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Comparing the Use of Diagnostic Imaging and Receipt of Carotid Endarterectomy in Elderly Black and White Stroke Patients

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Abstract

Background and Purpose—Prior studies show that black patients have carotid endarterectomy less frequently than white patients. Diagnostic imaging is necessary to determine whether a patient is a candidate for the operation. We determined whether there were differences in the use of diagnostic carotid imaging and the frequency of carotid endarterectomy between elderly black and white ischemic stroke patients.

Materials and Methods—Medicare fee-for-service beneficiaries with discharge diagnoses of ischemic stroke (International Classification of Diseases 9th revision: 433, 434, 436) were randomly selected for inclusion in the National Stroke Project 1998-1999, 2000-2001. Receipt of at least one type of carotid imaging study was compared for black and white patients. Binomial logistic regression models were used to evaluate the associations between race and receipt of carotid imaging and carotid endarterectomy with adjustment for demographics, degree of carotid artery stenosis, and other clinical covariates.

Findings—Among 19,639 stroke patients (1,974 black; 17,655 white), 69.6% received at least one diagnostic carotid imaging test (blacks, 68.4%; whites, 69.7%; $p=0.233$). After risk-adjustment, blacks were less likely to receive carotid imaging (adjusted OR, 0.87; 95% CI, 0.78-0.97). There was no relationship between race and the receipt of carotid endarterectomy after adjustment for degree of carotid stenosis and other covariates (adjusted OR, 1.14; 95% CI, 0.66-1.96).

Conclusions—Black ischemic stroke patients were less likely to receive diagnostic carotid imaging than white patients, although the difference was small, and only significant after risk-adjustment. There was no difference in the proportion having carotid endarterectomy after adjustment for degree of carotid artery stenosis and other clinical factors.

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Introduction

Carotid endarterectomy (CEA) is effective in preventing stroke in selected patients with moderate or severe stenosis in whom the operation can be performed safely (1,2). Some reports indicate that black patients receive CEA less frequently than white patients, but many of these studies do not account for possible differences in the degree of carotid stenosis (3-13). Black patients generally have less severe extracranial carotid atherosclerosis compared with whites (14-16), which may in part explain the observed racial differences in the receipt of CEA (17). Diagnostic imaging of the carotid artery is necessary to determine whether a patient is a candidate for the operation (15,18), and differences in the performance of this imaging by race may also be an important issue related to observed differences in the receipt of CEA. A study carried out in patients receiving care at Veterans Administration (VA) hospitals, in which there is no economic barrier to diagnostic testing or healthcare procedures among qualified veterans, found no white/nonwhite differences in the use of screening carotid ultrasound or CEA(18). A second VA study also reported no difference in black and white CEA rates after adjusting for the degree of carotid stenosis (19). Whether there are racial differences in the rates of diagnostic carotid imaging and of CEA after adjustment for differences in clinical characteristics or the degree of carotid artery stenosis in non-VA healthcare settings is uncertain.

We determined whether there was variation in the receipt of diagnostic carotid imaging among elderly black and white fee-for-service Medicare beneficiaries hospitalized with a primary discharge diagnosis of ischemic stroke. Among patients with moderate or severe stenosis who had no evidence of carotid occlusion or atrial fibrillation, we also assessed whether the receipt of CEA differed for black and white patients, adjusting for demographic and clinical characteristics, including the maximum reported degree of extracranial carotid artery stenosis.

Materials and Methods

Patients were randomly selected for the Medicare Health Care Quality Improvement Program's National Stroke Project, an initiative authorized by the Health Care Financing Administration (now the Centers for Medicare & Medicaid Services), which has been described elsewhere (20,21). The program was designed to improve health care quality for Medicare beneficiaries hospitalized with stroke or transient ischemic attack (TIA). A systematic random sample of up to 750 hospitalized, fee-for service Medicare beneficiaries with a primary discharge diagnosis of stroke were identified from each of the 50 states, the District of Columbia, and Puerto Rico during each of two time periods (April 1, 1998-March 31,1999, and April 1, 2000-March 31, 2001). The current analysis includes patients with a primary diagnosis of ischemic stroke (ICD-9-CM discharge codes 433.x1, 434.x1 and 436) with confirmatory documentation in the patient's medical record. Patients were included in the analysis if they were classified as of either black or white race, and of non-Hispanic ethnicity based on chart abstracted information. Patients were excluded if they were admitted from non-acute care settings, were transferred from other acute care settings, were younger than 65 years of age, had terminal cancer, or had an illness with a life expectancy of less than 6 months. Because of variability in diagnostic criteria, patients with a diagnosis of TIA were also excluded.

In addition, those with a documented TIA less than 6 months before the index hospitalization were excluded as it was assumed that they would have received diagnostic carotid imaging prior to the index stroke hospitalization. Patients who received carotid imaging but for whom test results were not recorded in the medical record were excluded from the primary analyses. Analyses examining the receipt of CEA were restricted to

patients with moderate or severe stenosis without carotid occlusion or atrial fibrillation (1,22).

Data were obtained from medical record review by two clinical data abstraction centers using computerized abstraction tools. Patient age, sex, race (black, white), and medical history (prior stroke or TIA, atrial fibrillation, congestive heart failure, ischemic heart disease, myocardial infarction, diabetes and hypertension) were recorded. Stroke severity was measured as the number of deficits (sensory, motor, speech and/or visual) present upon arrival, and then dichotomized (≥ 2 deficits vs. <2 deficits) (23).

Study outcomes included the receipt of any carotid imaging test during the index hospitalization and the receipt of CEA among the subset of patients with moderate or severe carotid stenosis. The receipt of any imaging was defined as a documented test result for catheter angiography, magnetic resonance angiography (MRA), or duplex ultrasound; CT angiography was not routinely performed during the period of data collection. Patients in whom a study was planned but not done during the hospitalization were considered to not have had the test in the primary analysis, but were included in secondary analyses. The degree of carotid stenosis was categorized as mild (0-49%), moderate (50-69%), severe (70-99%), or occluded (100%) (1,2). When multiple tests were performed with discordant results, the degree of stenosis was assigned based first on catheter angiography, then MRA, and finally duplex ultrasound. As stenosis in the symptomatic artery was not specifically recorded in the database, the degree of carotid artery stenosis for this analysis was based on the greatest reported degree of stenosis. The receipt of carotid endarterectomy was identified by physician documentation in the medical record during the index hospitalization. Patients for whom CEA was planned, but not performed during the index hospitalization, were considered in secondary analyses.

Bivariate analyses were used to compare the characteristics of black and white patients, as well as to identify patient characteristics associated with the receipt of carotid imaging and CEA among patients with moderate or severe carotid stenosis. The significance of differences for categorical variables was determined using the χ^2 test. To account for multiple comparisons, p-values were adjusted using the Benjamini-Hochberg False Discovery Rate (FDR) (24), in which the expected proportion of errors among the rejected null hypotheses is controlled. Binomial logistic regression analyses were used to calculate the unadjusted odds ratios and 95% confidence intervals for the association of black vs. white race with each outcome. Model covariates included race (black, white), sex, age, prior stroke or TIA, heart disease, myocardial infarction, heart failure, hypertension, diabetes, stroke severity, period of data collection, and degree of carotid stenosis (for models evaluating the receipt of CEA). Candidate variables were selected for inclusion in the multivariable models based on their significance in bivariate comparisons. Separate analyses that assessed the receipt of CEA for patients with moderate or severe stenosis were performed. Secondary binomial logistic regression analyses included both documented and planned carotid imaging tests and CEAs. All analyses were conducted using SAS Version 9.1 (SAS Institute Inc, Cary, NC).

Results

A total of 19,639 elderly ischemic stroke patients were included in the analyses; 10% (n= 1,974) were identified as black, 57% were women, and the mean age was 78.2 ± 7.3 years. Black patients were more likely to be women, to be younger, and to have a history of stroke, diabetes, and/or hypertension. White patients were more likely to have prior TIA, atrial fibrillation, heart disease and/or myocardial infarction than black patients (Table 1).

Overall, 69.6% of patients received at least one diagnostic carotid imaging test. Duplex ultrasounds were performed in 64.7%, MRA in 11.5%, and catheter angiography in 3.4% of patients. In unadjusted analyses, there were no significant differences in the proportion of black and white patients who received diagnostic carotid imaging (68.4% of black patients compared with 69.7% of white patients, $p=0.233$; Figure 1). In risk-adjusted analyses, black race was associated with lower receipt of carotid imaging (OR, 0.87; 95% CI, 0.78 to 0.97; Table 2). Additional covariates associated with lower rates of imaging include female sex, advanced age, prior stroke or TIA, atrial fibrillation, and heart failure. In secondary analyses, 196 patients who did not receive any diagnostic carotid imaging during the index hospitalization but had planned imaging procedure(s) after hospital discharge were combined with patients who received imaging during the hospitalization. The inclusion of these patients did not appreciably alter the association between race and receipt of imaging tests (adjusted OR, 0.85; 95% CI, 0.77 to 0.95).

Among the 13,671 patients who had carotid imaging during the hospitalization, black patients were more likely to have mild stenosis as compared with white patients, and were less likely to have moderate or severe stenosis (Figure 2). The analyses evaluating the receipt of CEA were limited to 2,768 patients with moderate or severe carotid stenosis without carotid occlusion and no evidence of atrial fibrillation. Black patients were more likely to be women, to be younger, to have prior stroke, heart failure, diabetes, and/or hypertension, and to have moderate stenosis (Table 3). Of the group eligible for CEA as defined for this analysis, 8.6% of blacks and 9.1% of whites received carotid endarterectomy. In risk-adjusted analyses, there was no association between race and receipt of CEA after controlling for clinical covariates (OR, 1.14; 95% CI, 0.66 to 1.96; Table 4). Similar patterns were found for analyses stratified by the degree of carotid stenosis. Secondary analyses that included patients who had CEA planned after the index hospitalization also showed no difference in the receipt of CEA by race (OR 0.93; 95% CI, 0.58 to 1.49).

Discussion

In this elderly ischemic stroke population, black patients had a lower likelihood of receiving diagnostic carotid imaging than whites after risk adjustment for demographic and clinical variables. The difference, however, was small, with 68.4% of black patients and 69.7% of white patients receiving imaging, and was only significant after adjustment for age, sex, and other clinical factors. Among those receiving imaging, black patients were more likely to have mild stenosis than white patients. In analyses restricted to patients with moderate or severe stenosis, there was no difference in the receipt of CEA between black and white patients even after risk adjustment for clinical covariates.

Results of studies assessing racial differences in the receipt of diagnostic tests for ischemic stroke in both federal and non-federal health care settings have varied. Several found no difference (18,25) whereas others reported lower rates for black patients than for white patients (3,4,9,15,26). For example, a single-state stroke registry reported that blacks were equally likely to have carotid imaging as compared to whites (25). In contrast, a study conducted in a 4-hospital urban area found that blacks had fewer inpatient tests than whites, although the adjusted association combined carotid imaging with other types of diagnostic evaluations such as CT/MRI scan, electrocardiogram and serum cholesterol measurement (26). Black patients had a lower rate of noninvasive carotid imaging than white patients in a Medicare sample of TIA patients (4), and in a more general sample of elderly Medicare fee-for-service beneficiaries (3). Our study found no difference in unadjusted comparisons, but a modest association with race after adjustment for demographic and clinical characteristics, suggesting that these factors may contribute to differences in the receipt of diagnostic

testing. Variation in study population characteristics and risk adjustment for patient demographic and clinical factors may contribute to inconsistencies across studies. Additional research evaluating racial differences in the receipt of carotid diagnostic imaging in non-hospitalized patients, including those who present with TIAs, stroke symptoms, or individuals with stroke risk factors who are asymptomatic is needed.

Our finding that black patients had less severe extracranial carotid stenosis compared to whites is consistent with most (14-16), but not all prior studies (27). Direct comparisons with these studies are difficult due to variation in the study design. Study populations included patients who were younger than 65 years of age (14-16,27), whose degree of stenosis was not categorized according to current clinical guidelines (14,16), and who were referred for carotid imaging for reasons other than ischemic stroke such as TIA (excluded in the present analysis) (14-16,27), asymptomatic carotid bruit (14,16), preoperative screening(16) and syncope (14,16). Despite these methodological differences, white patients tended to have high-grade carotid artery stenosis more frequently than patients of other racial groups in most study populations. It is possible that physicians aware of this difference may be using other clinical information not measured in our analysis to identify persons less likely to have high grade carotid artery stenosis, possibly contributing to the lower rate of testing in black patients.

A patient's perceived risk of adverse outcomes, perceived barriers to care and cultural beliefs may affect his/her likelihood to delay or refuse recommended care (17), and may contribute to the difference in the adjusted rates of diagnostic imaging found in the present analysis. Blacks are more likely to be averse to carotid endarterectomy (28,29), as well other invasive procedures (30-32). Patients who are not interested in receiving a procedure may not receive a preoperative diagnostic test, as the results would not otherwise affect their care (18).

Racial differences in CEA rates have been documented using Medicare administrative claims data (3-7), as well as in other national data (8,9) and statewide (10-13) hospital discharge information. These studies found greater utilization of CEA among white as compared with black patients (white:black rate ratios ranging from 2.2 to more than 4) (5-8,10-13); however, clinical characteristics that may confound the association between black and white race and receipt of the operation, such as the degree of stenosis, were not assessed. The lack of information related to the degree of stenosis in these studies may, in part, explain the discrepancy between their results and that of the present analysis.

The absence of a relationship between black vs. white race and the performance of CEA found in our analysis is consistent with studies conducted in VA populations that also accounted for the degree of stenosis, either through adjustment for CEA appropriateness, inclusion of a stenosis variable, or both (19,33). Oddone et al. found that the appropriateness of CEA was the strongest predictor of receipt of the procedure among patients with at least moderate stenosis (19). Further analysis of the patients deemed to be appropriate candidates for the operation also found no association between black vs. white race and the likelihood of receipt of CEA (33).

This study has limitations. Clinical information, such as stroke location and its relationship to carotid stenosis, whether the carotid artery with the greatest degree of stenosis was symptomatic or asymptomatic, ischemic stroke subtype, contraindications for surgery (34), information about clinical decision making, and patient preferences was not available. Although studies have shown that black patients have higher perioperative stroke rates than whites (35,36), information on CEA complication rates were not available. The assessment of stroke severity was based on the cumulative number of deficits, a novel measure used in

the NSP study; however, prior analyses using this assessment found a positive association between the cumulative number of deficits and adverse outcomes, including in-hospital mortality or discharge to a skilled nursing facility suggesting the scale has predictive validity (23). Results from this study reflect elderly fee-for-service Medicare beneficiaries and may not be generalizable to younger populations (however, the majority of CEA procedures are performed in patients 65 years of age and older (37)). Additional testing may have been conducted prior to the index hospitalization or after discharge, resulting in the scheduling of CEA procedures prior to the index event. We minimized this inception cohort bias by excluding patients with TIAs prior to the index event and by including planned testing and post-discharge procedures in secondary analyses. We also excluded TIA events because the diagnosis of these events can be imprecise, even among stroke subspecialists (38). The impact of other unmeasured variables, including cognitive status, socioeconomic factors, other medical conditions not reflected in the patient's medical records and variation in surgical risk, could not be assessed. Data were collected in years 1998-2001; however, other studies found that racial differences in the receipt of secondary therapies, if anything, have narrowed over time (39), and there is no reason to suspect that racial differences would have emerged over the intervening years.

This analysis revealed no significant differences in the receipt of carotid endarterectomy among elderly black and white patients with recent stroke. There was, however, a small difference in the receipt of carotid imaging, which may be explained by any of several unmeasured factors including patient preferences. Additional research is needed to better understand the reasons for this difference.

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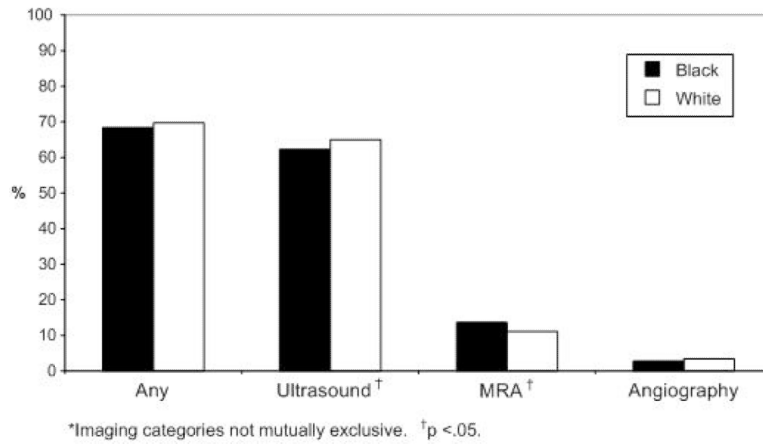


Figure 1.
Proportion of Black and White Patients who Received Carotid Imaging*

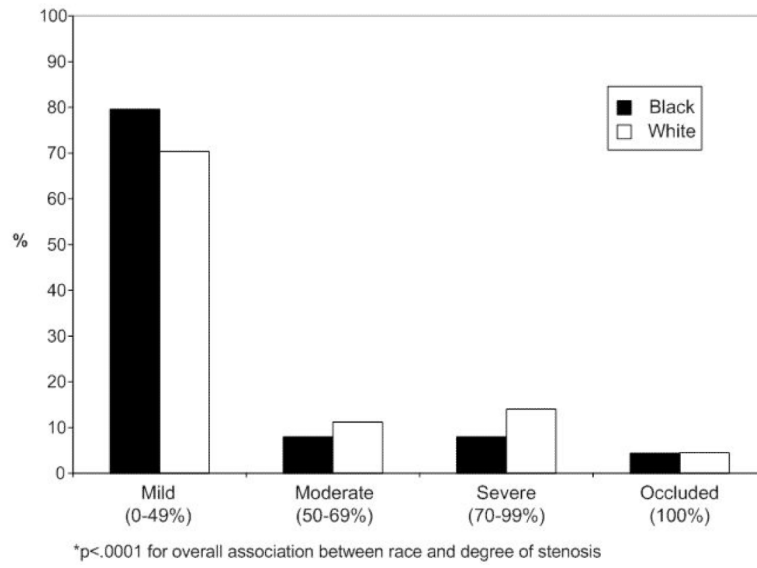


Figure 2.
Overall Degree of Stenosis for Black and White Patients*

Table 1

Demographic and Clinical Characteristics of Elderly Black and White Patients with Ischemic Stroke

Characteristic	Black	White	p-value
	(N=1974)	(N=17665)	
Female	63.3	56.7	<0.0001
Age			<0.0001
85	17.6	21.6	
75-84	39.3	46.3	
65-74	43.1	32.1	
Prior Stroke	57.6	49.2	<0.0001
Prior TIA	9.3	15.5	<0.0001
Atrial Fibrillation	10.6	19.9	<0.0001
Heart Failure	17.7	16.0	0.049
Heart Disease	48.5	52.8	0.0004
Myocardial Infarction	29.8	32.6	0.013
Diabetes	44.5	27.9	<0.0001
Hypertension	91.0	78.2	<0.0001

Table 2

Risk-Adjusted Analyses Predicting Receipt of Any Carotid Imaging

Characteristic	Adjusted Model*	
	OR	95% CI
Black (vs. White)	0.87	(0.78, 0.97)
Sex (Female vs. Male)	0.93	(0.87, 0.99)
Age		
85 (vs. 65-74)	0.46	(0.42, 0.50)
75-84 (vs. 65-74)	0.76	(0.71, 0.82)
Prior Stroke	0.64	(0.60, 0.69)
Prior TIA	0.82	(0.75, 0.89)
Atrial Fibrillation	0.73	(0.67, 0.79)
Heart Failure	0.73	(0.67, 0.80)
Heart Disease	1.09	(1.00, 1.19)
Myocardial Infarction	1.00	(0.91, 1.09)
Diabetes	0.95	(0.88, 1.02)
Hypertension	1.33	(1.23, 1.43)

* Adjusted for covariates in table, stroke severity and wave of data collection.

Table 3

Demographic and Clinical Characteristics of Patients with Moderate or Severe Carotid Stenosis

	Black	White	p-value
	(N=198)	(N=2570)	
Female	58.1	49.1	0.050
Age			0.158
85	14.1	16.7	
75-84	41.9	46.6	
65-74	43.9	36.7	
Prior Stroke	54.6	47.6	0.097
Prior TIA	9.6	14.9	0.097
Heart Failure	17.2	12.4	0.097
Heart Disease	55.1	57.9	0.490
Myocardial Infarction	33.8	36.2	0.507
Diabetes	48.5	31.5	<0.0001
Hypertension	91.9	81.4	0.001
Moderate Stenosis	50.0	43.5	0.109

Table 4

Risk-Adjusted Analyses Predicting Receipt of Carotid Endarterectomy

Characteristic	Adjusted Model*	
	OR	95% CI
Black (vs. White)	1.14	(0.66, 1.96)
Sex (Female vs. Male)	0.94	(0.71, 1.24)
Age		
85 (vs. 65-74)	0.32	(0.18, 0.56)
75-84 (vs. 65-74)	1.06	(0.80, 1.41)
Prior Stroke	0.88	(0.67, 1.17)
Prior TIA	1.29	(0.90, 1.85)
Heart Failure	0.79	(0.51, 1.22)
Heart Disease	1.45	(1.03, 2.05)
Myocardial Infarction	0.78	(0.55, 1.10)
Diabetes	0.84	(0.62, 1.13)
Hypertension	0.98	(0.68, 1.42)

* Adjusted for covariates in table, stroke severity, wave of data collection, and degree of stenosis.