate Th17 differentiation or activation. To determine whether the SE affects Th17 differentiation, CD11c<sup>+</sup>DCs were stimulated overnight with either peptidic or tetrameric ligands as above. Next, CD4<sup>+</sup>CD25<sup>-</sup>CD62L<sup>+</sup>CD44<sup>-</sup> naïve T cells were added and cultured in the presence of a Th17-polarizing cocktail of cytokines and antibodies as discussed in the Methods section. After 6 days, cells were collected and analyzed by flow cytometry. As can be seen in Fig. 5A, the SE ligand 65–79\*0401 induced a significant increase in the differentiation of CD4<sup>+</sup>IL17A<sup>+</sup> T cells. A more robust SE-induced Th17 polarization effect could be seen when DCs were stimulated with SE-positive HLA-DR tetramers (Fig. 5B).

To determine whether SE-activated DCs can increase IL-17 production in CD4<sup>+</sup> T cells, CD11c<sup>+</sup>DCs were incubated overnight with SE ligands or control reagents. Cells were then co-cultured with total CD4<sup>+</sup> T cells. As shown in the Fig. 6, SE-activated DCs induced higher intracellular (Fig. 6A) and extracellular (Fig. 6B) IL-17 expression in co-cultured CD4<sup>+</sup> T cells, compared to cells cultured with DCs pre-incubated with a control reagents or medium. The effect was seen both with a soluble SE peptidic ligand, as well as with conformationally preserved ligand in the form of an HBC particle (Fig. 6B).

We have also found that the SE affected the proliferation of Th17 and Tregs in a reciprocal manner. Enhanced expansion through increased proliferative activity of Th17 cells and decreased proliferative activity of Tregs could be seen in both TCR-independent (Supplemental Figure 3) and TCR-mediated (Supplemental Figure 4) T cell activation conditions.

Finally, to determine the biologic significance of the *in vitro* data shown above, we have undertaken to characterize the SE polarizing effect *In vivo* (Fig. 7). Draining lymph nodes of

control DBA/1 mice immunized with CII had a 0.65% abundance of Th17 cells, consistent with published data showing frequencies of less than 1% of Th17 in draining lymph nodes (41, 42). Co-administration of the SE-negative 65–79\*0402 had no effect on Th17 abundance. However co-administration of the SE ligand 65–79\*0401 dramatically increased the frequency of these cells (Fig. 7A). As can be seen, the SE-induced expansion was specific for Th17 cells since there was no change in the frequency of Th1 (IFN $\gamma$ -positive) cells (Fig 7A). Additionally, splenocytes from DBA/1 mice immunized with CII in the presence of the SE ligand 65–79\*0401 showed significantly more robust CII-stimulated IL-17 production, compared to splenocytes obtained from mice co-immunized with the SE-negative control 65–79\*0402 (Fig 7B). Thus, when taken collectively, our data indicate that the SE facilitates Th17 polarization both *in vitro* and *In vivo*.

## DISCUSSION

In prior studies we have demonstrated that the SE activates innate immune signaling in many cell lineages (12). Here we show that when murine DCs were exposed to the SE ligand, they enhanced Th17 differentiation and inhibited the generation of Treg cells. The SE effect in DCs was found to be allele-specific and particularly potent when the ligand was presented in its physiologic tri-dimensional conformation.

The functional dichotomy between DC subsets relative to their response to the SE ligand is intriguing. As shown here, in CD11c<sup>+</sup>CD8<sup>-</sup> DCs, the SE triggered robust production of the pro-inflammatory and immunostimulatory cytokine, IL-6, while in CD11c<sup>+</sup>CD8<sup>+</sup>DCs it inhibited induction of IDO. These data demonstrate that identical ligands can produce markedly different functional effects in different cellular contexts. The variability of the functional response may conceivably be attributed to differential abundance of the receptor, as suggested in Supplemental Figure 2, or modulatory effects by other signaling pathways. Such factors could vary markedly among different lineages in different tissue sites. Specifically relevant to immune regulation, the data shown here reiterate the known functional heterogeneity of different DC subsets and add new insights into the distinct effects of the SE in those subsets. It is worth mentioning that several reports have shown that IDO is selectively expressed by a small subset of murine plasmacytoid DCs that express the B cell marker CD19 (43, 44). The relevance of that small DC subset to the findings shown here is presently unknown. More research is needed in order to dissect the fine phenotypic and functional characteristics of different DC subpopulations relative to their role in SE-triggered IDO inhibition.

Although the SE ligand alone did not activate IL-23 production in DCs in the *in vitro* experiments described here, it produced a potent synergistic effect in the presence of low concentrations of LPS (Fig. 4). The effect was specific for the SE ligand, because a SE-negative control reagent failed to produce it. The synergistic effect with LPS shown here is reminiscent to LPS effect on high molecular group binding protein-mediated activation (45). Different from that synergism, however, the SE-LPS effect reported here selectively involved IL-23 production, but not that of IL-6. Since IL-23 has been previously shown to induce Th17 cell expansion, it is tempting to speculate that under certain co-stimulatory *In vivo* conditions, the SE may accelerate pathogen-triggered expansion of Th17 cells.

To simulate stringent structural conditions, the T cell polarization studies were repeated with physiologically-folded SE ligands in the form of tetrameric molecules (Fig. 4C, 5B). It should be noted that tetramers are made of four identical units of the HLA-DR heterodimeric molecule, each folded in its native tri-dimensional conformation. To assure consistency among different tetramers, they were all loaded with identical groove peptide, CLIP (26). Likewise, SE-expressing HBC particles have been engineered to allow the SE to



preserve its native  $\alpha$  helical structure outside of the HLA-DR molecule context and without the presence of groove peptide (10). Therefore, the significance of the experiments using these reagents is two fold: First, they demonstrate high consistency among data generated with the SE in several different formulations and, most importantly, given their intact tri-dimensional conformation, the results attest to the physiologic relevance of the findings.

The data presented here provide the first direct indication that the SE may be acting as an immune stimulatory ligand. The study demonstrates that the SE, independent of groove peptides, activates pathogenically-relevant immune system events. In addition to its generic antigen-independent Th17 polarization effects, the SE particularly facilitates antigen-specific immune responses. One scenario to consider is that the SE could allow promiscuous augmentation of various antigen-specific immune responses and increase their autoimmune potential. Depending on genetic and environmental co-factors, the nature of the specific antigens and their tissue distribution, the SE could conceivably catapult the onset of distinct autoimmune diseases. This scenario could provide a plausible explanation for the counterintuitive, promiscuous association of the SE - a highly specific HVR3 sequence - with many antigenically-unrelated human diseases and animal models (discussed in 10).

Based on the findings of this study, in Figure 8 we propose a model describing the SE immune polarizing effects. Given the superior potency of physiologically-folded tetramers shown here, we propose that the tri-dimensional conformation of the SE plays a significant role in its immune effect. Accordingly, our model predicts that SE-expressing HLA-DR molecules are most effective *In vivo* when they are expressed on the cell surface and interact *in trans* with CRT expressed on other cells. It should be clarified, however, that we cannot rule out a scenario in which SE-positive HLA-DR molecules that are shed to the circulation (or SE-expressing peptidic fragments of such molecules) may exert similar effects. Additionally, SE-CRT interaction on the same cell surface (*in cis*) cannot be ruled out as well.

Our model predicts that as a result of CRT ligation by the SE, two events take place: 1. In CD11c<sup>+</sup>CD8<sup>+</sup> DCs, the SE ligand inhibits activation of IDO. Consequently, tryptophan catabolism diminishes with resultant inhibition of Treg differentiation; 2. In CD11c<sup>+</sup>CD8<sup>-</sup> DCs SE-activated signaling leads to over production of Th17-promoting cytokines IL-6 and - in the presence of LPS - IL-23. The end result of the SE dual effect on DCs is immune imbalance and Th17 polarization.

The balance between Treg and Th17 has been previously shown to play a role in the pathogenesis of inflammatory arthritis. For example, IL-17 has been found to enhance angiogenesis, matrix metalloproteinase activation, osteoclastogenesis, leukocyte recruitment and inflammation (46). Additionally, both IL-17-producing cells and IL-17 are abundantly expressed in the RA joint (47, 48) and IL-17 neutralization prevents experimental arthritis development. Treg cells, on the other hand, have been shown to modulate disease severity in the collagen-induced arthritis model (49, 50). Thus, based on the well documented impact of Treg/Th17 balance on autoimmune arthritis, we propose that the mechanism described here may contribute to SE-associated RA severity.

In summary, we demonstrate here a previously uncharacterized immune polarization by the SE ligand both *in vitro* and *In vivo*. The effect is highly allele-specific and particularly potent with physiologically-folded ligands. Studies are presently under way to determine whether the polarizing effect of the SE ligand can facilitate autoimmunity in mice.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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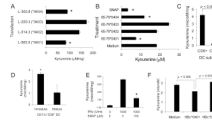
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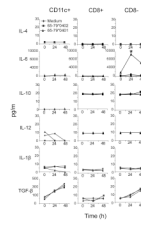
## Abbreviations used in this paper

<b>CRT</b>	calreticulin
<b>DC</b>	dendritic cell
<b>FU</b>	fluorescence units
<b>HBc</b>	hepatitis B core
<b>HVR3</b>	third allelic hypervariable region
<b>RA</b>	rheumatoid arthritis
<b>SE</b>	shared epitope
<b>SNAP</b>	S-nitroso-N-acetylpenicillamine
<b>Treg</b>	regulatory T cell



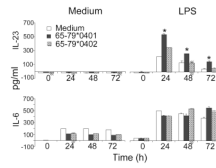
**FIGURE 1. Inhibition of IDO activity by the SE ligand**

(A) Murine L cells expressing either SE-positive (L-565.5, or L-300.8) or SE-negative (L-514.3, or L-259.3) functional HLA-DR molecules on their surface through cDNA transfection were incubated for 48 hrs with rhIFN $\gamma$  (1000 U/ml) and cellular IDO activity was determined. \*,  $p < 0.001$ . (B) M1 fibroblasts were incubated overnight with medium, NO donor SNAP, or 100  $\mu$ g/ml of SE ligands (65–79\*0401, 65–79\*0404), or with SE-negative controls (65–79\*0402, or 65–79\*0403). Cells were cultured for 48 hrs with rhIFN $\gamma$  and cellular IDO activity was determined. \*,  $p < 0.005$ . (C) The CD11c<sup>+</sup>CD8<sup>+</sup> and CD11c<sup>+</sup>CD8<sup>-</sup> DCs subsets were purified from DBA/1 spleens and their IDO activity in response to IFN $\gamma$  was determined. (D) CD11c<sup>+</sup>CD8<sup>+</sup> DCs were purified from DBA/1 spleens, matured or not with LPS, and their IDO activity in response to IFN $\gamma$  was determined. (E) DBA/1 splenic CD11c<sup>+</sup>CD8<sup>+</sup> DCs were activated with or without IFN $\gamma$ , in the presence of absence of the NO donor SNAP. IDO activity was determined at 48h as above. (F) DBA/1 splenic CD11c<sup>+</sup>CD8<sup>+</sup> DCs were pre-incubated for 1hr with or without HbC particles engineered to express the 65–79 region of DR $\beta$  chains, encoded by either SE-positive (HbC\*0401) or SE-negative (HbC\*0402) *HLA-DRB1* alleles. DCs were subsequently stimulated with IFN $\gamma$  and IDO activity was determined as above.



**FIGURE 2. The SE Activates IL-6 production in CD8<sup>+</sup> DCs**

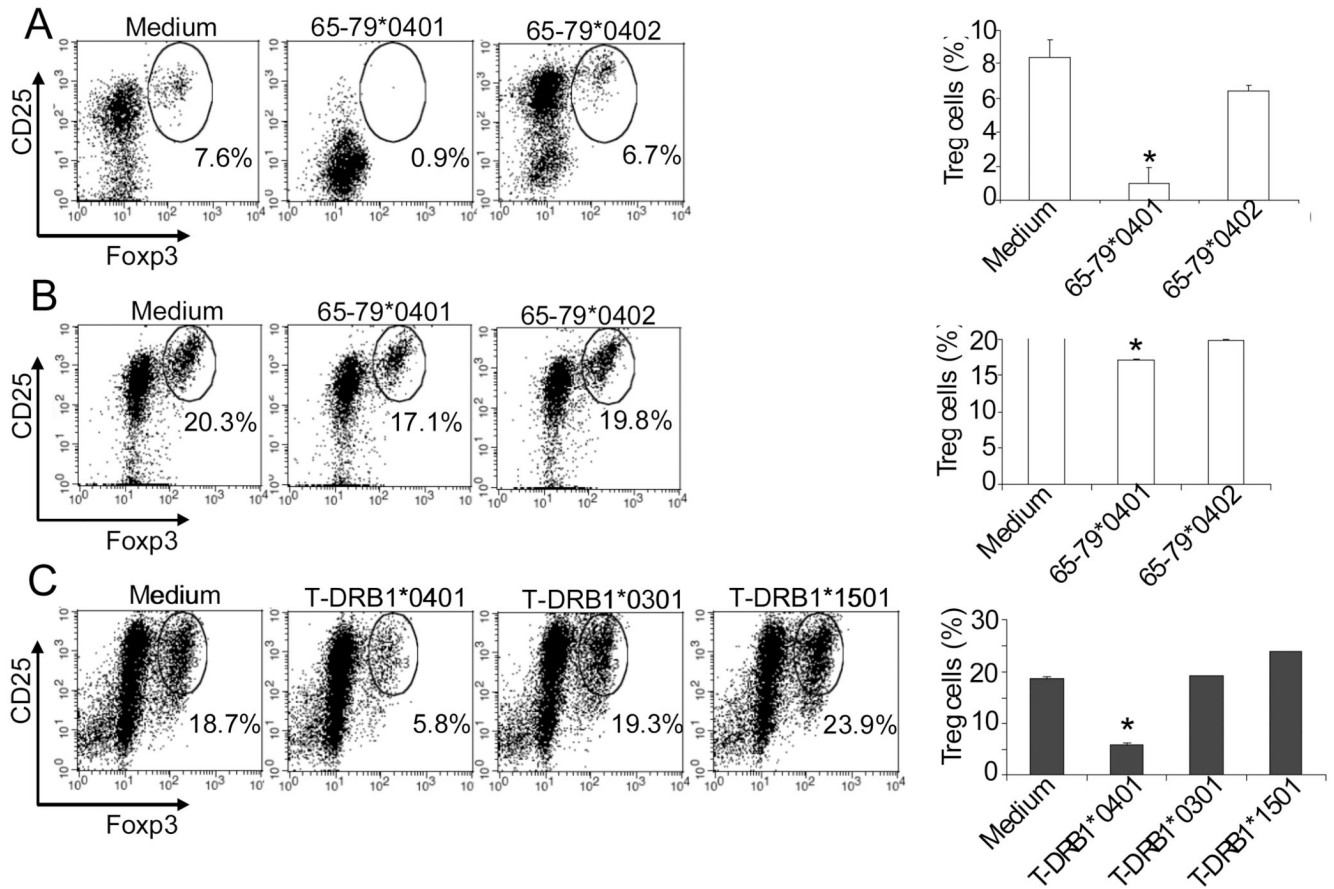
DBA/1 splenic unfractionated CD11c<sup>+</sup> DCs (CD11c<sup>+</sup>), or their purified CD11c<sup>+</sup>CD8<sup>+</sup> DCs (CD8<sup>+</sup>) or CD11c<sup>+</sup>CD8<sup>-</sup> DCs (CD8<sup>-</sup>) subsets were cultured with the SE ligand 65–79\*0401 or SE-negative control 65–79\*0402 or medium. Supernatants were collected at different time points and assayed for cytokine content using a Luminex platform. Data are from a representative experiment, one of three replications.



**FIGURE 3. The SE augments IL-23 production in LPS-stimulated CD11c<sup>+</sup>DCs**

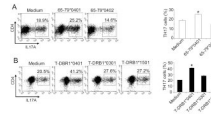
DBA/1 bone marrow-derived CD11c<sup>+</sup>DCs were cultured with or without 100 ng/ml LPS in the presence or absence of SE-positive or SE-negative 15mer peptides (50 μg/ml). Supernatants were collected at different time points and assayed for IL-23 and IL-6 content by ELISA. Data shown are representative of two duplicate experiments.





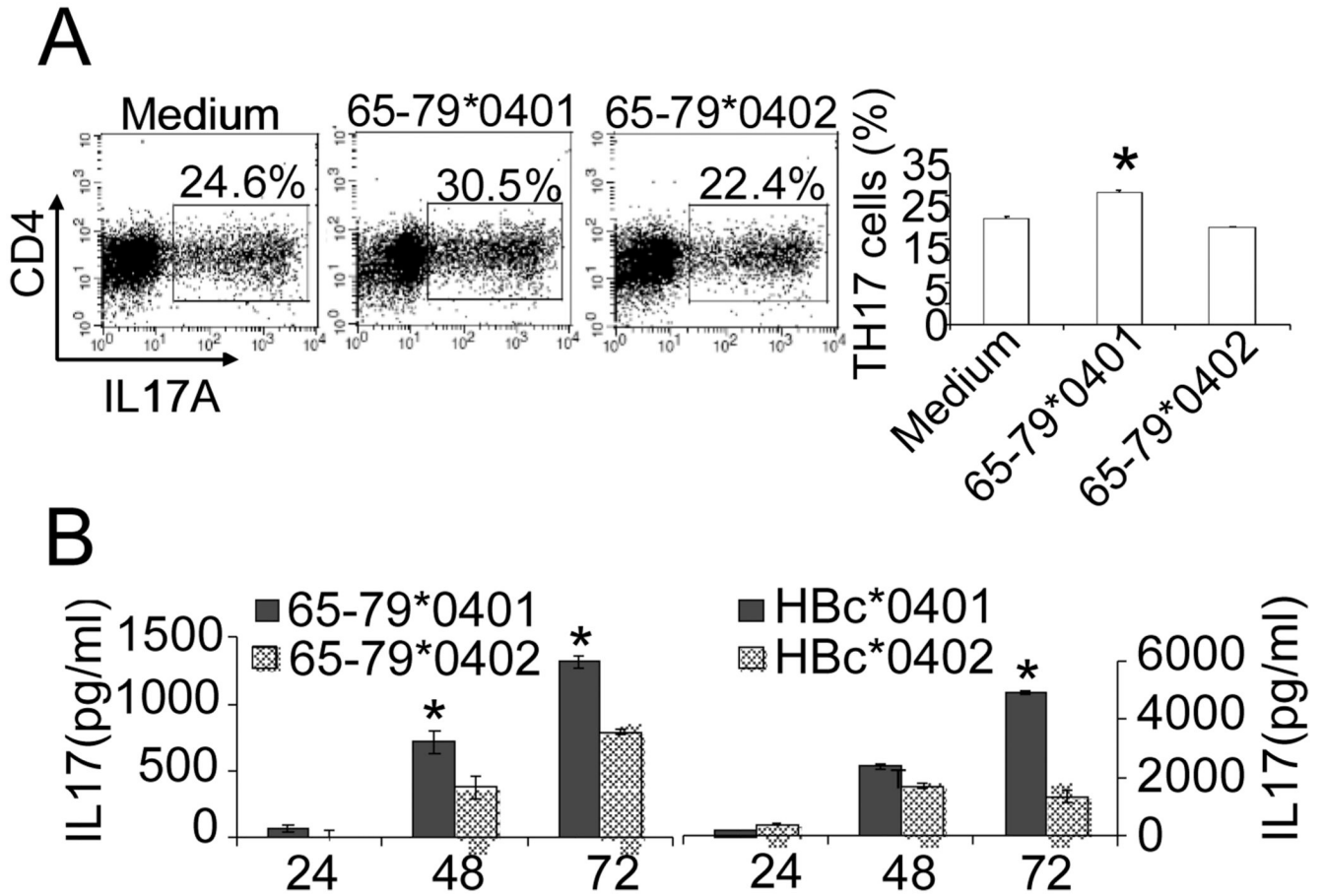
#### FIGURE 4. The SE inhibits Treg generation

(A) DBA/1 bone marrow-derived CD11c<sup>+</sup>DCs were cultured overnight with 50 μg/ml SE ligand 65-79\*0401 or SE-negative control 65-79\*0402, or medium. Syngeneic splenic CD4<sup>+</sup> T cells were then added to the culture, and incubated with anti-CD3 and TGF-β for 5 days. On the left, flow cytometry dot plots showing percentages of CD25<sup>+</sup>Foxp3<sup>+</sup> cells obtained from gated CD4<sup>+</sup> T cells in each treatment. Each plot is representative of three experiments. On the right, bar graphs present results as mean percentage ± SD of replicate samples. \*,  $p < 0.01$ , compared to both Medium and 65-79\*0402. (B) Cultures were performed as in (A), with the exception that CD4<sup>+</sup>CD25<sup>-</sup>CD62L<sup>+</sup>CD44<sup>-</sup> naïve T cells, instead of CD4<sup>+</sup> T cells were added to the CD11c<sup>+</sup>DCs. \*,  $p < 0.05$ , compared to both Medium and 65-79\*0402. (C) DBA/1 bone marrow-derived CD11c<sup>+</sup>DCs were incubated overnight with 2 μg/ml tetramers (SE-positive T-DRB1\*0401, versus SE-negative T-DRB1\*0301, or T-DRB1\*1501). Syngeneic CD4<sup>+</sup>CD25<sup>-</sup>CD62L<sup>+</sup>CD44<sup>-</sup> naïve T cells, anti-CD3 and TGF-β were then added to the culture and incubated for 5 days and analyzed as above. \*,  $p < 0.0005$ , compared to Medium, T-DRB1\*0301 and T-DRB1\*1501.



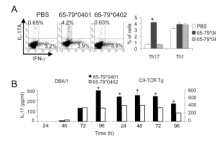
### FIGURE 5. The SE facilitates Th17 differentiation

DBA/1 bone marrow-derived CD11c<sup>+</sup>DCs were cultured overnight in the presence or absence of (A) SE ligand 65–79\*0401 or SE-negative control 65–79\*0402, or (B) SE-positive T-DRB1\*0401 tetramers, versus SE-negative T-DRB1\*0301, or T-DRB1\*1501 tetramers. Syngeneic splenic CD4<sup>+</sup>CD25<sup>-</sup>CD62L<sup>+</sup>CD44<sup>-</sup> naïve T cells plus a Th17-differentiation cytokine/antibody cocktail were then added to the culture and incubated for 6 days. Intracellular IL17A was determined by flow cytometry. On the left: a representative experiment, one of three repetitions, showing percentages of CD4<sup>+</sup>IL17A<sup>+</sup> cells as dot plots. On the right: bar graphs show results presented as mean percentage  $\pm$  SD of replicate samples. \*,  $p < 0.001$ .



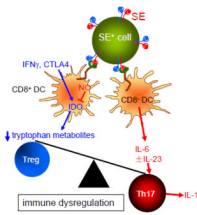
**FIGURE 6. Activation of IL-17 production in CD4<sup>+</sup> T cells by the SE**

(A) DBA/1 bone marrow-derived CD11c<sup>+</sup> DCs were cultured overnight with different peptidic ligands as above. Syngeneic splenic CD4<sup>+</sup> T cells plus a Th17-polarizing cocktail were added to the culture and incubated for 6 days. IL-17A-positive cells were quantified by flow cytometry. On the left: dot plots showing percentage of CD4<sup>+</sup>IL17A<sup>+</sup> T cells. On the right: bar graphs (mean  $\pm$  SD) of replicate samples. \*,  $p < 0.005$ . (B) To measure IL-17 secretion, DBA/1 bone marrow-derived CD11c<sup>+</sup>DCs were treated overnight with peptidic or particular ligands or medium and then co-cultured with CD4<sup>+</sup> T cells as above. Supernatants were assayed for IL-17 content by ELISA. Data is representative of two experiments. \*,  $p < 0.05$ .



**FIGURE 7. The SE facilitates Th17 polarization In vivo**

(A) DBA/1 mice were immunized with CII in CFA in the presence of PBS, 65–79\*0401 or 65–79\*0402. On day 7, cells from draining lymph nodes were isolated, cultured for 6 hours with PMA, Ionomycin and Brefeldin A and then stained with anti-mouse CD4, IL17A and IFN- $\gamma$  as above. On the left: Representative dot plots showing percentages of IL17A<sup>+</sup> and IFN- $\gamma$ <sup>+</sup> cells obtained from gated CD4<sup>+</sup> cells. On the right, bar graphs showing results as mean  $\pm$  SD of duplicate experiments. \*,  $p < 0.001$  compared to Medium and 65–79\*0402. (B) DBA/1 and CII-TCR Tg mice were immunized as in (A). On day 7, splenic cells were isolated and cultured with the synthetic peptide CII<sub>260–267</sub> (5  $\mu$ g/ml). Supernatants were collected every 24 hours and assayed for IL-17 levels by ELISA. Bar graphs show data as mean  $\pm$  SD of duplicate samples. \*,  $p < 0.005$ .



**FIGURE 8. A proposed model of the role of the SE ligand in autoimmunity**

The effect of the SE ligand is the end result of its interaction with two DC subsets. The SE ligand, expressed on MHC class II-positive cells, interacts with cell surface CRT (green) with resultant NO production. In CD8<sup>+</sup> DCs, increased NO levels inhibit the enzymatic activity of IDO, which leads to decrease tryptophan metabolites and reduced generation of Tregs. In CD8<sup>-</sup> DCs, the SE ligand activates production of IL-6 and, in the presence of LPS, could increase production of IL-23 as well. The end result is immune dysregulation that involves increased numbers of Th17 cells and increased production of the pro-arthritisogenic and autoimmunogenic cytokine IL-17.