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Alzheimer's Disease: The Challenge of the Second Century

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Abstract

Alzheimer's disease (AD) was first described little more than 100 years ago. It is the most common cause of dementia with an estimated prevalence of 30 million people worldwide, a number that is expected to quadruple in 40 years. There currently is no effective treatment that delays the onset or slows the progression of AD. However, major scientific advances in the areas of genetics, biochemistry, cell biology, and neuroscience over the last 25 years have changed the way we think about AD and offer hope that, if the disease is detected prior to the onset of overt symptoms and signs, it is possible that treatments based on knowledge of underlying pathogenesis can and will be effective.

Overview

Alois Alzheimer, a German psychiatrist, reported at a meeting in Tübingen, Germany, in 1906 his clinicopathological study of a woman who presented with a "peculiar" dementia at age 51 years. Alzheimer correlated the woman's cognitive and behavioral features with histopathological findings of "miliary foci" and neurofibrillary change in the cerebral cortex following her death at 55 years (1). In the 105 years since Alzheimer's original case report and particularly in the past 3 decades, much has been learned about Alzheimer's disease (AD). In this review, we will describe the clinical and pathological features of AD and highlight its importance as a global public health problem of immense proportions, both today and in decades to come. We will also discuss the challenges in translating scientific findings into new treatments to improve cognitive function, slow progression, delay the onset, and prevent AD. Studies of AD molecular pathology provided the findings that guided geneticists in the late 1980s to important initial discoveries. In turn, the basic understanding of AD started with the identification of genetic changes and their associated cellular and molecular consequences that are either causative or alter risk of developing AD Current and future genetic studies may lead to new insights into pathogenesis and therapeutic targets by gene identification using state of the art genomic techniques combined

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with biomarkers. AD is thought to be a disorder of protein aggregation in which the aggregation and accumulation in the brain of two proteins, amyloid- β (A β) and tau are key players in AD pathophysiology. However, there are many additional cellular pathways, processes, and molecules involved in AD pathogenesis that have emerged and will continue to be discovered, that play important roles in the disease. A key insight that is changing diagnostic and therapeutic approaches to AD is that pathological changes that underlie the brain degeneration and cognitive loss seen in patients with AD begin at least 10-20 years prior to dementia onset. Recent advances in the identification of biomarkers of AD have already made it possible to detect aspects of AD-pathology in cognitively normal individuals such as A β deposition and neurodegeneration. With scientific advances, promising potential therapies are being developed, but these therapies are not yet being tested in attempts to delay the onset or prevent the cognitive symptoms from appearing. A major challenge for the field will be to identify patient populations at high risk for converting from cognitively normal to impaired over a 3-4 year window and to target this population for clinical trials. It will be necessary to change the way we design clinical trials and incorporate the wealth of pathophysiological, genetic, and biomarker data to identify such high risk populations and to target them with mechanistically based therapies in order to have a reasonable chance to make a real impact on this devastating disorder.

Clinical features of AD

Dementia is an acquired syndrome characterized by a loss or decline in memory and other cognitive abilities. It represents a decline from a person's previously established level of intellectual function that is sufficient to interfere with the everyday performance of that individual. AD is the most common cause of dementia, estimated to contribute to about 60-70% of cases (2). There are many other diseases, however, that can cause or contribute to dementia. The more common causes of dementia, in addition to AD, include cerebrovascular disease (strokes), Lewy body dementia, and frontotemporal dementia. Sometimes depression can be confused with the early phases of dementia and a large percent of patients with dementia are also depressed. Mixed dementia such as AD along with strokes or Lewy body dementia is common in the elderly. Currently, there are an estimated 5.3 million Americans with AD (www.alz.org) or ~ 1 in 8 people over the age of 65. Because of the amount of care required over extended periods of time for individuals with AD, the estimated cost of care in the US in 2010 for AD and other dementias was ~172 billion dollars. With an estimated worldwide prevalence of AD of ~30 million and a quadrupling of numbers expected by 2050, AD and other dementias are likely to become one of the most important global public health issues. These numbers are probably an underestimate as they do not include those with the earliest clinical stages of the illness.

When a person first presents for dementia evaluation, it is important to rule out structural brain lesions that can cause dementia, such as a brain tumor or subdural hematoma; other disorders such as thyroid disease, vitamin B12 deficiency, and chronic infections rarely may produce dementia. However, usually the clinical history of AD allows it to be distinguished from other dementias. The essential feature of AD, intra-individual decline in cognitive abilities, has an insidious onset over several months with subsequent gradual but relentless progression though successive stages of dementia severity. The time course of AD dementia averages 7–10 years and inevitably the illness culminates in death. Impaired recent memory usually is an initial symptom of AD but other cognitive deficits, such as executive dysfunction manifested by changes in attention and problem solving abilities, are typically also present. As dementia progresses, language dysfunction, visuospatial difficulty, loss of insight, and personality changes (withdrawal, decreased initiative, occasionally depression) frequently are apparent. Although close relatives and friends are aware that the affected individual has declined from previously attained levels of cognitive function, the person

often maintains activities in the community (including driving a motor vehicle), although generally not as well as before, and is independent in self-care. Thus, the person may appear "normal" to casual acquaintances. This early stage of mild AD dementia usually lasts from 2–5 years. The moderate stage (lasting 2–4 years) is characterized by more obvious difficulty with memory (now including long term memory) and other cognitive functions and the loss of the ability to operate independently in the community. There also is impaired ability to function at routine tasks at home, such that even basic activities of daily living (e.g., dressing, bathing, grooming) require supervision or assistance. In the severe stage of Alzheimer dementia, individuals are totally dependent on caregivers for all activities of daily living living and, in advanced disease, often become mute, non-ambulatory, and unable to swallow or control bladder and bowel function.

A useful staging system for dementia severity is the Clinical Dementia Rating, or CDR (3). The CDR designates individuals who are cognitively normal (CDR=0), very mildly impaired (CDR=0.5), mildly impaired (CDR=1), moderately impaired (CDR=2), or severely impaired (CDR=3). The CDR is derived from semi-structured interviews with the individual and independently from someone who knows them well (typically, a spouse or other close relative/friend) to capture intra-individual decline in cognitive and functional abilities (i.e., the patient is used as his or her own control). The obtained information is combined with the examination of the individual, then synthesized by the clinician to determine the presence or absence of dementia and, when present, its severity. This CDR has been useful for research studies as a global dementia rating scale and can track dementia progression in the clinic or in research. It should be noted that many but not all individuals rated as having very mild dementia (CDR 0.5) also meet criteria for what is termed mild cognitive impairment (MCI) (4). A large percentage of people diagnosed with MCI have AD as an underlying cause for their cognitive impairment although other disorders can also cause MCI.

The presence of AD-pathology such as amyloid plaques and neurofibrillary tangles (see section below on neuropathology and biomarkers) begin to accrue many years before the clinical symptoms and signs of very mild dementia or MCI that is due to underlying AD. Recent advances in fluid and imaging biomarkers can detect the presence or absence of AD pathology in humans. For example, with the use of radiolabeled molecular probes that can bind to amyloid plaques in the brain that can be imaged with positron emission tomography (PET) scans, the absence or presence and the amount of amyloid can be detected in the brain of living people (5, 6). In addition, the levels of the A β 42 and tau proteins in the cerebrospinal fluid (CSF) can accurately determine the presence not only of amyloid deposition in the brain but also the likely presence of neurodegeneration (7). Though these tests are mostly being utilized for research purposes, they will likely be utilized more widely for clinical purposes in the near future for assisting in the diagnosis of patients with very mild dementia/MCI to determine if the cognitive impairment is most likely due to AD or another cause. These tests are already proving useful now for clinical trials. A challenge for the field is whether such tests should be utilized more widely now in patients or whether to restrict their use to clinical trials and clinical research until there is an effective disease modifying therapy.

Even at the very mild dementia (CDR 0.5)/MCI stage caused by AD, the neuropathology of AD already is extensive (8–10). In fact, by ~ age 75, ~25–30% of individuals who die when they are still cognitively normal (CDR 0) already have substantial Alzheimer's type cerebral lesions (e.g., amyloid plaques and neurofibrillary tangles; neuronal loss) (Figure 1B–E) (10, 11). Based on these and other findings, it is estimated that AD pathology probably begins 10–20 years prior to the onset of clinical symptoms (7, 12). Thus, elucidating the initial events in the pathogenic cascade beginning and progressing all the way up to clinical disease

onset is likely to be critical for understanding disease pathogenesis and for developing new treatments.

The pathological process in AD

The key neuropathological elements of AD were described by Alois Alzheimer in 1906 and at about the same time by Oskar Fischer (13). At the macroscopic level, there is gross atrophy of the brain (Figure 1A). At the microscopic level, the hallmarks of the disease are amyloid plaques, neurofibrillary tangles, and extensive neuronal loss (Figure 1B–E). Amyloid plaques are accumulations of molecules in the extracellular space of the brain. The principal proteinaceous component of plaques is the amyloid- β (A β) peptide, a 38–43 amino acid peptide derived from a much larger protein, the amyloid precursor protein (APP) (Figure 2) (14, 15). Within plaques, A β is present in aggregated (insoluble) forms including fibrils as well as oligomers (16, 17). When A β is deposited and aggregated in a non- β sheet (non-fibrillar) conformation, it is detected via immunohistochemical techniques as "diffuse" plaques (Figure 1C). When A β is aggregated in deposits in the extracellular space in fibrillar forms with a β -sheet conformation as identified by electron microscopy, it also can be recognized by light microscopy with stains such as Congo red and Thioflavin-S (Figure 1E). These "neuritic" plaques are surrounded by swollen, degenerating neurites (axons and dendrites) (Figure 1B). In areas surrounding neuritic plaques, there is also "gliosis" with hypertrophy and an alteration of the morphology as well as the proliferation of astrocytes and microglia (immune cells of the CNS). It is likely that this inflammatory response contributes to brain injury although there is evidence that glial cells also play a protective role (18, 19) (Figure 1F). When A β aggregates are found in blood vessel walls independently of deposition in the neuropil (which occurs in many cases of AD), these deposits are called cerebrovascular plaques or cerebral amyloid angiopathy (CAA) (20) (Figure 1C). Although CAA occurs in some individuals without large numbers of parenchymal amyloid plaques, most often it accompanies the pathology of AD and can be a cause of lobar hemorrhage (bleeding into the cortex as opposed to deeper brain structures) and rarely inflammatory vasculitis (21). CAA also may contribute to ischemic damage to the brain (22).

In addition to the deposition of A β in plaques in AD, nerve cell bodies as well as their processes in specific brain regions develop neurofibrillary tangles (NFTs), neuropil threads, and neuritic dystrophy (Figure 1D-E) (23). NFTs (present in neuronal cell bodies) and neuropil threads (present in neuronal processes) are intracellular structures composed predominantly of a hyperphosphorylated, aggregated form of the microtubule binding protein, tau (24–27). Tau is synthesized and produced in all neurons and is also present in glia. The normal function of tau is to bind to tubulin and stabilize microtubules. However, in AD, tau becomes hyperphosphorylated and this form of tau disassociates from microtubules and has a tendency to self-aggregate forming NFTs in cell bodies and dystrophic neurites. These aggregates have a high β -sheet content that ultrastructurally appears as paired helical filaments (PHF) (28, 29). Data strongly suggest that neurofibrillary pathology contributes to neuronal dysfunction and correlates with the clinical progression of AD (Figure 2 and 3). Genetic, biochemical, and neurobiological studies suggest that it is the aggregation, change in conformation, and buildup of different forms of AB that play a central role in initiating AD pathogenesis and in directly damaging the brain, although a variety of additional changes, some of which may be downstream and others which may be independent of $A\beta$, appear to contribute to cognitive decline and progression of dementia. There is strong evidence that tau pathology contributes to clinical progression of AD; this is likely partly through pathways downstream of A β but there is also likely tau-related brain damage in AD that is independent of A β (30) (Figures 2 and 3).

In addition to plaques and tangles, other neuropathological and neurochemical hallmarks of AD include loss of synapses and selective neuronal cell death as well as decreases in markers for certain neurotransmitters. Neurons that are particularly vulnerable in AD include those in layer II of the entorhinal cortex, the pyramidal layers (e.g. CA1) of the hippocampus, and certain areas of the temporal, parietal, and frontal neocortex. Although the majority of the vulnerable neurons use glutamate, the major neurotransmitter in the brain, there is also loss/dysfunction of certain subcortical projection neurons such as basal forebrain cholinergic neurons and noradrenergic neurons in the locus ceruleus. The dysfunction of cholinergic neurons (31, 32), which are involved in attention and memory, has been the basis for "cholinergic therapy" in AD. Centrally acting drugs that inhibit cholinesterase (the enzyme that breaks down the neurotransmitter acetylcholine) have been shown to provide modest symptomatic benefit in individuals with AD (33). There are also very modest symptomatic benefits, although limited to persons with moderate to severe AD, with memantine, a drug that modulates the NMDA subtype of glutamate receptors (34), among other properties. A challenge to the field is whether modification of other neurotransmitter systems and receptors can also offer symptomatic or other benefits in AD. A variety of systems/receptors are currently being tested in clinical trials.

It is important to note that much of the cognitive dysfunction in AD is not due to loss of one neurotransmitter but rather to disruption of network connections between several key brain regions within the limbic system and specific areas of the neocortex. One such network preferentially affected early in AD has been termed the "default mode network" (35-38). The selective vulnerability to $A\beta$ deposition of the specific brain regions in this network, which appears to be involved with internally directed behaviors and has reduced neuronal activity during the performance of goal-directed tasks (39), may provide key insights into the process of A β aggregation as well as early clinical deficits seen in AD. A clue to the relative specificity for A β deposition in this network may be the fact that this network has the highest baseline neuronal activity in the resting state and that A β levels are regulated by neuronal activity (40, 41). There is also a typical progression of tau pathology within specific brain networks in normal aging and in AD, starting first in areas such as the brainstem and transentorhinal region (23, 42) and then spreading throughout the limbic system and other regions of the neocortex. Although there is only partial overlap of the location of A β and tau pathology, there is clear evidence of interaction between the A β and tau pathways. In addition, there is emerging evidence from model systems that aggregates of both A β and tau once formed, may spread from brain region to brain region and from cell to cell in a prion-like fashion (43-46). For example, injections of human AD brain or mouse brain lysates that containing human A β aggregates into the brain of mice genetically modified to develop A β -containing amyloid plaques and CAA results in a much earlier onset of A β -related pathology than would otherwise occur (44). Further, the A β aggregates that deposit take on morphological characteristics of both the injected A β as well as the host A β . In the case of tau, injections of brain extract from genetically modified mice that have tau aggregates into the brain of mice that express wild-type human tau that would otherwise not develop tau aggregates results in assembly of wild-type tau into filaments and spreading of pathology from the site of injection to adjacent brain regions (46). Recent cell biological studies with tau demonstrate directly that tau aggregates that form in one cell can move to adjacent cells and cause the host cell's tau to aggregate (43) A challenge to the field is to determine whether this mechanism of spreading of protein aggregation plays a major role in disease progression. If so, it will have important implications for developing new treatments.

AD genetics and link to pathophysiology

Despite the discovery by Alois Alzheimer in 1906 that "plaques" were a major feature of the neuropathology of AD, the identity of the main component of plaques remained unknown

for 80 years. In 1984, the predominant proteinaceous component of CAA was isolated and termed the "β-protein" (47). In 1985, the same protein was isolated from amyloid plaque cores from patients with AD and Down's syndrome (48). This led to the hypothesis that the gene encoding A β would be on human chromosome 21. It was already known at that time that 100% of individuals with Down's syndrome caused by complete trisomy of chromosome 21 develop AD neuropathology with marked Aβ deposition in the brain by age 35. Subsequent studies showed that most if not all of these individuals develop dementia with a mean age of onset of 50 years (49, 50). The hypothesis that the gene encoding A β was located on chromosome 21 was shown to be correct when several groups cloned the cDNA encoding A β and found the coding sequence to be a small part of a much larger precursor protein termed the amyloid precursor protein (APP) (51-53). APP is an integral membrane protein that spans the membrane once (Figure 2). There are several isoforms of APP, the most common being 695, 751, or 770 amino acids in length. The 751 and 770 amino acid isoforms of APP contain a Kunitz protease inhibitor domain. These 2 isoforms are expressed preferentially outside the brain and these forms of APP function in the plasma in the coagulation pathway (54, 55). APP is highly expressed in the CNS, particularly by neurons. A β is derived from APP through the action of two proteases, β -secretase (BACE) and γ -secretase, and is normally secreted by many cell types (Figure 2). A β is relatively abundant in the cerebrospinal fluid (CSF) that bathes the CNS being present at concentrations of 10–20 ng/ml; it is present at much lower levels in the plasma (56–58). The finding that A β is normally present in physiological fluids suggested for the first time that physiological processing of APP occurred and set the stage for understanding the genetics of familial AD (FAD), see below. Amino acids 1-28 of A β are derived from a region of APP just outside the membrane; however, amino acids 29–43 of A β are derived from within the membrane-spanning region. There are 3 major sites within APP in which proteolysis occurs (Figure 2). If proteolysis occurs at the sites of β -secretase and γ -secretase action, A β is generated (Figure 2). If proteolysis occurs at the site of α -secretase action, A β cannot be generated but a smaller fragment (P3, AB 17-40 or AB17-42) is produced. Cleavage of APP by γ -secretase can occur at different sites within the membrane generating A β species that end in residues 38, 39, 40, 42, and 43 at the A β C-terminus as well as to a lesser extent, species that are shorter and longer. APP is also cleaved by γ -secretase at the ε site within the membrane at position 49 in relation to the A β sequence. This cleavage liberates an APP intracellular fragment (AICD) that appears to have a transcriptional function (59). The most abundant A β species produced in the brain and found in the CSF is A β 1-40 (A β 40). A β 1-42 (A β 42), is generally present in tissues and body fluids at levels 5–10% of those of A β 40, yet it appears to be central to initiating A β aggregation as it is more hydrophobic and prone to aggregate.

Clinically, most cases of symptomatic AD begin after age 65 years with incidence increasing with age. These cases are often referred to as "sporadic" or "late-onset" AD (LOAD). This form of AD accounts for >99% of all cases. Genetic factors contribute strongly to the risk of developing LOAD. In addition to LOAD, a very small percentage (<1%) of AD occurs within families and is inherited in an autosomal dominant fashion and are termed FAD. In these families, dementia onset is usually between the ages of 30–60 years. *APP* was identified as the first gene in which mutations in the coding sequence cause either FAD, CAA, or a combination of the 2 disorders (60–62). The location of these mutations within the *APP* gene provided an important clue regarding the likely mechanism leading to increased A β accumulation in the brain in FAD.

Missense mutations in *APP* located at or near the sites of APP endoproteolysis by β and γ -secretases cause FAD (60, 63, 64), or a disease with CAA and cerebral hemorrhage (61, 65–67). Mutations near the C-terminus of the A β region, adjacent to the γ -secretase site lead to an increase in the ratio of A β 42 to A β 40 without having a significant effect on total A β

levels (68, 69). Although A β 40 is the most abundant A β species generated, A β 42 is more fibrillogenic (70) and appears to be the species of A β that forms deposits first in AD (71). Thus, increased A β 42 production leading to an increase in the A β 42 to A β 40 ratio caused by these mutations is likely over time to be central to AD pathogenesis. In contrast, another mutation, the APP Swedish FAD mutation (identified in a Swedish family with FAD), occurs near the β -secretase cleavage site (72). This mutation leads to increased production of all species of A β , and is associated with both AD and CAA (73, 74). Support for increased A β production leading to AD also comes from individuals with Down's syndrome and from FAD families carrying a small duplication of chromosome 21 that encompasses the region containing the *APP* gene (75). Importantly, each of these families has a different duplication but the region of overlap contains the *APP* gene.

Some of the APP mutations that lead to FAD and CAA do not increase A β production and are located within the A β peptide itself. These mutations are C-terminal to the α -secretase cleavage site in APP and change the sequence of $A\beta$ such that it increases the propensity of A β to oligomerize, fibrillize, or be cleared less effectively (66, 67, 76). Another recently described APP mutation exhibits autosomal recessive inheritance of AD and results in the deletion of one amino acid at position 22 in A β that results in a peptide that is markedly fibrillogeneic (77, 78). There is no current evidence that normal APP function is impaired by the APP mutations that cause FAD. This may be due to the fact that the function of APP is related to the regions of the intracellular and extracellular domains that do not include the Aβ region. In addition, there are homologues of APP such as APLP1 and APLP2 that likely provide some redundancy to APP function in vivo (79). Due to our current lack of full understanding of APP biology, it remains a formal possibility that APP mutations that cause familial AD do cause or contribute to AD not only via a mechanism(s) related to influencing Aβ metabolism. For example, although the normal function of APP is still not resolved, recent evidence suggests that APP plays a role in axonal pruning and neuronal migration during nervous system development (80, 81) as well as contributing to certain cognitive behaviors such as learning and memory (82). In particular, an N-terminal cleavage fragment of APP is important for its effects during development on axonal pruning (80). A challenge to the field will be to determine whether APP mutations that cause familial AD influence this N-terminal or other APP fragments to affect AD pathogenesis independently of $A\beta$. Whether A β itself, in a non-aggregated form, plays a normal function in the brain is as yet unresolved and remains another important area of inquiry. Though the amino acid sequence of APP is highly homologous throughout evolution, the sequence of the A β region changes greatly during evolution, even within mammals. This would seem to argue that APP is likely to have a normal function but argues against a normal function for monomeric A β . Intriguingly, there is evidence that $A\beta$ generation is regulated in part by neuronal activity itself (40, 41), and in some experimental models, certain forms of A β appear to be able to suppress neuronal activity through interactions with glutamate receptors (83). If it turns out that monomeric A β that is produced by neurons throughout life does play a role in normal neuronal function, this may provide a challenge to target A^β therapeutically.

The connection between APP, Aβ, and presenilins

In addition to *APP*, mutations in 2 other genes have been identified in which specific mutations result in the rare, autosomal dominant forms of FAD: *presenilin-1 (PSEN1)* (84) and *presenilin-2 (PSEN2)* (85). These genes encode highly homologous transmembrane proteins in which multiple mutations have been identified in FAD families (http://www.alzforum.org/res/com/mut/pre/default.asp;

http://www.molgen.ua.ac.be/ADMutations/). Mutations in *PSEN1* are the most common identified cause of FAD. Although mutations in *APP*, *PSEN1* and *PSEN2* were initially reported in families with early onset AD, more recent studies have demonstrated that some

PSEN mutations are also associated with familial late-onset AD (86). In these families, the *PSEN* mutations may not segregate perfectly with disease either because of incomplete penetrance (mutation carriers who do not develop disease) or because of phenocopies (disease not caused by the mutation) (86, 87).

A molecular connection between presentlins and A β production was uncovered in the late 1990s. A normal function of presenilins is to form a gamma secretase complex with 3 other proteins, APH-1, PEN2, and nicastrin (88, 89). The gamma secretase complex directly cleaves the transmembrane protein Notch, APP, and other substrates, and its activity is required for A β formation (see below). Notch is a protein involved in cell fate decisions during development and in the adult animals. PSENI knockout mice die in utero and have a very similar phenotype to Notch knockout mice (90). The unique intramembranous enzymatic activity of γ -secretase is thought to depend on two aspartates within the transmembrane domains of presenilin, which are required for Aß generation as well as Notch cleavage (91, 92). Gamma secretase has been a challenging drug target because of the side-effects resulting from cleavage of other, non-APP substrates such as notch. For example, inhibition of Notch cleavage results in toxicities related to altered cell proliferation in the gut, the immune system, and the skin (93). Despite this, many companies have developed gamma secretase inhibitors to decrease A β production as a potential treatment for AD. One gamma secretase inhibitor recently made it all the way into a phase III clinical trial in AD; however, the trial was halted due to treated patients worsening cognitively more than placebo-treated patients (94). Although the cause of this failure is unclear, it seems likely that the worsening was due to inhibition of notch or cleavage of other γ -secretase substrates. Whether it will be possible to relatively selectively inhibit cleavage of APP vs. other γ secretase substrates with a γ -secretase inhibitor *in vivo* is not year clear. This is likely to be the most challenging issue going forward as to whether γ -secretase inhibitors can ever be developed to treat or prevent AD.

Similar to what is observed with many APP mutations, a selective and significant increase in the total level of A β 42 or the ratio of A β 42 to A β 40 is found in the plasma (and in the media of cultured fibroblasts) from patients with PSEN mutations; this increase can be detected throughout life (95).. Importantly, transgenic mice expressing PSEN mutations have elevated A β 42 in the brain and, when bred with transgenic mice expressing human APP harboring an FAD mutation, demonstrate accelerated AB deposition (96, 97). Neurons derived from *PSEN1* knockout mice have a markedly reduced ability to generate A β due to a decrease in γ -secretase activity (the enzyme that cleaves A β from APP at its C-terminus giving rise to A β 40 and A β 42) (98). Data suggest that *PSEN1* mutations cause forms of FAD from a partial but not complete loss of function that leads to a relative increase in Aβ42 production and lower production of shorter A β species such as A β 40 (99). The γ -secretase enzyme cleaves APP sequentially generating different A β species of differing lengths, the most abundant being A β 40. The partial loss of function induced by the *PSEN1* mutations results in less efficient APP cleavage and relatively greater A β 42 production than normal. This relative increase in A β 42 results in a greater propensity for A β to aggregate earlier in the brain. The function of presenilins is required for survival and PSENI knockout mice die in the embryonic period due to a variety of developmental defects (98, 100, 101). These defects can be rescued by expressing either wild-type or *PSEN1* carrying FAD mutations since these *PSEN1* mutations do not block the ability of the γ -secretase to cleave notch(102, 103). While the PSEN1 mutations that cause FAD appear to result in a partial loss of function in γ -secretase activity, a few individuals have been reported to have dominant mutations in presentiin or other γ -secretase components that result in a complete loss of γ secretase function in one allele (haploinsufficiency) and a skin disorder called acne inversa, but they do not have AD (104). Is it still possible that PSEN mutations that cause FAD do so via a non-A β -mediated mechanism? Such an explanation has not been formally ruled out.

Although mutations in APP, PSEN1, and PSEN2 suggest that overproduction of A β 42 or an increase in the A β 42 to A β 40 ratio can cause FAD, recent experiments show that A β 42, in the absence of APP, can cause A β accumulation *in vivo* and that A β 40 can suppress this phenotype. Mice that only overproduce A β 42, in the absence of APP, develop both neuritic plaques and CAA, whereas mice that overproduce Aβ40 alone do not develop AD-like pathology (106). When A β 42 mice were crossed with the A β 40 mice, there was reduced A β fibrillogenesis, which suggests that A β 40 actually inhibits A β aggregation (107). Whether other abundant shorter species of A β such as A β 38 also have this suppressive effect on A β aggregation is unknown. It will be important to investigate this further as potential new therapeutics such as γ -secretase modulators (GSMs) could be used to increase the ratio of shorter versus longer forms of A\beta. These drugs decrease Aβ42 and increase shorter Aβ species without decreasing total A β species (108). Importantly, GSMs have these effects without affecting overall γ -secretase activity, and thus do not appear to inhibit notch cleavage or cleavage of other gamma secretase substrates. Because of these attractive features, GSM's have entered clinical trials and appear to be a promising class of compounds.

(109, 110)(90)(91, 92)(93)Although there are no known FAD families with mutations in β secretase, the gene encoding this enzyme, the β -site APP cleaving enzyme 1 -BACE1, has been cloned (111). BACE-1, like γ -secretase, is also required for A β production. Mice lacking β -secretase produce very low levels of A β (111, 112). Although β -secretase knockout mice have defects in peripheral nerve myelination (113), BACE1 appears to be a potentially attractive therapeutic target for AD as inhibition of the enzyme in adults seems to have fewer side effects than inhibition of γ -secretase, which has many substrates. Numerous companies have developed BACE inhibitors and several have now entered clinical trials. With many treatments that target A β being developed including γ -secretase inhibitors, GSMs, BACE inhibitors, and anti-A β antibodies, one question that arises is what would make up the best human population to first demonstrate an effect targeting A β . Certainly, many trials have occurred and are ongoing in people with dementia due to late-onset AD. However, seems it seems most clear that $A\beta 42$ over-production leads to most cases of FAD. Perhaps this would be the best population of individuals to do prevention trials in targeting Aβ. Large studies such as the dominantly inherited Alzheimer's network have been formed which should enable such trials (105). This will be a challenge given the relatively small number of affected families worldwide though it should offer a unique opportunity to test compounds in people who are relatively young and generally not affected by other concurrent brain disorders seen commonly in the elderly.

ApoE, genes, the environment, and AD

In addition to the genetics of FAD in which mutations in specific genes "cause" AD, there are genes that confer risk for developing LOAD. For example, the gene encoding apolipoprotein E (*APOE*) has by far the greatest effect on risk for developing AD; the presence of specific apoE alleles has been correlated with the risk of developing LOAD and CAA. There are three common alleles of apoE in humans (ϵ_2 , ϵ_3 , and ϵ_4) that only differ in sequence by one amino acid at either position 112 or 158 of the protein. The ϵ_4 allele is a genetic risk factor for LOAD, whereas the ϵ_2 allele is protective (114, 115). One copy of ϵ_4 increases risk for AD by ~3-fold and two copies by ~12-fold (www.alzgene.org). *APOE* ϵ_4 alleles are also associated with a dose-dependent decrease in age at onset (~5yrs/ ϵ_4 allele) in both sporadic and familial forms of AD (114, 116). These findings have been substantiated

in populations around the world. In CAA, the $\varepsilon 4$ allele is associated with greater levels of cerebrovascular amyloid deposition and hemorrhage in the CNS (117, 118); the $\varepsilon 2$ allele has been linked to a greater risk of CAA-associated vasculopathy and CNS hemorrhage (119, 120). Given that the common feature linking AD and CAA is the excessive deposition and accumulation of A β and that apoE is an A β binding molecule, it is likely that a major reason apoE is linked to both disorders is through direct effects on A β metabolism (121). ApoE is an important regulator of plasma lipoprotein metabolism (122, 123). In addition to expression in the liver, apoE is also produced at high levels within the CNS where it is present in high density lipoprotein (HDL)-like lipoproteins secreted predominantly by astrocytes (121). Although apoE can play a role in a variety of processes in the CNS including cholesterol transport, neuronal plasticity, and inflammation (121), its exact function in normal and disease conditions remains to be clarified.

There are several hypotheses about how apoE may influence AD pathogenesis. Accumulating in vitro and in vivo evidence suggests that apoE acts as an Aβ binding molecule influencing the clearance of soluble A β as well as the propensity for A β to aggregate by affecting A β seeding and polymerization (121). Both of these processes may directly influence A β aggregation *in vivo*. Both pathological and neuroimaging studies of cognitively normal humans as well as AD patients have found that A β deposition occurs earlier and to a greater extent in those who are ε 4-positive versus those who are ε 4-negative, with two copies of $\varepsilon 4$ being significantly worse than one (124–126). This appears likely to initiate the A β pathophysiological cascade earlier in life. Studies using APP transgenic mice that develop A β deposition have provided direct evidence that apoE influences the level, amount, and structure of intraparenchymal deposits of A β in the brain as well as CAA in an isoform-specific fashion ($\varepsilon 4 > \varepsilon 3 > \varepsilon 2$) (127–130). Recent studies in humans have confirmed that the APOE genotype is strongly associated with A β phenotypes such as A β deposition and CSF A β 42 levels but not with CSF tau levels (125, 131). In addition to apoE, there are other Aβ-binding molecules such as apolipoprotein J/clusterin (132, 133), α 2-macroglobulin (α 2-M) (134), transthyretin (135), and α 1-antichymotrypsin (136), that may play a role in A β aggregation in the brain by influencing cellular uptake and degradation of A β locally by cells, its local retention in the brain (137), and ultimately its removal from the brain into the systemic circulation.

Approximately 50% of individuals with AD carry an APOEE4 allele suggesting that other genetic factors must contribute to risk for disease. Despite hundreds of studies during the last fifteen years, few reported genetic associations have been replicated across studies (see www.alzgene.org) (138). The main reason for this is that other genetic risk factors have a much smaller impact on risk than that of the APOE genotype. As a result the sample sizes used in these earlier studies were too small to have the power to detect these genes. During the last few years several technological advances have transformed the landscape regarding the genetics of common complex traits such as AD (139, 140). The first of these was the development of genome-wide arrays that allowed the simultaneous evaluation of millions of single nucleotide polymorphisms (SNPs) in thousands of samples. The use of these arrays in thousands of samples from AD cases and non-demented elderly controls has resulted in compelling evidence for a number of new genetic risk factors including association with genes encoding clusterin (CLU), phosphatidylinositol-binding clathrin assembly protein (PICALM), complement receptor 1 (CR1), bridging integrator protein 1 (BIN1), and sialic acid binding Ig-like lectin (CD33) (141-144). Two subsequent studies each including over eight thousand cases and a similar number of controls have identified additional genes with genome-wide significant evidence for association ($<5 \times 10^{-8}$) including *membrane spanning* 4A gene cluster (MS4A4A), CD2-associated protein (CD2AP), Ephrin receptor A1 (EPHA1), and ATP-binding cassette transporter (ABCA7) (145, 146). The functional alleles responsible for each of these associations have yet to be determined. However, the common

SNPs identified in these genome-wide association studies (GWAS) have odds ratios of 1.1– 1.2. The odds ratio is a measure of effect size, describing the strength of association or nonindependence between two binary data values. This suggests that the effect of these risk alleles is much smaller than that of APOEɛ4 unless they are tagging rare alleles of larger effect. Estimates of the population attributable fractions for these new candidate genes are between 2.72-5.97%, with a cumulative population-attributable fraction for non-APOE loci estimated to be around 35%. However, the actual effect sizes are likely to be much smaller than these estimates because of the 'winner's curse', a bias away from the null sometimes seen in GWAS studies. In comparison, the population-attributable fraction for APOEc4 is 20%. Five of these AD risk loci (CLU, CR1, ABCA7, CD33 and EPHA1) have putative functions in the immune system; four are involved in processes at the cell membrane, including endocytosis (PICALM, BIN1, CD33, CD2AP) and three are involved in lipid biology (APOE, CLU and ABCA7). These new gene discoveries provide new impetus for focused studies aimed at understanding the pathogenesis of AD (Table 1). Though some of the new genes appear to be involved in A β metabolism (e.g. *CLU*), the fact that several may influence inflammation, endocytosis, and lipid biology suggests the intriguing possibility that if one can effectively target the specific components of the pathways these gene products are telling us about, novel directions for drug discovery and avenues for treatment may be available distinct from directly targeting A β or tau. It is also possible that some of the new genetic discoveries are not targeting the nearby gene but actually targeting noncoding RNAs. If so, this could also provide new insights.

There has been much discussion in the literature about the "missing heritability" – the observation that new genes identified through GWAS do not explain all of the genetic heritability of most traits, which can be estimated in twin studies (147). One possibility is that the SNPs on the GWAS chips do not capture all of the genetic variation associated with AD; another possibility is that variants tagged by SNPs on the chips failed to reach genomewide significance because the sample size used was insufficient. The second possibility can be overcome by increasing sample size through meta-analysis. The first possibility may be overcome either by genotyping GWAS chips with more SNPs or by DNA sequencing. Recent advances in sequencing technology have resulted in a sharp decrease in sequencing costs. Rare sequence variants that cause or increase risk for AD are likely to be found by whole exome and whole genome sequencing. This approach has already proved very effective in identifying causative genes for rare recessive disorders (148). Exome sequencing studies are likely to start soon in families with late-onset AD and will likely lead to the identification of new AD genes in the future. Though identification of new genes may lead to new insights into AD pathogenesis and new therapeutic targets, it may still be challenging to translate such targets into effective therapies. While APOE is the strongest genetic risk factor for AD, it is still not clear whether and how it can be directly targeted effectively.

Approaches for identifying new risk factors associated with other aspects of the LOAD phenotype are still in their infancy but include genetic studies for elucidating the rate of disease progression; age at onset; CSF or plasma biomarker measurements; and functional and structural imaging measures to mention just a few (149, 150). An advantage of these quantitative traits is the ability to select individuals from the extremes of the trait distribution. Sequencing of the known AD genes in individuals who are in the top and bottom 10% for A β 42 concentration in CSF has led to the identification of a late onset AD family carrying a known *PSEN1* mutation (86). In another study, SNPs within the *PPP3R1* gene, encoding the regulatory subunit of calcineurin, were associated with higher CSF concentrations of tau and phosphorylated tau, and increased tangle pathology (151). In AD cases, the alleles associated with higher CSF tau and phosphorylated tau were also associated with a more rapid disease progression.

Genes obviously do not act in a vacuum. However, a major bottleneck has been that relatively little is yet understood about the way genetic and environmental factors combine to moderate or exacerbate the risk for AD. If such interactions can be better understood, it may suggest clearcut ways to alter AD risk in genetically predisposed populations. A challenge will be to study large number of cognitively normal middle aged individuals with differential genetic risk for AD to determine if common modifiable environmental and genetic factors clearly interact to increase risk. Some such studies are currently underway. Epidemiological studies have demonstrated that age, family history and head injury with loss of consciousness influence risk for AD. Overall, head injury is associated with an odds ratio of around 2 (152–154). However, when APOE genotype is incorporated into these analyses it is apparent that the risk associated with head injury is substantially higher (odds ratio 15-20) in individuals with an APOE ε 4 allele. The mechanisms underlying this interaction is still poorly understood. Higher education levels have been associated with a lower risk for AD (155), and the notion of brain or cognitive "reserve" (a greater number of neurons or connections) has been invoked to explain this difference (156). The concept of reserve suggests that some individuals have more capacity to tolerate AD neuropathology without becoming symptomatic; educational attainment is a surrogate for "reserve". A recent imaging study has demonstrated that among individuals with AB deposition, those with higher education had better cognitive scores on a number of measures (157), consistent with the reserve hypothesis. APOE genotype was not examined in this study but may combine with education to moderate this effect on cognition. Animal studies have also demonstrated that environmental enrichment can delay Aß deposition and improve cognition in mouse models (158, 159). Analyses in human subjects also demonstrate that active individuals who meet the exercise guidelines set by the American Heart Association have significantly less amyloid deposition in the brain(160). In this study the associations between exercise engagement and amyloid deposition were more prominent in APOEE4 non-carriers.

Connecting A_β and tau

Mice that develop age-dependent A β accumulation and deposition in the brain have been useful in demonstrating the role of both $A\beta$ as well as other molecules in disease pathogenesis. Multiple types of genetically modified mice have been generated that overproduce the human APP gene and AB peptide. Many of these models develop an agedependent accumulation of A β in the brain as well as A β deposition in diffuse and neuritic plaques, often in region specific patterns similar to that seen in AD (161, 162). Subsequent to the development of increased A β production and deposition, many of the models develop neuritic plaques, microglial activation, astrocytosis, evidence of oxidative damage, and changes in neuronal cytoskeletal proteins including tau (163, 164). In addition, some but not all of the models show varying degrees of behavioral impairment (162). Mice that only overproduce and develop A β -related pathology generally do not develop marked neuronal loss nor typical NFTs; however, significant functional abnormalities in synapses do develop in the hippocampus and neocortex as $A\beta$ deposition increases(165). Although these models have been very useful, they appear to model aspects of the human phase of "preclinical" or "presymptomatic" AD, a period when A β is accumulating in the absence of clinically detectable symptoms.(7). A major challenge to developing animal models for AD has been how to model not only brain changes associated with A β deposition, but also the additional changes seen in the AD brain including tau aggregation as well as neuronal, axonal, dendritic, and synaptic loss. Some progress is being made by creating genetically modified animals that express human A β and human tau (166). Since damage to axons, dendrites, and synapses that results in "disconnection" between brain regions is a fundamental problem not only in AD but in other neurodegenerative disorders, it may be that studying animal models with such features will be useful to develop and test new therapies that specifically target

protecting neuronal connections (167) independent of $A\beta$ or tau. Targeting aspects of neuroinflammation may also be a viable therapeutic approach; however, models with neuroinflammation and aspects of neurodegeneration need to be present in order to determine if altering specific aspects of the neuroinflammatory process truly decrease important aspects of neurodegeneration before moving new therapies into human trials.

Recent work on the genetics and biology of tau has led to insights into the connections between A β accumulation and exacerbation of tangle formation, "tauopathy", and perhaps cognitive decline and neurodegeneration. Overall, this work suggests that tau aggregation is central to the clinical progression of AD. Tau is a microtubule-associated protein that plays an important role in microtubule stability. It is a major component and a primary constituent of NFTs that are found in AD as well as in a host of other neurodegenerative diseases such as certain frontotemporal dementias and progressive supranuclear palsy (168). Some families with autosomal dominant forms of a non-AD dementia, frontotemporal dementia with Parkinsonism (FTDP), have mutations in the tau gene on chromosome 17 (169–171). This disease does not feature A β accumulation. Expression of a mutant form of tau that causes FTDP in transgenic mice results in age-dependent tangle formation in some regions of the CNS as well as significant neuronal loss and neurological impairment (172, 173). Thus, abnormalities in tau, in and of itself, in the absence of A β deposition, can result in neurodegeneration and a non-AD dementing disorder. In AD, there is increasing evidence that at least a component of tau-related neurodegeneration in the brain acts downstream of A β . From the genetic standpoint, there are SNPs in the *MAPT* gene encoding tau (174) as well as in a major tau phosphatase, protein phosphatase B (calcineurin), (151) that do not affect risk for AD but affect its progression; tau concentrations in CSF are also markers of neurodegeneration. The effects of these SNPs, however, are only seen in people that already have brain AB accumulation. In animal models, groups have shown that AB appears to exacerbate the development of tau aggregation, NFTs, and evidence of neurodegeneration (166, 175–177). Although the initial biochemical events leading to plaques and tangles are different and each can occur in isolation, these studies suggest that the buildup of aggregated forms of A β exacerbates tangle formation. There are animal models that develop both A β and tau pathology (166), but a clear shortfall in this field is the availability of animal models that develop all aspects of AD pathology as previously mentioned.

The actual structure of $A\beta$ and tau that leads to exacerbation of synaptic, neuritic, and behavioral abnormalities is not yet entirely clear. In addition to the insoluble A β and tau fibrils that are in plaques and tangles, oligometric forms of A β and tau may play important roles in the toxicity of these molecules (for review, see (178, 179)). Oligomers of A β of different sizes have been shown to be toxic under certain conditions (e.g. dimers as well as larger oligomers) (16, 180–182). Monomeric, oligomeric, and fibrillar forms of A β and tau are probably present in equilibrium where local concentrations of oligomers surrounding larger aggregates may be quite high (17, 183). The extent to which oligomers, fibrils, or both are toxic *in vivo* remains unclear. This presents a challenge if it is critical to target a particular species of A β or tau to obtain a therapeutic effect. It is not yet clear if this is the case. At least in the case of $A\beta$, there is evidence that several cellular proteins may be mediating its damaging effects on neurons, glia, and the cells that make up the neurovascular unit, and these proteins include RAGE, Fyn, tau, NADPH oxidase, prion protein, and EphB2 among others (184-189). Some of these molecules are receptors, others are signaling molecules, and some are enzymes. Some may link A β toxicity to tau and in other cases they may directly modulate $A\beta$ or tau toxicity independently of each other. Determining which molecules mediate neurodegeneration related to $A\beta$ and tau in humans will be a challenge but may provide key insights into the development of new treatments.

Taken together, the available evidence favors a model in which diverse genetic variants in different ways lead to a similar biochemical abnormality, the conversion of the normally soluble A β peptide into both soluble and insoluble oligomeric, protofibrillar, and fibrillar toxic forms (Fig. 2). Subtle alterations in the production of soluble A β appear to result over many years in A β changing structure and forming deposits at an early age as occurs in FAD. However, control of the structure and concentration of A β after it is produced by cells through mechanisms such as degradation, clearance, and fibrillogenesis are likely also critical events that probably play a major role in A β aggregation in some forms of FAD and in LOAD. Finally, once A β oligomerizes and aggregates, this is likely to drive tau aggregation, which itself appears to play a key role in cell dysfunction and neurodegeneration in AD both downstream as well as independently of A β (30).

Prevention of $A\beta$ and tau aggregation

The genetic, biochemical, and animal model data strongly support the idea that $A\beta$ and tau accumulation is critical in ultimately driving AD pathogenesis. But proof of this in humans will require demonstrating that altering A β and tau aggregation (or any other mechanism) has a clinical effect on disease onset or progression. Currently, there is no convincing evidence that therapies directed against A β or tau influence symptomatic progression of disease in humans, although many clinical trials are underway to test these ideas. There is, however, strong evidence from preclinical studies that prevention or reversal of A β and tau aggregation has potential to serve as a way to delay the onset, slow the progression, or prevent AD. For example, there are ways to decrease soluble A β production, enhance soluble A β clearance, influence A β aggregation, neutralize A β toxicity, or remove existing Aß aggregates in animal models. This includes using small molecules that inhibit Aß production or block its toxicity in APP transgenic mice. There are also many reports showing that both active and passive immunization targeting A β in APP transgenic mice can decrease AB accumulation, in some cases remove existing AB deposits, and improve behavioral performance including learning and memory (190–196). It appears that anti-A β antibodies account for the beneficial effects in these immunization studies probably through several mechanisms involving clearing soluble and aggregated AB via cellular and noncellular mechanisms as well as by blocking AB toxicity (190). Anti-AB antibodies and AB immunization techniques have demonstrated that by decreasing the toxic effects of A β in *vivo*, one can block some of the downstream effects of A β toxicity including neuritic dystrophy and early changes in tau phosphorylation that are precursors to tangle formation (177, 197). This suggests that targeting A β not only influences direct A β -related damage but also influences Aβ-induced changes to tau. Targeting Aβ with active immunization has been attempted in humans with AD but the first trials were halted due to a side effect of meningoencephalitis seen in a small percentage of patients. A subset of patients who entered the phase I trial were followed for several years after the trial was halted and their brains were assessed at autopsy. In several individuals, there was evidence of substantial amyloid clearance from the brain though no there was no obvious halting of clinical disease progression (198, 199). Since a full clinical trial was not done and even the current results are from an aborted phase I trial that was not powered to assess efficacy, it is difficult to know whether there would have been a clinical effect. Two large phase III passive immunotherapy trials are underway now to understand whether targeting A β with antibodies can show benefit. A current challenge in the field suggested by the animal data is that that if a treatment targeting $A\beta$ is going to be maximally effective, it should begin before substantial tauopathy is present. That would mean beginning therapy during "preclinical" AD (Figure 3). Would treatments that specifically target tau or its phosphorylation state have the potential to be more effective than those that target A β ? Genetic manipulations have shown that in mice that develop tauopathy, turning off the expression of tau even after tangles have developed prevents further brain atrophy and improves behavior (173, 200). In

addition, decreasing phosphorylation of tau by certain enzymes such as GSK-3 β has positive benefits in animal models (201). Thus, if tau can be safely and effectively reduced or dephosphorylated at certain sites or its aggregation can be inhibited, this may be an attractive therapeutic approach. A challenge for the field will be to determine whether tau levels, tau aggregation, and tau phosphorylation can be both targeted effectively and safely. A clinical trial of a tau aggregation inhibitor, methylene blue, is underway and will likely to be one of the first of many attempts to target tau.

The conundrum between biomarkers, pathology, and treatment

If therapies that target A β , tau, or other mechanisms are to be effective in humans, a key issue to consider is when in relation to the clinical and pathological course of AD would the treatments be effective. There is now compelling evidence that in terms of large scale quantitative changes, A β accumulation and deposition in the brain is a very early pathological process in AD and probably begins ~10-20 years prior to the onset of clinically detectable symptoms of impairment (8). A β buildup in the brain can now be detected by at least two methods in people, regardless of their clinical status. Imaging of amyloid plaques using small molecule radiotracers that bind to fibrillar forms of A β can detect the presence, amount, and location of these changes in the brain with PET scans (5, 6). In addition, measurement of CSF A β 42 concentration is a very sensitive and specific way to determine the presence or absence of A β deposition in the brain particularly when combined with amyloid imaging (202-204). By the time the earliest symptoms and signs of cognitive decline caused by AD are detectable, A β accumulation in the brain is already substantial and approaching its maximal extent (Figure 3) (7, 12). However, the significant accumulation of NFTs, oxidative stress, inflammation, synaptic and network dysfunction, and ultimately neuronal cell death probably does not peak until the stages of moderate to severe dementia (Figure 3). Some tangle development clearly is present in the period prior to clinical onset of AD but the acceleration of tau aggregation and neurodegeneration may mark the transition period just prior to clinically detectable disease. This transition can be detected by increases in the CSF of total and phosphorylated forms of tau perhaps beginning \sim 3–4 years prior to the onset of very mild dementia (205–208). Support that these biomarker changes are clinically relevant comes from data showing that, in cognitively normal elderly individuals, a high ratio of CSF tau/A β 42 or a high level of cerebral A β burden as shown by amyloid radiotracers both predict conversion to mild cognitive impairment (MCI) (208) or to very mild dementia over a 3-4 year period (205, 206, 209) and predict progression to more advanced stages of dementia in individuals characterized with MCI (210). By the time very mild dementia/MCI is present, in addition to substantial densities of plaques and tangles, there is also significant neuronal loss in certain brain regions (9, 211). This information together with some of the biomarker data just mentioned would suggest that to have the greatest potential to develop effective therapies that might influence the clinical onset and progression of AD, it would be best to begin therapies targeting A β or tau in the period preceding clinical symptoms of cognitive impairment and dementia. Although much more work is needed to characterize "preclinical AD", this stage can now be accurately identified through the use of antecedent biomarkers (CSF and imaging). Clinical trials that take advantage of these advances have not yet taken place, although some are being designed, potentially for implementation in FAD individuals (for reviews of recent drug trials and consideration of future trial designs, see (212, 213)). Virtually all clinical trials of potential disease modifying therapies that target A β , tau, or other mechanisms have been in patients with symptomatic AD (i.e., patients with mild to moderate dementia) or with very mild dementia/MCI due to AD, when irreversible neuronal loss already is well established. Part of the failure to date of currently tested treatments may be due to the fact that drugs that have completed large phase III trials may not have been effectively hitting their target (e.g. decreasing A β in the CNS of humans to a significant degree). New methods to assess

whether proposed disease-modifying agents are effectively hitting their target are now being incorporated in phase I and II trials in humans (214, 215). However, because of the time course of AD pathology and neurodegeneration prior to the onset of cognitive symptoms, it would seem critical and a major challenge to determine the best way to do clinical trials in cognitively normal people who are very likely to develop AD. Although it seems intuitive that the earlier one starts with therapy prior to cognitive decline, the more likely an effect will be seen, trials of large numbers of individuals, in the absence of screening techniques, would likely take 10-20 years to fully assess the benefits of treatment and would require very large financial resources. On the other hand, with current biomarker and genetic information, it is likely feasible to screen individuals greater than 60 years of age and select those at very high risk for cognitive decline over a 3-4 year period with biomarkers. Several large longitudinal studies such as the Alzheimer's disease neuroimaging initiative (ADNI) as well as studies of adult children whose parents did or did not have AD are trying to better define exactly which biomarkers are the best at determining prognosis in such groups. In addition, the study of individuals with preclinical AD due to autosomal dominant mutations (such individuals are virtually certain to develop symptomatic AD) will define the predictive value of specific biomarkers in this population with the goal of ultimately enabling testing of promising therapies.

Summary

There have been tremendous advances in our understanding of the molecular pathogenesis of Alzheimer's disease over the last 25 years. Overwhelming evidence suggests that AD is a disorder of protein aggregation in which the buildup of the normally soluble A β and tau drive AD pathogenesis. Chronic overproduction of AB, particularly AB42, as occurs in FAD cases, as well as altered propensity of A^β to aggregate due to sequence changes, reproducibly leads to AD pathology and eventually results in dementia. In sporadic lateonset AD, current evidence does not support A β overproduction as a cause though there is emerging evidence suggesting deficits in A β clearance(216). It appears that molecules such as apoE, which play a role in A β aggregation and A β clearance as well as other genetic risk factors, are critical in determining whether AB will ultimately change conformation and form deposits in the brain. Once A β begins building up in the brain, a host of downstream events occur which probably play important roles in damaging axons, dendrites, synapses, intracellular signaling, synaptic transmission, and ultimately cell death. In particular, the accumulation and aggregation of tau appears to play a critical role in disease progression. Changes in tau phosphorylation and conformation as reflected in NFTs and neuropil threads are probably exacerbated by A β aggregation and are likely to contribute importantly to clinical progression in AD. How aggregated A β damages the brain and exacerbates tangle formation continues to be an important area for future studies. In LOAD, brain injury due to other disorders such as cerebrovascular disease, diabetes, and Lewy body pathology are common and a challenge will also be to mitigate the effects of these processes. There is a great need not only for continued refinement and development of antecedent biomarkers, which identify preclinical AD and increasingly appear to predict dementia due to AD, but also for markers that predict treatment effects for use in future clinical trials. Therapies based on decreasing production, increasing clearance, decreasing aggregation, and removing aggregates of both A β and tau are in development for AD. Such approaches as well as others that prevent or slow neurodegeneration through different mechanisms, including environmental manipulation (e.g. exercise, sleep (217)) offer promise to delay or perhaps even prevent the onset of symptomatic AD. Starting as early as possible prior to the appearance of cognitive symptoms is likely to be a critical strategy to make major headway against this devastating disease.

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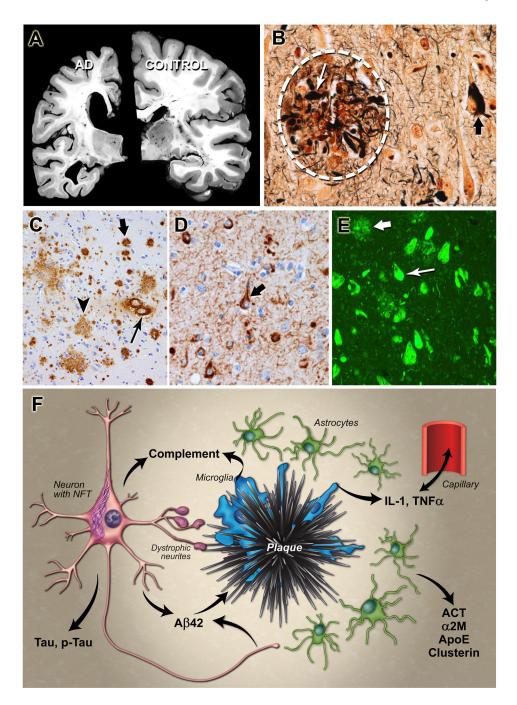


Figure 1. Pathology of Alzheimer's disease (AD)

A. Postmortem brain section from an AD case (left) compared with that of a cognitively normal individual (right) reveals severe brain atrophy in AD. B. High power photomicrograph of a neuritic amyloid plaque (encircled by dashed white lines), with dystrophic neurites (small arrow) and a neurofibrillary tangle (large arrow) (photomicrograph imaged at 400X magnification; silver stain). C. Anti-A β antibody immunohistochemical staining of an AD brain reveals diffuse plaques containing A β (large arrow), compact plaques (medium size arrow) and cerebral amyloid angiopathy (smallest arrow) (photomicrograph imaged at 100X magnification). D. Anti-phospho tau antibody immunohistochemical staining reveals hyperphosphorylated tau accumulation in neuronal

cell bodies (large arrow) and throughout the neuropil in neuropil threads (neuronal processes, smaller arrow, photomicrograph imaged at 200X magnification). E. Thioflavin-S stain reveals A β in a β -pleated sheet structure in amyloid plaques (larger arrow) and tau in a β -pleated sheet structure in neurofibrillary tangles (smaller arrow, photomicrograph imaged at 200X magnification). F. Diagram illustrating aspects of the neuropathology of AD. A β aggregates in the extracellular space of the brain in the form of plaques. The plaques are surrounded by astrocytes and microglial cells that secrete a variety of cytokines and other molecules including complement components, interleukin-1 (IL-1), tumor necrosis factor- α (TNF α), α -1 antichymotrypsin (ACT), α -2 macroglobulin (α 2M), apolipoprotein E (apoE), and clusterin. Many neurons develop intracellular aggregates of tau in neurofibrillary tangles (NFT), and there is an increase in the amount of total tau and phosphorylated forms of tau (p-tau) into the CSF. Transport of molecules via capillaries and other blood vessels across the blood-brain barrier regulates a variety of AD-related processes. Photomicrographs in A–E courtesy of Nigel Cairns, Washington University. Panel F reprinted with permission from R. J. Perrin et al., *Nature* **461**, 916–922 (2009).

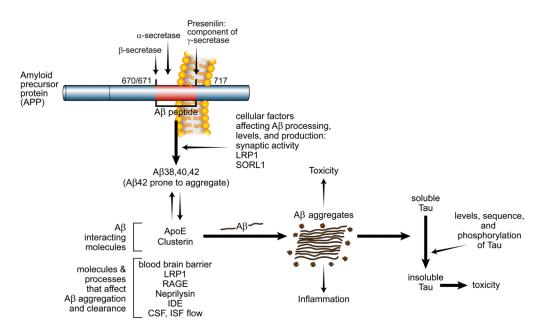


Figure 2.

Model of AD pathophysiology: How A β , tau, and other factors interact to contribute to the pathogenesis of AD. The A β peptide is produced from the transmembrane protein APP via cleavage by 2 enzymes, β -secretase and γ -secretase. Cleavage of APP by α -secretase prevents A β production. Presentiin is the active enzymatic component of the γ -secretase complex and cleaves APP at several sites within the membrane to produce A β peptides of different lengths such as A β 38, A β 40, and A β 42. Several mutations in APP that are just outside of the A β region or within the coding sequence of A β cause forms of familial AD. Blue colored amino acids in APP/A β amino acid sequence represent the normal amino acids present in APP and the green colored amino acids below the normal sequence represent the amino acids that cause familial AD or CAA. A β is predominantly produced from APP within endosomes and a variety of molecules including the receptors LRP1 and SORL1 can influence A β levels. Synaptic activity also directly regulates A β levels. There is evidence that the A β aggregation is influenced by the A β binding molecules apoE and clusterin which likely interact in the extracellular space of the brain. A variety of molecules and processes affect A β clearance from the interstitial fluid (ISF) that is present in the extracellular space of the brain including neprilysin and insulin-degrading enzyme (IDE) as well as CSF and ISF bulk flow. LRP1 and RAGE appear to influence A β transport across the blood-brainbarrier. Aß concentration and species (Aβ42 is more fibrillogenic) influences its aggregation. Once it aggregates into oligomers and fibrils, it can be directly toxic to cells, induce inflammation, and exacerbate the conversion of soluble tau to aggregated tau via unclear mechanisms. In addition to A β , a variety of factors influence tau aggregation and toxicity including tau levels, sequence, and its phosphorylation state.

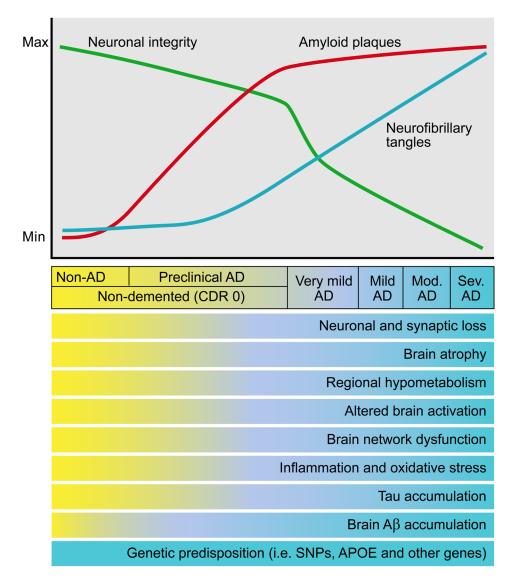


Figure 3.

Model of the time course of biomarker changes that occur in AD in relation to the cognitive and clinical changes. Over time, in cognitively normal people that is going to develop dementia due to AD, one of the first things that occurs is the initiation of A β aggregation in the brain in the form of amyloid plaques. Over years, while people are still cognitively normal, amyloid plaques continue to accumulate. At some point, perhaps ~ 5 years prior to any clearcut cognitive decline, tau accumulation begins to increase in the neocortex, inflammation and oxidative stress increase, and brain network connections and metabolism begin to decline. Neuronal and synaptic loss also begins to occur as well as brain atrophy. This period when AD-type pathology is building up yet a person is cognitively normal is termed "preclinical" AD. As these changes continue to accumulate, once there is enough neuronal and synaptic dysfunction as well as cell loss, very mild dementia becomes clinically detectable. At this time, amyloid deposition has almost reached its peak. As dementia worsens to mild, moderate (mod), and severe (sev) stages, there is increasing neurofibrillary tangle formation as well as increasing neuronal and synaptic dysfunction, inflammation, cell death, and brain atrophy. Modified figure reprinted with permission from R. J. Perrin et al., Nature 461, 916-922 (2009).

Table 1

Late onset Alzheimer's disease risk alleles that show genome-wide significant association in at least one study (adapted from Naj et al., Nature Genetics (in press).

Holtzman et al.

SNP	Gene	Odds Ratio (CI)
rs429358	Apolipoprotein E (APOE4)	3.77 (3.29–4.32)*
rs7412	Apolipoprotein E (APOE2)	$0.55\ (0.36-0.84)^{*}$
rs1532278	Clusterin (CLU/APOJ)	0.89 (0.85–0.92)
rs561655	Phosphatidylinositol-binding clathrin assembly protein (PICALM)	$0.87\ (0.84{-}0.91)$
rs670713	Complement receptor 1 (CRI)	1.17 (1.12–1.23)
rs3752246	ATP-binding cassette transporter (ABCA7)	1.15 (1.09–1.21)
rs7561528	Bridging integrator I (Bin1)	1.17 (1.12–1.22)
rs4938933	Membrane spanning 4A gene cluster (MS4A)	0.89 (0.87–0.92)
rs9349407	CD2-associated protein (CD2AP)	1.11(1.07 - 1.15)
rs3865444	Sialic acid binding 1g-like lectin (CD33)	0.91 (0.88–0.93)
rs11767557	Ephrin receptor AI (EPHAI)	0.90 (0.86–0.93)
*		

* APOE Odds ratios from www.Alzgene.org