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## Epicardial derived cell epithelial to mesenchymal transition and fate specification require PDGF receptor signaling

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### Abstract

**Rationale**—In early heart development, platelet derived growth factor (PDGF) receptor expression in the heart ventricles is restricted to the epicardium. Previously, we showed that PDGFR $\beta$  is required for coronary vascular smooth muscle cell (cVSMC) development, but a role for PDGFR $\alpha$ , has not been identified. Therefore, we investigated the combined and independent roles of these receptors in epicardial development.

**Objective**—To understand the contribution of PDGF receptors in epicardial development and epicardial derived cell fate determination.

**Methods and results**—By generating mice with epicardial specific deletion of the PDGF receptors, we found that epicardial EMT was defective. Sox9, an SRY-related transcription factor, was reduced in PDGF receptor-deficient epicardial cells, and overexpression of Sox9 restored epicardial migration, actin reorganization, and EMT gene expression profiles. The failure of epicardial EMT resulted in hearts that lacked epicardial-derived cardiac fibroblasts and cVSMC. Loss of PDGFR $\alpha$ , resulted in a specific disruption of cardiac fibroblast development, while cVSMC development was unperturbed.

**Conclusions**—Signaling through both PDGF receptors is necessary for epicardial EMT and formation of epicardial mesenchymal derivatives. PDGF receptors also have independent functions in the development of specific epicardial derived cell fates.

### Keywords

epicardium; PDGF; cardiac fibroblast; EMT; sox9

### Introduction

Cardiac disease is the leading cause of death in the industrial world. While recent stem cell therapies have attempted to regenerate myocardium, there are still many physiological barriers to overcome, including fibrosis, inflammation, and insufficient blood vessel generation. Induction of cardiomyocyte regeneration is one proposed way to improve

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### Disclosures

None.

cardiac function, but it is clear that the non-cardiomyocyte populations in the heart also contribute to the repair process. Non-cardiomyocyte lineages (endothelial cells, vascular smooth muscle cells, and cardiac fibroblasts) are essential for blood vessel formation and matrix organization, and an understanding of the developmental signals that shape these cells may provide insights into disease pathogenesis and better heart injury therapies.

Coronary vascular smooth muscle cells (cVSMC) and cardiac fibroblasts develop from the epicardium in a multi-step process involving cell proliferation, epithelial-to-mesenchymal transition (EMT), and mesenchymal cell fate specification<sup>1</sup>. Several proteins have been implicated in the development of cVSMC from the epicardium<sup>2</sup>, but less is known about the epicardial derived cardiac fibroblast population. It is proposed that cardiac fibroblasts are essential for normal cardiac function, and their role in matrix deposition during cardiac injury is well established. Yet, signaling pathways regulating their development are poorly understood.

Platelet derived growth factor (PDGF) receptor tyrosine kinases are important for embryonic development and play essential roles in the forming vasculature<sup>3</sup>. Previously, we identified a role for PDGFR $\beta$  as an important factor regulating epicardial derived cVSMC development<sup>4</sup>. We and others observed PDGFR $\alpha$  in the epicardium<sup>5, 6</sup>; however, no data exists regarding the fate of epicardial derived cells (EPDCs) when PDGFR $\alpha$  is disrupted. The receptors are co-expressed in the epicardium until E13.5, but after this time point receptor expression becomes mutually exclusive. These initial findings led us to investigate the role of PDGFR $\alpha$  individually and combined with PDGFR $\beta$  during EPDC formation.

Using cre/loxP recombination, we generated animals that lacked PDGFR $\alpha$ , PDGFR $\beta$  or both PDGF receptors in the epicardium. Epicardial deletion of both PDGF receptors resulted in failure of epicardial EMT and EPDC formation. Loss of PDGF signaling led to reduced Sox9 expression, and when Sox9 expression was restored in mutant hearts, the EMT defect was rescued. Interestingly, mutants lacking only one of the PDGF receptor genes exhibited a lineage specific requirement for each individual receptor. Loss of *PDGFR $\alpha$*  resulted in a deficit in cardiac fibroblast formation, while cVSMC development was unperturbed. Conversely, PDGFR $\beta$  was required for cVSMC development<sup>4</sup> but not cardiac fibroblast development. Combined, our data demonstrate a novel role for PDGF receptors in epicardial EMT and EPDC development.

## Methods

Additional methods are available in the supplemental material.

## Experimental Animals

Mice were maintained on a mixed C57/Bl6 X 129SV background. The strains in these experiments included *PDGFR $\alpha$ <sup>GFP7</sup>*, *PDGFR $\alpha$ <sup>fl8</sup>*, *PDGFR $\beta$ <sup>fl9, 10</sup>*, *R26R<sup>YFP11</sup>*, *R26R<sup>tdT12</sup>* (Jackson Labs), *R26R<sup>LacZ13</sup>* *WT1<sup>iCre14</sup>*, *Tie2Cre<sup>Tg/015</sup>* and *Gata5Cre<sup>Tg/016</sup>*. All animal protocols and experiments were approved by the UTSW IACUC and conformed to NIH guidelines for care and use of laboratory animals. *Gata5Cre* transgenic and *WT1<sup>iCre</sup>* mice were kindly provided by Dr. Ruiz Pilar-Lozano (Burnham Institute) and Dr. William Pu (Harvard), respectively. *WT1<sup>iCre/+</sup>* animals were induced with tamoxifen at indicated timepoints. Tamoxifen (MP Biomedicals, 0215673894) was dissolved in sunflower seed oil (Sima, S5007) at 20mg/ml. 0.1mg/g body weight of tamoxifen was administered by oral gavage. Controls used in most experiments were *Gata5Cre* negative littermates. Animals used for controls in fibroblast isolation(s) were *PDGFR $\alpha$ <sup>fl/+</sup>* *PDGFR $\beta$ <sup>fl/+</sup>* *R26R<sup>LacZ/+</sup>* *Gata5Cre<sup>+</sup>*.

## Results

### Loss of both PDGF receptors causes defects in epicardial cell migration

Both PDGF receptors,  $\alpha$  and  $\beta$ , are expressed by the epicardium, and loss of PDGFR $\beta$  alone causes a reduction in cVSMC<sup>4</sup>. To investigate a combined role for PDGF receptor signaling, we deleted PDGF receptors from epicardial cells using mice expressing the *Gata5Cre* transgene<sup>16</sup>. *PDGFR<sup>EKO</sup>* (EKO – epicardial knockout) hearts had regions of epicardial detachment and hemorrhaging (Figure 1A). The detachment progressed temporally from the dorsal to ventral heart surface but resolved by birth (data not shown). Despite this phenotype, the epicardium expressed multiple, established epicardial markers (Online Figure I, A–B). Because PDGF receptor signaling has been associated with proliferation and survival<sup>17</sup>, we examined the epicardium and EPDCs for BrdU incorporation and cleaved caspase-3 activation. *PDGFR<sup>EKO</sup>* mutant values were similar to those obtained in controls (Online Figure I, C–D), suggesting that loss of PDGF receptor signaling does not affect cellular proliferation or survival of epicardial cells in vivo.

Because epicardial formation was unaffected in *PDGFR<sup>EKO</sup>* mutants, we next assayed hearts for epicardial cell entry into the myocardium. To trace epicardium lacking PDGF receptors, we induced cre-mediated recombination in epicardial cells just prior to EMT (E12.5) using a tamoxifen inducible *WT1<sup>iCre</sup>* allele<sup>14</sup> and analyzed migration at E14.5. Using R26R<sup>YFP</sup> reporter activity to follow the epicardial cells, we observed a loss of EPDCs when PDGF receptors were absent (Figure 1B–C). Similar results were obtained when using markers of undifferentiated EPDCs, WT1<sup>18, 19</sup> or mesenchymal cells, vimentin<sup>20</sup>. Both markers showed a severe reduction in the region immediately underlying the epicardium in *PDGFR<sup>EKO</sup>* hearts (Online Figure II and data not shown). Note that vimentin is a broad mesenchymal marker that is also expressed by non-epicardial derived coronary endothelial cells<sup>21</sup>. Additionally, in an ex vivo migration assay<sup>4</sup>, fewer cells exited the epicardium in *PDGFR<sup>EKO</sup>* mutant hearts even when stimulated with EMT-inducing growth factors, hTGF $\beta$ <sub>1</sub><sup>22</sup> and bFGF<sup>23</sup> (Figure 1D).

### PDGF receptor signaling is required for epicardial cell EMT

We surmised that loss of epicardial cell migration was caused by a defect in epicardial EMT. We first examined *PDGFR<sup>EKO</sup>* embryonic hearts for expression of transcriptional inducers of EMT, *Snail*<sup>24</sup>, *Slug*<sup>25</sup>, and *Sox9*<sup>26, 27</sup>. Interestingly, while epicardial genes, such as *WT1*, *Tbx18*, were unchanged, we consistently observed a significant reduction in *Snail*, *Slug*, and *Sox9* transcript levels (Figure 2A).

EMT involves a complex series of events including the loss of epithelial morphology and the acquisition of mesenchymal actin filaments. Therefore, we examined EMT induction in primary epicardial cultures using a cocktail of growth factors. We compared cell morphology by bright field imaging and immunostaining for adherens junctions and filamentous actin organization ( $\beta$ catenin and phalloidin, respectively). While control cultures lost their epithelial characteristics (junctional  $\beta$ catenin) and gained mesenchymal cell morphology (cytoplasmic actin stress fibers), *PDGFR<sup>EKO</sup>* mutant cultures remained epithelial, illustrating a failure to initiate EMT (Figure 2B, Online Figure III, A).

EMT is also associated with changes in gene expression. To evaluate additional EMT markers we performed qPCR analysis. Initial experiments revealed that primary cultures undergo EMT, but many of the genes commonly used to assess EMT were not significantly altered in the stimulated epicardial cultures. For example, we observed no changes in *E-cadherin*, *ZO-1*,  *$\alpha$ SMA* and *vimentin* expression (data not shown). Therefore, to generate an EMT profile specific for primary epicardial cultures, we performed gene expression analysis on E12.5 cultures treated with vehicle or hTGF $\beta$ <sub>1</sub> (10ng/ml), PDGFBB (20ng/ml), and

bFGF (25ng/ml), all three being growth factors known to stimulate EMT<sup>28</sup> (GEO Series GSE27181). From these data, we generated a list of candidate genes and verified a subset that correlated with a change from an epithelial to a mesenchymal phenotype. Two epithelial markers that were consistently down-regulated upon EMT induction were *Krt14*<sup>29, 30</sup> and *BVES*<sup>31</sup>. We also identified a group of mesenchymal genes that were induced upon EMT induction. This list included *Calponin*<sup>22</sup>, *Snail*<sup>32</sup>, *Sox9*<sup>27</sup>, *Cdh6*<sup>33</sup>, *Col7a1*<sup>34</sup>, *MMP10*<sup>35</sup>, and *OPG*<sup>36–38</sup>.

Having established these gene sets, we then investigated their expression during the EMT response in mutant cultures. For every gene examined, we found that expression in the mutant cultures was significantly different from stimulated control cultures (Figure 2C–E). Interestingly, vehicle treated mutant cultures consistently exhibited increased levels of the epithelial gene, *Krt14*. These data suggest that a defect in the process of EMT was present in *PDGFR*<sup>EKO</sup> epicardial cells.

### Expression of Sox9 in *PDGFR*<sup>EKO</sup> cells rescues the EMT defect

To determine potential genes that mediate PDGF driven EMT, we screened for gene expression differences using microarray data sets from whole hearts and primary epicardial cultures (GEO Series GSE27181). Comparison of control and *PDGFR*<sup>EKO</sup> data demonstrated that transcripts of an SRY-related family member, *Sox9*, were decreased in mutant E12.5 and E13.5 hearts and in primary epicardial cultures (Figure 2A, data not shown).

The correlation of *Sox9* transcript levels with PDGF signaling led us to investigate a role for *Sox9* in PDGF dependent EMT. In primary epicardial cultures, PDGF stimulation resulted in increased *Sox9* expression (Figure 3A). We next determined how *Sox9* induced expression impacted these cultures. In the absence of stimulation, *Sox9* overexpression had little effect on the cultures, regardless of the genotype. However, Ad*Sox9* transduced cultures stimulated with hTGFβ<sub>1</sub> and PDGFBB changed from an epithelial morphology to a mesenchymal morphology (Figure 3B, Online Figure III, B). These data suggested that additional signaling pathways were required to initiate a *Sox9*-mediated EMT in our primary epicardial cell cultures, similar to what has been observed in neural crest cells<sup>27, 39</sup>, but that *Sox9* expression could induce EMT even in *PDGFR*<sup>EKO</sup> epicardial cells.

To examine *Sox9*'s role in epicardial EMT gene expression, we transduced cultures with Ad*Sox9* (Figure 3C–E). These cultures had reduced epithelial (*BVES* and *Krt14*) gene expression, but mesenchymal gene expression remained unchanged. However, similar to the morphological assay, Ad*Sox9* transduced and stimulated (hTGFβ<sub>1</sub> and PDGFBB) cultures had both decreased epithelial gene expression and increased mesenchymal gene expression regardless of genotype (Figure 3C–E). Adenoviral transduction alone did not change the gene expression profile of epicardial cells (Online Figure III, D–F).

Because *Sox9* expression has not been documented in the epicardium previously, we examined hearts for *Sox9* protein. *Sox9* was present in a subpopulation of epicardial cells at E13.5 (Figure 4A), and a day later, *Sox9*<sup>+</sup> cells were present in both the epicardium and subepicardial mesenchyme (Figure 4A). Using the *WT1*<sup>iCre</sup> and *R26R*<sup>YFP</sup> alleles, we confirmed that *Sox9*<sup>+</sup> cells are epicardial derived (Online Figure IV, A). In contrast to controls, *Sox9* expression in *PDGFR*<sup>EKO</sup> hearts was significantly reduced at E13.5 and virtually absent at E14.5 (Figure 4A–B). We observed a PDGF receptor gene dosage affect on *Sox9* expressing cells that correlated with the number of functional PDGF receptor alleles present (Online Figure IV, B). Individual PDGF receptor epicardial mutants also contained reduced numbers of *Sox9*<sup>+</sup> cells (Figure 4B), suggesting that signaling from either receptor is involved in *Sox9* expression.

To determine if Sox9 could rescue the *PDGFR<sup>EKO</sup>* epicardial migration defect, we transduced control and *PDGFR<sup>EKO</sup>* hearts with AdGFP and AdSox9 (Figure 4C). AdSox9 transduction was able to induce migration of epicardial cells from both control and *PDGFR<sup>EKO</sup>* hearts. This result suggested that in whole heart cultures, Sox9 was sufficient for inducing epicardial EMT but not in isolated epicardial cultures. The myocardium may provide additional cues in vivo. Taken together, these results implicate a role for Sox9 in PDGF receptor dependent EMT and demonstrate that Sox9 can partially rescue the EMT defect caused by PDGF receptor deletion in epicardial cells.

### Loss of PDGFR $\alpha$ leads to an EMT defect in a subpopulation of epicardial cells

Our data suggested that both PDGF receptors are required for EMT, but results from the PDGFR $\beta$  epicardial deletion demonstrated a VSMC lineage defect in epicardial development<sup>4</sup>. Therefore, we decided to further investigate the individual role of the PDGF receptors during EPDC development. To obtain a more precise view on PDGF receptor expression overlap, we used flow cytometry. We observed that both receptors were initially co-expressed at early embryonic stages (E13.5) but became mutually exclusive at later stages (E16.5) (Online Figure V). Using a GFP knock-in allele<sup>7</sup> to follow cells expressing PDGFR $\alpha$ , GFP expression appeared in most epicardial cells at E13.5 (Figure 5A). At E13.5, *PDGFR $\alpha$ <sup>GFP/GFP</sup>* mutant hearts exhibited epicardial blistering that was milder than *PDGFR<sup>EKO</sup>* hearts (data not shown). However, this blistering was less severe than that observed in embryos null for a different *PDGFR $\alpha$*  allele<sup>40</sup>. The phenotypic differences described for the *PDGFR $\alpha$*  null embryos could be caused by differences in genetic background or by the fact that two of these studies (this report and one by Bax et al<sup>41</sup>) excluded embryos that had retarded growth from analysis. In regards to the number of GFP<sup>+</sup> cells in the epicardium, we observed no differences between control and mutant hearts (Figure 5A). However, at E17.5, GFP<sup>+</sup> cells were present within the myocardium of heterozygote hearts, but no GFP expressing cells were observed within the myocardium of *PDGFR $\alpha$ <sup>GFP/GFP</sup>* hearts. These data suggested that loss of PDGFR $\alpha$  signaling leads to a disruption of a cell population that might arise from the epicardium and is consistent with a recent report showing reduced WT1<sup>+</sup> cell migration in *PDGFR $\alpha$ <sup>GFP/GFP</sup>* hearts<sup>41</sup>.

To determine if the loss of PDGFR $\alpha$ -expressing cells in the myocardium of the null was caused by a failure in PDGFR $\alpha$  dependent cell migration from the epicardium, we deleted *PDGFR $\alpha$*  in epicardial cells. *PDGFR $\alpha$ <sup>GFP/EKO</sup>* hearts showed a reduction of GFP expressing cells within the myocardium, similar to *PDGFR $\alpha$ <sup>GFP/GFP</sup>* animals (Figure 5B). Lineage tracing at E12.5 using an inducible, epicardial specific Cre mouse line (*WT1<sup>iCre</sup>*)<sup>14</sup> and R26R<sup>tdT</sup><sup>12</sup> demonstrated that GFP expressing cells were epicardial derived. In addition, when PDGFR $\alpha$  epicardial function was disrupted, migration of PDGFR $\alpha$ <sup>GFP</sup> positive cells into the heart was reduced (Figure 5C–D).

### *PDGFR $\alpha$* mutant hearts have a selective loss of cardiac fibroblasts

We next examined if there was a defect in the formation of epicardial derivatives in the absence of PDGF receptor signaling. Because epicardial EMT was disrupted, we expected aberrant cVSMC and cardiac fibroblast development. Surprisingly, the expected Mendelian ratio of *PDGFR $\alpha$ <sup>EKO</sup>*, *PDGFR $\beta$ <sup>EKO</sup>* and *PDGFR<sup>EKO</sup>* mutant animals was recovered at weaning and up to one year after birth. No measurable defects in cardiac size or function were observed (Online Figure VI). Loss of PDGFR $\beta$  alone results in an absence of epicardial derived cVSMC, but a secondary population of cVSMC are initially present at the aortic root<sup>4</sup>, which continues to expand as the animals age (data not shown). This rescue may explain why loss of epicardial cVSMC does not lead to lethality. Examination of the endothelial component of the coronary vasculature suggested that patterning of the vessels in the *PDGFR<sup>EKO</sup>* hearts was similar to that previously reported for the *PDGFR $\beta$ <sup>EKO4</sup>*, and

that endothelial cell presence within the heart was not disrupted by a lack of EPDCs. We determined the consequences of disrupted epicardial EMT by examining hearts for epicardial derivatives. Staining for cVSMC markers, SM22 $\alpha$ , smooth muscle myosin heavy chain (SMMHC),  $\alpha$ -smooth muscle actin, and PDGFR $\beta$  demonstrated that cVSMC content of PDGFR $\alpha$ <sup>EKO</sup> hearts was unaffected (Figure 5E and data not shown). Because the smooth muscle cell markers that we examined should detect VSMC as well as pericytes, we conclude that loss of PDGFR $\alpha$  does not affect the mural cell lineage. By contrast, PDGFR<sup>EKO</sup> hearts showed a reduction in all of these markers (data not shown) similar to the loss that we reported in PDGFR $\beta$  mutant hearts. These data, in combination with the observed loss of GFP<sup>+</sup> cells, suggested that PDGFR $\alpha$  might be required for the formation of a distinct EPDC population, cardiac fibroblasts.

To determine if PDGFR $\alpha$  was required for cardiac fibroblast formation, we analyzed R26R<sup>YFP</sup> epicardial lineage tagged hearts for a cardiac fibroblast surface marker, Thy1<sup>42-44</sup>. Epicardial derived fibroblasts were defined as YFP<sup>+</sup>, Thy1<sup>+</sup> and CD31<sup>-</sup> (Figure 6A). Deletion of PDGFR $\alpha$  either individually or in combination with PDGFR $\beta$  (PDGFR $\alpha$ <sup>EKO</sup> and PDGFR<sup>EKO</sup>, respectively) resulted in a loss of epicardial derived cardiac fibroblasts and an absence of YFP<sup>+</sup> cells in PDGFR<sup>EKO</sup> hearts. Epicardial derived fibroblast numbers in hearts lacking PDGFR $\beta$  (PDGFR $\beta$ <sup>EKO</sup>) were similar to controls (Figure 6A). Next, we generated primary cardiac fibroblast cultures and traced the epicardial lineage using *Gata5Cre* transgene and a R26R<sup>LacZ</sup> allele to identify EPDCs. Primary cardiac fibroblasts isolated from PDGFR<sup>EKO</sup> and PDGFR $\alpha$ <sup>EKO</sup> hearts had a paucity of epicardial derived cardiac fibroblasts ( $\beta$ -galactosidase<sup>+</sup>). By contrast, the number of epicardial derived cardiac fibroblasts observed in controls and PDGFR $\beta$ <sup>EKO</sup> hearts were very similar (Figure 6B–C).

Because a population of cells grew from PDGFR<sup>EKO</sup> and PDGFR $\alpha$ <sup>EKO</sup> primary fibroblast cultures, we surveyed hearts for overall fibroblast content by detecting transcripts of fibroblast enriched genes. qPCR demonstrated, on average, a 50% reduction in fibroblast gene transcripts in PDGFR<sup>EKO</sup> hearts (Figure 7E). We then used *Coll1a1* (Figure 7A–B) and prolyl-4-hydroxylase  $\beta$  (P4hb)<sup>45</sup> (Figure 7C–D) to identify individual collagen producing cells. To establish the optimal time point to quantify developing fibroblasts, we examined *Coll1a1* and PDGFR $\alpha$  expression perinatally. Cells expressing these two genes were evident from E18.5 to P7, but after P7 in situ detection of gene expression appeared to taper off (Online Figure VII, A). These data suggested that matrix production by epicardial fibroblasts fell within a very discrete time window. A greater than 50% reduction was observed in the fibroblast population of PDGFR<sup>EKO</sup> at P7 and a reduction in these same fibroblast markers was observed in a PDGFR $\alpha$  epicardial mutant at E18.5 (Figure 7A–D and Online Figure VII, B). These calculations were an over-estimation of remaining fibroblasts as the non-epicardial derived VSMC surrounding the coronary vasculature<sup>4</sup>, the endocardium, and the epicardium also produced collagen (Figure 7A). To gain insights into the potential source of the cells in primary cardiac fibroblast cultures, we generated fibroblasts from Tie2Cre;R26R<sup>LacZ</sup> animals, where all cells of endothelial origin should express  $\beta$ -galactosidase, and found that 21 $\pm$ 7% (n=4 cultures) of the prolyl-4 hydroxylase and/or  $\alpha$ SMA expressing, adherent cells had an endothelial origin as has been previously suggested<sup>46</sup>.

To determine if a reduction in fibroblasts resulted in any extracellular matrix (ECM) defects, we examined hearts for levels of periostin (Postn), an extracellular matrix molecule secreted by cardiac fibroblasts<sup>47</sup>. Periostin expression in PDGFR $\alpha$ <sup>EKO</sup> and PDGFR<sup>EKO</sup> hearts had a marked reduction, while the periostin level in PDGFR $\beta$ <sup>EKO</sup> was unaffected (Figure 7F). We also investigated adult mice for generalized defects in matrix deposition. Focusing on perivascular regions, we used Masson Trichrome stain to identify collagen deposition from PDGFR $\alpha$ <sup>EKO</sup>, PDGFR $\beta$ <sup>EKO</sup>, and PDGFR<sup>EKO</sup> hearts. Deletion of PDGFR $\alpha$  either

individually or in combination with PDGFR $\beta$  (*PDGFR $\alpha$ <sup>EKO</sup>* and *PDGFR<sup>EKO</sup>*, respectively) led to a reduction in collagen, while mice lacking PDGFR $\beta$  (*PDGFR $\beta$ <sup>EKO</sup>*) in epicardial cells and their derivatives were similar to controls (Figure 7G).

These results suggested that cardiac fibroblast development is disrupted in *PDGFR<sup>EKO</sup>* and *PDGFR $\alpha$ <sup>EKO</sup>* hearts and that these epicardial derived fibroblasts are required for matrix production in the developing heart. In conclusion, our data show a unique role for PDGF signaling in regulating epicardial EMT and fate specification of EPDCs.

## Discussion

Since the discovery of the origin of cVSMC and cardiac fibroblasts over 18 years ago, multiple signaling pathways have been identified that affect the formation, attachment, or EMT of the epicardium. However, few genes have been identified that are essential for cardiac fibroblast formation. Here, we have not only identified a unique requirement for PDGF receptor signaling in regulating epicardial EMT and EPDC formation but also have identified an essential role for, PDGFR $\alpha$ , specifically in cardiac fibroblast formation.

Several growth factor signaling pathways have been implicated in the induction of EMT during development and various pathological states, and PDGF has been linked to the EMT process during cancer progression, organ fibrosis<sup>48–50</sup>, smooth muscle cell generation from the chicken proepicardium<sup>51</sup>, and in the regenerating zebrafish heart<sup>52</sup>. Some suggested mechanisms for PDGF's role in EMT include stabilization of  $\beta$ catenin<sup>53</sup> or induction of transcriptional activators of EMT, such as ZEB1/2 and Snai2<sup>54</sup>. However, we do not observe these specific effects in epicardial cells after PDGF stimulation. We have identified the transcription factor, Sox9, as a downstream target of PDGF stimulated EMT. Our data show that Sox9 could rescue the EMT defect seen in PDGF receptor mutants and that Sox9 expression was up-regulated upon PDGF stimulation of epicardial cells. These data are consistent with the known role for Sox9 in avian neural crest cell EMT<sup>27</sup>. Sox9 is a member of the SRY related HMG-box family of transcription factors that is important for the development of many tissues and cell types. Cardiovascular defects have been reported in *Sox9* mutants<sup>55, 56</sup>, but no epicardial phenotype has been described. Our data suggest that Sox9 could be an important component of PDGF receptor signaling during epicardial EMT, but further investigation is necessary to determine the mechanistic link between PDGF and Sox9.

Our data show that loss of both PDGF receptors led to defective EMT and failure to form any epicardial derivatives. Interestingly, individual deletion of the PDGF receptors also led to reduced epicardial EMT and a loss of only a subpopulation of EPDCs. There are two potential scenarios to explain when PDGF receptor function is required. In the first scenario, epicardial cells are heterogeneous, and each epicardial cell would only give rise to a specific lineage of EPDCs, either VSMC or fibroblast. Here, PDGF signaling might regulate a lineage specific EMT. Consistent with this possibility, experiments using limiting amounts of retrovirus to transduce the proepicardial organ<sup>57</sup> revealed that tagged cells contributed only to the VSMC lineage. However, there have been no reports suggesting differential gene expression in the epicardium. For example, Tbx18, Tcf21, Raldh2, and both of the PDGF receptors seem to be uniformly expressed in the epicardium prior to EMT. A second possibility for PDGF function is that PDGF signaling by each receptor is redundant in regards to the EMT process, but after EMT, PDGFR $\alpha$  is expressed in fibroblast progenitors, while PDGFR $\beta$  is in cVSMC. In this scenario, the most likely role for PDGF receptor signal transduction is expansion and migration of the progenitor population<sup>17, 58</sup>. These unanswered questions require further investigation using temporal deletion to identify the window of epicardial fate specification.

The role of cardiac fibroblasts in heart pathogenesis is well appreciated, but the function of these cells during development is poorly understood. It has been proposed that cardiac fibroblasts perform a variety of essential duties during heart formation. These include stimulation of cardiomyocyte proliferation<sup>43</sup>, isolation of the ventricular from the atrial conduction system<sup>59</sup>, distribution of mechanical forces<sup>60</sup>, and, of course, deposition and degradation of ECM. Recent estimates are that cardiac fibroblasts comprise about 27% of the cells within the murine heart<sup>61</sup>, but our data demonstrates that these cells are dispensable for heart development. Under non-pathological conditions, mice without epicardial derived fibroblasts lack adventitial collagen, but heart function is normal.

Because many cell populations have been proposed to contribute to fibrosis formation during pathological circumstances, there is the possibility that another source of fibroblasts fills the void. Proposed origins for this substitute fibroblast population include endothelial cells, fibrocytes, monocytes, and mural cells<sup>60</sup>. A complete functional substitution by these cells in the absence of epicardial derived fibroblasts is unlikely as mutant hearts that were 8–10 weeks old continued to lack adventitial ECM. Comparison of *PDGFR $\alpha$ <sup>EKO</sup>* to *PDGFR<sup>EKO</sup>* hearts did suggest a partial rescue of the ECM, presumably by the existing cVSMC, although the levels of matrix never appear to reach wild type levels. While our initial examination suggests no major deficits in mutant animals, further studies are warranted to investigate cardiac homeostasis and other functional parameters such as conduction and stress response.

In summary, we demonstrate that cardiac fibroblast and cVSMC development is mediated by a combined role of the PDGF receptors in controlling epicardial EMT. This process appears to be linked to a lineage specific requirement of the receptors. Specifically, *PDGFR $\alpha$* , is essential for cardiac fibroblast development. Finally, we establish a novel role for Sox9 as a critical downstream component of PDGF signaling in regulating epicardial EMT.

#### Novelty and Significance

##### What is known?

- Cardiac fibroblasts and coronary vascular smooth muscle cells (cVSMC) are epicardial derived cells (EPDC) that arise after an epithelial-to-mesenchymal transition (EMT).
- Platelet derived growth factor receptor (PDGFR)  $\beta$  is required for coronary vascular smooth muscle cell development.
- PDGF (Platelet derived growth factor) signaling plays a role in coronary vessel remodeling during cardiac zebrafish regeneration.

##### What new information does this article contribute?

- PDGF receptors are required for epicardial EMT and failure of this process leads to animals lacking EPDCs.
- Animals lacking EPDCs have no overt phenotype and are viable.
- *PDGFR $\alpha$*  is the first receptor identified to be required for cardiac fibroblast formation, but *PDGFR $\alpha$*  is dispensable for cVSMC development.
- The PDGF receptor genes are required in a lineage specific manner for the formation of the two EPDC cell populations, cVSMC and cardiac fibroblasts.

Cardiac fibrosis is a major consequence of long-term cardiac disease, and the epicardium is the major source of resident cardiac fibroblasts that potentially contribute to this



disease. Here, we report that PDGF receptor signaling is required for epicardial EMT. Expression of the transcription factor, Sox9, is reduced in epicardial cells lacking PDGF receptors, and expression of Sox9 rescues EMT in the absence of PDGF receptor signaling. We also report that disruption of the epicardial EMT process leads to the inability to generate cardiac fibroblasts and cVSMC. Additionally, the loss of PDGFR $\alpha$  leads to a defect exclusively in cardiac fibroblast formation. This work is the first example of a lineage specific disruption of epicardial derivatives. Our findings show a previously unidentified role for PDGF receptor signaling in epicardial EMT and EPDC fate specification and provide a novel model to investigate the role of cardiac fibroblasts during embryogenesis as well as in the adult.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Abbreviations

<b>PDGF</b>	platelet derived growth factor
<b>EPDC</b>	epicardial derived cell
<b>cVSMC</b>	coronary vascular smooth muscle cell
<b>EMT</b>	epithelial to mesenchymal transition
<b>myo</b>	myocardium
<b>epi</b>	epicardium
<b>LV</b>	left ventricle
<b>RV</b>	right ventricle
<b>EKO</b>	epicardial knockout
<b>pm</b>	papillary muscle
<b>SEM</b>	subepicardial mesenchyme

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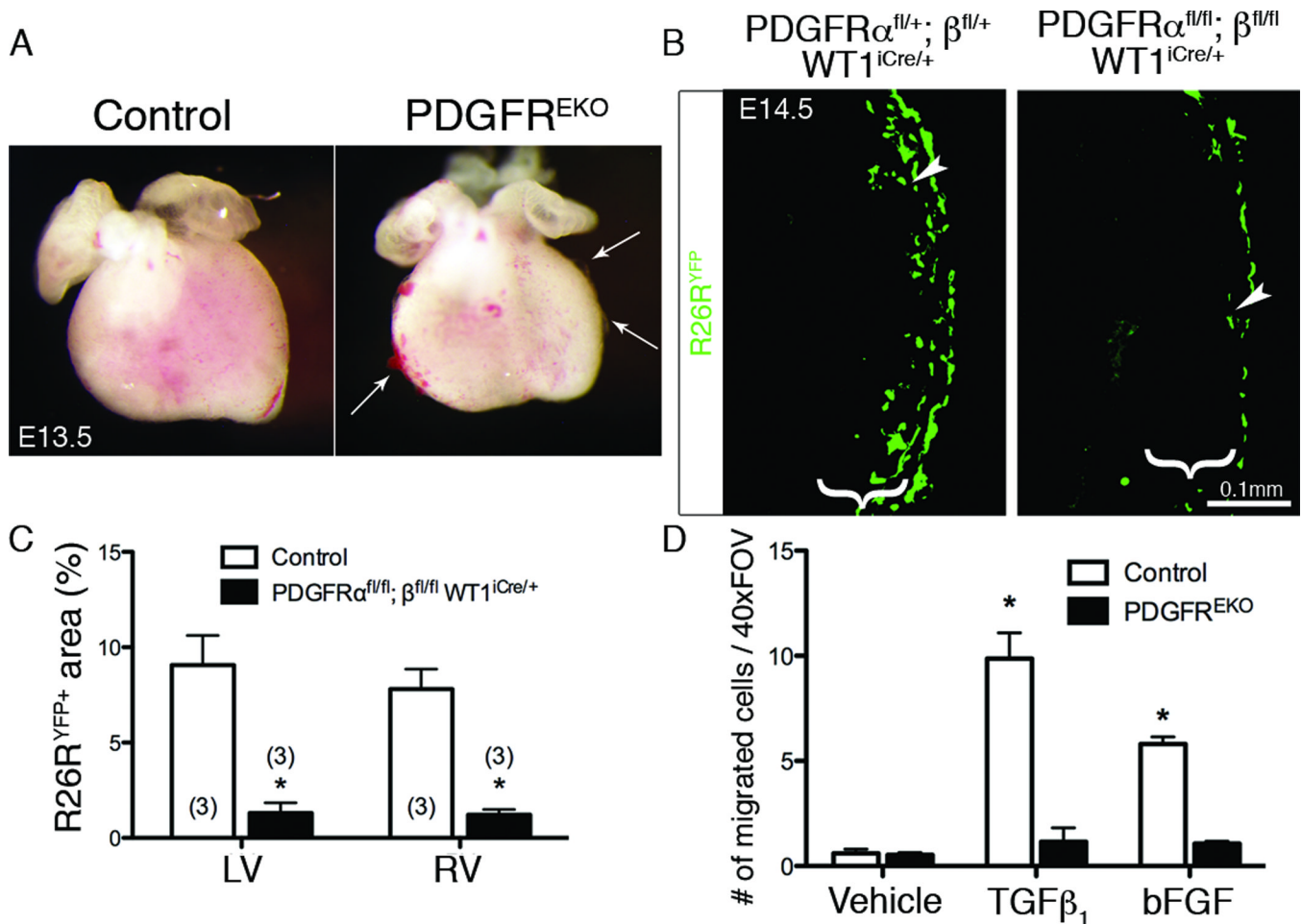
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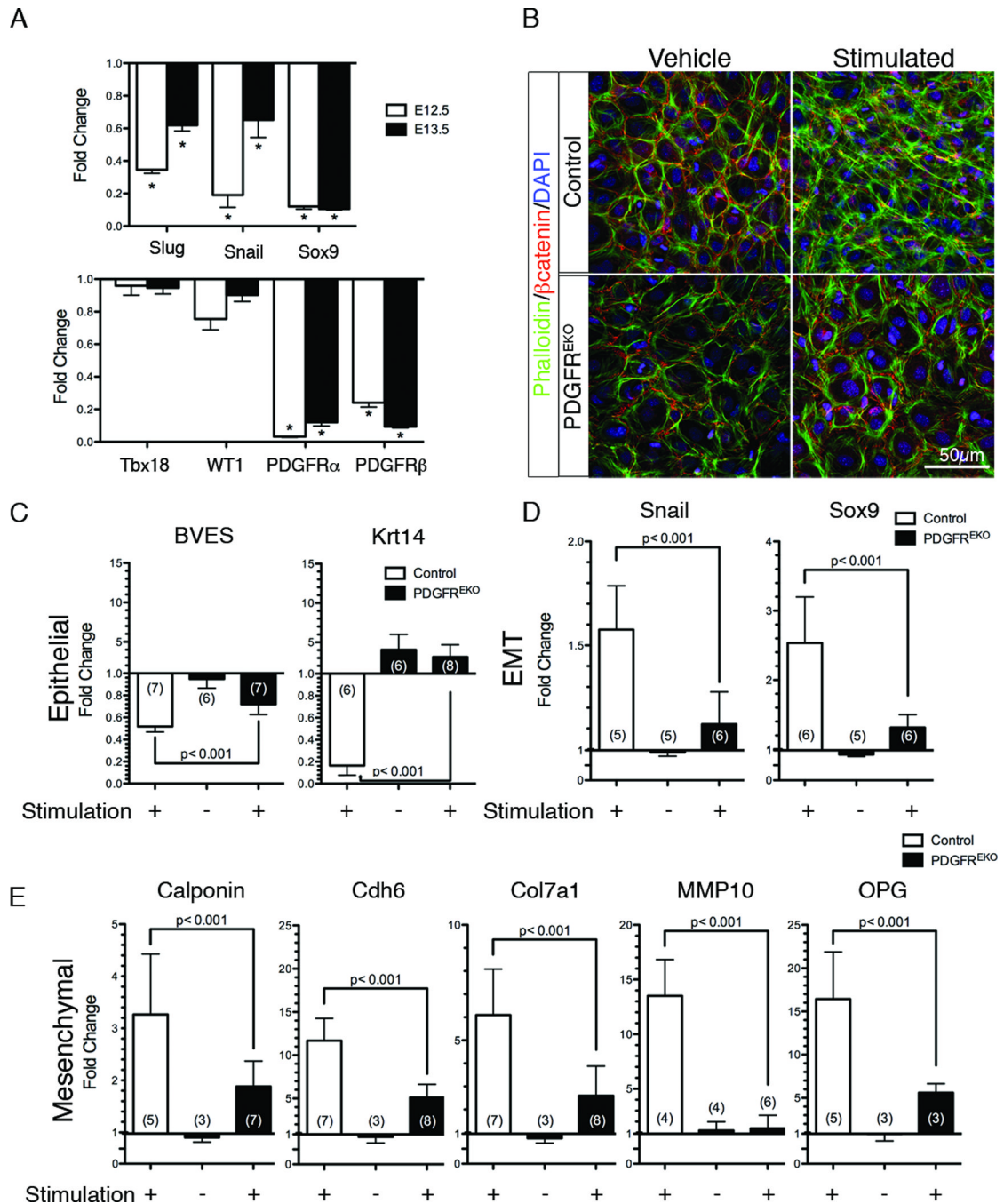
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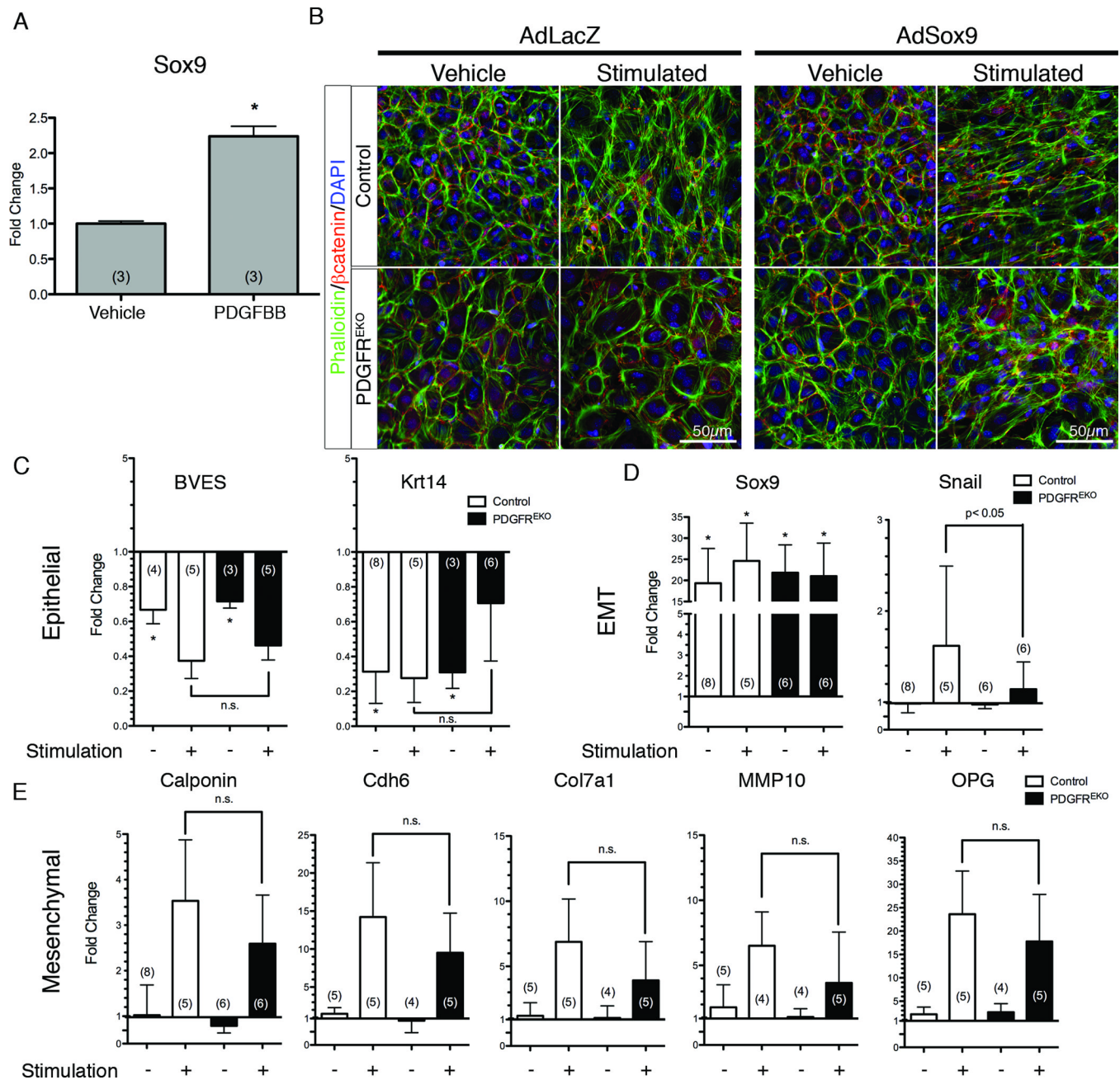
**Figure 1. *PDGFR*<sup>EKO</sup> epicardial cells fail to migrate into the myocardium**

(A) Whole mount images showing regions of epicardial detachment and hemorrhaging (Arrows). (B) R26R<sup>YFP</sup> IHC was used to examine epicardial cell migration into subepicardial mesenchyme (brackets) from indicated genotypes induced with tamoxifen at E12.5. Arrowheads point to migrated cells within the subepicardial mesenchyme. (C) Quantification of the R26R<sup>YFP</sup> fluorescent area in (B). N values are indicated in parentheses. (\*) p<0.005 (D) Quantification of GFP<sup>+</sup> cells within myocardium of E12.5 hearts transduced with an adenovirus expressing GFP and stimulated with hTGFβ<sub>1</sub> or bFGF (n=3 for each genotype/condition). Data are represented as mean ± SD. (\*) p<0.001 (compared to vehicle treated control) (LV – left ventricle, RV – right ventricle, EKO – epicardial knockout)



**Figure 2. *PDGFR*<sup>EKO</sup> epicardial cells fail to undergo EMT**

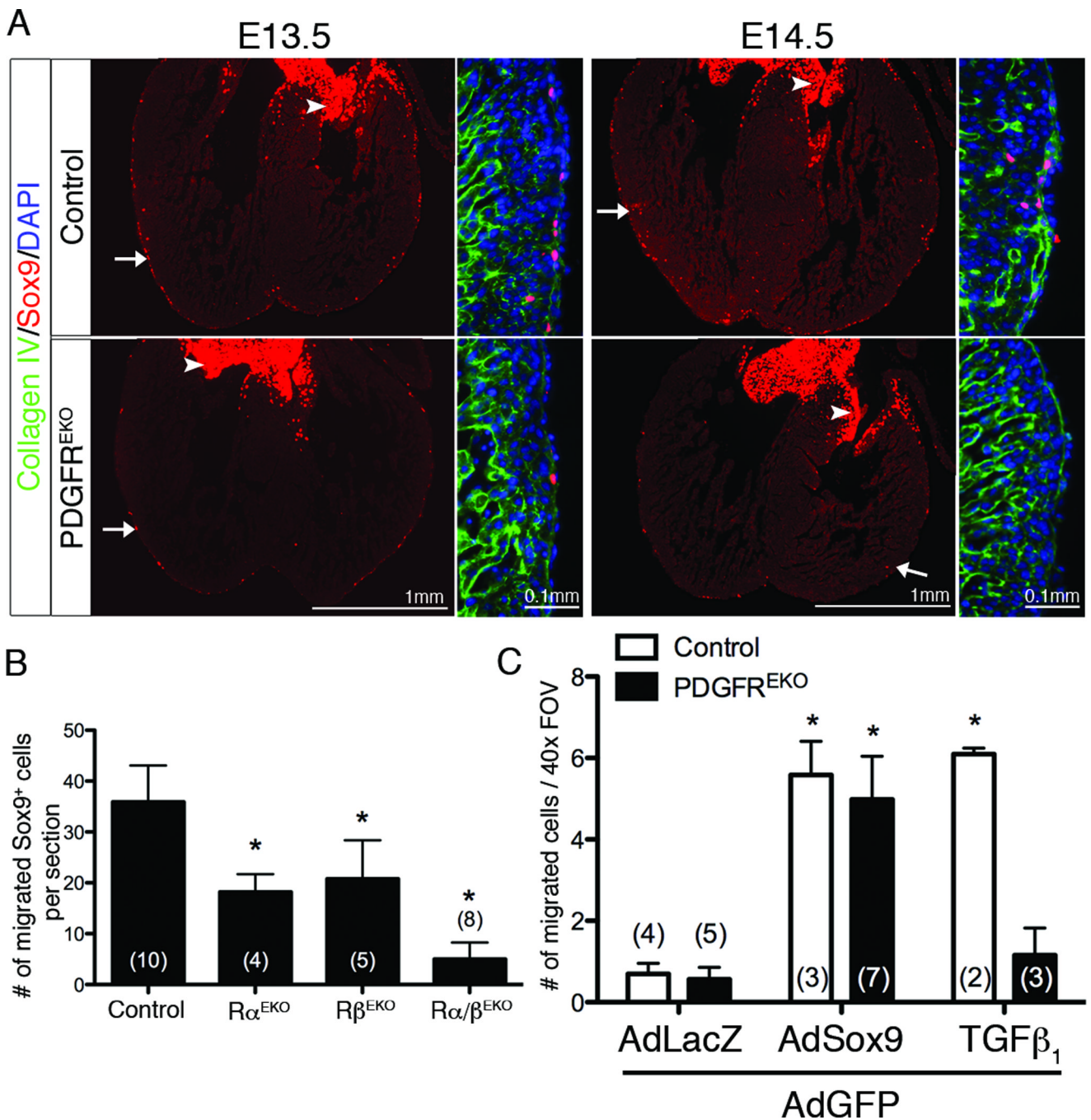
(A) qPCR analysis of gene expression in *PDGFR*<sup>EKO</sup> whole hearts (atria and conotruncal regions removed) for transcriptional EMT markers (*Snail*, *Slug*, *Sox9*) and epicardial markers (*Tbx18*, *WT1*, *PDGFR $\alpha$* , *PDGFR $\beta$* ). Data were compared to control littermates and are representative of three independent experiments. (\*)  $p < 0.001$  (B)  $\beta$ catenin and phalloidin localization in primary epicardial cultures after 48h of stimulation with hTGF $\beta$ <sub>1</sub> and PDGFBB. (C–E) qPCR on primary cultures for expression of epithelial, transcriptional, and mesenchymal markers. Data were compared to vehicle treated control epicardial cultures (represented by a baseline of 1.0). Data are represented as mean  $\pm$  SD. N values are indicated in parentheses.



**Figure 3. Sox9 rescues PDGF receptor mutant phenotypes**

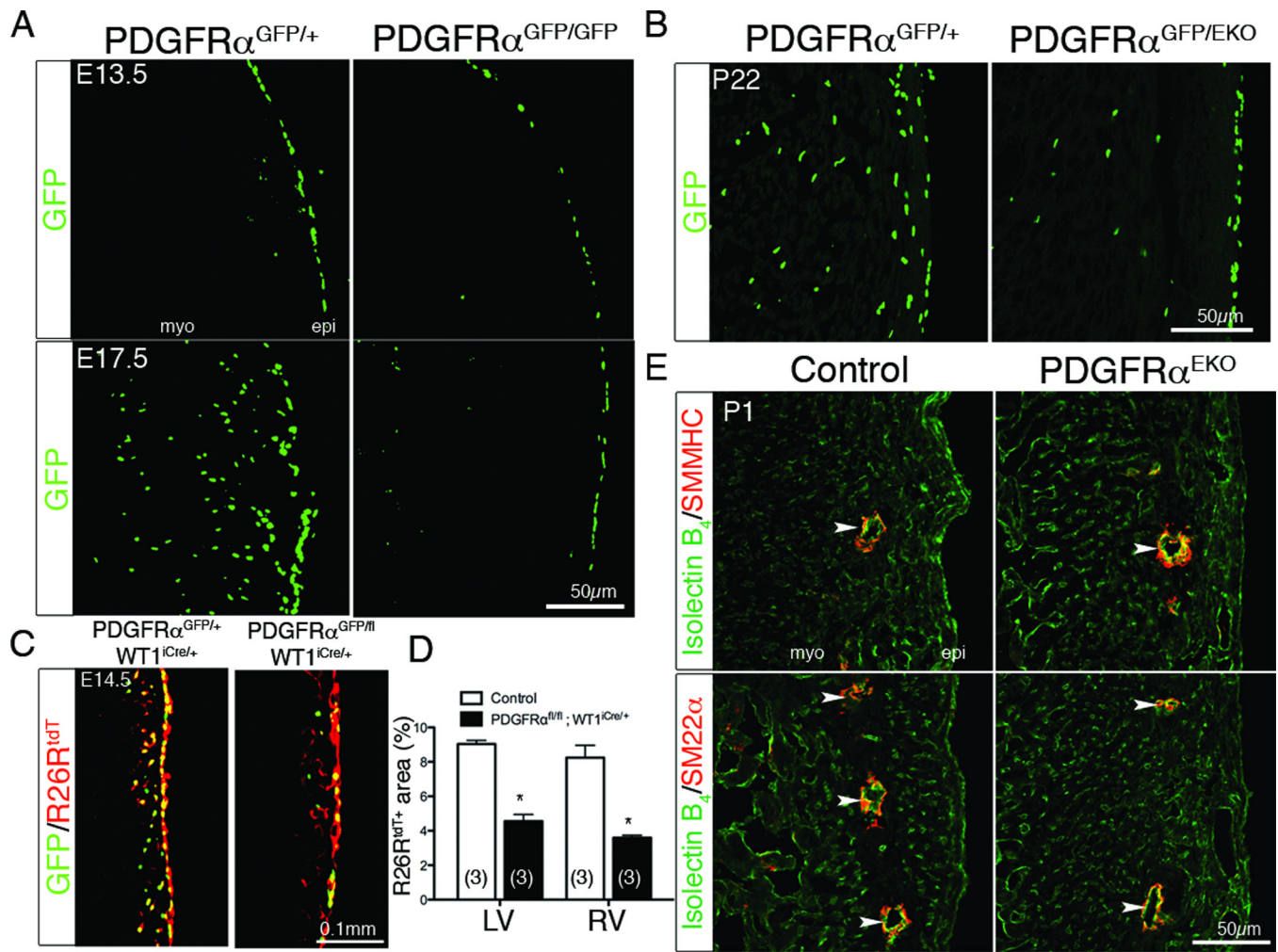
(A) qPCR for *Sox9* expression in primary epicardial cultures stimulated with PDGFBB for 24h. (\*)  $p < 0.001$  (B)  $\beta$ catenin and phalloidin localization of control and *PDGFR*<sup>EKO</sup> cultures stimulated with hTGF $\beta$ <sub>1</sub> and PDGFBB in the presence of adenoviral LacZ or Sox9. (C–E) qPCR analysis of epithelial, mesenchymal, and EMT transcription factors of primary epicardial cultures transduced with adenoviral Sox9. Data were compared to vehicle treated, GFP adenoviral transduced control epicardial cultures (Online Figure III, D–F) (represented as a baseline of 1.0). Data are represented as mean  $\pm$  SD. N values are indicated in parentheses. (\*)  $p < 0.001$  (n.s. – no significant difference)





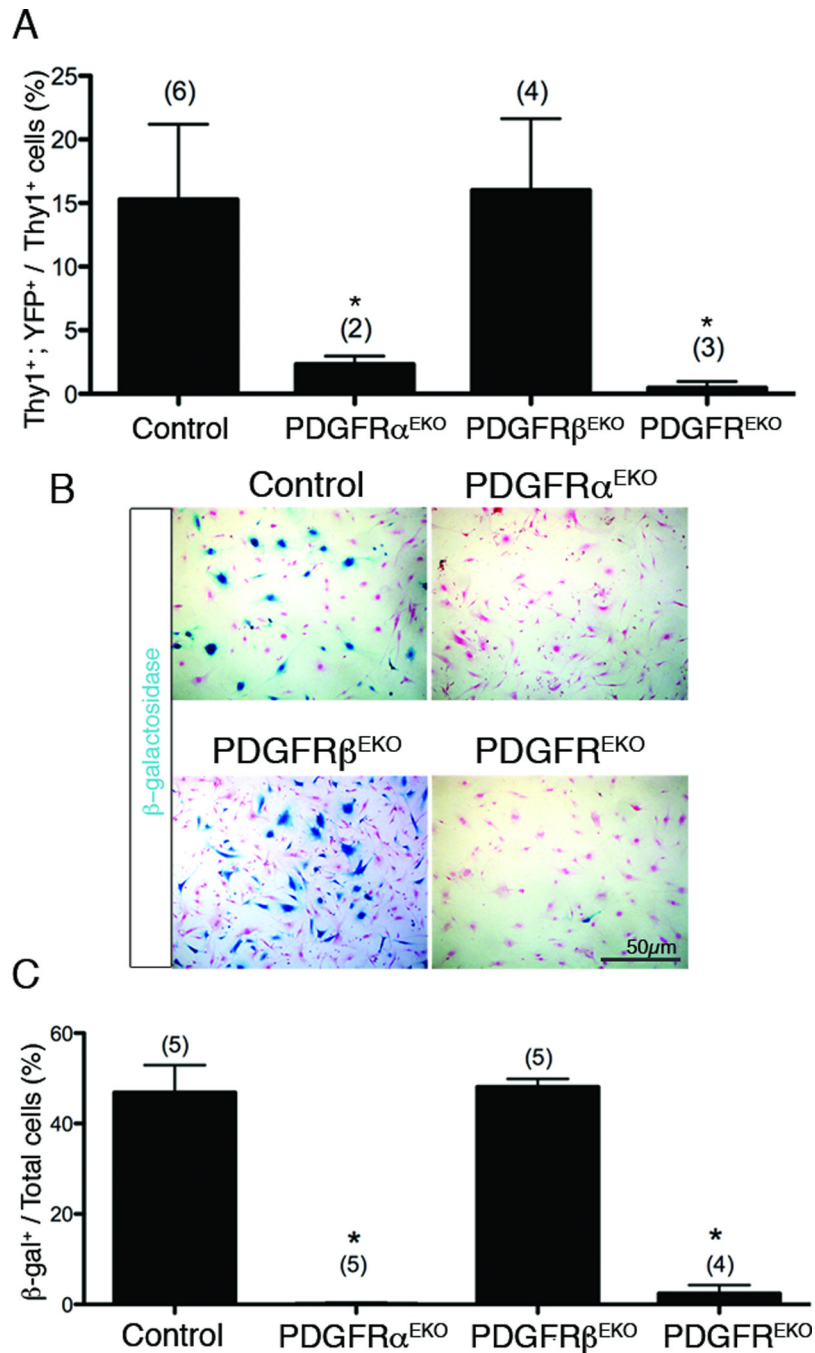
**Figure 4. Epicardial expression of Sox9**

(A) Sox9 expression in hearts of indicated genotypes. Images to the right of each frame are higher magnification of left ventricle. Arrows point to examples of Sox9<sup>+</sup> cells. Arrowheads indicate Sox9<sup>+</sup> cells in the valves. (B) Quantification of Sox9<sup>+</sup> cells within the myocardial ventricular wall at E14.5. Valves, epicardium, and septum were excluded from analysis. (C) Quantification of GFP<sup>+</sup> cells within myocardium of E12.5 hearts transduced with indicated viruses and/or stimulation with hTGF $\beta$ <sub>1</sub>. Data are represented as mean  $\pm$  SD. N values are indicated in parentheses. (\*)  $p < 0.001$  (compared to vehicle treated AdGFP/AdLacZ control)



### Figure 5. PDGFR $\alpha$ epicardial phenotype

(A–B) GFP fluorescence was used to follow PDGFR $\alpha$  expressing cells in the indicated genotypes. (C) Confocal images of R26R<sup>tdT</sup> and PDGFR $\alpha^{GFP}$  fluorescence of the indicated genotypes induced with tamoxifen at E12.5. (D) Quantification of R26R<sup>tdT</sup> fluorescent area in (C). (\*)  $p < 0.001$  (E) IHC for coronary endothelial cells (Isolectin B<sub>4</sub>) and cVSMC (SMMHC and SM22 $\alpha$ ). (epi – epicardium, myo – myocardium Arrowheads denote coronary vessels).



**Figure 6. PDGFR $\alpha$  is required for epicardial derived cardiac fibroblast formation**

(A) Percentage of epicardially-derived cardiac fibroblasts (*Gata5cre*<sup>Tg</sup>; *R26R*<sup>YFP</sup>) from P21–P28 *PDGF receptor* mutant hearts. There was a subpopulation of Thy1<sup>+</sup> CD31<sup>+</sup>42, 43 cells that were excluded from our analysis. (B)  $\beta$ -galactosidase activity (blue) in passage 1 primary cardiac fibroblast cultures using P21–P28 *Gata5cre*<sup>Tg</sup>; *R26R*<sup>LacZ</sup> hearts to follow EPDCs. Nuclear fast red was used as a counterstain. (C) Quantification of blue cells in (B). Data are represented as mean  $\pm$  SD. N values are indicated in parentheses. (\*)  $p < 0.001$  (compared to control)

