

Dissemination of *bla*_{KPC-2} by the Spread of *Klebsiella pneumoniae* Clonal Complex 258 Clones (ST258, ST11, ST437) and Plasmids (IncFII, IncN, IncL/M) among *Enterobacteriaceae* Species in Brazil[∇]

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This article reports the spread of *bla*_{KPC-2} in the Sao Paulo and Rio de Janeiro states, facilitated by globally spread *K. pneumoniae* clonal complex 258 (CC258) clones (ST258, ST11, and ST437) and a diversity of plasmids (IncFII, IncN, and IncL/M, two untypeable plasmids carrying Tn4401a or Tn4401b) successfully disseminated among species of the *Enterobacteriaceae* (*Enterobacter cloacae*, *Serratia marcescens*, and *Citrobacter freundii*). It also constitutes the first description of sequence type 258 (ST258) in Brazil, which was associated with a nosocomial hospital outbreak in Ribeirão Preto city.

KPC (*Klebsiella pneumoniae* carbapenemase) enzymes are globally spread β -lactamases of Ambler class A, comprising 10 variants, KPC-2 and KPC-3 being predominant (26; <http://www.lahey.org/studies/>). They are mainly associated with *K. pneumoniae*, although KPC producers of other *Enterobacteriaceae* species, *Pseudomonas* and *Acinetobacter*, are increasingly reported (26, 39). The *bla*_{KPC} genes are part of Tn4401, which is often linked to mosaic platforms derived from Tn1331, a transposon containing *bla*_{OXA-9} and *bla*_{TEM-1} (24, 33). Expansion of clones and plasmids that have acquired Tn4401 seems to have fuelled the recent pandemic dissemination of *bla*_{KPC} genes (8, 15, 20, 21, 34). To date, only sporadic cases of *K. pneumoniae*, *Enterobacter cloacae*, *Serratia marcescens*, and *Escherichia coli* KPC-2 producers have been documented in Brazil (6, 9, 11, 19, 23, 28, 35, 40).

We analyzed 64 carbapenem-resistant isolates: 57 *K. pneumoniae* isolates, 5 *E. cloacae* isolates, 1 *S. marcescens* isolate, and 1 *Citrobacter freundii* isolate from different patients at 6 hospitals in two distant Brazilian regions (Table 1 and Fig. 1). Identification and antimicrobial susceptibility testing were accomplished by using semiautomatic systems and standard methods (7, 13). Clonal relatedness was established by pulsed-field gel electrophoresis (PFGE) and also by multilocus sequence typing in the case *K. pneumoniae* isolates (<http://www.pasteur.fr/recherche/genopole/PF8/mlst/Kpneumoniae.html>).

Characterization of KPC-2 producers included phenotypic assays, PCR, and further sequencing as reported previously (8).

The *K. pneumoniae* isolates corresponded to 5 PFGE types linked to 6 sequence types (STs): KpA-ST258 ($n = 51$, comprising 6 subtypes: 42 KpA, 1 KpA1, 3 KpA1', 2 KpA2, 2 KpA4, and 1 KpA4'), KpA6-ST11 ($n = 1$), KpB-ST327 ($n = 1$), KpC-ST44 ($n = 2$), KpD-ST437 ($n = 1$), and KpE-ST48 ($n = 1$). All isolates were resistant to β -lactams, susceptible to colistin and tigecycline, and showed a variable phenotype against aminoglycosides, quinolones, nitrofurantoin, and trimethoprim-sulfamethoxazole (Table 1). Heteroresistance to carbapenems was observed for *K. pneumoniae* and *C. freundii* isolates, as previously reported for KPC and VIM producers (29, 36).

The detection of isolates belonging to the multidrug-resistant *K. pneumoniae* clonal complex 258 (CC258) (ST258 and its single-locus variants ST11 and ST437) is of special concern (37). The ST258 clone is now globally spread and is mostly associated with the emergence and dissemination of KPC producers in different countries of North America, Europe, and Asia (2, 3, 14, 16, 20, 25, 26, 34). The ST11-*K. pneumoniae* lineage, first reported in France in 1997, is currently predominant in China, South Korea, and Hungary and has also been detected in the Netherlands, Norway, Poland, Portugal, Spain, and Brazil (2, 9, 17, 27, 30, 32, 35, 37; Luísa Peixe, personal communication). This clone has been extensively associated with different extended-spectrum beta-lactamases (ESBLs), mainly CTX-M-15 and CTX-M-14 (17, 27, 37) and more recently the KPC-2 (2, 30, 34) and VIM enzymes (18). Only sporadic cases of ST11 have been identified in Brazil; however, its recovery in different Brazilian cities might mirror a wider

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TABLE 1. Plasmids carrying *bla*_{KPC-2} genes in *Enterobacteriaceae* from Brazil^a

Inc group	Size (kb) ^b	Tn4401 variant	Other beta-lactamases	Species	ST	PFGE pattern ^c	Susceptibility phenotype ^d	No. of isolates	Date ^e	City/state	Sample
FIIs	50, 130, 240	a	OXA-9, TEM-1, SHV-1	<i>K. pneumoniae</i>	ST258	KpA	GEN	34	03/2007–06/2008	Ribeirao Preto/SP	Several samples ^f
FIIs	50, 130, 240	a	OXA-9, TEM-1, SHV-1	<i>K. pneumoniae</i>	ST258	KpA1	GEN	1	06/2007	Ribeirao Preto/SP	Surgical wound
FIIs	50, 130, 240	a	OXA-9, TEM-1, SHV-1	<i>K. pneumoniae</i>	ST258	KpA2	GEN	2	02–06/2008	Ribeirao Preto/SP	Broncho-alveolar lavage; urine
FIIs	130, 150, 270	a	OXA-9, TEM-1, SHV-1	<i>K. pneumoniae</i>	ST258	KpA	GEN	1	04/2007	Franca/SP	Urine
FIIs	130	a	OXA-9, TEM-1, SHV-1	<i>K. pneumoniae</i>	ST258	KpA	GEN	7	07–08/2009	Ribeirao Preto/SP	Rectal swab
FIIs	130	a	OXA-9, TEM-1, SHV-1	<i>K. pneumoniae</i>	ST258	KpA1'	GEN	3	07–08/2009	Ribeirao Preto/SP	Rectal swab
FIIs	130	a	OXA-9, TEM-1, SHV-1	<i>K. pneumoniae</i>	ST258	KpA4	GEN	2	08/2009	Ribeirao Preto/SP	Rectal swab
FIIs	130	a	OXA-9, TEM-1, SHV-1	<i>K. pneumoniae</i>	ST258	KpA4'	GEN	1	08/2009	Ribeirao Preto/SP	Rectal swab
FIIs	130, 240	a	OXA-9, TEM-1, SHV-1	<i>K. pneumoniae</i>	ST11 ^g	KpA6	GEN, AMI, TOB	1	07/2009	Ribeirao Preto/SP	Rectal swab
FIIs	130, 140, 160	a	OXA-9, TEM-1, SHV-1, CTX-M-2	<i>K. pneumoniae</i>	ST48	KpE	AMI, CIP, LEV, NIT, STX	1	08/2009	Ribeirao Preto/SP	Rectal swab
ut	50, 110	b	OXA-9, TEM-1, SHV-1, CTX-M-2	<i>K. pneumoniae</i>	ST44	KpC	GEN, AMI, TOB	2	2008–2009	Rio de Janeiro/RJ	Urine
N	40, 100, 150	b	OXA-9, TEM-1, SHV-1, CTX-M-2	<i>K. pneumoniae</i>	ST327	KpB	GEN, AMI, TOB	1	01/2008	Rio de Janeiro/RJ	Urine
N	40, 140	b	OXA-9, TEM-1, SHV-1, CTX-M-2	<i>K. pneumoniae</i>	ST437	KpD	GEN, AMI, TOB	1	2009	Rio de Janeiro/RJ	Urine
N	40	b	OXA-9, TEM-1	<i>E. cloacae</i>	NA	Eclα	—	2	11/2007–10/2008	Porto Alegre;	Fragment of foot amputated; urine
ut	20, 100, 250, 300	ut	OXA-9, TEM-1	<i>E. cloacae</i> ^h	NA	Eclβ	—	3	01–04/2009	Porto Alegre/RS	Venous catheter; urine; blood
L/M	60	b	OXA-9, TEM-1	<i>S. marcescens</i> ⁱ	NA	Sm	GEN	1	04/2010	Duque de Caxias/RJ	Blood
L/M	50	b	OXA-9, TEM-1	<i>C. freundii</i>	NA	Cf	AMI	1	06/2010	Duque de Caxias/RJ	Bile secretion

^a Abbreviations: GEN, gentamicin; AMI, amikacin; TOB, tobramycin; CIP, ciprofloxacin; LEV, levofloxacin; NIT, nitrofurantoin; STX, trimethoprim-sulfamethoxazole; NA, not applicable; ut = untypeable.

^b Underlining indicates size of the plasmid carrying *bla*_{KPC-2}.

^c PFGE types are defined by capital letters. Subtypes are designated by a number (indicating the number of bands that differed from those of the index strain) and primes when necessary (to distinguish among subtypes differing in the same number of bands, with such bands being different).

^d The following antibiotics were tested in this study: ampicillin, ampicillin-sulbactam, piperacillin, piperacillin-tazobactam, cefazolin, cefuroxime-axetil, cefotetan, ceftazidime, ceftioxaone, cefepime, aztreonam, imipenem, meropenem, AMI, GEN, TOB, CIP, LEV, NIT, STX, colistin, and tigecycline.

^e Dates are given as month/year.

^f This clone was recovered from samples of blood ($n = 10$), peritoneal cavity ($n = 1$), oropharynx ($n = 2$), urine ($n = 7$), venous catheter ($n = 7$), rectal swab ($n = 3$), bronchoalveolar lavage ($n = 1$), sputum ($n = 1$), and wound/abscess ($n = 2$).

^g ST11 and ST258 show highly related PFGE profiles, as has also been noted in other studies (37).

^h Strains initially described in reference 40.

ⁱ Strain initially described in reference 11.

^j —, susceptible only to colistin and tigecycline according to EUCAST breakpoints (13).



FIG. 1. Geographic distribution of KPC-2-producing *Enterobacteriaceae* in Brazil. Abbreviations: ES, Espírito Santo; GO, Goiás; DF, Distrito Federal; MG, Minas Gerais; PE, Pernambuco; RJ, Rio de Janeiro; RS, Rio Grande do Sul; SP, São Paulo; ND, not determined. States where KPC producers were isolated appear shaded in gray. *K. pneumoniae* isolates are represented by circles, *E. cloacae* isolates by squares, *S. marcescens* by a triangle, *C. freundii* by a hexagon, and *E. coli* isolates by a rhombus. Bold circles represent STs belonging to CC258 (ST258, ST11, and ST437). Clones analyzed in this study are indicated by arrows. ST25 and ST327 are double-locus variants of each other.

distribution than that reported (9, 35) (<http://www.pasteur.fr/recherche/genopole/PF8/mlst/Kpnemoniae.html>) (Fig. 1). Even though only one ST437 *K. pneumoniae* isolate, recovered in Rio de Janeiro in 2009, was included in our study, one recent study by Seki et al. (35) has shown the recovery of ST437 isolates producing KPC-2 in this city from 2007 to 2009, and a degree of epidemicity similar to that reported for other CC258 members cannot be discarded. Other clones recovered in this study are not well represented in the databases, such as ST327, previously detected in Israel linked to KPC-2 (21), or ST44 and ST48, which have not previously been associated with KPC production. Although most known KPC-2 producers of *Serratia*, *Enterobacter*, and *Citrobacter* have acquired highly transmissible plasmids from *Klebsiella* isolates, the spread of selected clones of these species might also be happening (31, 38).

The presence of genes encoding carbapenemases (GES, OXA, SPM, IMP, and VIM), ESBLs (CTX-M, TEM, and

SHV), and cefamicinases (CMY) was analyzed as described previously (1, 10, 12). The genes *bla*_{OXA-9} and *bla*_{TEM-1} were detected in all *K. pneumoniae* isolates, while *bla*_{CTX-M-2} was identified in *K. pneumoniae* clones KpB, KpC, KpD, and KpE (Table 1). The frequent association of ESBLs or metallo-beta-lactamases (MBLs) with *K. pneumoniae* KPC producers in this and other studies seems to reflect acquisition of transmissible plasmids carrying *bla*_{KPC-2} by local endemic strains or transmissible plasmids carrying *bla*_{ESBL} by epidemic clones of KPC producers (8). The presence of CTX-M-2 among *K. pneumoniae* clones other than ST258 or ST11 suggests different origins, importation of globally spreading strains, and/or acquisition of KPC plasmids by endemic CTX-M-2-producing *K. pneumoniae*.

The analysis of the genetic environment of *bla*_{KPC} by amplification of Tn4401, comparison of the restriction fragment length polymorphism (RFLP) patterns obtained by digestion of amplicons with PstI, HindIII, and BamHI, and sequencing

of variants representative of distinct types revealed the presence of Tn4401 variants known as “a” (53 *K. pneumoniae* isolates) and “b” (4 *K. pneumoniae* isolates, 1 *S. marcescens* isolate, 1 *C. freundii* isolate, and 2 *E. cloacae* isolates) and also an untypeable platform (3 *E. cloacae* isolates). They were associated with a diversity of plasmids which were identified by typing the replication region (PCR and hybridization of S1-digested DNA) and comparison of fingerprintings corresponding to XhoI and HindIII-digested plasmid DNA as described previously (4, 8). While Tn4401a was located on a 130-kb IncFII plasmid, Tn4401b was detected in plasmids belonging to the incompatibility groups IncN (40 kb), IncL/M (50 to 60 kb), and two untypeable plasmids (20 and 50 kb). Only those of IncFII were transferred by conjugation.

The IncFII plasmids from ST258 *K. pneumoniae* isolates harboring a copy of Tn4401a were recovered in Ribeirao Preto city for 2 years. The IncN plasmids carrying the isoform Tn4401b were detected in KpB-ST327, KpD-ST437, and *E. cloacae* (Ecl α) isolated from unrelated patients in Rio de Janeiro, Porto Alegre, and Lagedo, cities sited 1,500 km apart in the south/southwest area of Brazil. IncN plasmid replicons were identical to that of pNL194, a 70-kb plasmid widely disseminated in Greece, which carries an MBL gene (22) (GenBank accession no. GU585907). A diversity of IncN plasmids with similar backbones but slightly different replicon sequences are increasingly associated with genes encoding carbapenemases of class A (KPC) or class B (VIM), reflecting the spread of IncN evolving from a common precursor (5, 15, 22). The IncL/M plasmids that also contained Tn4401b were recovered from *S. marcescens* and *C. freundii*. Cuzon et al. have recently described the location of the *bla*_{KPC-2} gene in 12-kb IncL/M plasmids from *K. pneumoniae* isolates collected in northeast Brazil (9), and the national dissemination of highly transferable IncL/M plasmids carrying *bla*_{KPC-2} cannot be discarded.

This article reports the spread of *bla*_{KPC-2} in Sao Paulo and Rio de Janeiro states facilitated by globally spread *K. pneumoniae* CC258 clones (ST258, ST11, and ST437) and a diversity of plasmids successfully disseminated among *Enterobacteriaceae* species. It also constitutes the first description of ST258 in Brazil associated with a nosocomial outbreak in a university hospital of Ribeirao Preto city. Although only sporadic cases of KPC producers have been documented in South America (Argentina, Colombia, and Brazil) (9, 11, 24, 40), this work has pointed out the high diversity of available genetic platforms carrying *bla*_{KPC-2}. This might greatly amplify the dissemination of KPC genetic elements in this continent.

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The clonal data are publicly available at <http://www.pasteur.fr/mlst>.

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