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# Multiple base-recognition sites in a biological nanopore – two heads are better than one

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# Abstract



Ultra-rapid sequencing of DNA strands with nanopores is under intense investigation. The  $\alpha$ HL protein nanopore is a leading candidate sensor for this approach. Multiple base-recognition sites have been identified in engineered  $\alpha$ HL pores. By using immobilized synthetic oligonucleotides, we show here that additional sequence information can be gained when two recognition sites, rather than one, are employed within a single nanopore.

## Keywords

α-hemolysin; DNA sequencing; nanopore; protein engineering; single-nucleotide discrimination

The  $\alpha$ -hemolysin ( $\alpha$ HL) protein nanopore is under investigation as a potential platform for sequencing DNA molecules. In one proposed means of nanopore sequencing, a DNA strand is electrophoretically driven through the  $\alpha$ HL pore<sup>[1]</sup> and as each base passes a recognition point within the pore, the magnitude of ionic current block is recorded and the base sequence read out.<sup>[2]</sup> To facilitate the observation of base recognition derived from current

#### Experimental section

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Full details of experimental procedures can be found in the Supporting Information.

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block, DNA strands can be immobilized within the  $\alpha$ HL pore by using a terminal hairpin or a biotin•streptavidin complex, which improves the resolution of the currents associated with individual nucleotides, because of the prolonged observation time.<sup>[3-5]</sup> The immobilized strands reduce the open pore current level, I<sub>O</sub>, to a level I<sub>B</sub>. In this paper, we quote the residual current I<sub>RES</sub> as a percentage of the open pore current: I<sub>RES</sub> = (I<sub>B</sub>/I<sub>O</sub>) × 100. By using the biotin•streptavidin approach, we recently demonstrated that the 5 nm-long  $\beta$  barrel of the  $\alpha$ HL nanopore contains three recognition sites, R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub>, each capable of recognizing single nucleotides within DNA strands (Figure 1).<sup>[4]</sup> R<sub>1</sub> is located near the internal constriction in the lumen of the pore and recognizes bases at positions ~8 to 12 (bases are numbered from the 3' end of synthetic oligonucleotide probes, Figure S1). R<sub>2</sub> is located near the middle of the  $\beta$  barrel and discriminates bases at positions ~12 to 16. R<sub>3</sub> recognizes bases at positions ~17 to 20 and is located near the trans entrance of the barrel.

We surmised that it might be advantageous to use more than one of the recognition points for DNA sequence determination. Consider a nanopore with two reading heads,  $R_1$  and  $R_2$ , each capable of recognizing all four bases (Figure 2). If the first site,  $R_1$  produces a large dispersion of current levels for the four bases and the second site,  $R_2$  produces a more modest dispersion, 16 current levels, one for each of the 16 possible base combinations, would be observed as DNA molecules are translocated through the nanopore. Therefore, at any particular moment, the current signal would offer information about two positions in the sequence, rather than just one, providing redundant information; each base is read twice, first at  $R_1$  and secondly at  $R_2$ . This built-in proof-reading mechanism would improve the overall quality of sequencing.

In the WT  $\alpha$ HL pore, R<sub>2</sub> is capable of discriminating between each of the four DNA bases (when the bases are placed at position 14, in an otherwise poly(dC) oligonucleotide). With the E111N/K147N mutant (NN), in which the charged residues at the constriction have been removed, a greater current flows through the pore when it is blocked with a DNA•streptavidin complex. This increase in I<sub>RES</sub> in the NN mutant leads to a greater dispersion of the current levels arising from different DNAs, and thereby improves base discrimination at R<sub>2</sub> and R<sub>3</sub>, compared to WT.<sup>[4]</sup> However, in NN, the ability of R<sub>1</sub> to recognize bases is weakened, presumably due to a reduced interaction between the pore and the DNA at the constriction, where amino acid residues 111 and 147 are located. Therefore, to further tune recognition at R<sub>1</sub>, substitutions at position 113, which also forms part of the constriction, were examined. The mutation M113Y was the most effective.

The E111N/K147N/M113Y (NNY) and NN pores displayed similar discrimination of bases by  $R_2$ ; bases at position 14, within poly(dC), are separated in the same order, namely C, T, A and G, in order of increasing I<sub>RES</sub>, and with a similar dispersion between C and G:  $\Delta I_{RES}^{G-C} = I_{RES}^{G-C} = I_{RES}^{C} = +2.8 \pm 0.1\%$  (n = 3) for NN<sup>[4]</sup> and +2.9 ± 0.1% (n = 3) for NNY (Figure 3a). It should be noted that the  $\Delta I_{RES}$  values, which were readily determined from event histograms, showed little experimental variation, while the residual current values ( $I_{RES}$ ) showed variation that exceeded  $\Delta I_{RES}$ . NNY displayed vastly improved base recognition properties at R<sub>1</sub> compared to the WT and NN pores. In the NN mutant, R<sub>1</sub> is not capable of discriminating all four bases (when they are located at position 9 within poly(dC)),<sup>[4]</sup> and the magnitude of the current differences between the bases is quite small; the difference between the most widely dispersed bases, A and C ( $\Delta I_{RES}^{A-C}$ ) is only  $-0.4 \pm$ 0.1% (n = 5, A giving a lower residual current than C). However, the NNY mutant is capable of discriminating between T, G, A and C, in order of increasing I<sub>RES</sub> (Figure 3b), and the dispersion of current levels is much larger,  $\Delta I_{RES}^{T-C} = -2.8 \pm 0.2\%$  (n = 5). It is remarkable that the single M113Y mutation is capable of turning a weakly discriminating R1 site in the NN mutant into a strong site in the NNY mutant.<sup>[4]</sup> Possibly, the tyrosines at position 113 improve discrimination at  $R_1$  through aromatic stacking or hydrogen bonding

interactions with the immobilized bases.<sup>[6-8]</sup> But, we are unsure of what properties of the bases cause the dispersion of the current levels, although it is clear that size is not the only factor, as a T at  $R_1$  produces a greater current block than the larger purine bases.

We determined whether the NNY mutant, which has two strong recognition points ( $R_1$  and  $R_2$ ), could behave like the two-head sensor envisaged in Figure 2 by using a library containing 16 oligonucleotides comprising poly(dC) with substitutions at position 9 (to probe  $R_1$ ) and position 14 (to probe  $R_2$ ). The sequence of a given oligonucleotide is designated:  $X_9X_{14}$ , where X represents a defined base; G, A, T or C, and 9 and 14 gives the position of the base (relative to the biotin tag).

First, we tested whether the identity of the base at position 14 ( $R_2$ ) affected base recognition at position 9 ( $R_1$ ). NNY pores were separately probed with 4 sets of 4 oligonucleotides: N<sub>9</sub>C<sub>14</sub>, N<sub>9</sub>A<sub>14</sub>, N<sub>9</sub>T<sub>14</sub> and N<sub>9</sub>G<sub>14</sub> (where N = G, A, T or C, Figure 3b-e, respectively). Despite the variation of the base at position 14, the distribution of the current levels for each set of 4 oligonucleotides, is remarkably similar (Table S6). This suggests that recognition at R<sub>1</sub> (i.e. the order and dispersion of the peaks in the histograms) is only weakly influenced by the base occupying R<sub>2</sub>.

In the postulated two-head sensor, recognition point R<sub>1</sub> produces a large current dispersion, while that produced by R<sub>2</sub> is more modest (Figure 2b). However, in the case tested, the NNY pore, R<sub>1</sub> and R<sub>2</sub> produce dispersions of similar magnitude ( $\Delta I_{RES}^{T-C} = -2.8 \pm 0.2\%$  and  $\Delta I_{RES}^{G-C} + 2.9 \pm 0.1\%$ , respectively, Figure 3ab). Further, the slight dependence of recognition at R<sub>1</sub> on the base occupying R<sub>2</sub> (Table S6, compare the columns for rows two through five) was not considered in the proposed scheme (Figure 2). Assuming that the effects of each base at each recognition point on the change in current level are additive, and by using the directly determined  $\Delta I_{RES}$  values in Table S6, we can predict the distribution of  $\Delta I_{RES}$  values for each of the sixteen sequences N<sub>9</sub>N<sub>14</sub>, relative to poly(dC), which is set as zero (Figure 4 and Table S7). For example, consider the sequence T<sub>9</sub>A<sub>14</sub>. We can predict the unknown  $\Delta I_{RES}^{T9A14-C9C14}$  (these two sequences were not compared directly, Figure 3) by using experimentally determined  $\Delta I_{RES}$  values (Table S6):  $\Delta I_{RES}^{T9A14-C9A14} = -3.2 \pm 0.1\%$  and  $\Delta I_{RES}^{T9A14-C9C14} = +1.4 \pm 0.0\%$ . By adding these values together, we find  $\Delta I_{RES}^{T9A14-C9C14} = -1.8 \pm 0.1\%$ . The use of I<sub>RES</sub> rather than experimental  $\Delta I_{RES}$  values leads to unacceptable errors in predicted  $\Delta I_{RES}$  values.

All remaining  $\Delta I_{RES}$  values were predicted in the same way (Table S7) and are shown in Figure 4 as dashed grey lines. Only two sequences ( $T_9T_{14}$  and  $T_9A_{14}$ ) were predicted to overlap directly. However, given the present resolution of our electrical recordings, three additional sequences were expected to remain unresolved; for example,  $A_9A_{14}$  was predicted to have  $\Delta I_{RES}^{A9A14-C9C14} = -0.1 \pm 0.1\%$  and it was therefore likely to overlap with  $C_9C_{14}$ . Indeed, when all 16 sequences ( $N_9N_{14}$ , Table S8) were used simultaneously to probe NNY pores, the histograms of the residual current levels consistently contained 11 resolvable sequence-specific peaks (Figure 4). The predicted  $\Delta I_{RES}$  values match well with the measured  $\Delta I_{RES}$  values, with the observed mean  $\Delta I_{RES}$  values within the error of the predicted values (Table S7). We surmise that current flow is restricted at  $R_1$  and  $R_2$ , and that the effects of the two recognition points are approximately additive, when  $\Delta I_{RES}$  values are small, like the effect of two small resistances in series in an electrical circuit.

Although, the 16 DNA sequences did not produce 16 discrete current levels, we were able to resolve 11. A perfect 16-level system of two reading heads would read each position in a sequence twice, while a perfect single reading head would read the sequence just once. Therefore, although the 11-level system is imperfect, it does yield additional, redundant information about each base, which would provide more secure base identification than a

single reading head. It might be thought that a third reading head would improve matters. However, in this case, the number of possible base combinations would increase from 16 to 64. Even if these levels could be dispersed across the entire current spectrum of the  $\alpha$ HL pore (from almost open to almost closed), it is unlikely that the 64 levels could be separated owing to the electrical noise in the system, even under the low bandwidth conditions used here. Under the high applied potentials required for threading, DNA translocates very quickly through the  $\alpha$ HL pore (at a few µs per base)<sup>[1, 9]</sup> and the situation would be exacerbated by the need for high data acquisition rates and the consequential increase in noise. Even enzyme-mediated threading at one-thousandth of the rate for free DNA will present difficulties.<sup>[10, 11]</sup> Therefore, it seems likely that a two reading-head sensor is optimal, and our next step will be to remove the superfluous reading head R<sub>3</sub>.

Here, we have considered the case where each of the reading heads recognizes just a single base at a time (Figure 2), and we have slanted the experimental conditions in that regard by using a uniform poly(dC) background. However, in reality it is likely that the nearest neighbors of a base in contact with a reading head will influence the current output. Therefore, further fine tuning of the recognition sites will be required to "sharpen" the sites and advance as close as possible to single-base recognition.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### Figure 1. The aHL nanopore

Schematic representation of an oligonucleotide (blue circles) immobilized inside an  $\alpha$ HL pore (grey, cross-section) by the use of a 3' biotin (yellow)•streptavidin (red) linkage (Figure S1). The bases are numbered (right) relative to the 3' biotinylated end of the DNA. The  $\alpha$ HL pore can be divided into two halves, each approximately 5 nm in length: an upper cap domain located between the *cis* entrance and the constriction, containing a roughly spherical vestibule, and a fourteen-stranded, transmembrane, antiparallel  $\beta$  barrel, located between the constriction and the *trans* entrance. The constriction of 1.4 nm diameter is formed by the Glu-111, Met-113 and Lys-147 (all three shaded green) side chains contributed by all seven subunits. R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> represent the three base recognition sites in the  $\alpha$ HL nanopore within the  $\beta$  barrel domain of the pore.

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#### Figure 2. Two heads are better than one

a) A hypothetical nanopore sensor (green) with two reading heads,  $R_1$  and  $R_2$ , which could in principle extract more sequence information from a DNA strand (red) than a device with a single reading head. b) To illustrate the idea, we assume that the four bases of DNA at reading head  $R_1$  produce 4 distinct current levels (widely dispersed as shown). Each of the levels is split into 4 additional levels (with a lesser dispersion, for the purpose of illustration) by the second reading head  $R_2$ , yielding 16 current levels in total and providing redundant information about the DNA sequence.

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Figure 3. Four-base discrimination at  $R_1$  and  $R_2$ , by an engineered  $\alpha HL$  nanopore

Histograms of residual current levels for E111N/K147N/M113Y (NNY) pores are shown (*left*), for a set of 4 oligonucleotides (*right*). B represents the 3' biotin-TEG extension (Figure S1). Each experiment was conducted at least three times, and the results displayed in the figure are from a single experiment. When the oligonucleotides are driven into the  $\alpha$ HL pore the substituted nucleotides are positioned at R<sub>1</sub> (red) or R<sub>2</sub> (green). Gaussian fits were performed for each peak in the histograms and the mean value of the residual current (I<sub>RES</sub>) for each oligonucleotide is displayed in the tables to the right of the histograms and in Tables S1-5 for panels a-e respectively.

