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## **Neurobiology of Cognitive Aging: Insights from Imaging Genetics**

**Venkata S. Mattay, MD**1,\* , **Terry E. Goldberg, PhD**2, **Fabio Sambataro, MD, PhD**1, and **Daniel R. Weinberger, MD**<sup>1</sup>

<sup>1</sup> Genes, Cognition and Psychosis Program, National Institute of Mental Health, National Institute of Health, Department of Health and Human Services, Bethesda, MD 20892 USA

<sup>2</sup> Litwin Zucker Alzheimer's Disease Center, Feinstein Institute, 225 Community Dr, Great Neck, NY 11021 USA

## **Abstract**

Over the last several years, neuroscientists have been increasingly using neuroimaging techniques to unravel the neurobiology underlying cognitive aging, and in more recent years to explore the role of genes on the variability of the aging process. One of the primary goals of this research is to identify proteins involved in cognitive aging with the hope that this would facilitate the development of novel treatments to combat cognitive impairment. Further, it is likely with early identification of susceptible individuals, early intervention through life-style changes and other methods could increase an individual's resilience to the effects of aging.

## **Introduction**

Aging is an inevitable and undeniable process that impacts all aspects of our lives. It is associated with a broad range of physiological and psychological changes, including a decline in cognition which contributes to loss of independence and a lower quality of life (National Research Council, 2000; DeCarli, 2003). Understanding the mechanisms underlying cognitive aging may provide a means to identifying novel preventative and ameliorative interventions. However, this is a challenging endeavor as it is difficult to discern the effects of non-dementing age related changes from pathological processes that are associated with aging. In this realm, neuroimaging methods are being increasingly used to characterize the biology underlying cognitive aging and to distinguish normal from pathological aging (Ferris & Kluger, 1996).

Cognitive aging is defined as a pattern of mild age-related decline in cognitive functions. This includes decline in general cognitive factor as well as a domain-specific decline in fluid reasoning, mental speed, episodic memory and spatial ability (for review see Whalley, Deary, Appleton, & Starr, 2004). Importantly, cognitive aging varies considerably across individuals with a significant portion of the variance arising from genetic factors (Deary, Wright, Harris, Whalley, & Starr, 2004; McGue, Vaupel, Holm, & Harvald, 1993). Over 50 % of the variance in adult cognitive abilities, particularly in the general cognitive factor, is thought to arise from genetic influences (Plomin, DeFries, McClearn, & McGuffin, 2001;

<sup>\*</sup>To whom correspondence should be addressed. vsm@mail.nih.gov.

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Plomin & Spinath, 2002). Heritability of 60 to 70 % at very old ages (Bouchard Jr & McGue, 2003; Carmelli, Swan, Larue, & Eslinger, 1997; Finkel, Pedersen, Plomin, & McClearn, 1998;, with a greater influence at the higher levels of ability has been suggested from twin studies (Petrill et al., 2001). Of note, the heritability of cognitive change over short periods of time has been reported to be less than over longer periods (Swedish Adoption/Twin Study of Aging -SATSA, Reynolds et al., 2005); "Origins of Variance in the Old-Old: Octogenarian Twins" OCTO-twin project, (McClearn et al., 1997); Longitudinal Study of Aging in Danish Twins - LSADT, (McGue & Christensen, 2002). Further, based on complex statistical genetic models, the heritability for the acceleration of cognitive decline is reported to be greater for non-linear change than for a linear change after the age of 65 (Reynolds et al., 2005). Thus, it appears that genes play an important if not major role in cognitive changes associated with advancing age.

Much of the literature related to individual variability of cognitive aging is based on neuropsychological tests which represent a single final behavioral measure that is a product of multiple interactive processes. More recently, however, investigators have begun to use neuroimaging methods to better understand the biology underlying individual variability of cognitive aging and the role of genes. In this review we i) briefly outline the neuropsychological and neuroimaging characteristics of cognitive aging ii) outline the individual variability in cognitive aging and the likely influence of genes iii) review the emerging area of investigation called "imaging genetics," a form of genetic association analysis that is proving to be sensitive in delineating genetic effects on individual differences in cognition and behavior, as well as susceptibility to neuropsychiatric disorders, and iv) review studies that illustrate the utility of imaging genetics in exploring the impact of genes on cognitive aging. Some of the concepts and studies highlighted in this review have been reviewed previously (Hariri & Weinberger, 2003; V. S. Mattay & Goldberg, 2004; Meyer-Lindenberg & Weinberger, 2006).

## **Measures of cognitive aging**

#### **i) Neuropsychological measures**

The cognitive changes associated with aging encompass multiple domains including deficits in episodic memory, executive function, working memory, inhibition and attention, and speed of processing (Craik, 1994; Salthouse & Ferrer-Caja, 2003). Evidence from extensive behavioral literature suggests that there are at least three descriptive patterns of age-related change in cognition (Hedden & Gabrieli, 2004). Processing speed, working memory and episodic memory which are basic mechanisms of cognitive information processing tend to decline linearly across the adult life span (Schaie, 1993, 1996). While implicit memory may remain relatively stable across life or show a subtle decline with age, vocabulary and semantic knowledge tend to decline in performance only very late in life. Autobiographical memory and automatic memory processes tend to be stable throughout life (Hedden & Gabrieli, 2004; Park et al., 2002).

#### **ii) Neuroimaging measure**

Typical brain imaging findings associated with normal aging include alterations in brain structure and function. There is a decrease in grey matter and white matter with an increase in CSF space (Raz & Rodrigue, 2006). Consistent with post-mortem observations, in vivo high resolution MRI scans show that the brains of older adults tend to have lower volumes of grey matter than do the brains of younger adults. Postmortem studies show that these volume declines are not from cell death but are rather from loss of neuropil, presumably from reduced dendritic volume and lower synaptic densities in older adults (Burke & Barnes, 2006). Of note, these structural changes are not uniform across the brain. Studies

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show that the prefrontal cortex undergoes the largest age-related change in volume with an estimated average decline of about 5% per decade after the age of 20 (Raz & Rodrigue, 2006). (Raz & Rodrigue (2006) also report that the volume of the lateral PFC declines steadily across the adult life span, whereas the hippocampal volume has a curvilinear slope with the largest declines generally occurring after 60. They found that the volumes of regions like the primary visual cortex are relatively stable across the life span. Both cross sectional and longitudinal studies show decline in striatal volumes with the caudate showing the fastest decline of up to 0.83% per year (Gunning-Dixon, Head, McQuain, Acker, & Raz, 1998; Raz et al., 2003). While these in vivo imaging findings generally correspond to postmortem data, there are inconsistencies likely reflecting that imaging data are confounded by changes in vascular compartments and other non-neural changes that contribute to overall tissue volume measures.

Newer techniques such as Diffusion Tensor Imaging (DTI) and Diffusion weighted imaging allow the measurement of the direction and magnitude of water diffusion through cellular tissues in vivo (Pierpaoli, Jezzard, Basser, Barnett, & Di Chiro, 1996) and thereby the integrity of white matter tracts. Using these techniques, investigators have shown that advancing age is associated with an increase in average diffusion coefficient (ADC- a measure of water diffusivity) of the whole brain, frontal white matter and lentiform nucleus (Abe et al., 2002; Nusbaum, Tang, Buchsbaum, Wei, & Atlas, 2001; Rovaris et al., 2003; Sullivan & Pfefferbaum, 2006). Fractional anisotropy ( $FA - a$  measure of orientational coherence) another marker for fiber integrity has been shown to decrease with age in centrum semiovale and parietal percallosal regions, the genu of the corpus callosum and in the splenium (Abe et al., 2002; Pfefferbaum & Sullivan, 2003; Zhang, Zhang, Zhang, & Li, 2005). There appears to be an anterior-posterior gradient with these age-related changes being more predominant in the anterior vs. posterior corpus callosum and in the frontal white vs. the temporal, parietal and occipital white matter (Head et al., 2004; Salat et al., 2005). While the biology underlying these changes is still unclear, they most likely represent myelin loss and mineralization of the basal ganglia.

Using Magnetic Resonance Spectroscopy (MRS), age-related differences in metabolic markers of neuronal integrity have been documented. Specifically, the concentration of N acetyl aspartate (NAA – a marker of synaptic abundance and tissue glutamate concentrations (concentration of NAA or its ratio to Creatine (Cr) which provides a measure of cellular energy activity) has been shown to decline with age in the prefrontal, occipital and hippocampal gray matter, midbrain tegmentum and lentiform nucleus. Such changes were not observed in the white matter (see Raz & Rodrigue, (2006) for review).

PET and SPECT radionuclide tracer studies have shown alterations in neurotransmitter levels, receptors, transporters and other proteins with advancing age. These findings are consistent with those reported from post-mortem studies. (Carolyn C. Meltzer, Becker, Price, & Moses-Kolko, 2003). In particular, there is a decrease in dopamine concentration, transporter availability and dopamine D2 receptor availability with advancing age (Volkow, Ding et al., 1996; Volkow, Wang et al., 1996). Volkow et al (1996) using C11 raclopride and F18 N methylspiroperidol report an 8% per decade decline in D2 receptors after age 40. Similarly, other neuroreceptor systems also have shown age-related alterations in binding and function. Consistent with reports of an effect of age on mood and behavior which are under serotonergic regulation, studies have shown a decline in 5-HT function with advancing age. For example, using  $[F^{18}]$ altanserin, a 5-HT2a receptor antagonist, Rosier et al., (1996) showed a marked age-related reduction in receptor binding and Meltzer et al., 1998 showed average cortical losses of more than 50% in older adults when compared to younger subjects. Using C-11 Carfentanil, Zubieta, Dannals, & Frost (1999) demonstrated an age-related increase in opioid receptor density particularly in the neocortical areas and

putamen. Using single photon emission computerized tomography and a ligand for the vesicular acetylcholine transporter,  $[$ <sup>123</sup> I]-iodobenzovesamichol, Kuhl et al., (1996) demonstrated aging losses of 3.7% per decade in cholinergic terminal density. While these are just a few illustrations of the in vivo changes in neurotransmission associated with advancing age, the significance of this approach to cognitive aging is supported by work reporting correlations between measures of alterations in cognition, behavior, motor coordination, and PET measures of neuroreceptor function associated with advancing age (Breier et al., 1998; Volkow et al., 1998).

Using PET and fMRI activation studies, investigators have also reported alterations at the level of brain function with advancing age. They range from decreased or increased activity in task-related brain regions, recruitment of additional brain regions, reduced hemispheric asymmetry, as well as alterations in the so-called default mode network activity (Andrews-Hanna et al., 2007). These differential activation patterns have in general been explained as neural reorganization with increasing age or as differences in cognitive or neural strategies employed (Hedden & Gabrieli, 2004; Raz & Rodrigue, 2006). Decreased activation could represent a variety of causes including activity in smaller neuronal populations, increased variance or decreased synchrony in neuronal firing, and/or a failure in afferent excitatory connections (Hedden & Gabrieli, 2004). Increased activity could represent a compensatory phenomenon particularly when performance is maintained or non-selective recruitment from a breakdown in inhibitory connections.

#### **Individual variability in cognitive aging**

Most importantly, evidence indicates that the trajectories of age-related changes in brain structure and function are characterized by large interindividual variability and that genetic factors account for a significant portion of the variance (Deary, Wright et al., 2004; McGue et al., 1993). Other factors that may add to this variance include gender, IQ, education, social and environmental factors, medical and psychiatric history, and life style choices ranging from profession, physical exercise, diet etc. Understanding the role of genes in this variance may not only improve our understanding of the mechanisms of cognitive aging but may also aid in identifying proteins involved in cognitive aging that may lead to the development of novel therapeutic strategies.

In their seminal review of cognitive aging, Deary, Wright et al., (2004) highlight that "agerelated cognitive change is a continuous trait that, if it is influenced by genes, is probably influenced by a large number of genetic differences (polygenic effects), and a smaller but unknown number of larger effects (oligogenic effects)". Identifying genes that influence cognitive aging from over 20000 genes expressed in the human brain will be a daunting and arduous endeavor. . It is likely that a number of strategies will be employed in this effort, ranging from data driven searches of hundreds of thousands of polymorphisms throughout the genome – so-called genome wide association studies - to targeted candidate gene association strategies. An example of this latter strategy as characterized by Deary, Wright et al., (2004) and Goldberg & Mattay (In press) is to categorize candidate genes based on those associated with dementias/neurodegenerative diseases, those associated with cardiovascular and other systemic diseases, those related to apoptosis and oxidative stress, those related to individual variability in cognition, intelligence and behavior, and those that interact with stress (Table 1, adapted from Goldberg & Mattay, In press).

Deary, Wright et al., (2004) also highlight that the area of genetics of aging suffers from similar problems as in other domains in which quantitative trait loci are sought. These problems include uncertainty of the genetic architecture of normal aging, unreliable strategies for candidate gene selection, unreplicated findings possibly due to small sample

sizes and the lack of power, and poor characterization of the phenotype. It is with the characterization of the phenotype that neuroimaging techniques could potentially be particularly helpful. This is because neuroimaging phenotypes allow the estimation of genetic effects at the level of neural systems related to brain information processing, which represent a more proximate biological link to genes and serve as an obligatory intermediate of cognition, behavior and emergent phenomenon.

## **Imaging genetics (Figure 1) as an approach to the study of cognitive aging**

## **i) Principles of Imaging Genetics (Venkata S. Mattay, Meyer-Lindenberg, & Weinberger, 2006)**

Imaging genetics (the association of genetic variation with data derived from structural and functional neuroimaging) is a form of genetic association analysis, in which the phenotype is not a disease, symptom complex or behavior but a measure of brain structure (volume), chemistry or function (physiological response of the brain during information processing). It is based on the assumption that brain structure, chemistry and function are closer to gene function than trait differences in overt behavior. The advantage of imaging genetics over traditional strategies for phenotyping brain function based on neuropsychological tests and personality inventories is that it makes possible a more direct measurement of the impact of the gene at the level of information processing and/or neurochemistry within discrete brain regions and/or networks in the context of specific informational load. In contrast, traditional behavioral measures or test scores are more complex, can be affected by the use of alternate task strategies, level of cooperation, etc. that can mask potential gene effects on the underlying neural substrates meant to be engaged by the tests. Genes do not encode for behavior, but for simple molecules within cells, which impact on the molecular processing of cellular information. Variations in cell processing lead to variation in the development and plasticity of neuronal networks, which handle complex environmental stimuli and information. Imaging genetics attempts to characterize gene effects at the level of the neuronal circuitry, and is thus, closer to the biologic effect of genetic variation, at least in comparison to the behavioral emergent properties of functional variation in these networks. Additionally, whole brain imaging allows the study of many individual processes, including those most salient to a trait whereas behavioral measures can report a single final behavioral measure which is a product of multiple interactive processes. In particular, functional neuroimaging techniques like fMRI or electrophysiologic techniques (e.g. EEG, MEG) allow the acquisition of several hundreds of measurements of brain function within a single subject in a single session that endows these techniques with superior signal detection power. Additionally, whole brain imaging techniques allow the investigation of specific effects of genes by exploring their impact on multiple functional systems (e.g. prefrontal, striatal, limbic) in each subject in a single experimental session. These various advantages of Imaging Genetic strategies probably contribute to the demonstrated capability of these approaches to identify significant gene effects on brain function with smaller samples (tens versus hundreds, as illustrated in Bigos & Hariri (2007) when compared to traditional behavioral measures. This advantage was also illustrated by Egan et al., (2003)) when they showed the effect of *BDNF* variants on behavioral measures in a sample of 641 subjects and on hippocampal physiology as measured with BOLD fMRI in a smaller sample of 51 subjects. In Hariri et al. (2002), the serotonin transporter gene (SLC6A4), which had shown inconsistent and very weak association on measures of emotional temperament in samples of many hundreds of individuals, showed strong effects on amygdale activation with fMRI during a facial emotion processing task in two samples of literally 12 subjects each, an imaging based genetic association that has subsequently been replicated multiple times. These unique capabilities of neuroimaging methods place them in a unique position among available tools for the in vivo investigation of functional genetic variation.

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Imaging genetics may be utilized as a strategy for studying the effects of a candidate gene on measures of brain biology or it may be used as a target phenotype in genome wide searches for linkage or association. The first step in imaging genetics based on candidate genes involves identifying a variation in the DNA sequence within a candidate gene, ideally that has proven functional effects at the level of cell biology i.e. a functional polymorphism. Secondly, the impact of these effects at the systems level, i.e. change in brain structure, chemistry or function should be predictable. For example, an extensively studied functional polymorphism in the gene for catechol-O-methyl transferase (*COMT*), an enzyme that is involved in dopamine catabolism, would be predicted to effect PFC function because dopaminergic signaling is important in PFC physiology (see below). An imaging genetics strategy allows testing of specific hypotheses about the role of *COMT* in prefrontal cortical information processing and about genetic variation in *COMT* and variation in prefrontal cortical function between individuals. By highlighting the contributions of abnormalities in such systems to complex behaviors and neuropsychiatric phenomenon, imaging genetics also may further our understanding of the biological mechanisms underlying individual variability in behavior and susceptibility to neuropsychiatric disorders. This has been illustrated for several genes in several studies (see review by Meyer-Lindenberg & Weinberger, 2006). Validation for using an Imaging Genetics approach also comes from replication of findings in independent samples (for example, see review by Heinz & Smolka (2006) for *COMT* and the review by Munafo, Brown, & Hariri (2007) for 5-HTTLPR).

As neuroimaging data usually involves assessment of many thousands of voxels, a critical issue that needs to be addressed is that related to multiple comparison correction (Frackowiak, 2004). In neuroimaging genetics, the approach generally taken is to do a focused interrogation based on prior information of the genetic variation studied and the neuroimaging paradigm employed. For example, incorporation into the analysis of information related to neurobiological effects of the gene under study or the population variability in the neuroimaging measure employed will result in a considerable increase in statistical power. Additionally, if a gene is known to be differentially expressed in a given brain region or to specifically impact this region's function the statistical inference can be restricted to that region using a masking or small volume correction approach. On the other hand, if the known data on gene biology do not usefully constrain hypotheses about where brain function should be impacted and/or the neuroimaging paradigm is of a data-driven, hypothesis-free nature (such as for example an independent component analysis of an imaging dataset), the employed correction must control for false positives across all brain locations studied. While there are many approaches to correct for multiple comparisons, an accepted method to control error in this situation is to use corrections derived from Gaussian random fields theory (Frackowiak, 2004), combined with newly emerged false discovery rate (FDR) approaches (Genovese, Lazar, & Nichols, 2002). These methods ensure that an acceptably small proportion of the identified positives are false positives. Recently, using such an approach to evaluate the false positive rate in real and simulated imaging genetics data, Meyer-Lindenberg et al., (In press) looked at 492 frequent coding single nucleotide polymorphisms that did not have known association on cognition or behavior for their effect on brain structure and information processing during working memory and emotional face matching tasks. They report that the type 1 error rate is significantly less than the expected 5% rate for false discovery and thus is well controlled using the above mentioned correction procedures in imaging genetics, if not overly conservative. They also illustrate that the FDR correction method outperforms the typical Family Wise Error (Bonferroni correction) method in detecting false positives in imaging genetics, at least in the context of the imaging paradigms that they used.

#### **ii) Imaging genetic data in cognitive aging – Some examples**

While this novel approach of "Imaging Genetics" is showing considerable promise in characterizing the influence of genes on individual variability in cognition, behavior, and susceptibility to neuropsychiatric disorders, its application in cognitive aging has been surprisingly limited. The primary goal of this approach in the field of cognitive aging is to try and identify genes that accentuate normal cognitive aging trajectories i.e. genes that bring the individual to a critical threshold of manifesting age-related cognitive and behavioral changes earlier than expected, and genes that make individuals more resilient to the effects of aging, i.e. genes that provide individuals with better cognitive reserve to withstand the effects of aging and associated disorders (Satz, 1993). We will now review studies related to a few model genes that highlight the utility of this promising approach to understand genetic influences on cognitive aging.

## **Studies of the Apolipoprotein E (***APOE***) gene (Figure 2)**

The *APOE* gene is the most extensively studied gene with imaging genetics for its effects on cognitive aging. Based on its role in cholesterol metabolism, *APOE* is thought to play a role in lipoprotein transport and cell maintenance and repair, including amyloid clearance. The gene for *APOE* maps to chromosome 19. Of its three major allelic variants (E2, E3 and E4), the E4 allele is associated with increased risk for Alzheimer's disease (AD) in a dosedependent manner, e.g. two copies of the E4 allele confer the greatest risk, one copy less risk, and no copies the least risk (Corder et al., 1993). The E4 allele also lowers the age of onset of AD by about 7–15 years and predicts conversion of mildly cognitive impaired (MCI) individuals to AD. The E3 allele is neutral, and the E2 allele may reduce risk for AD. *APOE* E4 has also been linked to greater risk for cognitive dysfunction after bypass surgery, benzodiazepine challenge or head injury and for strokes and lipid abnormalities. There is also evidence for the role of *APOE* genotype in risk for cardiovascular disease, although the findings have not been consistent (Eichner et al., 2002).

If beta amyloid deposition, a process thought to be pathognomonic of AD, is a life long process that is accelerated by APOE E4, then it is likely that individuals with *APOE* E4 genotypes may manifest increasingly evident cognitive deficits over the life span. On the other hand, a threshold effect i.e. it becomes apparent when a certain threshold is reached, is also possible. Consistent with this view, (Deary, Whiteman et al., 2004) report that E4 allele is associated with lower cognition in non-demented elderly (Figure 2b). However, several studies have failed to replicate this (Jorm et al., 2007); Mattay and Goldberg, In press). Similarly, using brain imaging techniques several investigators have reported *APOE* E4 effects in otherwise normal individuals. For example, alterations in brain metabolism, function and anatomy have been demonstrated in asymptomatic E4 allele carriers in several neuroimaging studies. Early resting FDG-PET studies showed widespread reductions in glucose metabolism in non-demented aging *APOE* E4 carriers in the posterior cingulate, temporoparietal and prefrontal regions, the same regions that show significant metabolic deficits in AD patients (Reiman et al., 1996). Using Functional MRI, investigators have shown significantly altered activation patterns among elderly asymptomatic E4 carriers when compared to noncarriers during a word association task without differences in performance (Figure 2e). These observations suggest an alteration in the neural networks subserving episodic memory function in *APOE* E4 carriers similar to those observed in subjects with early mild cognitive impairment (MCI) (Bookheimer et al., 2000). Additionally, E4-related morphologic differences in brain volumes have been demonstrated in several (though not all) studies; *APOE* E4 carriers have smaller hippocampal and white matter volumes when compared to non-carriers (Plassman, Welsh-Bohmer, & Bigler, 1997). Farlow et al., (2004), observed a *APOE* gene dose effect on hippocampal volume in patients with MCI (in a sample of 494 subjects) - hippocampal volume was largest in non-E4

carriers, intermediate in individuals with one E4 allele, and smallest in those with two E4 alleles (Farlow et al., 2004). In normal controls, using a large sample of about 750 subjects, Lemaitre et al., (2005) showed that in the absence of any difference in global brain compartment volume across the groups, healthy controls homozygous for the e4 allele had smaller hippocampal volumes than both heterozygotes and non-carriers, while heterozygotes did not differ from the non-carriers (Figure 2c). Using regional brain morphometry and fMRI, Wishart et al reported early changes in healthy E4 carrying control subjects when compared to E3 homozygotes; E4-carriers showed a reduction in grey matter density in medial temporal and fronto-temporal regions (Wishart et al., 2006), as well as greater medial frontal and parietal activations during an N-back working memory task (Wishart et al., 2006). Using MR spectroscopy in 150 subjects, Doraiswamy, Chen, & Charkesm, (2000) reported an exaggerated age-related decline in NAA levels, a putative marker of neuronal viability. This was greater in the frontal cortex of E4 carriers than non-E4 carriers.

Given that cholinergic system abnormalities are associated with memory problems not only in AD patients but also in elderly controls, Cohen et al., (2003) used  $^{18}$ F FP-TZTP ( $^{18}$ F labeled muscarinic –2 selective agonist) in a sample of 20 subjects to directly measure the effect of *APOE* E*4* on the muscarinic receptors of the cholinergic system. They report increased distribution volumes of the tracer in *APOE* E*4* carrying older individuals relative to the non-carriers which correlated inversely with cerebral blood flow. The authors postulated that this greater distribution in the volume of the tracer reflected an increase in the number of unoccupied muscarinic-2 receptors most likely from lower synaptic Ach concentration in the *APOE* E*4* carriers.

When biological "decline" begins is a critical issue. Using FDG PET in 27 subjects, Reiman et al., (2004) report that metabolic deficits may be detectable in *APOE* E*4* carriers subjects as early as their 20s and 30s in bilateral posterior cingulate, parietal, temporal and frontal regions when compared to noncarriers (Figure 2d). More recently, using a sample size of 240 subjects Shaw et al., (2007) showed that young children and teenagers with the E4 allele had thinner enterorhinal cortex than non-carriers. In addition, they showed that children with the protective *APOE* E2 allele had a thicker enterorhinal cortex. These latter results suggest that *APOE* E4 effects in brain are distributed across the lifespan. Recently, considerable advances have been made to image amyloid plaque density and neurofibrillary tangles in the human brain in vivo (Shoghi-Jadid et al., 2002; Small et al., 2002). Using a hydrophobic radiofluorinated derivative of 2-(1-[6-(dimethylamino)-2-naphthyl]ethylidene)malononitrile [18F]FDDNP), Shoghi-Jadid et al., (2002) report a greater accumulation and slower clearance of amyloid plaque and neurofibrillary tangle rich brain areas which correlated with lower performance scores in 9 patients with AD as well as in 7 healthy controls. Though it is still unclear whether the above findings are pathological or merely a physiologic compensatory adjustment, collectively the results of these studies support the notion that *APOE* E4 allele effects can be discerned well before clinical presentation of disease and that elderly subjects with this allele are more susceptible for future cognitive decline. Complemented with genetic information these in-vivo techniques have the potential to further unravel the relationship between *APOE* E4 allele load affect, amyloid plaque and neurofibrillary tangle density and susceptibility to future cognitive decline and Alzheimer's disease.

## **Genes regulating synaptic transmission and neurotrophic effects**

In this section we will review studies of the gene for Catechol-O-methyl-transferase (*COMT*) and the gene encoding Brain Derived Neurotrophic Factor (*BDNF*) as models to illustrate how imaging genetics has been helpful in uncovering genetic mechanisms of age related changes in normal cognition.

## **i) COMT**

It is well known that cognitive abilities, particularly those subserved by the prefrontal cortex, decline with age (see Hedden & Gabrieli, 2004 for review). Converging evidence indicates that dopamine (DA), a critical neurotransmitter for tuning cortical circuitry involved in memory and attentional processes improves the efficiency of information processing in the prefrontal cortex by focusing and stabilizing prefrontal cortical networks (Seamans, Durstewitz, Christie, Stevens, & Sejnowski, 2001). Recent evidence suggests that COMT, an enzyme that inactivates released dopamine, may play a unique role in regulating DA flux in the PFC. In humans, a functional polymorphism in the gene for *COMT* has been identified; an evolutionarily recent methionine (met) for valine (val) substitution at codon 108/158 results in a thermolabile protein with 2–4 times lower activity (Chen et al., 2004; Lewis et al., 2001; Mazei, Pluto, Kirkbride, & Pehek, 2002; Moron, Brockington, Wise, Rocha, & Hope, 2002). Thus the val form of the protein is more efficient at degrading dopamine and thereby is associated with less dopamine in the synapse then the met allele. Consistent with this functional polymorphism in the *COMT* gene and with the evidence that COMT is important in PFC DA flux, Egan et al., (2001) demonstrated that met allele carriers had superior performance on an executive cognition task and, using fMRI during a working memory task, that val allele carriers consistently demonstrated a less efficient physiologic response in the PFC for a fixed level of task performance, (i.e. greater PFC activity) when compared to subjects with the met allele. This effect of *COMT* val-met genotype on prefrontal cognition has since been replicated by several groups in healthy volunteers and in patients with schizophrenia (Tunbridge, Harrison, & Weinberger, 2006). More recently, de Frias et al., (2005) explored the effect of this polymorphism on age related decline in prefrontal function. The authors studied 292 healthy volunteers aged 35 to 85 over a period of five years and reported greater rates of decline on tests of executive function in val carriers relative to the met homozygotes. In a more recent study on 473 healthy volunteers between ages 64 and 68 years with validated childhood IQ data, Starr et al. (2007) present data that extends upwards the age range in which the detrimental effect of the val-val genotype on executive function has been observed in the elderly. However, in contrast to de Frias et al., (2005), they find no effect of the *COMT* val-met genotype on the rate of cognitive decline, perhaps because the age range was more restricted.

In another study, Sambataro et al., (2005) using BOLD fMRI in 28 young and elderly healthy volunteers explored the effect of this gene on age related changes on PFC information processing during an N-back working memory task. While confirming the role of val158met *COMT* polymorphism on PFC function not only in the young but also in the elderly subjects, they observed that the genotype effect (i.e. greater PFC activity in the val/ val group relative to the met/met group) was much more exaggerated in the elderly subjects, i.e. the relative difference between the val/val elderly and met/met elderly was much more pronounced when compared to the relative difference in prefrontal activity between the val/ val young and met/met young subjects. Together these results suggest that *COMT* val<sup>158</sup> met polymorphism may modulate age-related functional decline in prefrontal function. While it will be important to extend results to larger samples examined longitudinally, they raise the prospect that the *COMT* met allele confers a protective role and individuals carrying the met allele may show a relatively slower age-related decline in prefrontal function.

#### **ii) BDNF (Figure 3)**

Neurotrophins including BDNF regulate cortical neuron survival, proliferation and synaptic growth in the developing CNS. BDNF is expressed throughout the brain, particularly in the hippocampus and prefrontal cortex. Converging evidence indicates that it is a critical element in modulating synaptic changes such as long term potentiation (LTP) in the hippocampus associated with learning and memory formation. A common val<sup>66</sup>met

polymorphism in the *BDNF* gene has been shown to affect intracellular packaging and regulated secretion of BDNF. Consistent with this, Egan et al., (2003) reported that the *BDNF* val<sup>66</sup>met polymorphism impacts on hippocampal function and episodic memory. The met allele is associated with relatively poorer episodic memory, a decline in n-acetyl aspartate on magnetic resonance spectroscopic imaging in patients with schizophrenia, their siblings and in healthy volunteers, as well as a disruption of the normal fMRI disengagement during a N-back working memory task in healthy volunteers (Egan et al., 2003). In another study using a sample size of 28, Hariri et al., (2003) similarly reported that in healthy volunteers met-*BDNF* carriers displayed relatively reduced hippocampal engagement during both encoding and retrieval of a declarative memory task along with more recognition errors than val/val homozygotes. Using high resolution structural MR imaging there have been reports of relatively lower hippocampal (Pezawas et al., 2004; Szeszko et al., 2005 Frodl et al., 2007) as well as temporal and occipital (Burke & Barnes, 2006) volumes in met carriers than val/val homozygotes (Figure 3b). These studies clearly illustrate the utility of in-vivo brain imaging measures in characterizing the biological effects of the *BDNF* gene.

Given the evidence of increasing memory deficits with advancing age, (Mattay et al., 2006) explored the effect of this polymorphism on hippocampal function in a healthy elderly cohort (18 subjects in each genotype group) during a declarative memory task. Though behavioral performance was similar across the two small genotype groups, they found that *BDNF* met allele carriers showed a significantly decreased hippocampal engagement when compared to val homozygotes. Additionally, *BDNF* met allele carriers showed greater prefrontal cortical activity than *BDNF* val homozygotes, probably reflecting a compensatory mechanism to maintain performance. While these results need to be replicated in a larger sample studied longitudinally, they suggest that the val homozygote elderly *BDNF* individuals show relatively better preserved hippocampal function when compared to their *BDNF* met allele carrying counterparts who perhaps had to resort to compensatory mechanisms to maintain performance on a relatively simple declarative memory task.

Using high resolution structural MR imaging coupled with optimized voxel based morphometry, Nemoto et al., 2006 explored the effect of this polymorphism on morphological changes associated with aging. They examined 109 healthy controls ranging from 20 – 72 years of age and reported an exaggerated age-related volume reduction in the DLPFC of Met carriers (Figure 3c). Together, these neuroimaging studies illustrate that the Val66Met polymorphism of *BDNF* may play an important role in vulnerability to age-related changes in structure and function of memory related cortical systems.

#### **Conclusions**

Cognitive aging is a complex trait with likely a polygenic mode of inheritance in which each gene is likely to have only a small effect. Neuroimaging is a powerful approach to magnifying the effect size of genetic variation at the level of brain structure and function. To date, only a handful of genes have been explored with neuroimaging techniques for their effect on cognitive aging. Most importantly, the functional interactions between multiple gene variants and environment, and their collective impact on cognitive aging are yet to be explored.

Overall, the results of some of the studies reviewed in this review paper illustrate the promise of neuroimaging techniques to unravel the role of genetic polymorphisms in modulating age- related changes in brain function, chemistry and morphology and thereby on cognitive aging. They underscore the advantage of a systems level approach i.e. integrating genetic information with an intermediate phenotype (i.e. regional neurophysiological, neurochemical and neuroanatomical measures obtained through

neuroimaging techniques) and a phenotypic trait (e.g. cognitive and behavioral measures) to successfully delineate the influence of genes on cognitive aging.

#### **Caveats**

Of note, most of the studies reviewed above are cross-sectional studies with some still in pilot stages. They are further limited by the use of a single neuroimaging approach structural, functional or metabolic. For a better delineation of the effects of genes and environment on cognitive aging, systematic, longitudinal studies using combined structural, functional and metabolic imaging approaches may be necessary. Longitudinal neuroimaging studies, however, can be confounded by technical and practical limitations brought about by variation in scanner performance over time, upgrades in scanning hardware and software (a necessary step to push neuroimaging techniques to the next frontier!), etc. Therefore along with the identification of genes, the challenge to research in this area includes developing procedures to control for scanner induced variability.

Additionally, correction for multiple comparisons engendered by the high-dimensionality of imaging data sets is further confounded by the large number of genotypes becoming available, for e.g. in the context of genome wide association studies. While Meyer-Lindenberg et al (In press) suggest that corrected p values can be used with confidence for genetic association purposes in imaging genetics, further adjustment for multiple testing outside the domain of imaging (e.g. for the number of independent genetic variants, data sets or regions tested) will be necessary. Replication in independent samples is also required to further establish the gene-imaging phenotype association.

Given the absence of reliable information on the heritability and reliability of most of the imaging phenotypes currently being used, a statistical association between imaging phenotype and genes (especially, those not directly implicated in cognitive functioning or in risk for dementia), is not enough to establish causal relationship. This reemphasizes the importance of selecting specific neuroimaging tasks that specifically tap the neural processes that are affected by aging. Furthermore, it is not easy to ensure whether a gene affects the trajectory of cognitive aging per se or whether the cognitive change is due to a neuropsychiatric condition or medical condition that a gene predisposes one to at an older age and secondarily affects cognition. This raises an interesting question on whether the individual differences in cognitive aging are the effect of a combination of several late-onset polygenic effects via disease processes of old age which directly impact on cognition.

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cognition **B** behavior tempero Cells: Systems: Genes: Behavior:  $multiple$ subtle Variable complex functional alleles each of molecular development/ interactions and small effect **bottlenecks** information emergent processing phenomena

## Imaging Genetics: The Principle

#### **Figure 1.**

Imaging Genetics The biological impact of a variation in a gene on the brain traverses an increasingly divergent path from subtle molecular alterations at the cellular level to alterations in neural systems that eventually lead to variability in cognition and behavior. Imaging Genetics allows for the estimation of genetic effects at the level of neural systems or brain information processing, which represents a more proximate biological link to genes and serves as an obligatory intermediate of cognition, behavior and emergent phenomenon. Adapted from (Callicott & Weinberger, 2003) with permission.

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Figure 2c.





Figure 2e.



**Figure 2.** a: Gene for *APO*lipoprotein E:

*APO*lipoprotein E (*APOE*) is a plasma glycoprotein involved in the transport of cholesterol and other lipids across the membrane of various cells. The gene for *APOE* is localized on chromosome 19 in a single locus with three alleles (e2, e3 and e4) responsible for the three *APOE* isoforms. The e4 allele results from a  $T \rightarrow C$  transition at codon 112 of the e3 allele. It is a well established risk factor for late onset (after 65 years) Alzheimer's disease, with the risk increasing with the number of e4 allele one carries, or e4 gene dose effect (Corder et al., 1993).

b. Effect of *APOE* on cognition:

(Deary et al., 2002) report on the Moray House Test IQ score results from the Scottish Mental Survey. 466 subjects in this survey were tested twice, once at age 11 and again 70 years later. While there was no significant difference in IQ scores between subjects that possess an e4 allele and those that do not at age 11, there was a significant difference 70 years later at age 80, suggesting that possessing an e4 allele was associated with differences in normal cognitive aging. Reproduced from (Deary et al., 2002).

c. Effect of *APOE* on brain structure:

Using a large sample of about 750 subjects, (Lemaitre et al., 2005) show that in the absence of any difference in global brain compartment volume across the groups, healthy controls homozygous for the e4 allele had smaller hippocampal volumes than both heterozygotes and non-carriers. Reproduced from (Lemaitre et al., 2005).

#### d. Effect of *APOE* on cerebral metabolism:

Using FDG-PET, (Reiman et al., 2004) illustrate regions of the brain with abnormally low metabolism in young adult carriers of the *APOE* E4 allele in relation to those of patients with probable AD. A three dimensional (3D) surface-projection map of abnormally low glucose metabolism in young adult E4 carriers is superimposed on a map of abnormally low glucose metabolism in previously studied patients with probable AD. Purple areas represent brain areas in which glucose metabolism was abnormally low in patients with AD, muted blue areas represent brain areas in which glucose metabolism was abnormally low in E4 carriers, and bright blue areas represent areas in which glucose metabolism was abnormally low in both groups. Similar to patients with AD, young healthy adult E4 carriers had abnormally low glucose metabolism bilaterally in the posterior cingulate, and the parietal, temporal and prefrontal cortices. Reproduced from (Reiman et al., 2004).

e. Effect of *APOE* on information processing during a memory task:

During a paired associate learning task, for the same level of task performance, E4 carriers show greater activation in the left medial frontal, prefrontal and parietal regions than non-E4 carriers. This increased brain activity in healthy E4 carriers was interpreted as representing a compensatory process to maintain performance. Adapted from (Bookheimer et al., 2000).

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Figure 3a.



SOURCES: NCBI, ACCESSION # X60201; SHINTANI, ET AL. 1992; MURER, ET AL. 2001; MOWLA, ET AL. 2001









The gene for BDNF is located on the short arm of chromosome 11. It encodes a precursor peptide (proBDNF), which is proteolytically cleaved to form the mature protein. In humans, a frequent single nucleotide polymorphism at nucleotide 196 (G/A) producing a nonconservative valine to methionine substitution at codon<sup>66</sup> has been identified in this gene. This sequence variant, though located in the 5 pro-BDNF sequence, has been shown to affect intracellular processing and secrion of BDNF leading to impairments in hippocampal structure and function (Egan et al., 2003; Pezawas et al., 2004). The gene consists of at least nine exons, only one of which is translated. This translated exon is represented in the figure. b. Effect of BDNF val<sup>66</sup>met polymorphism on grey matter volume:

Pezawas et al (Pezawas et al., 2004) using optimized VBM illustrate volume differences in BDNF met carriers relative to BDNF val/val individuals in the hippocampus (A) and prefrontal cortex (B). Consistent with the role of BDNF in cortical development and with the cellular and clinical effects of the BDNF val66met polymorphism, met carriers have relatively reduced gray matter volume in these brain regions. Reproduced from (Pezawas et al., 2004).

c. BDNF val<sup>66</sup>met polymorphism modulates age related changes in the volume of the prefrontal cortex. Met-BDNF carriers show relatively more significant volume reduction with normal aging compared to individuals homozygous to Val-BDNF. Blue – male; Red – female; closed triangle – Met-BDNF carrier; open circle –homozygous Val-BDNF. Dotted lines represent regression lines for homozygous Val-BDNF individuals and solid lines represent regression lines for Met-BDNF carriers. Reproduced from (Nemoto et al., 2006).

#### **Table x.1**

Genes with potential impact on cognitive ageing (adapted from (Goldberg & Mattay, In press)





