

Trimeric HIV-1 glycoprotein gp140 immunogens and native HIV-1 envelope glycoproteins display the same closed and open quaternary molecular architectures

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The initial step in HIV-1 infection occurs with the binding of cell surface CD4 to trimeric HIV-1 envelope glycoproteins (Env), a heterodimer of a transmembrane glycoprotein (gp41) and a surface glycoprotein (gp120). The design of soluble versions of trimeric Env that display structural and functional properties similar to those observed on intact viruses is highly desirable from the viewpoint of designing immunogens that could be effective as vaccines against HIV/AIDS. Using cryoelectron tomography combined with subvolume averaging, we have analyzed the structure of SOSIP gp140 trimers, which are cleaved, solubilized versions of the ectodomain of trimeric HIV-1 Env. We show that unliganded gp140 trimers adopt a quaternary arrangement similar to that displayed by native unliganded trimers on the surface of intact HIV-1 virions. When complexed with soluble CD4, Fab 17b, which binds to gp120 at its chemokine coreceptor binding site, or both soluble CD4 and 17b Fab, gp140 trimers display an open conformation in which there is an outward rotation and displacement of each gp120 protomer. We demonstrate that the molecular arrangements of gp120 trimers in the closed and open conformations of the soluble trimer are the same as those observed for the closed and open states, respectively, of trimeric gp120 on intact HIV-1 Bal virions, establishing that soluble gp140 trimers can be designed to mimic the quaternary structural transitions displayed by native trimeric Env.

viral entry | cryoelectron microscopy | HIV spike | HIV vaccine | AIDS vaccine

The trimeric envelope glycoproteins (Env) that are displayed on human and simian immunodeficiency viruses (HIV and SIV, respectively) are heterodimers of the transmembrane glycoprotein (gp41) and a surface glycoprotein (gp120). The glycoproteins gp120 and gp41 are synthesized initially as a single gp160 polypeptide that is subsequently cleaved to generate the noncovalently associated gp120/gp41 complex. The conformational changes triggered in trimeric Env upon CD4 binding lead ultimately to fusion of the viral and cell membranes and to delivery of the viral core into infected cells (1). Cryoelectron tomographic studies of several HIV-1 and SIV strains have provided insights into the molecular architectures of trimeric Env displayed on native virions in unliganded, antibody-bound, and CD4-liganded states at resolutions of approximately 20 Å (2, 3). These analyses have established that trimeric Env from HIV-1 undergoes a large structural transition from a “closed” unliganded state to an “open” liganded state when complexed to CD4 and 17b (2). This quaternary structural change involves rotation of each gp120 protomer by about 45° around an axis parallel to the central threefold axis, coupled with an out-of-plane rotation of about 15°. In some strains, such as SIV CP-MAC, trimeric Env is already present in this open conformation even in the absence of soluble CD4 (sCD4), providing an explanation for CD4-independent viral

entry by this strain (3). Atomic resolution structures are not yet available for trimeric Env in any conformational state, although many sets of coordinates are available from X-ray crystallographic studies for the truncated core of monomeric HIV-1 gp120 (4–7).

The development of soluble versions of trimeric Env that display biochemical and structural properties similar to those observed on infectious viruses is of considerable interest in the context of designing vaccines against HIV/AIDS. The ectodomain of Env is a heterodimer with mass of approximately 140 kDa, composed of the entire gp120 component, and approximately 20 kDa of gp41, which are displayed on the surface of the viral membrane. Soluble versions of trimeric gp140, either cleaved or uncleaved, are being developed as immunogens to elicit a protective humoral immune response against HIV-1 infection. To date, however, several gp120, gp41, or gp140 constructs, whether monomeric or trimeric, have not been able to achieve this goal (8–10). Some of the structural parameters that are considered important for the rational design of a successful HIV-1 Env immunogen are the extent to which its three-dimensional structure mimics that of native trimeric Env, its capability to undergo conformational changes that are known to influence epitope display on the native trimer, and the likelihood that it is capable of displaying conformations that are sufficiently long-lived to elicit antibodies that bind cognate epitopes on infectious viruses.

SOSIP gp140 trimers are soluble, proteolytically cleaved trimers that are stabilized by the presence of an engineered intermolecular disulfide bond between gp120 and gp41 (SOS), combined with a single residue change, I559P, within gp41 (11). Immunogenicity studies in rabbits have shown that SOSIP gp140 trimers derived from the clade A strain KNH1144 are superior at eliciting neutralizing antibodies as compared to gp120 monomers (12), suggesting that further structural investigation of these trimers could be informative for improved immunogen design. Here, we report structural analysis of soluble, cleaved SOSIP gp140 trimers from both KNH1144 and the clade B strain JR-FL using cryoelectron tomography. We compare the structures of unliganded SOSIP trimers with CD4- and 17b-liganded trimers, and compare these structures, in turn, with the corresponding

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tic structural and virological analyses need to be carried out with many different strains and reagents to generalize these results. Interestingly, structures of trimeric Env that have been determined so far by cryoelectron tomography include the laboratory-adapted, neutralization-sensitive HIV-1 strains HIV-1 BaL and HIV-1 R3A, both of which display trimeric Env in the closed conformation (2, 3). Thus, at least in these two instances, one could conclude that neutralization sensitivity does not require that trimeric Env is locked in the open conformation, and it is probably adequate that the barrier to transition between the two states is sufficiently low.

The answer to what in fact *does* correlate with the open conformation comes from recent cryoelectron tomographic studies with SIV, which show that trimeric, membrane-associated Env from the CD4-independent strain SIV CP-MAC is expressed in a constitutively open conformation (3) with the same molecular structure for gp120 trimers as that seen in the open conformations reported here for SOSIP gp140 trimers. In sharp contrast to the neutralization-resistant strain SIVmac239, which displays trimeric Env in the closed conformation, the neutralization-sensitive SIV CP-MAC strain is capable of infecting cells even in the absence of cell surface CD4, and displays efficient binding to a 17b-like antibody (7D3) that recognizes the coreceptor binding site. These findings support the idea (18) that the joint requirement of CD4 and chemokine receptor CCR5 represents an evolutionary adaptation of CCR5-dependent HIV and SIV to use CD4-dependent spike opening to cloak conserved antigenic sites on gp120 that represent targets for neutralizing antibodies until virus-cell contact, where antibody access is sterically restricted. The fact that both closed and open conformations of trimeric Env can be displayed on intact viruses establishes clear functional connections between CD4 binding and quaternary structural changes in both soluble gp140 and native, virion-bound trimers.

Env-based immunogens are important HIV-1 vaccine candidates, but neither monomeric gp120 nor trimeric gp140 proteins of any design have yet proved capable of eliciting broadly neutralizing antibodies at useful titers in humans or animals (8–10). Most studies that have compared the two forms using the same Env genotype have shown that gp140 trimers are superior to gp120 monomers at inducing neutralizing antibodies (19–21). However, the improvement in immunogenicity is not yet good enough to conclude that any forms of gp140 trimers, in their current iteration, are good enough to use in practical HIV-1 vaccines. The challenge is to determine what structural and other factors limit the immunogenicity of gp140 trimers and design ways to overcome any problems that are identified (22). Structural studies are of critical importance in this regard. Whereas our work establishes the broad structural similarities between SOSIP gp140 trimers and native Env trimers at approximately 2-nm resolution, there could be numerous subtle differences in conformation that we have not been able to decipher but that may become apparent at a higher resolution. Nevertheless, the differential ability of KNH1144 and JR-FL trimers to bind antibodies such as 17b could be useful for immunogen design, and variant gp140 trimers preferentially stabilized in distinct intermediate states could potentially be engineered and then mixed to create a composite vaccine, with the goal of increasing the breadth of the neutralizing antibody response. Any variations in the extent to which different regions on gp120 and gp41 are accessible in the open state may also increase the likelihood of inducing broadly neutralizing antibodies, such as b12 (23), PG9/16 (24), VRC01 (25), or HJ16 (26).

The progress we report here on structural analysis of soluble gp140 trimers using cryoelectron tomography represents an important technical advance in the use of tomographic methods (27) to determine the structures of protein complexes. In conventional cryoelectron microscopy, structure determination is based on assembling the 3D structure by averaging the structural infor-

mation present in a large number of individual 2D projection images, whose relative orientations are determined iteratively starting from an initial 3D model at lower resolution. In contrast, structure determination using cryoelectron tomography relies on averaging of 3D molecular images, with the model building steps combined with assignment of relative orientations (28). Earlier cryoelectron tomographic studies of the structure of native Env displayed on intact virions (2, 3) were helped by the fact that the bilayer membrane provides a reference point to define the approximate initial orientation of the spike in the tomograms, thereby restricting the initial search of relative orientations of individual spikes to two angular parameters. The structural findings we report here represent a validated generalization of this 3D classification and averaging approach (28) to soluble protein complexes where there is no prior information on the orientation, and demonstrates its utility for the structural analysis of small protein complexes, such as HIV-1 gp140 trimers.

Materials and Methods

Antibodies and CD4 Reagents. Monoclonal antibody 17b was a gift from Dennis Burton (The Scripps Research Institute). Fab fragments were prepared by digestion with papain. Two-domain soluble CD4 (sCD4, amino acids 1–183) was provided by the National Institutes of Health AIDS Research and Reference Reagent Program.

SOSIP gp140 Constructs. KNH1144 SOSIP gp140 (11) was modified to contain A662E, S668N, and S676T substitutions relative to the wild-type KNH1144 membrane proximal external region sequence (Genbank accession no. AY736812). JR-FL SOSIP gp140 constructs have been described previously (29). Codon-optimized SOSIP gp140 genes were subcloned into the PPI4 vector (11).

SOSIP gp140 Preparation. JR-FL SOSIP gp140 trimers were produced according to published methods (30). For production of KNH1144 SOSIP gp140 trimers, 293 T cells were seeded into triple flasks (Corning Life Sciences) in DMEM with 10% fetal bovine serum and supplements 24 h prior to transfection. Cells were cotransfected with SOSIP-PPI4 and furin-pcDNA3.1, using polyethylenimine (Polysciences, Inc.). Following transfection, cells were cultured for 48 h in DMEM. Supernatants were then harvested and clarified. The clarified supernatant was concentrated approximately fivefold by tangential flow filtration over a 100 kDa molecular weight cutoff (MWCO) membrane (GE Healthcare). Concentrated supernatant was captured on a *Galanthus nivalis* agglutinin lectin affinity column (Vector Laboratory). Impurities, such as monomers, dimers, and α 2-macroglobulin, were removed by two sequential DEAE Sepharose Fast Flow columns. In the second column, 0.05% Tween 20 was added to convert higher order aggregates to homogeneous trimers. The trimers were then concentrated using 100 kDa MWCO spin filter (Sartorius) and purity was further refined by passage over a Superdex™ 200 size exclusion column (GE Healthcare). The concentration of purified trimer was determined using the bicinchoninic acid assay (Bio-Rad Laboratories, Inc.).

Analysis of Purified SOSIP gp140 Trimers. Trimers were analyzed by Blue Native polyacrylamide gel electrophoresis (BN-PAGE) using NativePAGE 4–16% Bis-Tris gels and by SDS-PAGE using NuPAGE 4–12% Bis-Tris gels (Invitrogen). NativeMark™ were used as molecular weight standards in BN-PAGE, and Mark12™ were used in SDS-PAGE. Gels were stained with Colloidal Blue Stain Kit (Invitrogen). Protein purity was determined by densitometric analysis of the stained gels using ImageQuant software (GE Healthcare).

Cryoelectron Microscopy. Specimens of purified gp140 trimers were applied to holey carbon films, thinned by blotting, and vitrified by plunging into liquid ethane cooled to approximately -180°C in a liquid nitrogen environment. To prepare complexes, Fab fragments of monoclonal antibody 17b (3.3 mg/mL) was mixed with KNH1144 (420 $\mu\text{g}/\text{mL}$) or JR-FL (238 $\mu\text{g}/\text{mL}$) gp140 trimers on ice for 2 h at molar ratios of ca. 12 Fab fragments per gp140 trimer. When sCD4 was included, it was at a molar ratio of approximately 10 relative to gp140 trimers unless indicated otherwise. Plunge-frozen grids were transferred into the column of the electron microscope designed to maintain the specimen at approximately -180°C . Projection microscopic images were obtained on an FEI Titan Krios electron microscope, using a $4,000 \times 4,000$ CCD camera (Gatan), at an accelerating voltage of 80 kV, pixel size of 1.45 Å at the specimen plane, at doses of approximately 20 electrons/Å², and nominal de-

focus values ranging from ca. 0.7 to 3.8 μm . Three-dimensional structures of unliganded gp140 trimers and associated complexes were obtained using cryoelectron tomography combined with classification and 3D subvolume averaging implementing procedures described in Bartesaghi et al. (28) and White et al. (3). Illustration of image classes obtained for unliganded and liganded gp140 trimers are presented in Figs. S2 and S3. All maps were obtained using data collected on an FEI Polara G2 microscope, equipped with a Gatan GIF 2002 imaging filter and 2,000 \times 2,000 CCD camera, at a pixel size of 4.1 \AA in the specimen plane. Typically, about 4,000 subvolumes of each complex were averaged. Map resolution was estimated by determining the resolution at which the Fourier shell correlation between two randomly chosen half-datasets had a value of 0.5. Using this criterion, the resolutions of the maps were determined to be approximately 2 nm, comparable to that obtained for native spikes (3). The coordinate fits shown for unliganded maps

are the same as those reported for native trimeric Env (EMDB entry 5018, PDB ID 3DNN), and the fits for all of the binary and ternary complexes with sCD4 and/or 17b are from those deposited (EMDB entry 5020, PDB ID 3DNO) for the native gp120-17b-sCD4 ternary complex.

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