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Chemotherapeutic-Induced Apoptosis – A Phenotype for Pharmacogenomics Studies

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Abstract

Lymphoblastoid cell lines have been used as a model system to identify genetic determinants of chemotherapeutic-induced cytotoxicity, a phenotype thought to represent cellular sensitivity to drug. However, cytotoxicity is a broad measurement encompassing cell cycle inhibition as well as cell death (apoptotic and non-apoptotic). We evaluated caspase 3/7 mediated cellular apoptosis with six chemotherapeutic agents: 5'-deoxy-fluorouridine, pemetrexed, cytarabine, paclitaxel, carboplatin and cisplatin. Using monozygotic twin pair and sibling pair lymphoblastoid cell lines, we identified conditions for measurement of caspase activity. Although treatment with 5'-deoxyfluorouridine and pemetrexed for up to 24 h did not result in significant apoptosis or interindividual variation in caspase dependent cell death; paclitaxel, cisplatin, carboplain and cytarabine treatment for 24 h resulted in 9.4, 9.1, 7.0 and 6.0 fold increases in apoptosis relative to control, respectively. There was a weak correlation between caspase activity and cytotoxicity $(r^2=0.03 \text{ to } 0.29)$ demonstrating that cytotoxicity and apoptosis are two distinct phenotypes that may produce independent genetic associations. Estimated heritability (h^2) for apoptosis was 0.57 and 0.29 for cytarabine (5 µM and 40 µM respectively), 0.22 for paclitaxel (12.5 nM), and 0.34 for cisplatin (5 μ M). The HapMap CEU panel of lymphoblastoid cell lines (n = 77) were evaluated for sensitivity to cisplatin followed by genome wide association studies with over 2 million SNPs at p < 0.001. We identified a significant enrichment of cisplatin-induced apoptosis SNPs within the significant cisplatin induced cytotoxicity SNPs and an enrichment of expression quantitative trait loci.

Keywords

apoptosis; caspase 3/7; lymphoblastoid cell lines; genome wide association study; toxicity

Introduction

Identifying cancer patients at risk for severe toxicity and non-responders is an important goal in pharmacogenomics. Understanding the relationship between genetics and drug sensitivity could potentially lead to better disease management by increasing the likelihood that patients respond to certain treatment and are spared from severe toxicity. To this end,

Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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human lymphoblastoid cell lines (LCLs) have been widely used as a model system to assess genetic contribution to chemotherapeutic susceptibility, reviewed in [1].

Specifically, LCLs derived from individuals within large Center d'Etude Du Plymorphisme Humain (CEPH) pedigrees have been used to demonstrate that cellular susceptibility to cisplatin [2,3], 5-fluorouracil [4], docetaxel [4], etoposide [5], daunorubicin [6], and carboplatin [7] are significantly heritable traits and amenable to genetic dissection. LCLs have also served as a useful model to understand the functional consequences of genetic variants associated with clinical outcomes in genome wide association studies (GWAS) [8,9]. Genetic variants associated with chemotherapeutic cytotoxicity identified in LCLs [10] have shown promising results in clinical outcomes prediction [11]. We developed a "triangle approach" to narrow down SNPs associated with chemotherapeutic-cytotoxicity to those that act through their effect on baseline gene expression [10,12,13] that has also been applied to response to radiation[14].

Despite the success in evaluating cytotoxicity as a pharmacologic phenotype representing cellular sensitivity to drug, this phenotype is broad encompassing the net result of cell cycle arrest, cells damaged but undergoing DNA repair, and cells undergoing death through apoptosis or non-apoptosis, necrosis or other cellular processes [15,16]. We, therefore, set out to evaluate apoptosis as a pharmacologic phenotype that may be representative of susceptibility to chemotherapy in a clinical setting. Apoptosis response in non-cancer cells such as human polymorphonuclear neutrophils, renal tubular epithelial cells and vein endothelial cells, has been used as a tool to understand the mechanisms of chemotherapeutic responses [17,18,19], in particular the mechanisms of chemotherapeutic-induced toxicity to these tissues [20,21]. Apoptosis is a specific pathway with defined genetic components [15], therefore, studying apoptosis will lead to a better understanding of the biology of drug sensitivity. Two studies identified radiation-induced apoptosis as a highly heritable trait in healthy human lymphocytes ($h^2=81\%$ in all lymphocytes and $h^2=61\%$ in B-lymphocytes) [22,23]. However, there is a paucity of such data on the heritable genetic impact of chemotherapeutic-induced apoptosis. In previous work, we showed that 25% of the single nucleotide polymorphisms (SNPs) that associated with cisplatin-induced cytotoxicity could explain only a fraction of the variation observed in cisplatin-induced apoptosis [3] suggesting apoptosis can serve as a distinct phenotype.

To evaluate which chemotherapeutic agents (antimetabolites including 5'-deoxy-fluorouridine or 5'-DFUR, pemetrexed, cytarabine; a mitotic inhibitor, paclitaxel; and platinum agents including carboplatin and cisplatin) induce caspase dependent apoptosis, we explored whether apoptosis induction correlated with cytotoxicity and estimated the degree of heritability using LCLs derived from healthy Caucasian monozygotic twin pair and matched sibling pairs. We performed a genotype-phenotype association analysis using cisplatin minduced apoptosis in HapMap CEU population (n =77) and compared the results with SNPs identified using cytotoxicity as the phenotype. We found 2449 SNPs (p<0.001) associated with cisplatin-induced apoptosis and 1629 SNPs (p<0.001) associated with cisplatin-induced SNPs (p<0.001) and expression quantitative trait loci (eQTLs) (p<0.0001).

Methods

Cell lines

Thirty-two LCLs (8 monozygotic twin pairs and 8 sibling pairs) were purchased from Coriell Institute for Medical Research (http://ccr.coriell.org/). Monozygotic twin cell lines included GM14381, GM14382, GM14405, GM14406, GM14414, GM14417, GM14432,

GM14433, GM14452, GM14453, GM14478, GM14479, GM14506, GM14507, GM14520, and GM14521. Sibling cell lines were as follows: GM6988, GM6999, GM7004, GM7052, GM7044, GM7343, GM11910, GM11911, GM11985, GM11986, GM12036, GM12037, GM12092, GM12093, GM12104, and GM12105. LCLs derived from 30 CEPH trios from Utah resident with ancestry of Northern and Western Europe (HapMap CEU) were used in the association study (panel HAPMAPPT01). Thirteen cell lines of CEU population were excluded from the study due to low viability (< 85%). They included GM7034, GM10846, GM10847, GM10855, GM10863, GM11829, GM11830, GM11831, GM11839, GM12056, GM12236, GM12248, GM12707, GM12716, GM12717, GM12740, GM12751, GM12891.

Sibling LCLs were selected from CEPH pedigrees with matched age and gender to those of the twin LCLs. All Epstein-Barr virus transformed LCLs were maintained using RPMI 1640 media consisting of 15% heat-inactivated fetal bovine serum (Hyclone, Logan, UT, USA) and 20 mM L-glutamine. Cell lines were diluted to a concentration of 350,000 cells per ml 3 times a week and stored at 37°C in a 5% CO₂-humidified incubator. Medium and components were purchased from Cellgro (Herndon, VA, USA).

Drugs

Cisplatin, carboplatin and cytarabine were obtained from Sigma-Aldrich Co. (St. Louis, MO, USA). Cisplatin and carboplatin were diluted to 20 mM solutions, using deionized water and DMSO, respectively. Cytarabine was diluted in PBS to produce a 160 mM solution. 5'-deoxyfluorouridine (5'-DFUR, an active form of capecitabine obtained from LKT Laboratories Inc., St. Paul, MN, USA) was made into a stock solution of 320mM in equal amounts of PBS and DMSO. Pemetrexed disodium (gift from Eli Lilly Corporation, Indianapolis, IN, USA) was prepared in PBS for a stock of 20 mM. Paclitaxel (NSC 125973) was a gift from Developmental Therapeutic Program NCI/NIH and prepared in DMSO as a stock solution of 2 mM [24].

Cytotoxicity assay

Cytotoxic effects of 5'-DFUR, pemetrexed, cytarabine, paclitaxel, carboplatin and cisplatin were assessed using an AlamarBlue cellular growth inhibition assay. LCLs in exponential growth phase were plated in triplicate at a concentration of 1×10^5 cells per ml and were incubated overnight. Cells were treated the following day at concentrations of 0, 2.5, 10, 20, 40 μ M 5'-DFUR; 0, 0.02, 0.1, 0.5, 1, 5, 10 μ M pemetrexed; 0, 1, 5, 10, 40 μ M cytarabine; 0, 6.25, 12.5, 25, 50, 100, 200 nM paclitaxel; 0, 5, 10, 20, 40, 80 μ M carboplatin; and 0, 1, 2.5, 5, 10, 20 μ M cisplatin. AlamarBlue was added 24 hours after cisplatin treatment and 48 hours after the remaining drug treatments. Cytotoxicity was measured 72 hours post treatment, except for cisplatin, which was measured 48 hours post treatment. Plates were read and percent survival was calculated using the manufacturer's protocol (http://www.biotek.com/products/) with six replicates from two independent experiments [24].

Assay selection to quantify cellular apoptosis

Four assays, Guava Viacount, Guava Nexin (Millipore, USA), Promega Caspase 3/7 Glo, and Caspase 9 Glo (Promega, USA), were evaluated for the selection of the most optimal assay in quantifying cellular apoptosis post treatment using cisplatin. The Guava reagents, Viacount and Nexin, detect DNA integrity and membrane changes due to activation of apoptotic events, respectively. The apoptosis output are measured by flow cytometry; while the Promega caspase assays measure different caspase activities using a luminescent reporter. Caspase 9 is an indicator of cytochrome C mediated apoptosis; while caspase 3/7 are indicators of general caspase-dependent apoptosis [25]. LCLs were plated as described above and 24 hours later treated with cisplatin at concentrations of 0, 1, and 20 μ M. At time

points of 24 and 48 hours after drug treatment, 20 mL of cell suspension was added to 120 mL of ViaCount reagent, 100 mL of cell suspension was added to 100 mL of Nexin reagent, 80 mL of cell suspension was added to 80 mL of Caspase 3/7 or Caspase 9 Glo reagent. Guava ViaCount and Nexin assays results were quantified using the Guava EasyCyte system and caspase assays were quantified with a luminometer. These assays were performed on the same cell line in 3 independent experiments to assess the intra-assay variation. Furthermore, inter-cell line variation was also evaluated for each assay. Small intra-cell line variability relative to variation among cell lines from different individuals as well as high experimental reproducibility was used as criteria to select the optimal assay.

Apoptosis assay

Apoptotic effects of chemotherapeutic drugs were measured using Caspase-Glo 3/7 reagent from Promega (Madison, WI, USA). LCLs (viability >85%) were counted (Vi-Cell, Beckman Coulter, Fullerton, CA, USA) and plated in triplicate at a concentration of 1×10^5 cells per ml. Following incubation overnight, cells in 96-well round bottom plates were treated with increasing concentrations of drug for 2, 4, 6 and 24 hours (and 36 hours for 5'-DFUR). At the time of apoptosis measurement, plates were allowed to reach room temperature for 30 min. Cell suspension was gently mixed 3 to 5 times using a multichannel pipette and 80 µL cell suspension was mixed with 80 µl of Caspase-Glo 3/7 assay reagent in 96-well round bottom white opaque plates (Corning Inc., Corning, NY, USA). Opaque plates were covered, placed on a shaker for ~30 seconds to ensure the mixture of the cells and reagent and incubated at room temperature for 30 minutes – 2 hours. Luminescence at 100 nm was read using the Synergy-HT multi-detection plate reader (BioTek, Winooski, VT, USA). Caspase activity was measured using raw values of luminescence to obtain a relative to control value. Final caspase activity was calculated by averaging six replicates from two independent experiments. For the population studies, 77 LCLs (viability >85%) derived from 30 CEPH trios of HapMap CEU were plated and treated with cisplatin at a final concentration of 5 μ M for 24 hours as describe above. Caspase activities induced by cisplatin at 5 μ M were measured and calculated as described above.

Heritability estimates

We used the *twinan90* function in the R package *gap* to estimate the heritability of caspase induction after chemotherapeutic drug treatment. Sibling pairs were treated as dizygotic twins in our analysis. Heritability (h^2) was estimated using the following equation

 $h^2 = 2 \times (rMZ - rSIB)$

where *rMZ* and *rSIB* are the intraclass correlation coefficients for the monozygotic and sibling pairs, respectively.

Genotype and phenotype association analysis

SNP genotypes were downloaded from the International HapMap database (release 22) and a total of 2,284,964 SNPs with minor allele frequencies > 0.05 and in Hardy-Weinberg equilibrium (p>0.001) were included in this analysis. Seventy-seven CEU were phenotyped as described above. SNPs were tested for association with cisplatin (5 μ M) induced cell growth inhibition and caspase 3/7 activity results using the quantitative trait disequilibrium test (QTDT) total association model [26].

eQTL mapping and eQTL enrichment analysis

Genome-wide baseline gene expression data were generated in HapMap CEU LCLs using Affymetrix GeneChip Huamn Exon 1.0ST array (Exon Array) as described previously [10]. eQTL mapping and eQTL enrichment analysis were conducted as previously described [27]. Briefly, we conduced simulations to test for an enrichment of eQTLs (p<0.001) among the apoptosis-associated SNPs (p<0.001). We generated 1,000 randomized SNP sets, each of the same size as the original list of apoptosis associated SNPs (p<0.001) and each containing variants with a matching minor allele frequency (MAF) distribution. The distribution of the number of eQTLs (p<0.0001) in these randomized SNP sets was compared to the observed number of overlap SNPs to generate a Z-score as in Gamazon et al., [27]. Z-scores > 2 were considered significant. We tested the robustness of the eQTL enrichment across a range of p-value thresholds (10^{-6} to 10^{-4}).

Cytotoxicity-associated SNPs enrichment analysis

We conducted simulations to test for an enrichment of cytotoxicity-associated SNPs (p<0.001) among the apoptosis-associated SNPs (p<0.001). We generated 1,000 randomized SNP sets, each of the same size as the original list of apoptosis associated SNPs (p<0.001) and each containing variants with a matching minor allele frequency (MAF) distribution. The distribution of the number of cytotoxicity-associated SNPs (p<0.001) in these randomized SNP sets was compared to the observed number of overlap SNPs to generate a Z-score as in Gamazon et al. [27]. Z-scores > 2 were considered significant. We tested the robustness of the cytotoxicity enrichment across a range of p-value thresholds (0.001-0.01).

Enrichment Analysis of Functional Annotation Categories

DAVID bioinformatics tools (http://david.abcc.ncifcrf.gov/) were used to identify enriched functional annotation categories for target genes. AFFYMETRIX_EXO_GENE_ID identifier was used to upload target gene lists generated for 16 eQTLs associated with both cisplatin induced apoptosis and cytotoxicity at 5 μ M. ENSEMBL_GENE_ID identifier was used to upload the background gene list, which contains 12,561 genes that are expressed in LCLs. Ontology (GO) terms (biological process, cell component and molecular function) were evaluated. P<0.05 and Benjamini–Hochberg– Yekutieli procedure FDR <0.1 were considered statistically significant.

Results

Optimization of apoptosis assay for lymphoblastoid cell lines (LCLs)

We evaluated Guava Viacount, Guava Nexin (Millipore, USA), Promega Caspase-Glo 3/7 and Caspase-Glo 9 (Promega, USA) as means to measure apoptosis and identified Guava Nexin and Caspase-Glo 3/7 as the optimal assays for LCLs. Between these two assays, Caspase-Glo 3/7 produced smaller intra-experimental correlation variation (CV) when compared to Guava Nexin (CV=0.18, 0.08 for Caspase 3/7; and CV=0.38 and 0.40 for Nexin after 1 and 20 μ M cisplatin 24 hours treatment, respectively) for a LCL derived from an individual (Supplemental Fig. 1A, 1B). In addition, Caspase-Glo 3/7 requires less time and provided an easy distinction of results compared to Nexin assay due to the smaller intraday variation (Supplemental Fig. 1C, 1D).

Levels of caspase induction differ among the chemotherapeutic drugs

Two randomly selected LCLs (GM14507, GM14553) were exposed to increasing concentrations of six chemotherapeutic drugs (5'-DFUR, pemetrexed, cytarabine, paclitaxel, carboplatin and cisplatin) at 2, 4, 6 and 24 hours. The purpose of this experiment was three-fold: to determine optimal time to measure apoptosis; to evaluate experimental variation and

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to determine the degree that each chemotherapeutic drug undergoes apoptosis. The increase of caspase 3/7 activity relative to no drug treatment control for all six drugs was calculated and data generated from GM14507 is illustrated in Fig. 1. All drugs induced caspase 3/7 activity at 24 hours after drug treatment, whereas the induction at 2, 4 and 6 hours was negligible. Thus we concluded that 24 hours post-drug treatment is the optimum time point to detect apoptosis activity for these 6 drugs. This is consistent with studies in renal tubular epithelial cells demonstrating that activation of caspases including caspase 3 reaches their maximum levels at 24 hours post-cisplatin exposure [21]. Among the six drugs tested, apoptosis activities at 24 hours post treatment induced by paclitaxel (200 nM) and cisplatin (10 μ M) were the greatest with mean (±SD) fold-increases of 9.4 (±0.54) and 9.1 (±0.94), respectively. Intermediate fold-increases in apoptosis of 6.0 (± 1.09) and 7.0 (± 0.77) were induced by cytarabine (40 μ M) and carboplatin (10 μ M) at 24 hours post treatment, respectively. In contrast, mean (±SD) apoptosis fold-increases induced by 5'-DFUR (40 µM) and pemetrexed (5 μ M) were only 2.6 (±0.30) and 2.7 (±0.24), respectively, after 24 hours drug exposure (Fig. 1). Further evaluation of 5'-DFUR at 36 h indicated no significant increase over 24 h in degree of apoptosis (data not shown).

Chemotherapeutic-induced cytotoxicity and apoptosis are distinct phenotypes

We treated 16 LCLs with increasing concentrations of 5'-DFUR, pemetrexed, cytarabine, paclitaxel, carboplatin and cisplatin and measured both cell growth inhibition using Alamar blue assay (72 hours except cisplatin, 48 hours) and apoptosis using Caspase-Glo 3/7 (24 hours). Drug-induced apoptosis levels with the highest variability among individuals relative to experimental variation are the best candidates for genetic dissection. We required that the standard deviation of the apoptosis fold-change phenotype be greater than 1 among individuals at one or more concentrations for continued analysis. This threshold was met for cytarabine (1.19), paclitaxel (1.93), cisplatin (1.53), and carboplatin (1.19), but not for 5'-DFUR (0.23) and pemetrexed (0.22). For the remaining four drugs, the average apoptosis activity (represented with caspase activity curve) and cell growth inhibition (represented with % survival curve) in response to increasing drug concentrations was plotted (Fig. 2). To determine to what extent cytotoxicity variation is explained by cells undergoing apoptosis, we compared the relationship between the two measurements. To examine the correlation (r^2) between apoptosis and cytotoxicity assays, drug concentrations were log_{10} transformed and the resulting slopes calculated by linear regression. For all four drugs, the correlations (r^2) between cell growth inhibition (cytotoxicity) and apoptosis activity ranged from 0.03 to 0.29 (Fig. 3). This low correlation implied that chemotherapeutic-induced apoptosis and cytotoxicity measured at their respective time points are distinct phenotypes.

Heritability of chemotherapeutic-induced apoptosis

Previously, we have shown that chemotherapeutic-induced cytotoxicity is a significantly heritable trait [2,3,5,6]. However, the heritability of apoptosis induced by chemotherapeutic agents has not been investigated. To estimate heritability, we examined apoptosis response of 16 monozygotic twin LCLs (8 pairs) and 16 sibling LCLs (8 pairs) with cisplatin (5 μ M), carboplatin (40 μ M, 80 μ M), cytarabine (5 μ M, 40 μ M) and paclitaxel (12.5nM) at 24h after drug administration. We calculated the intra-class correlation coefficients of caspase activity for the monozygotic and sibling pairs (Fig. 4). Apoptosis induced by cisplatin, cytarabine and paclitaxel, showed a higher correlation within monozygotic twin pairs than within sibling pairs (Fig. 4). The estimated heritabilities (h²) were 0.57, 0.22, and 0.34 for cytarabine, paclitaxel, and cisplatin, respectively (Fig. 4). Carboplatin (40 μ M and 80 μ M) showed no intra-sibling correlation (Fig. 4). Due to the relative small sample size, the p values are all greater than 0.05. Previous work demonstrated heritability for cisplatin-induced cytotoxicity using large pedigree LCL samples (h² =0.38; p<1×10⁻⁷) [3] was

similar to the heritability obtained from twin LCLs for cisplatin-induced apoptosis ($h^2 = 0.34$).

Genotype-apoptosis association and genotype-cytotoxicity association analysis

We evaluated 2,284,964 SNPs for significant association with cisplatin induced apoptosis and cytotoxicity following treatment of HapMap CEU trios with 5 μ M cisplatin. Similar to results comparing apoptosis and cytotoxicity with 16 twin LCL cell lines, the correlation between apoptosis and cytotoxicity for 77 CEU LCLs had an r² of 0.12 (Supplemental Fig. 2). We identified 2449 SNPs significantly associated with cisplatin-induced apoptosis (p <0.001) and 1629 SNPS (p <0.001) significantly associated with cisplatin induced cytotoxicity. By comparing the both sets of SNPs, we found an enrichment of cytotoxicityassociated SNPs (p<0.001) among apoptosis-associated SNPs (p<0.001) with 19 overlapping SNPs (Fig. 5 and Table 1). For example, genetic variants of rs4343077 were strongly associated with both apoptosis and cytotoxicity (Fig. 6A and 6B). This SNP is located in the intron of EPS8. In attempting to understand the function of this SNP, we evaluated its relationship with gene expression[28] (www.scandb.org,) and found that this same SNP is associated with the expression of 28 different transcripts in the CEU population (p<0.0001) (Fig. 6C).

Previously, our group has demonstrated that cisplatin induced cytotoxicity (IC₅₀) associated SNPs were enriched in expression quantitative trait loci (eQTL) [27]. Therefore, we investigated apoptosis phenotype for eQTL enrichment. Upon examination of the 2449 apoptosis associated SNPs, we found 1317 SNPs are eQTLs (Fig. 7). In addition, among the 19 apoptosis and cytotoxicity overlapping SNPs, 16 are eQTLs and 13 are "master regulators" or eQTLs associated with expression of ≥ 10 gene expressions with a total of 291 target genes. To better understand the gene classification of the full set of target genes, we evaluated for functional annotation enrichment (Table 2). Six GO categories met the threshold of p<0.05 and FDR <0.1 including genes associated with regulation of cell proliferation, response to estrogen stimulus, immune responses, regulation of B cell activation and response to steroid hormone stimulus. Genes related to apoptosis were also enriched with (p<0.05) but with a higher FDR.

We also identified SNPs unique to cisplatin induced apoptosis or cytotoxicity. One example is the strong association between rs2285452, an apoptosis specific SNP that is also an eQTL (Fig. 8A and 8B). This polymorphism locates within the 3'-UTR of IL21R and is also correlated with 14 different gene expression levels in CEU population (p<0.0001) (data not shown). A SNP unique to cisplatin cytotoxicity but not apoptosis is rs25755 (Fig. 8C and 8D), an intronic SNP of ADAMTS12 gene and an eQTL associated with the gene expression level of GTPBP4 and IDI2.

Discussion

In this study, we explored the possibility of using chemotherapeutic-induced apoptosis as a new phenotype in LCLs to identify genetic variants important in toxicity associated with chemotherapy that may go through an apoptotic pathway. We chose six chemotherapeutic agents from three different drug classes that included: platinum agents: cisplatin and carboplatin; antimetabolites: cytarabine, 5'-DFUR, pemetrexed; and a mitotic inhibitor: paclitaxel. 5'-DFUR and pemetrexed induced low caspase 3/7 activity even at high concentrations and in the case of 5'-DFUR, caspase activity was still low at prolonged exposure (36 hours). These two drugs also showed low variability in apoptosis induction among individuals; in contrast cisplatin, carboplatin, cytarabine and paclitaxel, triggered significant caspase activity in LCLs and showed high variability among individuals. Our data indicates apoptosis is not well correlated to cytotoxicity, therefore cytotoxicity is likely

to represent a broad set of pharmacologic phenotypes including apoptosis. Using monozygotic twins and age- and gender-matched siblings as a preliminary estimation, the h² for cytarabine, paclitaxel, cisplatin was 0.57, 0.22 and 0.34, respectively. We then performed a genome wide association study using cisplatin (5µM) induced caspase 3/7 activity as the phenotype in HapMap CEU population and compared the results with the SNPs using cytotoxicity as the phenotype. We discovered 2449 SNPs associated with apoptosis (p<0.001) and there was an enrichment of apoptosis associated SNPs in cytotoxicity associated SNPs. Our association study results further support that apoptosis in LCLs can be used as an alternative pharmacologic phenotype for discovering biomarkers to predict chemotherapeutic susceptibility and possibly cancer patients' response.

Although 5'-DFUR and pemetrexed can cause substantial cell growth inhibition in LCLs as shown by cytotoxicity measures, we only observed a small degree of caspase 3/7 activity induced by these drugs. In agreement with our findings in human umbilical vein endothelial cells, pemetrexed treatment alone induces low caspase-3 activity [19]. Similarly, in multiple myeloma cells, pemetrexed induces caspase independent apoptosis and cell cycle arrests at S phase [29]. 5'-FU, the bioactive form of 5'-DFUR, can also induce G1/S phase arrest in mouse embryonic stem cells [30]. This suggests that in LCLs, 5'-DFUR and pemetrexed are likely to induce cytotoxicity mainly through non-caspase dependent pathways possibly through caspase independent apoptosis, cell cycle arrest and/or other pathways.

Among the six drugs tested in this study, cisplatin and carboplatin are both platinating agents. Interestingly, they are quite different in their abilities to induce apoptosis at concentrations. For example, at a concentration that inhibits 50% cell growth, there was a 2-fold relative increase in apoptosis for carboplatin compared to a 5-fold increase for cisplatin. This is consistent with published studies in both retinoblastoma cells and in cochlea of guinea pigs that cisplatin triggers a higher degree of apoptosis than carboplatin [31,32]. In addition, the degree of apoptosis as concentration increases is greater for cisplatin as measured by the linearized cisplatin-induced caspase 3/7 activity curve (slope=4.8) compared to the linearized carboplatin curve (slope= 3.2). This difference between cisplatin and carboplatin structure that slows down carboplatin DNA binding kinetics [33]. Although carboplatin-induced apoptosis may not be significantly heritable, large pedigree studies would need to be performed for verification as our studies were underpowered.

Using genotype-phenotype association study, we identified genetic variants of 2449 SNPs associated with cisplatin-induced apoptosis and 1629 associated with cisplatin-induced cytotoxicity. Similar to eQTL enrichment of cytotoxicity associated SNPs [27], apoptosis associated SNPs were also enriched in eQTLs suggesting that gene expression and genetic variants regulating gene expression are important for cisplatin induced apoptosis. In addition, there was an enrichment of apoptosis associated SNPs (19 SNPs) in cytotoxicity associated SNPs and 16 out of these 19 SNPs are eQTLs associated with expressions of a total of 291 genes. Among these 16 eQTLs, 13 are "master regulators", defined as eQTLs associated with expression of \geq 10 gene expressions. 7 out of the 13 eQTLs are "super master regulators" or eQTLs associated with the expressions of 50 genes or more (Table 1). The results imply that master regulators may be most important in determining whether a cell survives damage or induces apoptosis.

One example of the 16 overlapping eQTL is rs4343077, an intronic SNP of gene EPS8 EPS8 (epidermal growth factor receptor pathway substrate 8) is an oncoprotein contributing to malignant transformation in tumor cells [34]. A candidate gene study has tied EPS8 to cisplatin induced drug response: reducing EPS8 levels in cervical cancer cells increases

Genetic variants of rs2285452 are an example of the SNPs unique to apoptosis. rs22885452 is located in the 3'-UTR of IR21R. The IL21R encodes a receptor for interleukin 21(IL-21) and IL-21 regulates B lymphocyte homeostasis by inducing apoptosis via IL-21 receptor [37]. The discovery of rs2285452, a SNP of a known apoptotic gene, supports our conclusion that caspase 3/7 activity could serve as a reliable tool to find genetic variants regulating apoptosis.

rs3434077 and rs2285452 are two great examples showing that including apoptosis as a complementary phenotype empowered us to discover more valuable genetic variants that would not be prominent otherwise. Further validation and functional studies could be followed to investigate whether rs3434077 and rs2285452 are two potential biomarkers to predict patients' response to cisplatin.

We also discovered some SNPs unique to cisplatin induced cytotoxicity. Those SNPs are likely to be involved in cell response to anti-cancer drugs through a non-apoptotic pathway. One example is rs25755 (Fig. 8), an intronic SNP of ADAMTS12 gene. A couple recent studies have shown that metalloproteinase ADAMTS-12 acts as an anti-cancer protease through reducing tumor cell proliferation [38,39]. It is possible that ADAMTS-12 influences cell response to anti-cancer drug via regulating cell proliferation rates.

We included six anti-cancer agents representing at least 3 different mechanisms of action; they all act through different mechanism to inhibit tumor proliferation. Antimetabolites (pemetrexed, 5'-DFUR, cytarabine) primarily block cell growth by inhibiting DNA synthesis[40]. Platinum agents (cisplatin and carboplatin) cause cell death through inter-and intra-strand DNA cross-linking [41]. Paclitaxel targets the proliferative process by stabilizing microtubules during cell division[42]. Our data indicates that pemetrexed and DFUR do not induce caspase 3/7 apoptosis and therefore, one might conclude that drugs that inhibit DNA synthesis may be less potent; however the exception is that cytarabine, an antimetabolite, does cause caspase 3/7 apoptosis. Paclitaxel and platinum agents induced a much higher level of activated caspase (9.4 fold for paclitaxel, 9.1 fold for cisplatin and 7 fold for carboplatin) compared to pemetrexed and 5'-DFUR (<3 fold). Cytarabine also induced higher caspase activity (6 fold) with higher inter-individual variability (SD=1.19) than pemetrexed and 5'-DFUR (<3 fold and SD<0.3). This difference may due to the fact that cytarabine incorporates into DNA then inhibits the function of DNA polymerase and topoisomerase [43,44] while pemetrexed and 5'-DFUR mainly inhibits DNA synthesis by blocking the synthesis of DNA precursors thymidine monophosphate (TMP)[40]. We do recognize that our observation with six drugs from three different drug classes may not be sufficient to conclude a definitive set of rules, but our data suggest that agents that inhibit microtubules or result in DNA damage may be reasonable candidates for caspase 3/7 assays.

Our observation with six drugs from three different drug classes may not be sufficient to conclude a definite general rules, but our results have shed some light on which anti-cancer drugs may suit caspase Glo 3/7 assays. More importantly, by demonstrating a systematic method, our study should direct the future effects to screen candidates for using caspase Glo 3/7 assay as a pharmacogenomic phenotype.

Caspase-independent apoptosis is mediated by granzymes and its substrates, including AIF and endonuclease G [45,46,47]. Several studies have appealed on the role of caspase independent apoptosis in the responses to anti-cancer therapies. Pemetrexed has shown the ability to induce apoptosis independent of caspases in the cells derived from multiple myeloma patients [29]. In non-small cell lung cancer cell lines, paclitaxel can induce apoptosis in a Cathepsin B dependent but caspases independent manner [48]. Up to date, it is still under debate whether non-apoptotic apoptosis play substantial roles in response to chemotherapies and further investigations will be needed to address this question.

Here we provided evidence that caspase 3/7 apoptosis assay is a useful and applicable assay in LCLs for future discovery of genetic factors contributing to anti-cancer agent-induced cell death. Apoptosis induced by chemotherapeutic agents is a distinct phenotype from cytotoxicity and can serve as a complementary representative of cell death. Although apoptosis pathway is compromised in many cancers [49], it has been regarded as the major pathway through which chemotherapeutic drugs trigger cancer cell death [16]. Furthermore, apoptosis activity evaluated in primary tumor cells has been shown to predict cancer patient response to chemotherapy and radiation [50,51,52]. Thus, the genetic variants discovered in LCLs may be predictive of tumor response in cancer patients. Once apoptosis profiles are generated, systems biology tools can be applied to gain a better understanding of how genetic components affect pharmacologic phenotypes associated with chemotherapy.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1.

Caspase activity for increasing concentrations of chemotherapeutic drugs at 2, 4, 6 and 24 hours for cell line GM14507. Graphs showing cell line with inter-day (n=3) variation using standard error of the mean (SEM) for (A) 5'-DFUR, (B) pemetrexed, (C) cytarabine, (D) paclitaxel, (E) carboplatin and (F) cisplatin.



Number of cytotoxicity SNPs (p<0.001)

Figure 2.

Evaluation of chemotherapeutic-induced cytotoxicity and apoptosis. Drugs evaluated after 24 hour exposure include (A) cytarabine, (B) paclitaxel, (C) carboplatin and (D) cisplatin at increasing concentrations across monozygotic twin LCLs averaging triplicates from 2 independent experiments. Inter-individual variation (n=16 individual LCLs) is represented by using standard deviation (SD).



Figure 3.

Correlation between slopes of apoptosis and percent survival curves. Slopes were calculated from survival and apoptosis curves with % survival or caspase activity against log transformed concentrations of (A) cytarabine, (B) paclitaxel, (C) carboplatin and (D) cisplatin.





Figure 4.

Intra-class correlations (r) between caspase activities of monozygotic twin pairs and sibling pairs as well as heritability (h^2) estimates for drugs listed. Caspase activity relative to untreated control 24 hours after drug treatment for each individual is the average of 2 independent experiments, each with triplicate samples.



Figure 5.

Apoptosis-associated SNPs are enriched for cytotoxicity-associated SNPs in LCLs treated with 5 μ M cisplatin. Distribution of cytotoxicity-associated SNP (p-value < 10⁻³) counts in 1000 simulations, each matching the minor allele frequency distribution of the observed apoptosis-associated SNPs (p-value < 10-3). The black dot is the observed overlap between cytotoxicity- and apoptosis-associated SNPs.



Figure 6.

Relationship between rs4343077 and caspase activity, percent survival, and gene expression in CEU. (A) rs4343077 genotype and caspase activity association. (B) rs4343077 genotype and percent survival association. (C) The 28 genes associated with rs4343077 genotype ($p \le 10^{-4}$)[28]. The distance between nodes reflects the strength of the association between the SNP genotype and gene expression levels; the closer the gene is to the SNP, the higher the correlation between the SNP genotype and gene expression.



Figure 7.

Apoptosis-associated SNPs in LCLs treated with 5 μ M cisplatin are enriched for eQTLs. Distribution of eQTL (p-value < 10⁻³) counts in 1000 simulations, each matching the minor allele frequency distribution of the observed apoptosis-associated SNPs (p-value < 10⁻³). The black dot is the observed eQTL count in the apoptosis-associated SNPs.





Figure 8.

Relationship between genotypes and cisplatin-induced caspase activity and percent survival. (A) rs2285452 genotype and caspase activity association. (B) rs2285452 genotype and percent survival association. (C) rs25755 genotype and caspase activity association. (D) rs25755 genotype and percent survival association.

Table 1

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SNP	P-value apoptosis	Beta for apoptosis	P-value for cytotoxicity	Beta for cytotoxicity	#target genes
rs7582207	7.2E-04	-0.42	9.5E-04	0.22	103
rs7589111	4.6E-04	0.34	7.9E-04	-0.17	35
rs7614670	4.2E-04	0.36	2.8E-04	-0.19	1
rs3901174	5.8E-04	-0.37	4.7E-04	0.20	0
rs6867736	2.5E-04	-0.39	3.8E-04	0.20	3
rs2190324	2.5E-04	-0.56	2.8E-04	0.30	16
rs1019026	4.4E-05	-0.63	3.5E-04	0.30	16
rs6950804	5.9E-04	0.49	8.0E-05	-0.29	10
rs6467410	4.0E-04	-0.55	5.0E-04	0.28	84
rs6467411	3.8E-04	-0.55	5.1E-04	0.28	94
rs10954350	3.8E-04	-0.55	5.1E-04	0.28	94
rs11767564	4.0E-04	-0.55	5.1E-04	0.28	106
rs28699613	4.0E-04	-0.55	4.9E-04	0.28	0
rs6943360	4.0E-04	-0.55	4.9E-04	0.28	94
rs7811818	4.0E-04	-0.55	5.1E-04	0.28	94
rs7949235	1.4E-04	-0.40	9.6E-04	0.18	0
rs4343077	7.3E-04	-0.53	6.7E-04	0.28	28
rs10846238	7.3E-04	-0.53	6.2E-04	0.28	29
rs17100108	9.2E-04	-0.46	1.7E-04	0.26	0

Table 2

Enriched GO pathway analysis for the 291 targeted genes of 16 eQTLs associated with both cisplatin 5 μM induced apoptosis and cytotoxicity.

Category	Enrichment	Count	P-Value	* FDR
GOTERM_BP_FAT	positive regulation of cell proliferation	19	2.3E-05	0.02
GOTERM_BP_FAT	response to estrogen stimulus	10	1.7E-05	0.03
GOTERM_BP_FAT	immune response	24	1.1E-04	0.04
GOTERM_BP_FAT	positive regulation of B cell activation	6	9.2E-05	0.04
GOTERM_BP_FAT	response to steroid hormone stimulus	12	8.9E-05	0.05
GOTERM_BP_FAT	positive regulation of immune response	10	2.1E-04	0.06
GOTERM_BP_FAT	positive regulation of apoptosis	12	4.2E-02	0.52

Note:

* FDR were calculated using Benjamini–Hochberg– Yekutieli procedure. BP is one of the three categories of GO, meaning biological process.