

RESEARCH PAPER

# Evaluating a new method to estimate the rate of leaf respiration in the light by analysis of combined gas exchange and chlorophyll fluorescence measurements

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## Abstract

Day respiration ( $R_d$ ) is an important parameter in leaf ecophysiology. It is difficult to measure directly and is indirectly estimated from gas exchange (GE) measurements of the net photosynthetic rate ( $A$ ), commonly using the Laisk method or the Kok method. Recently a new method was proposed to estimate  $R_d$  indirectly from combined GE and chlorophyll fluorescence (CF) measurements across a range of low irradiances. Here this method is tested for estimating  $R_d$  in five  $C_3$  and one  $C_4$  crop species. Values estimated by this new method agreed with those by the Laisk method for the  $C_3$  species. The Laisk method, however, is only valid for  $C_3$  species and requires measurements at very low  $CO_2$  levels. In contrast, the new method can be applied to both  $C_3$  and  $C_4$  plants and at any  $CO_2$  level. The  $R_d$  estimates by the new method were consistently somewhat higher than those by the Kok method, because using CF data corrects for errors due to any non-linearity between  $A$  and irradiance of the used data range. Like the Kok and Laisk methods, the new method is based on the assumption that  $R_d$  varies little with light intensity, which is still subject to debate. Theoretically, the new method, like the Kok method, works best for non-photorespiratory conditions. As CF information is required, data for the new method are usually collected using a small leaf chamber, whereas the Kok and Laisk methods use only GE data, allowing the use of a larger chamber to reduce the noise-to-signal ratio of GE measurements.

**Key words:** Kok effect, mitochondrial respiration in the light, photosynthesis models.

## Introduction

Non-photorespiratory  $CO_2$  release in the light, also known as ‘day respiration’ ( $R_d$ ; Azcon-Bieto *et al.*, 1981), is an important parameter in modelling net rate of leaf photosynthesis. Unlike the respiratory  $CO_2$  release in the dark ( $R_{dk}$ ),  $R_d$  is difficult to measure directly *in vivo* because of the flux from simultaneous photosynthetic carbon fixation and photorespiration (Ribas-Carbo *et al.*, 2010). Direct measurement of  $R_d$  requires sophisticated methodologies, exploiting the different time course of labelling by carbon isotopes of photosynthetic, photorespiratory, and respiratory pathways (e.g. Haupt-Herting *et al.*, 2001; Loreto *et al.*, 2001; Pinelli and Loreto, 2003; Pärnik and Keerberg,

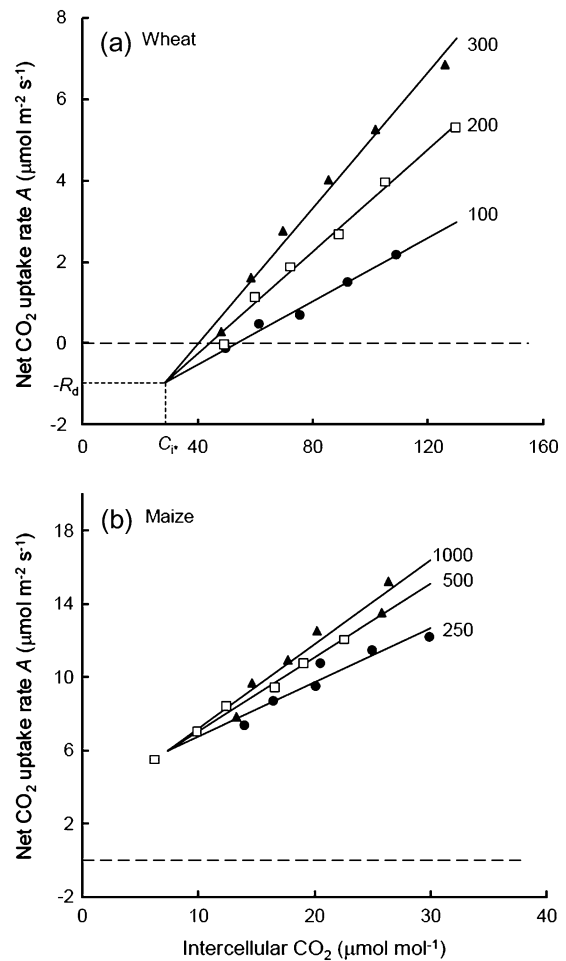
2007). For leaf ecophysiological studies, usually  $R_d$  is indirectly estimated from gas exchange (GE) measurements for net photosynthetic rate ( $A$ ) by extrapolating the linear relationship between  $A$  and light intensity (Kok, 1948) or by identifying the intersection of the linear relationships of  $A$  versus the intercellular  $CO_2$  concentration ( $C_i$ ) assessed at several levels of irradiance (Laisk, 1977). Other indirect methods based on GE data have also been described (e.g. Laisk and Loreto, 1996; Peisker and Apel, 2001).

The Kok method (Kok, 1948) utilizes the fact that the response of  $A$  to light is generally linear at low irradiances. However, in the vicinity of the light compensation point

there might be a break in the linear relationship, with a markedly higher slope of the response curve below than above the break point—the so-called ‘Kok-effect’ (Kok, 1948; Sharp *et al.*, 1984; Brooks and Farquhar, 1985; Kirschbaum and Farquhar, 1987; Villar *et al.*, 1994). Sharp *et al.* (1984) explained that the higher slope below the break was attributable to the effect of the suppression of dark respiration by light (see also Ribas-Carbo *et al.*, 2010). To avoid the influence of the Kok effect, data of the linear range above the break point are analysed, and the extrapolation of that particular linear section of the curve to the zero irradiance gives an estimate of  $R_d$  (Brooks and Farquhar, 1985; Villar *et al.*, 1994; Wang *et al.*, 2001; Shapiro *et al.*, 2004). The method can be applied to any  $CO_2$  level, and might be used to examine whether or not  $R_d$  varies with a change of the  $CO_2$  levels. Obviously, the method assumes that  $R_d$  does not vary with light within the range of light levels used.

The second method, described by Laisk (1977), analyses the response curves of  $A$  to low  $C_i$  that are obtained at several light intensities. It aims to identify the intercellular  $CO_2$  level ( $C_{i*}$ ) at which the rate of  $CO_2$  fixation by photosynthesis equals the rate of  $CO_2$  release from photorespiration. At this  $C_{i*}$  (i.e.  $C_i$ -based  $CO_2$  compensation point in the absence of  $R_d$ ), all of the fixed  $CO_2$  is consumed in photorespiration, and the rate of  $CO_2$  release should represent  $R_d$ . The values of  $C_{i*}$  and  $R_d$  are identified as the coordinates of the common intersection point of  $A$  versus  $C_i$  at two or more light intensities (Fig. 1a). Obviously, the Laisk method also assumes that  $R_d$  does not vary with irradiance within the irradiance ranges used. However, by using a wide array of irradiances, the method can be used to explore any effect of light intensity on the value of  $R_d$  (Villar *et al.*, 1994). The main disadvantage of the Laisk method is that the measurements must be performed at very low  $CO_2$  levels and are therefore under far from normal environmental conditions, especially given that a change in  $R_d$  with  $CO_2$  level has been reported (Villar *et al.*, 1994). Nevertheless, the Laisk method has been widely used as a standard method to estimate  $R_d$  (e.g. Brooks and Farquhar, 1985; von Caemmerer *et al.*, 1994; Atkin *et al.*, 1997, 2000; Peisker and Apel, 2001; Priault *et al.*, 2006; Flexas *et al.*, 2007b).

Like GE measurements, chlorophyll fluorescence (CF) measurements have increasingly been used as a non-invasive tool in leaf ecophysiological studies. In particular when the two types of measurements are combined to assess both  $A$  and photosystem II (PSII) electron ( $e^-$ ) transport efficiency ( $\Phi_2$ ) simultaneously, a number of photosynthesis parameters underlying physiological responses to environmental variables can be estimated (e.g. Laisk and Loreto, 1996). For example, combined GE and CF measurements have been used to estimate mesophyll conductance  $g_m$  (Harley *et al.*, 1992; Yin and Struik, 2009), relative  $CO_2/O_2$  specificity of Rubisco (Peterson, 1989), inter-photosystem excitation partitioning factor, and alternative  $e^-$  transport (Makino *et al.*, 2002; Yin *et al.*, 2006). However, combined GE and CF measurements have hardly been used to



**Fig. 1.** Net  $CO_2$  assimilation rate ( $A$ ) as a function of intercellular  $CO_2$  concentration ( $C_i$ ). Numbers indicate the three incident irradiances ( $I_{inc}$ ) under which measurements were carried out (in  $\mu mol m^{-2} s^{-1}$ ). Regression lines at these  $I_{inc}$ , fitted to data points that each represents the mean of measurements from four replicated plants, were forced to join at the common intersection point ( $C_{i*}$ ,  $-R_d$ ), where  $R_d$  is the estimated leaf respiration rate in the light (Laisk method) and  $C_{i*}$  is the  $C_i$ -based  $CO_2$  compensation point in the absence of  $R_d$ . The dashed horizontal line is the line of  $A=0$ . The estimated  $R_d$  is negative for maize (b), indicating that the Laisk method does not work for  $C_4$  species. Note that the scales in the two panels are different.

estimate  $R_d$ . The only report is a recent integrated method of using these combined data to estimate photosynthesis parameters (including  $R_d$ ) of a biochemical  $C_3$  photosynthesis model (Yin *et al.*, 2009). Like the Kok method, this method utilizes the response of  $A$  to irradiance at low light intensities. However, this method also utilizes the CF information on the response of  $\Phi_2$  to light. Preliminary results for wheat (*Triticum aestivum*) leaves have shown that the new CF-based method allows a better estimate of  $R_d$  than the Kok method does (Yin *et al.*, 2009).

In the present work, this novel CF-based method is compared not only with the Kok method but also with the more widely used Laisk method, in estimating  $R_d$  of leaves in various crop species. The specific emphasis is placed on

examining whether the CF-based method is generally applicable.

## Materials and methods

### Theoretical considerations

The method of Yin *et al.* (2009) to estimate  $R_d$  is based on the fact that at low values of irradiance  $A$  is limited by the light-dependent  $e^-$  transport rate. Building upon the well-known model of Farquhar *et al.* (1980), Yin *et al.* (2004) described a generalized equation for  $A$  within the  $e^-$  transport-limited range as:

$$A = J_2 \left( 1 - \frac{f_{\text{pseudo}}}{1 - f_{\text{cyc}}} \right) \frac{C_c - \Gamma_*}{4(C_c + 2\Gamma_*)} - R_d \quad (1)$$

where  $J_2$  is the total rate of  $e^-$  transport passing PSII,  $f_{\text{cyc}}$  and  $f_{\text{pseudo}}$  represent fractions of the total  $e^-$  flux passing PSI that follow cyclic and pseudocyclic pathways, respectively,  $C_c$  is the  $\text{CO}_2$  level at the carboxylation sites of Rubisco, and  $\Gamma_*$  is the  $C_c$ -based  $\text{CO}_2$  compensation point in the absence of  $R_d$ . A special case of Equation (1) is the  $e^-$  transport-limited equation of the Farquhar *et al.* (1980) model:

$$A = J \frac{C_c - \Gamma_*}{4(C_c + 2\Gamma_*)} - R_d \quad (2)$$

where  $J$  is the PSII  $e^-$  transport rate that is used for  $\text{CO}_2$  fixation and photorespiration.

By definition, the variable  $J_2$  in Equation (1) can be replaced by  $\rho_2 \beta I_{\text{inc}} \Phi_2$ , where  $I_{\text{inc}}$  is the level of incident irradiance,  $\beta$  is the absorbance by leaf photosynthetic pigments, and  $\rho_2$  is the fraction of absorbed irradiance partitioned to PSII. Substituting this term into Equation (1) gives:

$$A = \rho_2 \beta I_{\text{inc}} \Phi_2 \left( 1 - \frac{f_{\text{pseudo}}}{1 - f_{\text{cyc}}} \right) \left( \frac{C_c - \Gamma_*}{C_c + 2\Gamma_*} \right) / 4 - R_d \quad (3)$$

For non-photorespiratory conditions where  $C_c$  approaches infinity and/or  $\Gamma_*$  approaches zero, Equation (3) becomes:

$$A = \rho_2 \beta I_{\text{inc}} \Phi_2 \left( 1 - \frac{f_{\text{pseudo}}}{1 - f_{\text{cyc}}} \right) / 4 - R_d = s \left( I_{\text{inc}} \Phi_2 / 4 \right) - R_d \quad (4)$$

where the lumped parameter  $s = \rho_2 \beta [1 - f_{\text{pseudo}} / (1 - f_{\text{cyc}})]$ . So, using data of the  $e^-$  transport-limited range under non-photorespiratory conditions, a simple linear regression can be performed for the observed  $A$  against  $(I_{\text{inc}} \Phi_2 / 4)$ , in which  $\Phi_2$  is based on CF measurements. The slope of the regression will yield the estimate of a lumped parameter  $s$ , and the intercept will give an estimate of  $R_d$  (Yin *et al.*, 2009). Clearly, this CF-based method is very similar to the Kok method; therefore, it should apply to the range of limiting irradiances, yet above the Kok break point if the Kok effect occurs. However, the Kok method has an additional assumption that  $\Phi_2$  is constant within the range of limiting lights. As will be shown later, this assumption is not true.

Assuming the variation of the term  $(C_c - \Gamma_*) / (C_c + 2\Gamma_*)$  in Equation (3) is negligible across an  $A$ - $I_{\text{inc}}$  curve, Yin *et al.* (2009) showed that the simple regression procedure can also be used to estimate  $R_d$  for photorespiratory conditions, although it is then less certain that the relationship between  $A$  and  $I_{\text{inc}} \Phi_2 / 4$  will be linear. This assumption is in fact also used implicitly in applying the Kok method to estimate  $R_d$  or quantum yield under photorespiratory conditions. To correct for small differences of  $\text{CO}_2$  level across an  $A$ - $I_{\text{inc}}$  curve when estimating  $R_d$ , a procedure as proposed by Kirschbaum and Farquhar (1987) would need to be implemented. However, their correction procedure was based on an assumption of infinite  $g_m$ , which is now known to be unlikely to

be true (Harley *et al.*, 1992; Flexas *et al.*, 2007b; Yin and Struik, 2009). A full correction would require a pre- or simultaneous estimation of  $g_m$ , in addition to the estimation of  $\Gamma_*$ . No correction was therefore made in using the CF method for the purpose of simplicity.

### Plant material and measurements

Five  $C_3$  crop species, wheat (cv. 'Lavett'), rice (*Oryza sativa*, cv. 'IR64'), potato (*Solanum tuberosum*, cv. 'Bintje'), tomato (an inbred line from a cross between *Solanum lycopersicum* cv. 'Moneyberg' and *Solanum chmielewskii*), and rose (*Rosa hybrida* cv. 'Akito'), and one  $C_4$  species (*Zea mays*, experimental hybrid '2-05R00061') were chosen for this study. Plants were grown in a glasshouse complex, in pot soil (wheat, rice, potato, and maize) or on rock-wool hydroponics (tomato and rose), without water or nutrient stress. Climatic conditions in the glasshouses were semi-controlled. Extra SON-T light was switched on when solar radiation outside the glasshouses was  $< 400 \text{ W m}^{-2}$ . The glasshouse  $[\text{CO}_2]$  was  $\sim 370 \mu\text{mol mol}^{-1}$ , relative humidity was 60–80%, and temperature was  $25 \pm 5 \text{ }^\circ\text{C}$  during measurements.

An open GE system (Li-Cor 6400; Li-Cor Inc., Lincoln, NE, USA) and an integrated fluorescence chamber head (i.e. the  $2 \text{ cm}^2$  chamber) were used. While the Laisk and Kok methods require only GE measurements, data would be collected by using the larger  $6 \text{ cm}^2$  chamber to reduce GE measurement noises. However, for comparison of the three methods, all the data were collected using the  $2 \text{ cm}^2$  chamber. All measurements were carried out at a leaf temperature of  $25 \text{ }^\circ\text{C}$  and a leaf-to-air vapour pressure difference of 1.0–1.6 kPa, using a flow rate of  $400 \mu\text{mol s}^{-1}$ . Each measurement was made on four full-grown leaves in replicated plants.

Two sets of measurements were conducted. The first set was to compare the three methods. For  $C_i$  response curves required by the Laisk method, ambient  $\text{CO}_2$  level ( $C_a$ ) was increased step-wise from  $50 \mu\text{mol mol}^{-1}$  up to a maximum of  $150 \mu\text{mol mol}^{-1}$  in six steps while keeping  $I_{\text{inc}}$  at three levels depending on the species. The three light levels chosen for maize were higher than for the other species, following preliminary trials to obtain linear  $A$ - $C_i$  relationships. For  $I_{\text{inc}}$  response curves as required by the Kok method and the new method,  $I_{\text{inc}}$  was in a serial 5, 10, 15, 20, 30, 50, 70, 100, 150, and  $200 \mu\text{mol mol}^{-1}$ , while keeping  $C_a$  at  $370 \mu\text{mol mol}^{-1}$  and  $\text{O}_2$  at 21%  $\text{O}_2$ . For rice, potato, and maize, the light response of the same leaves was also measured at 2%  $\text{O}_2$ . Leaf photosynthesis and respiration may acclimate to incident light conditions during measurement. To test whether the estimated  $R_d$  by the new method is affected by the direction of changing light levels, a second, separate set of measurements were undertaken for wheat, rice, and maize, in which both increasing and decreasing series of the above light levels were applied for each of the two  $\text{O}_2$  levels.

For the measurements at 2%  $\text{O}_2$ , a gas cylinder containing a mixture of 2%  $\text{O}_2$  and 98%  $\text{N}_2$  was used. Gas from the cylinder was humidified and supplied to the Li-Cor 6400 where  $\text{CO}_2$  was blended with the gas.  $\text{CO}_2$  exchange data where the set  $C_a$  values were lower than the ambient air value (i.e. those measurements required for the Laisk method) were corrected for leakage of  $\text{CO}_2$  into the leaf cuvette, using measurements with heat-killed leaves (Flexas *et al.*, 2007a).

The value of  $R_{\text{dk}}$  was measured 15–20 min after leaves had been placed in darkness. For measurements at each irradiance or  $\text{CO}_2$  step,  $A$  was allowed to reach steady state, after which  $F_s$  (the steady-state fluorescence) was recorded from the leaf, and then a saturating light pulse ( $> 8500 \mu\text{mol m}^{-2} \text{ s}^{-1}$  for 0.8 s) was applied to determine  $F_m'$  (the maximum fluorescence during the saturating light pulse). The apparent PSII  $e^-$  transport efficiency was calculated as:  $\Delta F / F_m' = (F_m' - F_s) / F_m'$  (Genty *et al.*, 1989). This  $\Delta F / F_m'$  was treated in the present analysis as a true PSII  $e^-$  transport efficiency  $\Phi_2$ , because the ratio  $\Delta F / F_m' \cdot \Phi_2$ , if not equal to 1, has an

impact on the value of parameter  $s$  but not on the estimated  $R_d$  (Yin *et al.*, 2009).

#### Analysis methods

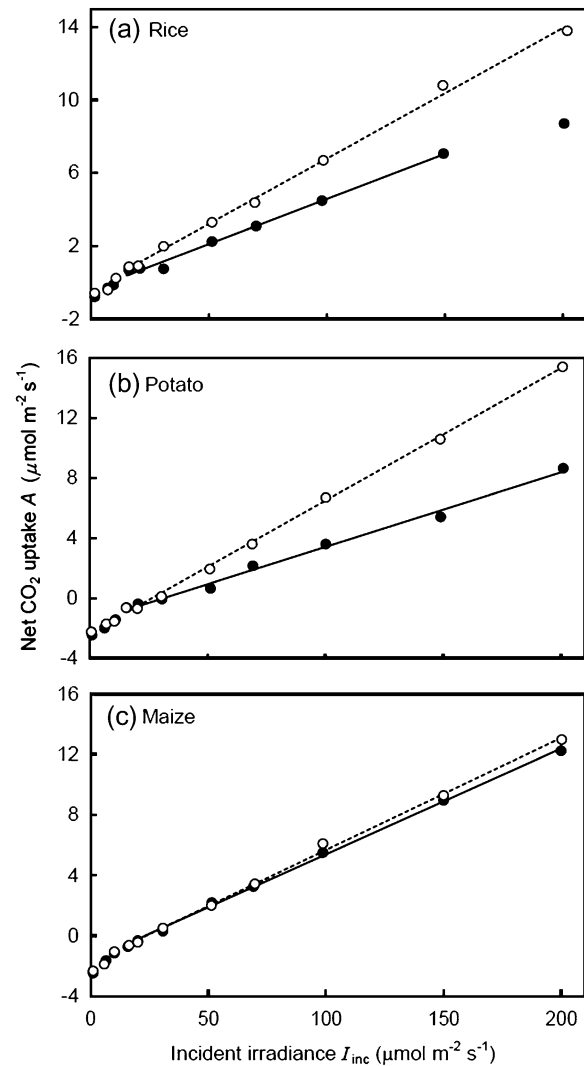
Regression was performed on the mean values of measurements across four replicated leaves. For all methods, data points at high ends that apparently deviated from the required linear pattern were dropped. For the Kok method and the new method, only data of the linear range at light levels above the Kok break point, if the Kok effect occurred significantly, were used to estimate  $R_d$ , by the simple linear regression procedure in MS-Excel. As actual values of irradiance may deviate slightly from the  $I_{inc}$  set values, the  $I_{inc}$  values incident on a leaf assessed by the in-chamber quantum sensor of Li-Cor 6400 were used for analysis. For the Laisk method, the three linear regression lines were forced to intersect at the same point to obtain a single estimate of  $R_d$  from each data set, although the lines might not have joined exactly at the same point if regression was carried out separately for the three light levels. Therefore, the PROC NLIN of SAS (SAS Institute Inc., Cary, NC, USA) was used to fit data for the Laisk method. The SAS codes can be obtained upon request.

## Results

### Comparison of the estimates by the three methods

Data from the first set of measurements were analysed to compare  $R_d$  estimated by the three methods. The measured  $A-C_i$  curves at three light intensities for the five  $C_3$  species confirmed a general linear pattern with a common intersection as required by the Laisk method. An example of the curves is shown in Fig. 1a for wheat. This common intersection was found for all  $C_3$  species below the line  $A=0$ ; therefore, the estimated  $R_d$  was positive for all these species. For the  $C_4$  species maize, however, the identified intersection point was well above the line  $A=0$  (Fig. 1b), suggesting a negative  $R_d$ .  $C_4$  plants have a  $CO_2$ -concentrating mechanism that allows a high  $CO_2$  concentration at Rubisco active sites in bundle sheath cells even if  $C_i$  is low, thereby requiring higher irradiances to obtain linear  $A-C_i$  relationships and yielding quite high values of  $A$  at low  $C_i$  commonly applied (Fig. 1b). Since the negative  $R_d$  is highly unlikely, the Laisk method cannot be applied to estimate  $R_d$  in leaves of  $C_4$  plants.

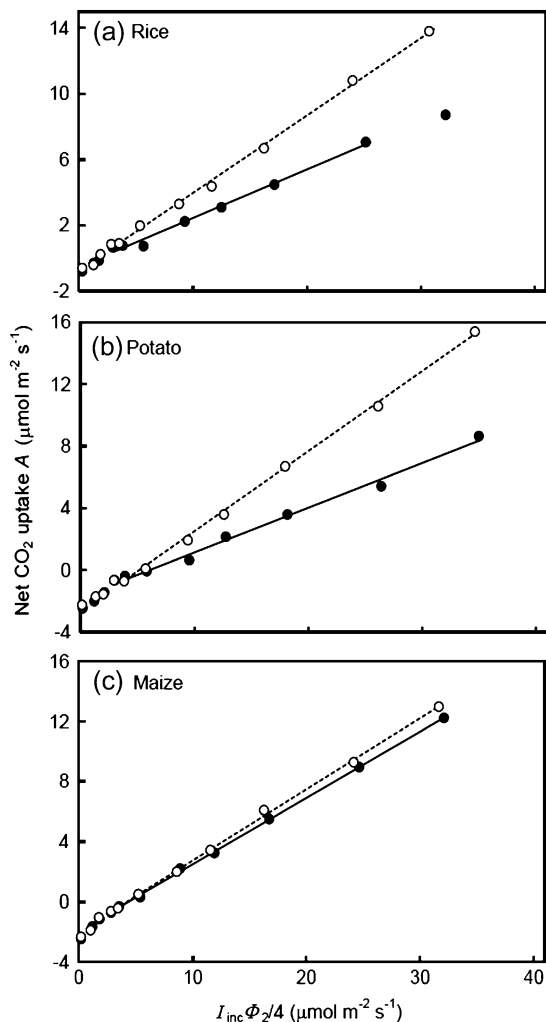
In contrast to the Laisk method, both the Kok method and the new CF method can be applied to estimate  $R_d$  of both  $C_3$  and  $C_4$  leaves, utilizing the linear part beyond the Kok break point of the  $A-I_{inc}$  and  $A-I_{inc}\Phi_2/4$  relationships, respectively (Figs 2, 3). There were apparent deviations from linearity at the high end of the  $A-I_{inc}$  relationship in some plants, for example tomato (result not shown), and this deviation was only partially corrected when the  $A-I_{inc}\Phi_2/4$  relationship was applied. These deviated points, therefore, were excluded in linear regression to estimate  $R_d$  for the two methods. At 21%  $O_2$ , the slope of the  $A-I_{inc}$  relationships at the lower end when  $I_{inc}$  was around the light compensation point or lower was clearly higher, although for wheat and maize the change of the slope value was small, suggesting the occurrence of a significant Kok effect in most  $C_3$  species. Similar changes in the slope, albeit



**Fig. 2.** Net  $CO_2$  assimilation rate ( $A$ ) as a function of limiting incident irradiances ( $I_{inc}$ ) at ambient air  $CO_2$  with 21% (filled circles) and 2% (open circles)  $O_2$  levels. Each data point represents the mean of measurements from four replicated plants. Solid and dotted lines represent regressions for data within the linear range from irradiance levels higher than the Kok break point at 21% and 2%  $O_2$ , respectively. The extrapolation of these regression lines to the zero light level gives an estimation of  $-R_d$ , where  $R_d$  is the estimated respiration rate in the light (Kok method). The regression lines below the break point are not shown.

smaller, were also identified at 2%  $O_2$  and in maize. This abrupt change of the slope value was clearly shown in the  $A-I_{inc}\Phi_2/4$  relationship as well (Fig. 3).

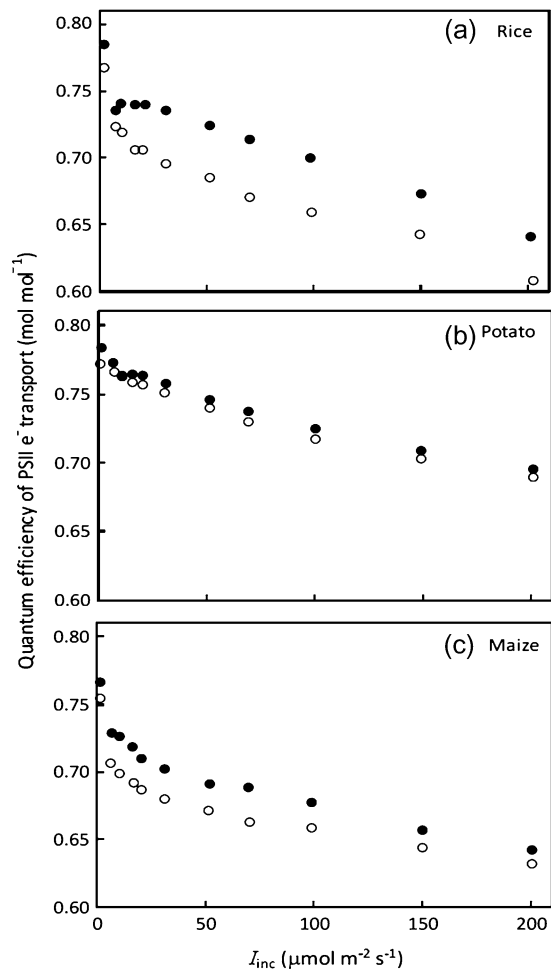
The Kok method requires a linear  $A-I_{inc}$  relationship beyond the Kok break point (Fig. 2). Such a linear relationship assumes that  $\Phi_2$  is constant within the range of  $I_{inc}$  used. However, CF measurements showed that the apparent quantum efficiency of PSII  $e^-$  transport ( $\Delta F/F_m$ ) decreased continuously with increasing  $I_{inc}$ , even within the limiting irradiance range (Fig. 4). The new CF method for  $R_d$  estimation accounts for such a decline of  $\Phi_2$  by analysing the  $A-I_{inc}\Phi_2/4$  relationships (Fig. 3). For this reason, the  $R_d$  values estimated by the CF method were consistently higher



**Fig. 3.** Net CO<sub>2</sub> assimilation rate ( $A$ ) as a function of the variable  $I_{inc}\Phi_2/4$  (where  $I_{inc}$  is the incident irradiance and  $\Phi_2$  is the quantum efficiency of PSII electron transport) at ambient air CO<sub>2</sub> with 21% (filled circles) and 2% (open circles) O<sub>2</sub> levels. Each data point represents the mean of measurements from four replicated plants. Solid and dotted lines represent regressions for data within the linear range from irradiance levels higher than the break point at 21% and 2% O<sub>2</sub>, respectively. The extrapolation of these regression lines to the zero  $I_{inc}\Phi_2/4$  level gives an estimation of  $-R_d$ , where  $R_d$  is the estimated respiration rate in the light (new CF method). The regression lines below the break point are not shown.

than those estimated by the Kok method (Table 1), on average, by 20%.

The values of  $R_d$  estimated by the Laisk method, which as usual was applied to ambient O<sub>2</sub> condition (21%) for the measurements, varied from 0.63  $\mu\text{mol m}^{-2} \text{s}^{-1}$  for rice to 1.52  $\mu\text{mol m}^{-2} \text{s}^{-1}$  for potato (Table 1). For the common 21% O<sub>2</sub>, the overall trend for the variation of  $R_d$  among the C<sub>3</sub> crops provided by the three methods was consistent. The difference in the  $R_d$  estimates may be due to differences in crop type and/or leaf ages. Generally,  $R_d$  estimated by the new CF method agreed well with those estimated by the Laisk method (Fig. 5). However,  $R_d$  estimated by the Kok



**Fig. 4.** Quantum efficiency of PSII electron transport (as indicated by chlorophyll fluorescence data for the apparent PSII quantum efficiency  $\Delta F/F_m$ ) as a function of incident irradiance  $I_{inc}$  at ambient air CO<sub>2</sub> with 21% (filled circles) and 2% (open circles) O<sub>2</sub> levels for rice, potato, and maize. Each data point represents the mean of measurements from four replicated plants.

method was mostly lower (Fig. 5) and, on average, was  $\sim 87\%$  of  $R_d$  obtained from the Laisk method.

#### *Effect of the direction of changing irradiances on $R_d$ estimated by the CF method*

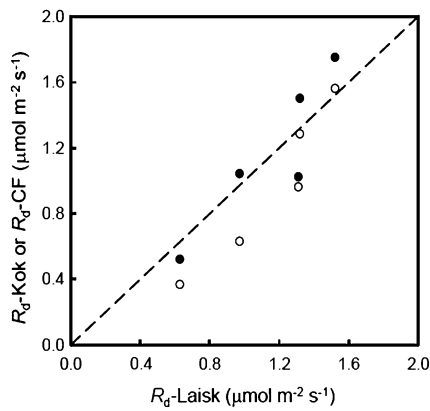
For a second set of measurements, the same levels of irradiances but two contrasting directions (increasing versus decreasing) of changing the irradiances were used for wheat, rice, and maize, to test whether the value of  $R_d$  estimated by the new CF method is sensitive to the direction of the change. An example of these measurements is given in Fig. 6 for wheat.

Using data points above the Kok break points, values of  $R_d$  estimated from measurements of increasing  $I_{inc}$  differed slightly from those estimated from measurements of decreasing  $I_{inc}$  (Table 2). In most cases,  $R_d$  values from increasing  $I_{inc}$  were slightly higher than those from decreasing  $I_{inc}$ , whereas in other cases the opposite was true. However, in no case was the difference statistically

**Table 1.** Value of the day respiration  $R_d$  (SE of the estimate in parentheses) estimated by three methods (i.e. Laisk, Kok, and CF), the intercept value at the A-axis by extrapolating the linear relationship below the break point (see Figs 2 and 3), and the mean value of the respiration rate in darkness  $R_{dk}$  across four replications (SE of the mean in parentheses), for leaves in six crop species. Data were from the first set of measurements. The unit of all parameters is  $\mu\text{mol m}^{-2} \text{s}^{-1}$ .

Crop	$\text{O}_2$ (%)	$R_d$			Intercept at A-axis		$R_{dk}$	
		Laisk	Kok	CF	Kok	CF		
C <sub>3</sub>	Wheat	21	0.972 (0.516)	0.631 (0.151)	1.043 (0.140)	1.436 (0.129)	1.474 (0.142)	1.358 (0.048)
	Rice	21	0.628 (0.511)	0.368 (0.146)	0.522 (0.172)	0.903 (0.218)	0.901 (0.218)	0.806 (0.122)
		2	–	0.369 (0.155)	0.744 (0.149)	0.984 (0.327)	1.015 (0.336)	0.608 (0.120)
	Potato	21	1.522 (0.612)	1.563 (0.209)	1.751 (0.229)	2.725 (0.218)	2.735 (0.220)	2.468 (0.150)
		2	–	2.555 (0.105)	2.890 (0.123)	2.327 (0.384)	2.339 (0.389)	2.250 (0.263)
	Tomato	21	1.310 (0.729)	0.962 (0.081)	1.024 (0.084)	1.790 (0.198)	1.793 (0.201)	1.675 (0.150)
	Rose	21	1.320 (0.976)	1.286 (0.069)	1.503 (0.096)	1.929 (0.340)	1.938 (0.343)	1.930 (0.188)
C <sub>4</sub>	Maize	21	NA	1.614 (0.126)	1.911 (0.084)	2.213 (0.212)	2.234 (0.209)	2.473 (0.398)
		2	NA	1.740 (0.169)	1.985 (0.133)	2.417 (0.415)	2.441 (0.418)	2.325 (0.368)

NA, not applicable, as the Laisk method does not work for C<sub>4</sub> species (see text); –, not measured, as the Laisk method is usually applied under the ambient O<sub>2</sub> conditions.



**Fig. 5.** Values of leaf respiration rate in the light ( $R_d$ ) for five C<sub>3</sub> species at 21% O<sub>2</sub>, estimated by the Kok method (open circles) or by the new CF method (filled circles), compared with the estimates for  $R_d$  by the Laisk method. The dashed line represents the 1:1 relationship.

significant ( $P > 0.10$ ). Therefore, values of  $R_d$  estimated from the pooled data of the two changing series are also shown in Table 2. The differences in  $R_d$  among the three crops from this set of measurements agreed generally with those obtained from the first set of measurements (Table 1) —  $R_{d,maize} > R_{d,wheat} > R_{d,rice}$ .

*Effect of O<sub>2</sub>, and comparison between  $R_d$  and  $R_{dk}$*

For the Kok method and the new CF method, both 21% and 2% O<sub>2</sub> levels were implemented for some crops; so for these crops,  $R_d$  was estimated by the methods for the two O<sub>2</sub> levels (Tables 1, 2). For measurements at the 2% O<sub>2</sub> level, a change of the slope for the Kok effect was relatively less apparent (Figs 2, 3, 6). As expected, 2% O<sub>2</sub> (compared with 21% O<sub>2</sub>) suppressed photorespiration and thus increased the slope of the relationship above the Kok break point in the C<sub>3</sub> crops wheat, rice, and potato, whereas the

**Table 2.** Value of the day respiration  $R_d$  (SE of the estimate in parentheses) estimated by the CF method, and the mean value of the respiration rate in darkness  $R_{dk}$  across four replications (SE of the mean in parentheses), for leaves in three crop species.

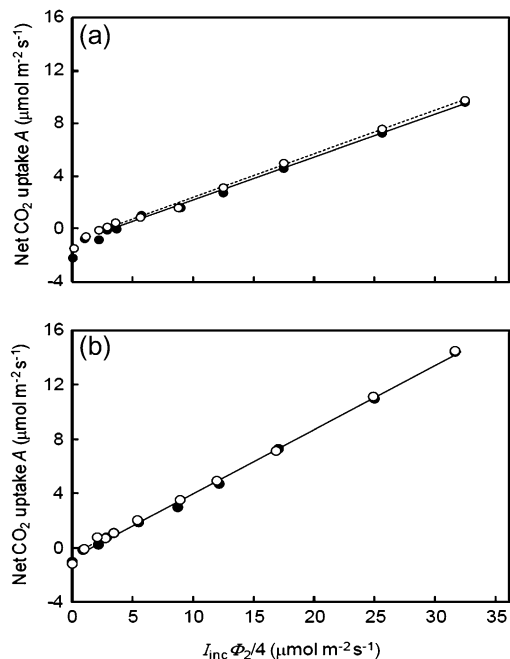
Data were from the second set of measurements, where irradiances were changed in either increasing or decreasing order. The unit of  $R_d$  and  $R_{dk}$  is  $\mu\text{mol m}^{-2} \text{s}^{-1}$ .

Crop	$\text{O}_2$ (%)	$R_d$			$R_{dk}$	
		Increasing	Decreasing	Pooled		
C <sub>3</sub>	Wheat	21	1.054 (0.113)a	0.820 (0.112)a	0.936 (0.083)	1.782 (0.173)
		2	0.687 (0.093)a	0.502 (0.093)a	0.594 (0.068)	1.076 (0.055)
	Rice	21	0.628 (0.190)a	0.734 (0.188)a	0.681 (0.130)	0.975 (0.161)
		2	0.552 (0.136)a	0.402 (0.136)a	0.477 (0.095)	0.644 (0.061)
C <sub>4</sub>	Maize	21	2.629 (0.263)a	2.086 (0.270)a	2.365 (0.199)	2.094 (0.273)
		2	1.284 (0.226)a	1.928 (0.260)a	1.562 (0.192)	1.794 (0.202)

The same letter in a row means that the estimated  $R_d$  did not differ significantly ( $P > 0.10$ ) between increasing and decreasing irradiance series.

difference in the slope between the two O<sub>2</sub> levels was very small in the C<sub>4</sub> crop maize (Figs 2, 3). Below the Kok break point, there was no apparent difference between the two O<sub>2</sub> levels in any species. As a result, the estimated  $R_d$  did not differ between the two O<sub>2</sub> levels in the C<sub>4</sub> species maize, but the CF method showed that it was higher at low than at high O<sub>2</sub> levels for the C<sub>3</sub> species rice and potato (Table 1). In contrast, from the second set of measurements, the estimated  $R_d$  was lower at low than at high O<sub>2</sub> levels (Table 2), as the low O<sub>2</sub> increased  $A$  somewhat already at very low irradiances (results not shown). However, this difference in  $R_d$  between the O<sub>2</sub> levels was not significant in most cases, suggesting that the effect of O<sub>2</sub> on  $R_d$ , if any, was not consistent.

Except for a few cases, the measured  $R_{dk}$  was higher than the  $R_d$  estimated by any of the three methods (Tables 1, 2). However,  $R_{dk}$  did not differ significantly ( $P > 0.05$ ) from the



**Fig. 6.** Net CO<sub>2</sub> assimilation rate ( $A$ ) of wheat leaves as a function of the variable  $I_{\text{inc}}\Phi_2/4$  (where  $I_{\text{inc}}$  is the incident irradiance and  $\Phi_2$  is the quantum efficiency of PSII electron transport) at ambient air CO<sub>2</sub> with 21% (a) and 2% (b) O<sub>2</sub> levels. Each data point represents the mean of measurements from four replicated plants. Solid and dotted lines represent regressions for data within the linear range from irradiance levels higher than the break point for increasing (filled circles) and decreasing (open circles) light series, respectively. The dotted regression line is invisible in (b) because it virtually overlaps with the solid line. The extrapolation of these regression lines to the zero  $I_{\text{inc}}\Phi_2/4$  level gives an estimation of  $-R_d$ , where  $R_d$  is the estimated leaf respiration rate in the light (new CF method). The regression lines below the break point are not shown.

intercept at the  $A$ -axis by extrapolating the linear relationship below the Kok break point of the light response (Figs 2, 3, 6; Table 1).

## Discussion

### Comparison of the three methods

The Laisk (1977) method has been widely considered as a standard method to estimate leaf  $R_d$  indirectly in ecophysiological studies for C<sub>3</sub> plants (e.g. Brooks and Farquhar, 1985; von Caemmerer *et al.*, 1994; Peisker and Apel, 2001; Priault *et al.*, 2006; Flexas *et al.*, 2007b), probably also because it generates an estimate of another important parameter  $C_i^*$ . Its applicability is confirmed by the present results (e.g. Fig. 1a) for five C<sub>3</sub> species. However, the results for maize (Fig. 1b) suggest that the Laisk method yielded a negative  $R_d$ , which is physiologically impossible. Measurements show that there are no obvious differences in respiratory costs between C<sub>3</sub> and C<sub>4</sub>

plants of similar habitats (Byrd *et al.*, 1992). The present results are in line with the literature, in which the Laisk method has been used only for C<sub>3</sub> plants. In fact, the theoretical basis of the Laisk method is Equation (1) or (2), which predicts that  $A$  has a common value (i.e.  $-R_d$ ) at various light intensities when  $C_c = \Gamma^*$  (equivalently when  $C_i = C_i^*$ ). So, strictly speaking, one must use  $\Gamma^*$ , instead of  $C_i^*$ , in the Laisk method, although few have done so because  $\Gamma^*$  and  $C_i^*$  differ by  $R_d/g_m$ , and  $g_m$  is difficult to measure (Harley *et al.*, 1992; Flexas *et al.*, 2007b; Yin and Struik, 2009). Since the CO<sub>2</sub>-concentrating mechanism plays such an important role in determining the C<sub>4</sub> photosynthetic rate at low CO<sub>2</sub> levels, the simple Equation (1) or (2), valid for C<sub>3</sub> photosynthesis, does not suit for C<sub>4</sub> photosynthesis. It is not surprising, therefore, that the Laisk method does not work for C<sub>4</sub> plants.

Another disadvantage of the Laisk method is that the experiments must be performed at very low CO<sub>2</sub> concentrations, far below normal ambient CO<sub>2</sub> levels (Villar *et al.*, 1994, 1995). When a large gradient exists between the set CO<sub>2</sub> concentration and that in the ambient air, it is hard to avoid CO<sub>2</sub> exchange or leakage between IRGA's leaf chamber of the open GE system and the surrounding air, leading to erroneous measurements of  $A$  and  $C_i$  (Flexas *et al.*, 2007a). Therefore, a correction of  $A$  and  $C_i$  for this leakage is necessary (Flexas *et al.*, 2007a; Rodeghiero *et al.*, 2007). If no correction was made, the estimated  $R_d$  by the Laisk method would have become, on average, ~50% higher than the values given in Table 1. The reported increase of leaf respiration with a short-term decrease in CO<sub>2</sub> concentration (e.g. Villar *et al.*, 1994; Atkin *et al.*, 2000), seemingly explained by CO<sub>2</sub> acting as an inhibitor of certain enzymes, means a further uncertainty in the estimated  $R_d$  by the Laisk method, although such an impact of CO<sub>2</sub> on leaf respiration was not always evident (Brooks and Farquhar, 1985; Kirschbaum and Farquhar, 1987; Tjoelker *et al.*, 2001). Amthor *et al.* (2001) suggested that earlier reported changes of leaf respiration with the CO<sub>2</sub> level may have been due to small leaks in the GE measurement systems.

The above major disadvantages of the Laisk method can be overcome by the Kok method and the new CF method, which can be implemented under ambient CO<sub>2</sub> conditions and are applicable to both C<sub>3</sub> and C<sub>4</sub> species. For example, the Kok method was used to assess the quantum yield of CO<sub>2</sub> assimilation ( $\Phi_{\text{CO}_2}$ ) as well as  $R_d$  in a large number of C<sub>3</sub>, C<sub>4</sub>, and intermediate species (Björkman and Demmig, 1987). This is because the Kok and the CF methods use data measured under limiting irradiance, which is the predominant factor determining photosynthesis, so Equation (1) or (2) applies even for C<sub>4</sub> photosynthesis. Furthermore, the present measurements showed that data in the low  $C_i$  portions of  $A-C_i$  curves required by the Laisk method generally had more noise (were more scattered) than those in the low portions of light response curves required by the Kok method and the new CF method, probably because the former involves use of additional data for transpiration (to calculate  $C_i$ ), whose measurements are

sensitive to uncontrolled environmental perturbations. This uncertainty is also reflected by the standard errors of  $R_d$  estimates which were higher for the Laisk method than for the other two methods (Table 1). In line with the results of Villar *et al.* (1994), the present values of  $R_d$  estimated by the Kok method were generally lower than those by the Laisk method (Fig. 5; Table 1). However, values estimated by the new CF method were in better agreement with those estimated by the Laisk method (Fig. 5; Table 1).

The difference between the Kok method and the new method is that not only data from GE measurements on  $A$  but also those from CF measurements on  $\Delta F/F_m$  are used in the new method. According to Equation (3), the Kok method implicitly assumes that like coefficients  $\beta$  and  $\rho_2$ ,  $\Phi_2$  does not vary with  $I_{inc}$  within the used data range. However, data from CF measurements reveal that the loss of  $\Phi_2$ , as indicated by  $\Delta F/F_m$ , develops as the irradiance increases even within low light ranges (Fig. 4; see also Genty and Harbinson, 1996), implying a non-linear  $A-I_{inc}$  relationship. Thus, the new method using the information of CF (in addition to GE information) corrects the error of the Kok method of the constant  $\Phi_2$  over low irradiances, thereby accounting for any pitfall caused by possible non-linearity, undetectable by visual or statistical inspection (Fig. 2), between  $A$  and  $I_{inc}$  of the used data range (Yin

*et al.*, 2009). Use of the combined GE and CF data in the new method is justified by a generally observed linear relationship between  $\Delta F/F_m$  and  $\Phi_{CO_2}$  over a wide range of conditions for  $C_3$  (e.g. Genty *et al.*, 1989) and  $C_4$  (Edwards and Baker, 1993) species. The sometimes reported break of the linearity between  $\Delta F/F_m$  and  $\Phi_{CO_2}$  at low light levels (e.g. Seaton and Walker, 1990) may be, at least partly, due to uncertainty in estimating  $R_d$  (Edwards and Baker, 1993) since  $R_d$  accounts for a large portion of the variation in  $\Phi_{CO_2}$  under low light conditions. It is worth noting that data for the new method have to be obtained from a small (e.g. 2 cm<sup>2</sup>) leaf chamber because errors with CF measurements for  $\Delta F/F_m$  are inversely proportional to leaf area, although this limitation does not apply for fluorescence systems based on area-imaging cameras rather than spot measurements. However, the Kok method, like the Laisk method, uses only GE data; therefore, data would be collected with the large chamber (e.g. 6 cm<sup>2</sup>) to reduce the noise-to-signal ratio and to represent the whole leaf better.

In short, each method has its own advantages and disadvantages, which are summarized in Table 3. It would be useful to compare the results of these indirect methods with those obtained by one of the methods that directly measure  $R_d$  (e.g. those of Haupt-Herting *et al.*, 2001; Loreto *et al.*, 2001; Pärnik and Keerberg, 2007).

**Table 3.** Evaluation of the three methods to estimate leaf respiration rate in the light  $R_d$

	Advantages	Disadvantages
The Laisk method	<ol style="list-style-type: none"> <li>1. Data used could be obtained from a large (e.g. 6 cm<sup>2</sup>) leaf chamber.</li> <li>2. The method provides additional estimates on carboxylation efficiencies at various irradiances and on the very useful parameter <math>C_i</math>.</li> <li>3. The method could be used to check roughly if <math>R_d</math> varies with irradiance levels.</li> </ol>	<ol style="list-style-type: none"> <li>1. Low <math>C_i</math> levels have to be used, which are far from the level for normal plant growth.</li> <li>2. It is required to correct for the CO<sub>2</sub> leakage during the gas exchange measurement.</li> <li>3. The method is applicable only for <math>C_3</math>, not for <math>C_4</math> plants.</li> <li>4. The method is sensitive to errors of the system in measuring transpiration that affects <math>C_i</math>.</li> </ol>
The Kok method	<ol style="list-style-type: none"> <li>1. Data used could be obtained from a large (e.g. 6 cm<sup>2</sup>) leaf chamber.</li> <li>2. The method is applicable for both <math>C_3</math> and <math>C_4</math> plants.</li> <li>3. The method could potentially be applied to the CO<sub>2</sub> levels for normal plant growth; so it is possible that no correction for CO<sub>2</sub> leakage during measurement is required.</li> <li>4. The method provides additional estimate for <math>\Phi_{CO_2}</math>.</li> <li>5. The method is insensitive to errors in measuring transpiration.</li> <li>6. The method could be used to check if <math>R_d</math> varies with CO<sub>2</sub> levels.</li> </ol>	<ol style="list-style-type: none"> <li>1. The method is based on the assumption that <math>\Phi_2</math> is constant within used irradiances, which is highly unlikely; as a result, it may underestimate <math>R_d</math>.</li> <li>2. Low irradiance levels have to be used, which may not represent the light level for normal plant growth</li> <li>3. Theoretically, the method works best for the non-photorespiratory condition.</li> </ol>
The new CF method	<ol style="list-style-type: none"> <li>1. Using CF information, the method corrects for the error of the Kok method assuming a constant <math>\Phi_2</math> with low irradiances; as a result, data of a wider range of irradiance could be useable, relative to the Kok method.</li> <li>2. The method is applicable for both <math>C_3</math> and <math>C_4</math> plants.</li> <li>3. The method could potentially be applied to the CO<sub>2</sub> levels for normal plant growth; so it is possible that no correction for CO<sub>2</sub> leakage during measurement is required.</li> <li>4. The method provides additional estimate for parameter <math>s</math>, that lumps a number of useful physiological parameters (see text).</li> <li>5. The method is insensitive to errors in measuring transpiration.</li> <li>6. The method could be used to check if <math>R_d</math> varies with CO<sub>2</sub> levels.</li> </ol>	<ol style="list-style-type: none"> <li>1. Data used have to be obtained from a small (e.g. 2 cm<sup>2</sup>) leaf chamber because errors with CF measurements are inversely proportional to leaf area (but note that this limitation does not apply for fluorescence systems based on area-imaging cameras).</li> <li>2. Generally low irradiance levels are used, which may not represent the light level for normal plant growth.</li> <li>3. Theoretically, the method works best for the non-photorespiratory condition.</li> </ol>



### *Little evidence for dependence of $R_d$ on the direction of changing irradiance*

One relevant issue for using the new method is whether or not the direction of changing irradiances has an impact on the estimated  $R_d$ , since the CF method, like the Kok method, requires a series of data points across the low light range. As discussed in the section 'Theoretical considerations', both methods are theoretically valid under non-photorespiratory conditions (Table 3). For normal photorespiratory conditions, the methods rely on the assumption that variation of  $C_c$ , and therefore  $C_i$ , with irradiance is negligible. This assumption is questionable given that at a given  $C_a$ , the variation of  $C_i$  with irradiance is most apparent in the low  $I_{inc}$  range, within which data are collected to estimate  $R_d$  by the Kok and CF methods. High irradiances induce stomatal opening, which may have a consequence on GE and  $C_i$  at subsequent light levels and, therefore, on the estimated  $R_d$ , especially under photorespiratory condition. For this reason, the second set of measurements were conducted using the same light levels but contrasting (increasing versus decreasing) directions of changing irradiances.

The estimated  $R_d$  values by the CF method from measurements of increasing and decreasing irradiances were not identical (Table 2). However, the difference was not significant, nor was it consistent or systematic. As discussed above, the effect of the direction of changing irradiance on  $R_d$ , if any, is expected to occur under photorespiratory conditions. However, any difference in  $R_d$  between the two light series was not higher at 21% than at 2%  $O_2$  levels in two  $C_3$  crops, and not higher in  $C_3$  than in  $C_4$  species (Table 2). Moreover, a 'drifting' in the actual values of  $R_d$  may occur with increasing or decreasing light since it is hard to complete low-light series measurements quickly enough to preclude the drifting. Therefore, it is believed that the difference in the estimated  $R_d$  between the light series was possibly due to measurement noise or 'drifting', rather than to biological mechanisms.

### *Effect of light on mitochondrial respiration, and the Kok effect*

Values of  $R_d$  estimated by all three methods were generally lower than those of  $R_{dk}$  (Tables 1, 2), supporting the assertion that leaf respiration can be inhibited by light (Sharp *et al.*, 1984; Brooks and Farquhar, 1985; Villar *et al.*, 1994, 1995; Laisk and Loreto, 1996; Atkin *et al.*, 1997, 2000; Wang *et al.*, 2001; Shapiro *et al.*, 2004). An *in vivo* metabolic study (Tcherkez *et al.*, 2005) indicated that the main inhibited steps were the entrance of hexose molecules into the glycolytic pathway and the Krebs cycle. However, whether this difference between  $R_d$  and  $R_{dk}$  is due to real inhibition has been challenged (e.g. Loreto *et al.*, 2001) because  $CO_2$  released from respiration during illumination is possibly re-fixed by photosynthesis.

Another uncertainty is the assumption used in all three methods (Laisk, Kok, and CF) that  $R_d$  is independent of

light intensity, and the assumption seems to be supported by some experimental studies (e.g. Haupt-Herting *et al.*, 2001). Furthermore, both Kok and CF methods implicitly assume that  $R_d$  is maximally inhibited by light at the Kok break point. However, it has been shown that the extent to which irradiance inhibits  $R_d$  increases with increasing light intensity (Brooks and Farquhar, 1985; Villar *et al.*, 1994, 1995; Laisk and Loreto, 1996; Atkin *et al.*, 2000), well beyond the break point. It has been suggested that the Kok effect is caused by the progressive, light-induced inhibition of leaf respiration (e.g. Sharp *et al.*, 1984; Ribas-Carbo, 2010), which is also in line with the present results that  $R_{dk}$  did not differ from the intercept of the line below the Kok break point (Table 1). Previously, the Kok effect was suggested to be associated with photorespiration given the observed absence of the Kok effect under low  $O_2$  conditions or in  $C_4$  species that suppress photorespiration (e.g. Ishii and Murata, 1978). The observation that the Kok effect is present under high  $CO_2$  but absent under low  $O_2$  (Sharp *et al.*, 1984) means that a possible decrease in the ratio of photorespiration to photosynthesis with decreasing irradiance has little relevance to the Kok effect. The present data also showed that the Kok effect occurred at 2%  $O_2$  or in  $C_4$ , albeit to a lesser extent compared with 21%  $O_2$  or  $C_3$  crops (Fig. 2), and that the Kok effect did not disappear when values of  $A$  were plotted against  $I_{inc}\Phi_2/4$  (Fig. 3). A new analytical model hypothesizing that the oxidative pentose phosphate pathway is progressively inhibited by the light-driven increase in thylakoid reducing power can reproduce the abrupt transition point of the Kok effect (Buckley and Adams, 2011). Direct measurements of  $R_d$  (with procedures from, for example, Haupt-Herting *et al.*, 2001; Loreto *et al.*, 2001; Pinelli and Loreto, 2003; Pärnik and Keerbergh, 2007), combined with a model analysis, might help to understand fully the inter-entangling of the Kok effect, light inhibition of  $R_d$ , and photorespiration, and to verify the estimates of  $R_d$  by the indirect methods evaluated in this study.

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