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# Unexpected role for granzyme K in CD56<sup>bright</sup> NK cell-mediated immunoregulation of multiple sclerosis<sup>1</sup>

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# Abstract

Functional NK cell deficiencies are associated with autoimmune diseases, including multiple sclerosis (MS). NK cells can promote or inhibit adaptive immunity via either cytokine production or cytotoxicity towards immature dendritic cells and activated T cells. In humans, this immunoregulatory role resides in the CD56<sup>bright</sup> NK cell subset, which is selectively expanded by daclizumab, a CD25-blocking antibody that suppresses MS-associated inflammation. The objective of this study was to investigate the molecular mechanisms underlying the cytotoxicity of NK cells toward activated T cells. We demonstrated that NK cells induce caspase-independent apoptosis that requires NK cell degranulation and causes mitochondrial dysfunction in activated T cells. While both granzymes A (GrA) and K (GrK) can mediate this form of apoptosis, quantitatively we observed preferential transfer of GrK to target cells. Consequently, gene silencing of GrK in the NK-92 cell line, which retains functional characteristics of CD56<sup>bright</sup> NK cells, profoundly inhibited the ability of NK-92 to kill activated syngeneic T cells. Finally we demonstrated that daclizumab treatment significantly enhanced this newly defined mechanism of cytotoxicity by CD56<sup>bright</sup> NK cells. Our study represents the first example of the important physiological role GrK plays in immunoregulation of adaptive immunity in humans and indicates that therapeutic exploitation of this pathway is beneficial in controlling autoimmunity.

# Introduction

Multiple sclerosis (MS) is the most prevalent demyelinating disorder of the central nervous system (CNS). It is believed that MS is caused by inappropriately-activated T cells that target unknown CNS antigen(s). The immune-mediated pathophysiology of MS is supported by the efficacy of immunomodulatory drugs and by MS genetic studies (1). However, it remains unclear whether the pathogenic population resides in CD4<sup>+</sup> or in CD8<sup>+</sup> T cells (2).

Drugs that restore defective immunoregulatory circuits underlying the breakdown of immune tolerance can provide therapeutic benefit in autoimmune diseases without a need to

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define the target of the immune response or the precise immunopathogenic cell population. For this reason, researchers have focused attention on regulatory T cells, leading to the identification of functional deficiencies in FoxP3<sup>+</sup> CD4<sup>+</sup> T cells (3) and IL-10 secreting Tr1 cells (4) in MS patients. However, adaptive immune responses are also regulated by the components of innate immunity, especially by NK cells (5–7). In the past 30 years, many studies indicated that immune dysregulation in MS includes quantitative and functional deficiencies in NK cells (8–14). The data from experiment autoimmune encephalomyelitis (EAE), a murine model of MS, also suggest that NK cells have regulatory role in EAE (5, 15). While studying the mechanism of action of daclizumab, a humanized monoclonal antibody (mAb) against the IL-2R $\alpha$ -chain (CD25) that appears to be a highly effective therapy for MS (16-18), we discovered that daclizumab selectively expands and activates CD56<sup>bright</sup> NK cells (19, 20). In vivo expansion of these cells correlated with contractions in absolute numbers of T cells observed during daclizumab therapy and with the inhibition of MS brain inflammatory activity (18-20). We have shown that daclizumab-expanded CD56<sup>bright</sup> NK cells play a key role in limiting adaptive immune responses by their focused cytotoxicity towards activated T cells (19). However, the molecular mechanisms of this cytotoxicity have remained undefined.

NK cells comprise about 5–15% of human peripheral blood mononuclear cells (PBMC) and play a crucial role in early defense against pathogens, especially viruses. NK cells kill target cells by two major mechanisms: the death receptor pathway and the granule exocytosis pathway. The death receptor pathway consists of membrane-bound or soluble factors belonging to the tumor necrosis factor (TNF) superfamily that interact with one of the membrane-bound TNF-receptor (TNFR) superfamily agents (21). Trimerization of TNFRs (e.g. TNFR1, Fas and TNF-related apoptosis-inducing ligand (TRAIL) receptors) activates death-domains in their intracellular tails, which leads to activation of caspases and cell death. The granule exocytosis pathway is dependent on the pore-forming protein perforin, which delivers serine proteases called granzymes (Grs) into the cytoplasm of target cells. Humans express 5 Grs: A, B, H, K and M (22). While the biological actions of granzyme A (GrA) and granzyme B (GrB) have been well characterized (23), very little is known about the function of the remaining Grs.

GrB induces fast cell death, predominantly by activation of caspases. In contrast, GrA induces a caspase-independent cell death, characterized by direct mitochondrial damage, which results in an increase in intracellular reactive oxygen species (ROS) and single-stranded nicking of DNA. In agreement with the crucial role of ROS in GrA-dependent cytotoxicity, superoxide scavengers can block GrA-mediated cell death (24). When recombinant granzyme K (GrK) is delivered to target cells *in vitro*, it induces caspase-independent cell death that is morphologically and biochemically very similar to GrA-mediated apoptosis, even though GrA and GrK cleave mostly non-overlapping substrates (25, 26). To our knowledge, there has been only a single study investigating the physiological role of GrK in cell-mediated cytotoxicity in humans; this study suggested that GrK may play a physiological role in mediating cytotoxicity of human in-vitro generated lymphokine activated killer cells to cancer cell lines (26).

The decision of an NK cell to kill its target results from the integration of signals from inhibitory and activating receptors (27). In general, the effect of MHC-I-specific inhibitory receptors dominates over that of NK cell activating receptors (28, 29). However, several studies have reported that NK cells can kill autologous cells expressing normal levels of MHC-I molecules (19, 30). In both cases where human NK cells were shown to kill cells expressing self-MHC-I molecules, i.e. dendritic cells (30) and activated T cells (19), the cytotoxicity towards autologous cells resided in the CD56<sup>bright</sup> NK cell subset. Intriguingly, CD56<sup>bright</sup> NK cells were originally thought to lack cytotoxic potential (31) because of their

In addition to daclizumab, other successful MS therapies, such as interferon beta also activate or expand CD56<sup>bright</sup> NK cells (34, 35). This, together with the observations that impaired cytolytic activity of NK cells can lead to progressive CNS inflammation (36) and that MS susceptibility may be linked to perforin genotype (37) suggests that immunoregulation via CD56<sup>bright</sup> NK cells plays an important role in the development of CNS autoimmunity. Thus, defining the exact molecular mechanism by which CD56<sup>bright</sup> NK cells regulate autologous T cell responses may provide additional therapeutic targets for the development of optimized therapies for MS. In this paper we describe that CD56<sup>bright</sup> NK cells kill autologous activated T cells through GrK and GrA-mediated cytotoxicity, which requires formation of ROS in target cells and can be potently inhibited by application of strong antioxidants.

# **Materials and Methods**

### Antibodies and reagents

Antibodies (Ab) against human CD3 (HIT3a), CD4 (RPA-T4), CD8 (SK1), CD56 (B159), CD107a (H4A3), GrA (CB9), GrB (GB11), perforin ( $\delta$ G9) and active caspase-3 (C92-605) as well as Annexin-V were purchased from BD Biosciences (San Diego, CA, USA). Antibody against GrK (GM6C3), GrK-siRNA and control-siRNA were obtained from Santa Cruz Biotechnology (Santa Cruz, CA, USA). Concanamycin A, TRAIL-R2:Fcchimera, monoclonal antibody to Fas (SM1/23) and Ac-IETD-CHO were products of Alexis Biochemicals (San Diego, CA, USA). Pan-caspase inhibitor Z-VAD-FMK and anti-human CD27/TNFRSF7 antibody (Goat IgG) were from R&D Systems (Minneapolis, MN, USA). Bongkrekic acid and N-Acetyl-L-Cysteine (NAC) were from Sigma-Aldrich (St. Louis, MO, USA). Carboxy-H<sub>2</sub>DCFDA, DiOC<sub>6</sub>, JC-1 (5, 5', 6, 6'-tetrachloro-1, 1', 3, 3'-tetraethylbenzimidazol-carbocyanine iodide) and 5.6-carboxyfluorescein diacetatesuccinimidyl ester (CFSE) were from Invitrogen (Carlsbad, CA, USA). Ethyleneglycol-bis (b-aminoethyl)-N,N,'N'-tetraacetic Acid (EGTA) were from Calbiochem (San Diego, CA, USA).

### Cells

PBMC were isolated from venous blood or lymphocytaphereses of daclizumab-treated or untreated MS patients and healthy donors by buoyant density centrifugation over Ficoll-Paque (Pharmacia, Piscataway, NJ) and were used fresh or cryopreserved. All human subjects signed informed consent and participated in IRB-approved protocols.

The NK-92 cell line, an interleukin-2 (IL-2) dependent NK cell line derived from PBMC from a 50-year-old Caucasian male with rapidly progressive non-Hodgkin's lymphoma (38), was obtained from American Type Culture Collection (Rockville, MD) and grown in Alpha Minimum Essential Medium supplemented with 12.5% FCS 12.5% Horse serum, 100 IU IL-2 and 50  $\mu$ M beta mercaptoethanol, in a humidified incubator at 37 °C in 5% CO<sub>2</sub>. For CFSE staining, the cells were labeled with 2 $\mu$ M CFSE as described (19).

### Flow cytometry based-cytotoxicity assay

T cells were purified from PBMC using negative selection by magnetic beads (T cell isolation kit II, Miltenyi Biotech). Purified T cells were polyclonally stimulated with anti-CD3/CD28 beads (Dynalbeads, Invitrogen) for an indicated time (72h) and served as the

target cells. Autologous or syngeneic NK cells (effector cells), were isolated from PBMC by negative selection (NK Isolation Kit II, Miltenyi Biotech). NK cells and autologous T cells were co-cultured at different effector-to-target cell (E:T) ratios in 96 well plates. Controls consisted of T cells alone (without NK) and NK cells alone (without T cells). Cells were then collected, stained with surface antibodies and Annexin-V and analyzed by flow cytometry. Live T cells were gated as CFSE<sup>+</sup>Annexin-V<sup>-</sup> CD3<sup>+</sup>T cells and their relative numbers were calibrated with fluorescent beads. Cytotoxicity was determined by the following formula: % Cytotoxicity = (relative T cell numbers in T cell control-relative T cell numbers in the sample)/ relative T cell numbers in T cell control × 100%. Fluorescently-labeled CD107a antibody (added to NK-T cell co-cultures), was used to identify the degranulation of NK cells. In the blocking experiment, the assay was performed in the presence of 1mM EGTA, 20 $\mu$ M Bongkrekic acid, 0.5mM NAC, 25 $\mu$ M Ac-IETD-CHO, 50nM Z-VAD-FMK, 2.5 $\mu$ g/ml anti-FasAb, 5 $\mu$ g/ml TRAIL R2-Fc, or 5 $\mu$ g/ml anti-CD27 Ab.

### Intracellular granzymes and perforin staining

After incubation with specific antibodies against relevant surface molecules, the cells were fixed in BD Cytofix/CytoPerm solution and then washed with BD Perm/Wash buffer. Subsequently, cells were incubated with fluorescently-conjugated Ab against Grs or perforin, washed and analyzed on a LSRII flow cytometer (BD Bioscience, San Diego, CA, USA).

#### Intracellular ROS production and mitochondrial transmembrane potential loss

ROS production was monitored by adding 1  $\mu$ M H<sub>2</sub>DCFDA for the last 30 minutes of T:NK co-cultures just before flow cytometry analysis. H<sub>2</sub>DCFDA is converted into a nonfluorescent derivative (H<sub>2</sub>DCF) by cellular esterases. H<sub>2</sub>DCF is oxidized to the highly fluorescent 2,7-dichlorofluorescein (DCF) by intracellular ROS. Thus, the intensity of the DCF fluorescence correlates with the levels of intracellular ROS. As a positive control, cells were treated with 1% H<sub>2</sub>O<sub>2</sub>. The change of mitochondrial transmembrane potential ( $\Delta\Psi_m$ ) was monitored by adding 0.5  $\mu$ M of potential-dependent J-aggregate-forming lipophilic cation JC-1 or 20nM of cationic lipophilic dye DiOC<sub>6</sub> to the cells, followed by incubation for 15 min in 5% CO<sub>2</sub> at 37°C. Cells were exposed to 50  $\mu$ M CCCP (carbonyl cyanide 3-chlorophenylhydrazone), which disrupts mitochondrial membrane integrity, as a positive control. After staining with appropriate fluorescent-conjugated antibodies, the cells were washed and analyzed by flow cytometry. Data acquisition and analysis were performed using FACSDiva Software.

# **Confocal microscopy**

Activated CD4<sup>+</sup>T cells isolated with CD4-labeled MACS beads (MiltenyiBiotec, Auburn, CA, USA) from negatively-selected T cells were cocultured with syngeneic NK cells in slide chambers for 3h. Cells were stained with surface (anti-CD4 and -CD56) and intracellular (perforin or GrK) primary Abs followed by a fluorescently-labeled appropriate secondary Ab. Slides were mounted using the ProLong® Antifade kit (Molecular Probes, Eugene, OR, USA). Confocal microscopy was performed using a Zeiss Fluorescence Microscope with the Velocity software.

### ImageStream Data Acquisition and Analysis

Polyclonally activated CD4<sup>+</sup> T cells were co-incubated with syngeneic NK cells for 3h, followed by the surface staining for CD3, CD4 and CD56 and intracellular staining for GrK and perforin. Data acquisition (20,000 cells per sample) was performed with Amnis ImageStream<sup>X</sup> system (Amnis Corp., Seattle, WA) and data were analyzed with IDEAS 4.0 software.

### **Cell transfection**

The NK-92 cell line  $(5 \times 10^6)$  was transfected with 30pmol of GrK siRNA or control siRNA using Amaxa Nucleofector (AmaxaBiosystems, Gaithersburg, MD) program A-024. Plasmid pmaxGFP was used to detect the transfection efficiency. The transfection efficiency and quantification of GrK protein expression were performed 14–16 h post-transfection by flow cytometry.

### **Statistical Analysis**

Statistical analysis was calculated using Student's t test for analysis of 2 independent subgroups or paired t-test for analysis of repeated measurements within identical group of patients. Non-parametric equivalent tests were used when data were not normally distributed. All analyses were graphed using SigmaPlot11 software with P<0.05 considered to be significant.

# Results

# NK cells kill activated, but not resting autologous T cells and CD56<sup>bright</sup> NK cells degranulate preferentially when co-cultured with autologous activated T cells

A modified flow cytometry killing assay, which distinguishes and enumerates targets and effectors in complex cell mixtures, was applied in the study. Target cells (purified T cells used without activation stimulus or after polyclonal activation with CD3/CD28 beads) were CFSE-labeled just prior to co-culture with NK cells and fluorescently-labeled antibodies recognizing LAMP protein (CD107a) were added to NK-T cell co-cultures. T cells cultured in the absence of NK cells and NK cells cultured in the absence of T cells served as negative controls. After the indicated time period, an equal number of fluorescent microbeads was added to each cell culture and the number of live targets was proportionally enumerated as the number of CFSE-labeled/Annexin-V-negative CD4<sup>+</sup> and CD8<sup>+</sup> T cells per 1000 microbeads. At the same time, the proportion of CD107a<sup>+</sup> NK cells indicated the number of NK cells that degranulated in these assays. As demonstrated in Figure 1, we observed that NK cells kill only activated, but not resting autologous T cells (Fig. 1A). When NK cells were co-cultured with resting T cells, we did not observe an increase in NK cell degranulation in comparison to NK cells cultured in the absence of T cells (Fig. 1B, upper panels), whereas co-culture of NK cells with activated T cells resulted in a significant increase in NK cell degranulation (Fig. 1B, lower panels). When gating on CD56<sup>bright</sup> and CD56<sup>dim</sup> NK cells separately, we observed preferential degranulation of CD56<sup>bright</sup> NK cells (Fig. 1C).

# NK cells kill autologous activated T cells using a caspase-independent granule exocytosis pathway

We evaluated the mechanism of killing by systematically utilizing different blocking agents, as schematically defined in Fig. 2A. The optimal concentration of all reagents was defined in preliminary experiments as the concentration with the maximal inhibitory effect on NK-mediated cytotoxicity that did not inhibit survival or proliferation of activated T cells (data not shown).

Pan-caspase inhibitor Z-VAD-FMK had minimal inhibitory effect on NK-mediated cytotoxicity of activated T cells (Fig. 2*B*). Consistently, we observed no significant inhibitory effects from reagents that block different death receptor pathways, such as Fas-(Fig. 2*C*), CD27- (Fig. 2*D*) or TRAIL-mediated killing (Fig. 2*E*). In contrast, we observed 71% inhibition of NK-mediated killing of activated T cells by EGTA, which blocks Ca<sup>2+</sup>- dependent degranulation of NK cells (Fig. 1*F*). Bongkrekic acid, which blocks formation of mitochondrial permeability transition pore (MPTP) and superoxide scavenger N-acetyl

cysteine (NAC) had similarly potent inhibitory effects on NK-mediated cytotoxicity (Fig. 2*G-H*), indicating that activated T cells die predominantly by mitochondrial apoptosis associated with the formation of ROS. This form of apoptosis is predominantly induced by GrA and GrK. However, GrB, which typically induces caspase activation, may also contribute to caspase-independent killing. Therefore, we tested the contribution of GrB to NK-mediated cytotoxicity by applying the specific GrB inhibitor Ac-IETD-CHO (Fig. 2*I*). We observed only a mild inhibitory effect (22%) of Ac-IETD-CHO on NK-mediated killing of activated autologous T cells (P<0.05).

# Activated T cells lose mitochondrial transmembrane potential and increase intracellular ROS formation, but do not activate caspase-3 upon co-culture with autologous NK cells

The previous experiments indicated that NK cells kill by a caspase-independent pathway, inducing mitochondrial dysfunction and ROS production in activated T cells. Therefore, we measured changes in mitochondrial transmembrane potential ( $\Delta \Psi_m$ ) induced in T cells by co-culture with autologous NK cells. Using two different fluorescent reporter dyes DiOC<sub>6</sub> (Fig. 3A) and JC-1 (Fig. 3B) we observed a significant decrease in  $\Delta \Psi_m$  in activated T cells also significantly enhanced their intracellular ROS production upon co-culture with autologous NK cells (Fig. 3C). In contrast, co-culture with NK cells did not result in enhanced activation of caspase-3 in activated T cells (Fig. 3D).

# Co-culture of activated T cells with autologous NK cells leads to significant increase in intracellular perforin and granzymes (especially GrK) staining in target cells

Consistent with a previously published report (32), we observed that polyclonally activated T cells express GrA and GrB, but little GrK and perforin (Fig. 4*A*). As expected, CD8<sup>+</sup> T cells express significantly higher levels of Grs and perforin in comparison to CD4<sup>+</sup> T cells. After 3h co-culture with autologous NK cells, activated T cells contained significantly higher amounts of perforin and Grs in comparison to activated T cells cultured in the absence of NK cells (Fig. 4*A*; compare left and right panels for each Gr and perforin). Quantitatively, the change was most robust for GrK (Fig. 4*B*) and perforin (Fig. 4*C*), for which we observed over 15-fold increase in GrK and over 40-fold increase in perforin expression in CD4<sup>+</sup> T cells after 3 hour co-culture with NK cells. In comparison, for GrA and GrB, we observed on average only a 1–2 fold increase. There was no enhanced staining for any of the Grs or perforin upon co-culture of resting T cells with autologous NK cells (data not shown).

Because the rate of increase in Grs and perform staining in activated T cells upon co-culture with NK cells was too fast (as early as 1h and peak at 3h) to suggest *de-novo* synthesis, we considered the most likely explanation for this observation being transfer of perform and Grs from effector NK cells to target cells. This interpretation was supported by our previous observation that CD56<sup>bright</sup> NK cells degranulate preferentially in co-culture with autologous activated T cells (Fig. 1C) and by almost exclusive expression of GrK in CD56<sup>bright</sup> as opposed to CD56<sup>dim</sup> NK cells (Supplemental Fig. 1). First, we verified the intracellular location of transferred perforin and Grs in activated T cells by confocal microscopy (Supplemental Fig. 2). For all microscopy experiments we used purified CD4<sup>+</sup> T cells as target cells and focused on GrK and perforin, as activated CD4<sup>+</sup> T cells were almost uniformly GrK and perforin negative when cultured in the absence of NK cells (Fig. 4A). We were able to readily identify  $CD4^+$  T cells with intracellular expression of perform and GrK after 3 hour co-culture with NK cells, whereas such CD4<sup>+</sup> T cells were virtually absent when activated T cells were cultured alone (Supplemental Fig. 2). However, because confocal microscopy could not quantify the phenomenon of perforin and GrK transfer, we switched to Amnis ImageStream technology, which combines the visualizing capability of

confocal microscopy with quantitative analysis of flow cytometry (Fig. 4D). We observed that when activated CD4<sup>+</sup> T cells were cultured alone, only 0.74% of these cells expressed GrK. When expressed, GrK had a distinctly granular staining in the cytoplasm of activated CD4<sup>+</sup> T cells (Fig. 4D, upper panel, 3rd row). Upon co-culture with autologous NK cells, we observed formation of NK/T cell conjugates (Fig. 4D, middle panel). In the early stages of conjugate formation, GrK was fully contained in NK cells (Fig. 4D, middle panel, upper 2 rows). However, in later stages of conjugate formation, we observed transfer of GrK from NK cell to CD4<sup>+</sup> T cells with subsequent dimmer staining of GrK in NK cells (Fig. 4D, middle panel, bottom 2 rows). When we gated on singlet CD4<sup>+</sup> T cells in the co-cultures with NK cells, we observed that the proportion of GrK expressing CD4<sup>+</sup> T cells increased from 0.74% to 7.26% (Fig. 4D, lower panel). Furthermore, the morphology and cellular distribution of GrK staining in these CD4<sup>+</sup> T cells changed noticeably. Instead of predominantly granular distribution of GrK observed in CD4<sup>+</sup> T cells when cultured alone (Fig. 4D, upper panel), the co-cultured  $GrK^+CD4^+T$  cells exhibited largely diffuse GrKstaining in the entire cytoplasm. In addition, these cells expressed much lower levels of both CD4 and CD3 on the cell surface, compared to normal CD4<sup>+</sup> T cells, and, as shown in the Bright Field channel, these cells exhibited morphological features of apoptotic cells, such as smaller size, pyknotic nuclei and apoptotic membrane blebs (Fig. 4D, lower panel).

# Pre-treatment of NK effector cells with concanamycin A (CMA) inhibits transfer of GrK and perforin to target T cells and decreases their ROS production

Because the rate of increase in Grs and perforin staining in activated T cells upon co-culture with NK cells was too fast (as early as 1h and peak at 3h) to suggest *de-novo* synthesis, we considered the most likely explanation for this observation being the transfer of perforin and Grs from effector NK cells to target cells. To investigate if blocking NK cell degranulation would result in decreased GrK and perforin staining in target T cells and the inhibition of their apoptosis, we used CMA, which is a potent and specific H<sup>+</sup>-ATPase inhibitor and can interfere with intracellular protein trafficking, to block NK cell degranulation. Whether we added CMA directly to co-culture of NK cells with activated T cells or selectively pre-treated NK cells with CMA before NK-T cell co-culture, we observed a significant inhibition of GrK (Fig. 5A) and perforin transfer (Fig. 5B) from NK cells to activated T cells. This inhibition of GrK and perforin transfer resulted in a significant inhibition of ROS production in activated T cells (Fig. 5C).

### Gene silencing of GrK inhibits NK-92 cell-mediated killing of syngeneic activated T cells

All previous experiments indicated that GrK plays a crucial role in the cytotoxicity of CD56<sup>bright</sup> NK cells toward autologous activated T cells. To our knowledge, this represents the first description of a physiological role of GrK in cell-mediated cytotoxicity in humans, so we wanted to confirm that GrK directly mediates this cytotoxicity by gene silencing experiments. Unfortunately, consistent with published reports, we found primary human NK cells resistant to different modes of transfection and eventually had to utilize the immortalized NK-92 cell line, which retains crucial functional features of CD56<sup>bright</sup> NK cells, including GrK expression. We obtained molecular MHC-I typing of the NK-92 cell line (HLA-A\*0311, B\*0744, Cw\*0716) by the NIH blood bank department and selected an MHC-I-matched healthy donor as a source of syngeneic T cells. In preliminary experiments we confirmed that NK-92 cells, like primary CD56<sup>bright</sup> NK cells, kill syngeneic activated T cells by inducing the mitochondrial/ROS-dependent form of apoptosis (Fig. 6 and data not shown).

Electroporation of the NK-92 cell line with GrK siRNA resulted in a 47% decrease in GrK staining as compared to electroporation with control siRNA (Fig. 6A), while there was no change in the expression of GrA (data not shown). Upon co-culture of activated MHC-I-

matched T cells with GrK-siRNA pre-treated NK cells, we observed a significant decrease in ROS formation in the target cells as compared to co-cultures with control siRNA-pre-treated NK cells (Fig. 6*B*). This translated into a significant (42–71%) inhibition of NK-92-mediated cytotoxicity toward matched activated T cells (Fig. 6*C*).

# Daclizumab therapy leads to dramatic upregulation of GrK in NK cells (especially CD56<sup>bright</sup> subset) and in enhanced GrK-mediated killing of autologous activated T cells

We stained NK cells in fresh blood samples from 10 untreated MS patients or healthy controls and 10 MS patients who had been on long-term (>1 year) daclizumab-therapy. We confirmed that daclizumab-treated MS patients had significantly expanded CD56<sup>bright</sup> NK cells (19) (Supplemental Fig. 3*A*) resulting in a significantly lower T/NK cell ratio in peripheral blood (Supplemental Fig. 3*B*). Moreover, NK cells, especially CD56<sup>bright</sup> NK cells in daclizumab-treated MS patients had significantly higher expression of GrK (3.1 fold increase) and GrA (1.8 fold increase) in comparison to controls (Fig. 7*A*).

Having obtained these encouraging results, we thawed cryopreserved PBMC samples from the NIH daclizumab MS trial (Clinicaltrials.gov identifier NCT00071838 (20)) to investigate if we could substantiate these observations using samples from pre-treatment baseline versus month 6–8 of daclizumab therapy (Fig. 7*B–E*). We observed that in comparison to cells from baseline samples, NK cells isolated from daclizumab therapy samples were significantly more efficient (2–3 fold) in GrK transfer to autologous activated T cells (Fig. 7*B–C*), while daclizumab therapy had no effect on transfer of other granzymes. Correspondingly, NK cells isolated from daclizumab therapy samples induced significantly higher ROS formation (Fig. 7*D*) and more profound mitochondrial dysfunction (Fig. 7E) in autologous target T cells as compared to NK cells isolated from pre-treatment samples.

# Discussion

NK cells have traditionally been viewed as simple effectors of innate immune responses. However, growing evidence now points toward their important role in restraining T cell responses during viral infections(39) and in animal models of autoimmunity (5, 6, 40, 41). The regulatory role of NK cells in human autoimmunity is indirectly supported by observations of quantitative or qualitative NK cell defects in peripheral blood of patients with autoimmune diseases(42, 43) including MS(8–14). An immunoregulatory role for CD56<sup>bright</sup> NK cells was inferred from their ability to produce a large number of different cytokines early in the development of the immune response (44) and their ability to home to lymph nodes (45) and make prolonged contact with dendritic cells (DCs)(46). The original assumption that these cells were not cytotoxic was ruled out by the surprising observation that CD56<sup>bright</sup> NK cells kill autologous immature DCs(30). We further extended these observations by demonstrating that CD56<sup>bright</sup> NK cells also kill autologous activated T cells in a degranulation-dependent manner(19).

Examination of the mechanisms of cytotoxicity of CD56<sup>bright</sup> NK cells was facilitated by the fact that this minute cell population in human blood is expanded several fold by the treatment with CD25-blocking mAb, daclizumab(18–20). Having access to significantly expanded CD56<sup>bright</sup> NK cell populations from daclizumab-treated MS patients allowed us to perform extensive *in-vitro* functional studies in order to define the mechanisms used by CD56<sup>bright</sup> NK cells to kill autologous activated T cells. We then verified that identical mechanisms are also operational in CD56<sup>bright</sup> NK cells derived from healthy controls and finally, we compared the efficacy of these killing mechanisms in MS patients before and after daclizumab treatment.

We first had to overcome the constraining requirement for a large number of sorted CD56<sup>bright</sup> NK cells, which is necessary for the chromium release cytotoxicity assays utilized in our previous study (19). Therefore, we adopted a flow cytometry-based killing assay, which allows discrimination of the degranulating effectors and dying targets in complex mixtures. We fully reproduced our published observations from chromium release assays (19) that NK cells kill only activated T cells and that the CD56<sup>bright</sup> NK cell subset is the predominant effector in such immunoregulation of autologous T cells. By applying agents that selectively block different mechanisms of cytotoxicity, we were able to conclude that NK cells predominantly utilize the granule exocytosis pathway to induce a caspaseindependent, mitochondrial form of apoptosis in activated T cells. This form of apoptosis depends on the induction of intracellular ROS in the target cells and is characteristic of killing mediated by two closely related tryptases: GrA and GrK (22). The demonstration that killing can be significantly inhibited by application of the strong antioxidant NAC or by bongkrekic acid, which inhibits formation of mitochondrial permeability transition pores, was substantiated by parallel observations that co-culture with NK cells increases ROS and decreases mitochondrial potential in activated T cell targets. The independence of this killing mechanism from caspase activation was further corroborated by a lack of enhanced staining of target cells for activated caspase 3.

Because GrA and GrK use very similar effector mechanisms (i.e. ROS and mitochondrial dysfunction) to induce apoptosis in target cells and selective inhibitors of either GrA or GrK have not been developed, we can not directly quantify the proportional contribution of GrA and GrK to CD56<sup>bright</sup> NK cell cytotoxicity toward activated autologous T cells. However, the granzyme transfer experiments implicated GrK as a major player in NK cell-mediated cytotoxicity towards activated T cells. This was consistent with the observations that GrK is selectively expressed in CD56<sup>bright</sup> NK cells ((32, 33) and Supplemental Fig. 1) and with preferential degranulation of this NK cell subset upon co-culture with autologous activated T cells. Furthermore, partial (i.e. up to 47%) genetic silencing of GrK in the immortalized NK-92 cell line, which retains functional characteristics of primary human CD56<sup>bright</sup> NK cells, resulted in 42–71% inhibition of killing of syngeneic autologous T cells. The fact that the NK-92 cell line killed its syngeneic T cell targets utilizing identical effector mechanisms as CD56<sup>bright</sup> NK cells (i.e. induction of mitochondrial dysfunction and formation of intracellular ROS) validates its use as an appropriate model system.

Understanding the molecular mechanisms utilized by CD56<sup>bright</sup> NK cells to kill autologous activated T cells, we could compare expression of different Grs and their transfer to autologous activated T cells in patients before and during daclizumab therapy. We observed robust differences between the two groups: NK cells derived from daclizumab-treated patients were significantly more cytotoxic towards autologous activated T cells than those derived from patients at an untreated baseline. Perhaps most importantly, the differences did not reside solely in an increased number of CD56<sup>bright</sup> NK cells observed in treatment group, but also in a significantly higher expression of GrK in the CD56<sup>bright</sup> NK cell subset of treated patients. This observation provides further support for the role of GrK in the immunomodulation of T cell responses by CD56<sup>bright</sup> NK cells.

Although collectively our data represent the first example of a physiological role of GrK in cellular cytotoxicity in humans, our experiments do not exclude contribution of GrA to killing of activated T cells by CD56<sup>bright</sup> NK cells. In fact, due to their joined expression in CD56<sup>bright</sup> NK cells, it is reasonable to assume that both tryptases work together and due to their mostly non-overlapping substrates, they may have also synergistic effects.

Our initial report that CD56<sup>bright</sup> NK cells are expanded during daclizumab therapy and that their expansion correlates with treatment outcome (19) has now been fully reproduced in an

independent, double-blind, placebo-controlled trial of daclizumab in MS (18). Defining the molecular mechanisms of this immunoregulation opens the way to future studies needed to investigate whether CD56<sup>bright</sup> NK cell-mediated killing of activated T cells is defective in MS patients as compared to controls and whether this deficiency corresponds to previously-reported functional defects of NK cells in patients with MS and other autoimmune disorders. Hopefully this knowledge will also translate into future developments of more effective therapies that selectively restore deficient immunoregulatory circuits.

In summary, current study demonstrated that immunoregulatory CD56<sup>bright</sup> NK cells kill autologous activated T cells utilizing perforin-dependent degranulation pathway mediated by two closely related granzymes, GrK and GrA. This immunoregulatory mechanism is significantly enhanced by treatment with daclizumab, but inhibited by strong antioxidants, because both of these granzymes kill via mitochondrial mechanism of apoptosis that depends on the induction of ROS in the target cell. Thus, we suggest that administration of potent, cell-permeable antioxidants during ongoing immune response may generally boost development of adaptive immune responses, including immune memory, which is desired outcome for immunity against infectious agents, but may be detrimental for autoimmunity. Clearly, this concern is entirely theoretical at this point and has to be addressed in properly-designed clinical trial(s).

# Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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# Abbreviations used in this paper

NK	natural killer cell
MS	multiple sclerosis
EAE	experimental autoimmune encephalomyelitis
PBMC	peripheral blood mononuclear cells
TRAIL	TNF-related apoptosis-inducing ligand
CFSE	5.6-carboxyfluorescein diacetatesuccinimidyl ester
NAC	N-Acetyl-L-Cysteine
EGTA	Ethyleneglycol-bis (b-aminoethyl)-N,N,N',N'-tetraacetic Acid
CMA	concanamycin A
GrA	granzyme A
GrB	granzyme B
GrK	granzyme K
ROS	reactive oxygen species
$\Delta \Psi_{m}$	mitochondrial transmembrane potential
DC	dendritic cell

#### MFI mean fluorescent intensity

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#### FIGURE 1.

NK cells kill activated but not resting autologous T cells and more degranulation is detected in CD56<sup>bright</sup> NK cells. A, Resting or polyclonally activated T cells (targets: T) were CFSE labeled and co-cultured with syngeneic NK cells (effectors: E) for 12h and 36h at E:T ratio 1:5. Live targets were identified as CFSE<sup>+</sup>CD3<sup>+</sup>Annexin-V<sup>-</sup>T cells and were proportionally enumerated between conditions by normalization of T cell numbers to the numbers of fluorescently-labeled beads added in equal number to all conditions before flow cytometry analysis. The number of live T cells cultured without NK cells was considered 100% and decrease in the number of live T cells in cultures with NK cells reflected the proportion of killed T cells. Error bars represent standard deviations (SD). B, Resting or polyclonally activated T cells were co-incubated with syngeneic NK cells for 24h at various E:T ratios in the presence of anti-CD107a antibody. Individual plots represent the proportion of degranulating (CD107<sup>+</sup>) CD3<sup>-</sup>CD56<sup>+</sup> NK cells. C, Polyclonally activated T cells were coincubated with syngeneic NK cells for 24h at various E:T ratios in the presence of anti-CD107a antibody. Individual plots represent the proportion of degranulating (CD107<sup>+</sup>) CD3<sup>-</sup>CD56<sup>bright</sup> and CD3<sup>-</sup>CD56<sup>dim</sup> NK cells. Results shown are representative of the data obtained from 3 independent experiments.



### FIGURE 2.

NK-mediated killing of autologous T cells is degranulation-dependent but not death receptor-dependent. *A*, Schematic depiction of the experiments aimed at defining the predominant mechanism of NK-mediated killing of activated autologous T cells. Polyclonally activated T cells were stained with  $2\mu$ M CFSE and were co-incubated with syngeneic NK cells for 48h at indicated E:T ratio (1:5) in the presence or absence of 50nM Z-VAD-FMK (*B*), 2.5µg/ml anti-FasAb (*C*), 5µg/ml TRAIL R2-Fc (*D*), 5µg/ml anti-CD27 Ab (*E*), EGTA 1mM EGTA (*F*), 0.5mM NAC (*G*), 20µM Bongkrekic acid (*H*), or 25µM Ac-IETD-CHO (*I*). Live targets were identified as CFSE<sup>+</sup>CD3<sup>+</sup>Annexin-V<sup>-</sup>T cells. Live T cells were proportionally enumerated between conditions by normalization of T cell numbers to the numbers of fluorescently-labeled microbeads added in equal number to all conditions just before flow cytometry analysis. The killing rate was calculated according to the description in Materials and Methods and Figure 1. Results shown are representative of the data obtained from 3 independent experiments.



### FIGURE 3.

NK cells induce mitochondrial dysfunction in T cells. Polyclonally activated T cells or resting T cells were co-incubated with syngeneic NK cells for 3h at 1:2 E:T ratio. Lipophilic potential-dependent fluorescent dyes  $DiOC_6(A)$  or JC-1 (B) were added for the last 15min of co-culture. Subsequently cells were stained for surface markers and analyzed by flow cytometry. Individual plots represent DiOC<sub>6</sub> and JC-1 fluorescence on gated target (CD3<sup>+</sup>) T cells. The level of DiOC<sub>6</sub> and JC-1 fluorescence correlates with mitochondrial transmembrane potential ( $\Psi_m$ ); thus cells with low level of DiOC<sub>6</sub> and JC-1 fluorescence represent dying cells with mitochondria that lost  $\Psi_m$ . C, The formation of intracellular ROS in resting or polyclonally-activated T cells after 3h of co-culture with syngeneic NK cells was measured by adding 1 µM carboxy-H2DCFDA to the last 30 minutes of co-culture. H<sub>2</sub>DCFDA is converted into a nonfluorescent derivative (H<sub>2</sub>DCF) by cellular esterases and H<sub>2</sub>DCF is oxidized to the fluorescent 2,7-dichlorofluorescein (DCF) by intracellular ROS. Results represent percentage of ROS positive T cells. D, Polyclonally activated T cells were analyzed for intracellular expression of active caspase-3 by flow cytometry after 3h coincubated with syngeneic NK cells. Filled histograms represent appropriate controls. Results shown are representative of the data obtained from 3 independent experiments.



#### FIGURE 4.

Perforin and Grs transfer from NK cells to activated T cells. Polyclonally activated T cells were cultured alone or co-incubated with syngeneic NK cells at 1:1 E:T ratio for 3h. Cultures were then stained for surface markers (CD3, CD4, CD8, CD56) and, after fixation and permeabilization, for proteins of cytolytic granules. Individual graphs display percentage of CD8 (blue) and CD4 (red) T cells expressing GrA, -B, -K and perforin (*A*). Fold increase in Grs (*B*) and perforin (*C*) expression in activated T cells co-cultured with NK cells was calculated from experiments on three different subjects using T cells alone as reference. *D*, After NK and T cells were co-incubated for 3h and the intracellular staining of GrK was performed, cells were analyzed by AmnisImageStream<sup>X</sup> to detect single T cells and NK-T cell conjugates. Results shown are representative of the data obtained from 2 independent experiments.



### FIGURE 5.

Blockade of NK cell degranulation inhibits GrK/perforin transfer and ROS production in T cells. Polyclonally activated T cells were co-incubated with CMA-pretreated or untreated (control) NK cells for 3h. Results represent intracellular staining of GrK (*A*) and Perforin (*B*) in CD3<sup>+</sup>CD56<sup>-</sup> T cells. Empty histograms represent GrK or perforin staining on activated T cells that were cultured alone, while filled histograms represent GrK and perforin staining on T cells co-cultured with syngeneic NK cells. *C*, Polyclonally activated T cells were co-incubated with CMA-pretreated or untreated NK cells at 1:2 E:T ratio for 3h. 1  $\mu$ M carboxy-H<sub>2</sub>DCFDA was added and the culture was continued for additional 30min. After staining with fluorescently labeled anti-CD56 and anti-CD3 Ab, the cells were washed and analyzed by flow cytometry. Filled histograms depict negative controls. Fold increase of GrK, perforin and ROS production was shown as mean±SEM. \**P*<0.05. \*\**P*<0.01. \*\*\**P*<0.001. Results shown are representative of the data obtained from 3 independent experiments.



#### FIGURE 6.

Gene silencing of GrK in NK-92 cell line affects the ability of NK-92 cells to kill syngeneic activated T cells. A, NK-92 cells were transfected with 30pmol GrK- or control-siRNA by nucleofectionand subsequently stained with fluorescently labeled anti-CD56 Ab. The cells were then fixed and permeabilized for intracellular staining with GrK-Ab. The results were analyzed by flow cytometry and data was shown in mean fluorescent intensity (MFI) of GrK. B, Polyclonally activated T cells whose HLA-I haplotype was matched with NK-92 cells were co-incubated with control-siRNA or GrK-siRNA transfected NK-92 cells for 3 hours. 1 µM carboxy-H<sub>2</sub>DCFDA was added for the last 30min of co-culture and target cells were analyzed for intracellular ROS production by flow cytometry. Fold increase of ROS production induced by co-incubation of activated T cells with control-siRNA or GrK-siRNA treated NK-92 cells is depicted as mean±SEM. C, Polyclonally activated T cells were coincubated with control-siRNA or GrK-siRNA transfected NK-92 cells for 6h at various E:T ratios. Live T cells (CD3<sup>+</sup>Annexin-V<sup>-</sup>) cells were proportionally enumerated by normalization of T cell numbers to the numbers of fluorescent microbeads added in equal number to all conditions just before flow cytometry analysis. The killing rate is depicted as mean±SD. \*P<0.05. \*\*P<0.01. \*\*\*P<0.001. These data are representative of two independent experiments.



### FIGURE 7.

Effect of daclizumab treatment on NK cells cytotoxicity to autologous activated T cells. *A*, Intracellular staining of NK cells in fresh blood samples collected from 10 untreated- and 10 daclizumab-treated MS patients. *B*, Polyclonally activated T cells obtained from cryopreserved PBMC collected before (baseline) or 6–8 months after daclizumab treatment (treatment) were co-incubated with NK cells derived from respective PBMC samples for 3h. Results represent the percentage of Gr/perforin positive T cells in representative patient and fold increase of Gr transfer (*C*) in the whole cohort (n=6). Polyclonally activated T cells isolated from baseline or daclizumab-treated samples were co-incubated with syngeneic NK cells for 3h and ROS production (*D*) and mitochondrial dysfunction (*E*) in T cells were detected by flow cytometry using 1  $\mu$ M carboxy-H<sub>2</sub>DCFDA or 0.5  $\mu$ M JC-1 respectively. Filled histograms represent negative controls. The left panels depict representative patient data and the right panels depict group data (n=8). \**P*<0.05. \*\**P*<0.01. \*\*\**P*<0.001. ns indicates not significant.