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# Proton-transport mechanisms in cytochrome *c* oxidase revealed by studies of kinetic isotope effects

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# Abstract

Cytochrome c oxidase (CytcO) is a membrane-bound enzyme, which catalyzes the reduction of dioxygen to water and uses a major part of the free energy released in this reaction to pump protons across the membrane. In the *Rhodobacter sphaeroides aa*<sub>3</sub> CytcO all protons that are pumped across the membrane, as well as one half of the protons that are used for  $O_2$  reduction, are transferred through one specific intraprotein proton pathway, which holds a highly conserved Glu286 residue. Key questions that need to be addressed in order to understand the function of CytcO at a molecular level are related to the timing of proton transfers from Glu286 to a "pump site" and the catalytic site, respectively. Here, we have investigated the temperature dependencies of the H/D kinetic-isotope effects of intramolecular proton-transfer reactions in the wild-type CytcO as well as in two structural CytcO variants, one in which proton uptake from solution is delayed and one in which proton pumping is uncoupled from O<sub>2</sub> reduction. These processes were studied for two specific reaction steps linked to transmembrane proton pumping, one that involves only proton transfer (peroxy-ferryl,  $\mathbf{P} \rightarrow \mathbf{F}$ , transition) and one in which the same sequence of proton transfers is also linked to electron transfer to the catalytic site (ferryl-oxidized,  $\mathbf{F} \rightarrow \mathbf{O}$ , transition). An analysis of these reactions in the framework of theory indicates that that the simpler,  $\mathbf{P} \rightarrow \mathbf{F}$  reaction is rate-limited by proton transfer from Glu286 to the catalytic site. When the same proton-transfer events are also linked to electron transfer to the catalytic site ( $\mathbf{F} \rightarrow \mathbf{O}$ ), the proton-transfer reactions are gated by a protein structural change, which presumably ensures that the proton-pumping stoichiometry is maintained also in the presence of a transmembrane electrochemical gradient.

#### Keywords

Respiration; Electron transfer; Cytochrome *aa*<sub>3</sub>; Membrane protein; Electrostatics; Energy transduction

# 1. Introduction

Cytochrome *c* oxidases (Cyt*c*Os) catalyze the oxidation of cytochrome *c* and reduction of  $O_2$  to  $H_2O$ , and use the free energy derived from this reaction to pump protons across a membrane. Cyt*c*O from *Rhodobacter* (*R*.) *sphaeroides* is composed of four subunits<sup>1</sup> (SUs)

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and it carries four redox-active sites. The functional core of the CytcO is composed of SUs I and II, which hold all four cofactors. Electrons from cytochrome *c* are initially donated to a copper site composed of two copper ions (Cu<sub>A</sub>) from which the electron is transferred to a heme group, heme *a*, and then to the catalytic site composed of a heme group, heme  $a_3$ , and a copper ion, Cu<sub>B</sub> (for recent reviews on structure and function of CytcOs, see [1–9]). Even though SUIII does not hold any redox-active co-factors, it is functionally important in providing amino-acid residues that are used for proton uptake [10,11] (see also below). SUIV is composed of a single transmembrane helix and its function, if any, is unknown.

In order to translocate protons all the way across the membrane a proton pump must harbor a proton pathway that spans the entire distance across the membrane. However, the barriers within the pathway must change during the course of the reaction in order to drive proton transfer unidirectionally against the proton electrochemical potential, and in order to prevent proton leaks driven by the proton gradient. Changes in the barrier heights may be modulated by the intraprotein electron-transfer reactions [12]. Alternatively, proton transfer may be controlled by means of structural changes that would also involve changes in  $pK_{as}$  of the donors and acceptors such that in different conformational states proton uptake would take place exclusively from the more negative (*N*) side of the membrane and proton release to the other, more positive (*P*) side of the membrane. This phenomenon is often referred to as proton gating. Irrespectively of the mechanism, the design of a proton pump requires involvement of a proton-loading site (PLS), which becomes protonated from the more negative side of the membrane and then releases its proton to the other side (for a general discussion on design principles of proton pumps, see [5,6,13,14]).

In the A-type oxidases (for a discussion of a classification of the oxidases, see [15,16]), to which the mitochondrial and *R. sphaeroides* CytcOs belong, two proton pathways are used for proton uptake during O<sub>2</sub> reduction, the K and D pathways (Fig. 1). A third (H) pathway has also been suggested to be used in the mitochondrial CytcO [17], but it is not functional in the *R. sphaeroides* CytcO [18] and therefore it is not discussed here. The K pathway is used for uptake of two substrate protons upon reduction of the catalytic site ("substrate" refers to the protons being used for reduction of O<sub>2</sub> to water at the catalytic site, c.f. "pumped protons"), while the D pathway is used to transfer the remaining two substrate protons as well as all four protons that are pumped across the membrane [19–21]. The D pathway starts near a highly conserved Asp residue (Asp132) and leads to another highly conserved residue, Glu286. The two proto-natable residues are connected by a chain of 10–12 water molecules, which form a hydrogen-bonded chain. The PLS of CytcO has not been identified, however, there is consensus in the field that the trajectory leads via one of the heme  $a_3$  propionates (propionate D), "above" Glu286, and propionate A is a possible candidate for a PLS [22–25] (see Fig. 1).

Proton pumping in CytcO takes place in four well-defined reaction steps where electrons are transferred consecutively, one-by-one to the catalytic site (see Fig. 2a) [13,26–28]. Upon transfer of the first electron to CytcO, the state that is formed is denoted  $E^1$  (where the superscript denotes the number of electrons transferred to the catalytic site). Upon transfer of the second electron the catalytic site, both heme  $a_3$  and Cu<sub>B</sub> are reduced ( $\mathbf{R}^2$ ) and oxygen binds to heme  $a_3$  forming state  $\mathbf{A}^2$  (not shown in Fig. 2a). In the next step the O–O bond is broken, which results in formation in a state called peroxy (for historical reasons), denoted  $\mathbf{P}^2$  (also  $\mathbf{P}_M$ ). Transfer of a third electron to the catalytic site results in formation of the ferryl state  $\mathbf{F}^3$ . Finally, transfer of a fourth electron results in formation of the oxidized CytcO,  $\mathbf{O}^4$ :  $\mathbf{O}^0 \rightarrow \mathbf{E}^1 \rightarrow (\mathbf{R}^2 \rightarrow \mathbf{A}^2 \rightarrow \mathbf{P}^2) \rightarrow \mathbf{F}^3 \rightarrow \mathbf{O}^4$ , where the reaction in parentheses involves only O<sub>2</sub> binding and O–O bond cleavage. Each of the steps outside of the parentheses involves the proton transfers to the catalytic site, which drives the proton translocation in each of these four steps (note that states  $\mathbf{O}^0$  and  $\mathbf{O}^4$  are equivalent when

considering the number of electrons residing at the catalytic site. This is because in both cases heme  $a_3$  and Cu<sub>B</sub> are oxidized).

Because residue Glu286 is located at the end of the D proton pathway, it defines a branching point from which protons are transferred along different trajectories to the catalytic site and the PLS, respectively (see Fig. 1). A possibility to study these proton transfers separately from the electron transfer is offered when investigating the reaction of the four-electron reduced CytcO with O<sub>2</sub> (for review see e.g. [3]). Here, after binding of O<sub>2</sub> to the catalytic site, an electron is transferred directly from heme *a* forming a state that is chemically similar to  $P^2$  ( $P_M$ ), but which carries one more electron at the catalytic site. This state is formed with a time constant of ~30 µs and it is called  $P^3$  (also  $P_R$ ) (Fig. 2b). Because in  $P^3$  there is one more electron transfer, but only proton transfer to the catalytic site, as well as proton pumping, both with a time constant of ~100 µs at pH 7 [29], which is rate-limited by proton transfer from Glu286 to the catalytic site [30,31]. During steps  $P^3 \rightarrow F^3$  and  $F^3 \rightarrow O^4$  the substrate as well as pumped protons transferred through the D pathway [21]. In other words, in each of these reactions two protons are transferred through the D pathway, where one is transferred to the catalytic site while the other is transferred to the PLS.

In order to elucidate these processes, in the present study we have investigated the temperature dependencies of reactions associated with proton and deuteron-transfer through the D pathway in the wild-type four-subunit CytcO, in the two-subunit CytcO (lacking SUIII, SUIII–) in which proton uptake to the D pathway is impaired during the  $P^3 \rightarrow F^3$  reaction and occurs over the same time scale as the  $F^3 \rightarrow O^4$  transition (see Fig. 2c) [11,32] and in a mutant of the CytcO (Asn139Asp) in which proton pumping is impaired [33,34] (see Fig. 2d). The kinetic (deuterium) isotope effects (KIE2, i.e. the ratio of a specific reaction rate measured in H<sub>2</sub>O and in D<sub>2</sub>O) for the wild-type and N139D mutant CytcO have been reported previously, but not their temperature dependencies. The KIEs for the SUIII–CytcO have not been reported previously. We noted that earlier studies of KIEs have provided major functional insight into enzymatic systems [35,36]. In addition, the temperature dependence of nuclear quantum mechanical effects (NQM) can provide valuable information about the potential of mean force for the donor–acceptor distance [37] and thus can help in understanding proton-transfer mechanisms in CytcO.

## 2. Materials and methods

#### 2.1. Preparation of R. sphaeroides CytcO

The *R. sphaeroides* bacteria were grown aerobically in shaker incubators and the His-tagged wild-type and Asn139Asp mutant (obtained from R.B. Gennis, [33]) variants of CytcO were purified using affinity chromatography as described in [38]. To obtain enzyme lacking subunit III (SUIII–), wild-type CytcO was treated with Triton X-100 [39] and the absence of SUIII was confirmed using SDS-PAGE. The purified enzyme was stored in -80 °C until use.

#### 2.2. Preparation of fully reduced CytcO

The CytcO buffer in which the enzyme was stored was replaced by buffer containing HEPES (0.1 M, pH 7.5) or CAPS (0.1 M, pH 10.0), 100  $\mu$ M EDTA and 0.05% n-dodecyl- $\beta$ -D-maltoside (DDM) using concentration tubes (Amicon Ultra, Ultracel 100K, 1.16 Millipore). The CytcO was found to be stable at pH 10 as after lowering the pH from 10 to ~7 the same behavior was observed as with the pH 7 samples. Samples to be used in D<sub>2</sub>O

<sup>&</sup>lt;sup>2</sup>The ratio of proton/deuteron transfer rates in H<sub>2</sub>O and in D<sub>2</sub>O, respectively.

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experiments were prepared in the same way (the fraction of  $H_2O$  remaining after buffer exchange was estimated to be less than one percent). The samples were diluted to a final concentration of 10  $\mu$ M and transferred to an anaerobic cuvette. The air in the cuvette was exchanged for  $N_2$  on a vacuum line and CytcO was reduced by adding 2 mM reductant and 1  $\mu$ M redox mediator (for samples in  $H_2O$  ascorbate and hexaammineruthenium (III) chloride, and for samples in  $D_2O$  ascorbate and phenazine methosulfate was used). When the enzyme was fully reduced the  $N_2$  gas was removed and replaced by CO. The reduction of the enzyme as well as binding of CO was verified using UV–vis spectroscopy.

The experiments in H<sub>2</sub>O and in D<sub>2</sub>O were done at the same pH-meter reading (pH<sub>obs</sub>). Due to the deuterium-isotope effect on the pH-glass electrode, pD=pH<sub>obs</sub> +0.4 (see [40]). The deuterium-isotope effect on the pK<sub>a</sub> of a titratable group has a similar magnitude (i.e., pK<sub>aD</sub> =pK<sub>aH</sub> +0.4). Thus, at a given pH-meter reading the "protonation" state of the group is approximately the same in H<sub>2</sub>O and D<sub>2</sub>O. However, the concentration of deuterons in D<sub>2</sub>O or protons in H<sub>2</sub>O is different at a given pH-meter reading. Consequently, the major fraction of the experiments in this study was done at pH<sub>obs</sub> =7.5 where the pH dependence of the reactions that were studied is small.

#### 2.3. Temperature dependence studies using flow-flash spectroscopy

Fully reduced, CO-bound CytcO was transferred to a modified stopped-flow apparatus (Applied photophysics) and rapidly mixed (<10 ms) with a buffer saturated with oxygen at a ratio of 1:5 (~1 mM  $O_2$  after mixing at room temperature). The CO ligand was removed using a short laser flash (~8 ns pulse, 200 mJ, 532 nm, Nd-YAG Quantel Brilliant B) after which  $O_2$  could bind to the catalytic site of CytcO. The reaction between CytcO and oxygen was monitored by measuring absorbance changes at specific wavelengths. To be able to study the temperature dependence of this reaction, the temperature was controlled around the cuvette using a thermostated water-circulating bath. The temperature was measured around the reaction cuvette. We also verified that the effect on the measured rates as a result of temperature-induced pH changes of the solutions (c.f. temperature coefficient of the buffer) was negligible.

The solubility of  $O_2$  in water changes by a factor of two between 0 °C and 30 °C and the  $O_2$  concentration determines the rate of  $O_2$  binding to heme  $a_3$  in CytcO. However, in a saturated  $O_2$  solution at 20 °C this reaction rate is at least a factor of ~10 faster than that of the reactions studied in this work. Therefore, changes in  $O_2$  solubility do not affect the results from the present study.

# 3. Theory

The proton transfer from Glu286 to the catalytic site during the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  reaction is the most likely rate determining process of the overall reaction and exploration of the temperature dependence of the KIE can in principle shed light on the details of this proton transfer path. The results from our previous study of this path [41] indicated that it most likely involves a bridge of two water molecules where the proton-transfer reaction is coupled to a rotation of Glu286. In the present work we focus on a parametric examination of the KIE and on relating the results to specific molecular factors.

The theoretical analysis can be done by evaluating the actual empirical valence bond (EVB) surface of the proton-transfer process [42] and using the quantum classical path3 (QCP) centroid path integral approach developed in previous studies of nuclear quantum

<sup>&</sup>lt;sup>3</sup>If not otherwise indicated, amino-acid residues are numbered according to the *Rhodobacter sphaeroides* CytcO sequence.

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mechanical (NQM) effects in chemical reactions in solution and proteins [43,44]. This strategy has been adopted recently by other research groups as well (see e.g. [45–47]).

In the QCP approach, the nuclear quantum mechanical rate constant is expressed as

$$k_{\rm qm} = F_{\rm qm} k_{\rm B} T / h \exp\left(-\beta \Delta G_{\rm qm}^{\ddagger}\right) \tag{1}$$

where  $F_{qm}$ ,  $k_B$ , T, h, and  $\beta$  are, respectively, the transmission factor, the Boltzmann constant, the temperature, the Planck constant, and  $\beta = 1/k_BT$ . The quantum mechanical activation

barrier,  $\Delta G_{qm}^{\ddagger}$ , includes almost all the nuclear quantum mechanical effects, whereas only small effects originate from the pre-exponential transmission factor in the case of systems with a significant activation barrier [48,49].

The quantum mechanical free energy barrier,  $\Delta g_{qm}^{\ddagger}$ , can be evaluated using Feynman's path integral formulation [50], where each classical coordinate is replaced by a ring of quasiparticles that are subjected to the effective "quantum mechanical" potential:

$$U_{qm} = \sum_{k=1}^{p} \frac{1}{2p} M \Omega^2 \Delta x_k^2 + \frac{1}{p} U(x_k)$$
<sup>(2)</sup>

Here,  $\Delta x_k = x_{k+1} - x_k$  (where  $x_{p+1} = x_1$ ),  $\Omega = p/\beta$ , *p* is the number of quasiparticles, *M* is the mass, and *U* is the actual potential used in the classical simulation. The total quantum mechanical partition function can then be obtained by running classical trajectories of the quasiparticles with the potential  $U_{qm}$ . The probability of being at the transition state is this way approximated by a probability distribution of the center of mass of the quasiparticles (the centroid) rather than the classical single point. Thus, we follow the strategy of the centroid path integral method [51–53]. However, the regular centroid approach requires one to consider the trajectory of all the quasiparticles and thus the use of this approach in condensed phase reactions are very challenging and may have major convergence problems. The QCP approach offers an effective and rather simple way for evaluating this probability without changing the simulation program significantly. This is done by propagating classical trajectories on the classical potential energy surface of the reacting system and using the positions of the atom of the system to generate the centroid position for the quantum mechanical partition function. This treatment is based on the finding that the quantum mechanical partition function,  $Z_q$ , can be expressed as [43,44,54,55]:

$$Z_{q}(\overline{x}) = Z_{cl}(\overline{x}) \langle \exp\left\{-(\beta/p) \sum_{k} U(x_{k}) - U(\overline{x})\right\} \rangle_{fp} \rangle_{U}$$
(3)

coincides with the current position of the corresponding classical particle, and  $\langle ... \rangle_U$  designates an average over the classical potential *U*. Using Eq. (3), we can obtain the quantum mechanical free energy surface by evaluating the corresponding probability by the combined free energy perturbation umbrella sampling (FEP-US) approach of the EVB method [42]. Now we use the double average of Eq. (3) rather than an average over a regular classical potential. At any rate, the main point of the QCP is that the quantum-mechanical free energy function can be evaluated by a centroid approach that is constrained to move on the classical potential. This provides stable and relatively fast converging results that have

been shown to be quite accurate in studies of well defined test potentials, where the exact quantum mechanical results are known (e.g. [43]).

In order to obtain the NQM effect we run classical trajectories of the quasiparticles on the "quantum mechanical" potential given by Eq. (2). For these trajectories, we have used 18 particles (less than 10 particles gives unstable results). Also, since any reliable protein investigation should average the relevant energies over different protein configurations, the energy of the quasiparticle trajectory has been averaged for every 0.5 fs of a 20 ps protein

simulation. In the end, the  $\Delta\Delta G_{cl \rightarrow qm}^{\ddagger}$  term is averaged over 20,000 protein and quasiparticle configurations. In order to compensate for the comparatively short simulation over the protein configurations (it turns out to be very time consuming to average both over protein and quasiparticle configurations with an 18 particle system), the system was first equilibrated thoroughly for 500 ps.

While the QCP can be very effective we can also obtain significant insights by using a simplified vibronic approach [45,56–60] in a parametric way. The vibronic treatment provides a quasiharmonic rate constant which involves a Boltzmann sum from contributions  $(k_{\text{am, bm}})$  of transitions between vibronic states. The high temperature limit of each vibronic rate constant is given by [56,57].

$$k_{am,bm'} = |H_{ab}S_{mm'}/\hbar|^2 \left(\pi h^2/k_{\rm B}T\lambda\right)^{1/2} \exp\left\{-\Delta g_{mm'}^{\neq}\beta\right\}$$
(4)

where we consider the activation barriers  $\Delta g^{\neq}$  relative to the minimum of the reactant state, instead of the  $\Delta G^{\neq}$  that reflects all the available configurations in the reactant state (see [36]), and the effect of the reactant state configuration is assumed to be included in the vibronic sum. Here  $\lambda$  is the "reorganization energy" defined by

$$\lambda = \langle \Delta \varepsilon_{\rm ba} \rangle_a - \Delta G_0 \tag{5}$$

where  $\Delta \varepsilon_{ba}$  and  $\Delta G_0$  are the energy gap between the potentials of state b and a (averaged over trajectories on state a) and standard free energy. Eq. (4) reflects the probability of a vibronic transition from the reactant well to the product well (as determined by the vibrational overlap integrals (the  $S_{mm'}$ ) modulated by the chance that  $\Delta \varepsilon$  would be zero). This chance is determined by the activation free energy,  $\Delta g^{\neq}$ , whose value can be approximated by

$$\Delta g_{mm'}^{\neq} \approx \left[ \Delta G^{0} + \sum_{r} \hbar \omega_{r} (m_{r}^{'} - m_{r}) + \lambda \right]^{2} / 4\lambda \tag{6}$$

where  $\omega_r$  is the vibrational frequency of the X–H bond. This relationship is only applicable if the system can be described by the linear response approximation (see [56]), but this *does not* require the system to be harmonic. The above vibronic treatment is similar to the expression developed by Kuznetsov and Ulstrup [60]. However, the treatment that leads to Eq. (13), which was developed by Warshel and coworkers [61]} [56,57], is based on a more microscopic approach and leads to much more consistent treatment of  $\Delta g^{\neq}$ , where we can use rigorously  $\Delta G^0$  rather than  $\Delta E$ . Furthermore, our dispersed polaron (spin boson) treatment [56] gives a clear connection between the spectral distribution of the solvent

fluctuations and the low temperature limit of the rate constant. It is also useful to note that Borgis and Hynes [62] and Antoniou and Schwartz [63] have used a similar treatment but considered only the lowest vibrational levels of the proton.

As we repeatedly noted (e.g. [37]), the use of the above vibronic treatment is only valid in the diabatic limit when  $H_{ab}S_{mn}^2$  is sufficiently small. Now, in cases of proton transfer, hydrogen transfer and hydride transfer (HT) processes,  $H_{ab}$  is far too large to allow for a diabatic treatment. However, it is more significant to ask what is the magnitude of  $H_{ab}S_{mn}^2$ . Here we note that for  $0\rightarrow 0$  transitions,  $S_{mn}$  may be quite small, since it is given by  $S_{00}$ =exp{ $-\Delta^2/4$ } where  $\Delta$  is the dimension-less origin shift. Now, using the fact that  $\Delta$  is 6.5 for  $\Delta r=0.6$  Å and the proper dimensionless conversion (e.g. [64,65]), we obtain for proton and hydrogen transfer (or the corresponding deuterated system) the relationship [37]:

$$k_{ao,bo}^{H} \propto H_{ab}^{2} \exp\left(-\Delta_{H}^{2}/4\right) \times \exp\left(-\Delta g_{00}^{\sharp}\beta\right) \cong H_{ab}^{2} \exp\left(-58 \times \Delta r^{2}\right) \times \exp\left\{-\Delta g_{00}^{\sharp}\beta\right\}$$

$$k_{ao,bo}^{D} \propto H_{ab}^{2} \exp\left(-\Delta_{D}^{2}/4\right) \times \exp\left(-\Delta g_{00}^{\sharp}\beta\right) \cong H_{ab}^{2} \exp\left(-58 \times \Delta r^{2} \times \sqrt{2}\right) \times \exp\left\{-\Delta g_{00}^{\sharp}\beta\right\}.$$
(7)

With this we can write

$$k_{ao,bo}^{H}(\Delta r)/k_{ao,bo}^{D}(\Delta r) \approx \exp\left\{24\Delta r^{2}\right\}.$$
(8)

This expression is given for single  $\Delta r$  and a more consistent expression can be obtained by integrating the vibronic rate constant over the "soft" coordinates, and in particular the X…Y distance *R*, which is related to  $\Delta r$  as  $\Delta r = R - 2b$ , where *b* is the X–H bond length, which is typically 1.05 Å. This can be done by writing:

$$\overline{k}_{ab} = \int k_{ab}(R) \exp\{-w(R)\beta\} dR / \int \exp\{-w(R)\beta\} dR$$
(9)

where w(R) is the potential of mean force (PMF for the donor-acceptor) distance. If the main contribution to  $k_{ab}$  originates from  $k_{a0,b0}$ , then we can approximate the KIE by

$$KIE \cong \bar{k}_{a0,b0}^{H} / \bar{k}_{a0,b0}^{D} \cong \int F(R,T) e^{-58(R-2b)^{2}} dR / \int F(R,T) e^{-58(R-2b)^{2}\sqrt{2}} dR$$
(10)

where

$$F(R,T) = \left(H_{ab}^2(R)/\sqrt{\lambda(R)}\right) \exp\left\{-\left(w(R) + \Delta g_{00}^{\neq}(R)\right)\beta\right\}.$$
(11)

If we assume that  $\lambda$  and  $H_{ab}$  are independent of *R* in the region with the largest contribution to  $k_{00}$  we can write

$$KIE \cong \int \exp\left[-58(R-2b)^2 - w^{\neq}(R)\beta\right] dR / \int \exp\left[-58(R-2b)^2 \sqrt{2} - w^{\neq}(R)\beta\right] dR$$
(12)

where  $w^{\neq}(R) = w(R) + \Delta g_{00}^{\neq}(R)$ . Eq. (12) can be used as a qualitative guide, but we must keep in mind that we are dealing with a very qualitative approximation here (see [37]).

In order to obtain useful parametric representation for the above equations we introduced the following approximations:

$$\Delta g_{00}^{\pm}(R) = \left[ \Delta G^{0} + \lambda(R) \right]^{2} / 4\lambda(R)$$
  

$$\lambda(R) = 80(R-2)^{2} + 40 \quad \text{if } R > 2$$
  

$$= 10(R-2)^{2} + 40 \quad \text{if } R \le 2$$
  

$$w(R) = A \exp(R_{0}/R) + K(R-R_{0})^{2} \quad (13)$$

where, *K* and *R*<sub>0</sub> are the force constant and position of the minimum, respectively, for the potential of mean force (PMF) between the donor and acceptor. The first (exponential) term signifies the repulsive part of the PMF and A is the corresponding pre-exponential factor. In the presented results we have used  $K = 7.0 \text{ kcal/mol}^{-1} \text{ Å}^{-2}$  and  $R_0 = 4.0 \text{ Å}$ . Also, we have used  $\Delta G^0 = 0$ .

In the adiabatic limit we have to use the QCP approach, writing

$$\overline{k}_{qm} \approx \int k(R)_{qm} e^{-w(R)\beta} dR / \int e^{-w(R)\beta} dR$$

$$= \int (k_B T/h) e^{-\left[\Delta g^{\pm}_{cl}(R) + w(R)\right]\beta} e^{-\Delta \Delta g^{\pm}_{qm}(R)\beta} dR / \int e^{-w(R)\beta} dR$$
(14)

where  $\Delta \Delta g_{qm}^{\neq}$  is the quantum correction. We can also write

$$\overline{k}_{qm} = \int P(R)_{al}^{\neq} (k_{p}T/h) e^{-\Delta\Delta_{g}^{\neq}(R)\beta}$$
(15)

where

$$P(R) = e^{-(\Delta g_d^{\sharp}(R)\beta + w(R)\beta)} \int e^{-w(R)\beta} dR = e^{-\Delta w^{\sharp}(R)\beta} \int e^{-w(R)\beta} dR$$
(16)

is the classical probability of being at the transition state for different values of the donor and acceptor distance and *w* is the potential of mean force, PMF, for the distance between the donor and acceptor.

#### 4. Experimental results

In Fig. 3 are shown absorbance changes at 580 nm during oxidation of the four-electron reduced CytcO in H<sub>2</sub>O and D<sub>2</sub>O. The increase in absorbance in the time range 100–300 µs is associated with formation of the  $\mathbf{F}^3$  state from  $\mathbf{P}^3$  ( $\mathbf{P}_{\mathbf{R}}$ ) ( $\tau \cong 100 \ \mu$ s) while the following decrease in absorbance (in the time range >300 µs) is associated with the  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  reaction. The ratio of the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  and  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  rates measured in H<sub>2</sub>O ( $k_{\mathrm{H}}$ ) and in D<sub>2</sub>O ( $k_{\mathrm{D}}$ ), i.e. the KIE was ~2.0 and 7.7 at ~20 °C (i.e. at room temperature, RT), respectively, consistent with previous observations [66–71]. The temperature dependence of the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  and  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  transition rates for the wild-type CytcO in H<sub>2</sub>O and D<sub>2</sub>O is shown in Fig. 4a, and the parameters extracted from these data are summarized in Table 1.

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The same reaction was also investigated with CytcO in which SUIII was removed (SUIII-) (Fig. 2c). Without SUIII proton uptake through the D pathway is slowed such that after proton transfer from Glu286 to the catalytic site during the  $P^3 \rightarrow F^3$  transition, re-protonation of the Glu from solution is delayed by a factor of >10 [11,32]. In other words, the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$ transition occurs only as a result of internal proton transfer to the catalytic site and the reaction is not accompanied by proton uptake from solution [32]. The next reaction,  $F^3 \rightarrow O^4$ thus involves a net uptake of at least two protons (see Fig. 2c), one proton is transferred to the catalytic site, a second proton is transferred to re-protonate Glu286. The SUIII-CytcO pumps protons with a stoichiometry of ~65% of that of the wild-type CytcO [72]. Because there is no proton uptake in  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  reaction, in Fig. 2c we have assumed that one proton is pumped during the  $F^3 \rightarrow O^4$  reaction. This assumption does not affect the conclusions from the present study. For the SUIII–CytcO the KIE for the  $P^3 \rightarrow F^3$  and  $F^3 \rightarrow O^4$  rates was ~2.2 and 5.2 at RT, respectively, i.e. similar to the values obtained with the intact wild-type CytcO. Fig. 4b shows the temperature dependence of the  $P^3 \rightarrow F^3$  and  $F^3 \rightarrow O^4$  transition rates in H<sub>2</sub>O and in D<sub>2</sub>O, and the parameters extracted from these data are summarized in Table 1.

We also investigated the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  and  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  reactions in a CytcO mutant that is fully active, but in which proton pumping is uncoupled from O<sub>2</sub> reduction, Asn139Asp [33,73– 77]. In this mutant CytcO each of these transitions is linked to the uptake of one proton to the catalytic site, but there is no uptake or release of protons that are pumped (see Fig. 2d). For the Asn139Asp CytcO the KIE for the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  and  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  rates was ~2.0 and 7.0 at RT, respectively, i.e. similar to the values obtained with the intact wild-type CytcO. The temperature dependence of the reactions in H<sub>2</sub>O and in D<sub>2</sub>O is shown in Fig. 4c. The reaction was also investigated at pH 10 because in this mutant CytcO the Glu286 pK<sub>a</sub> is increased from 9.4 in the wild-type (data not shown, but the parameters are given in Table 1 along with all other parameters for this mutant CytcO) [31] to ~11 [73].

#### 4.1. Determination of the thermodynamic parameters from the data

The empirical, experimentally determined Arrhenius activation energies,  $E_a$ , were calculated from the slope of:

$$\ln k_{\rm H/D} = \ln A - E_a / R\left(\frac{1}{T}\right) \tag{17}$$

where  $k_{H/D}$  represents the reaction rate in H<sub>2</sub>O (subscript "H") or D<sub>2</sub>O (subscript "D"), *A* is a constant, *R* is the molar gas constant and *T* is the temperature. The  $E_a$  values for all experiments outlined above are summarized in Table 1.

The transition state theory gives:

$$k_{\rm H/D} = \frac{k_{\rm B}T}{h} e^{\Delta S^{\pm}/R} e^{-\Delta H^{\pm}/RT}$$
(18a)

$$\ln k_{\rm H/D} = \left(\ln \frac{k_B T}{h} + \frac{\Delta S^{\neq}}{R}\right) - \frac{\Delta H^{\neq}}{R} \frac{1}{T}$$
(18b)

$$\Delta H^{\neq} = -R \frac{d\ln k_{\rm H/D}}{d(1/T)} \tag{19}$$

$$\Delta G^{\neq} = \Delta H^{\neq} - T \Delta S^{\neq} \tag{20}$$

$$\Delta G^{\neq} = -RT \ln\left(k_{\rm H/D}/\frac{k_{\rm B}T}{h}\right) = -RT \ln\left(k_{\rm H/D}/6 \cdot 10^{12}\right)$$
(21)

where  $\Delta H^{\neq}$ ,  $\Delta S^{\neq}$  and  $\Delta G^{\neq}$  are the standard enthalpy, entropy and Gibbs energy of activation, respectively. It has been established that in condensed phase and in biological systems Eq. (18a) is extremely useful and that it only needs to be multiplied by a transmission factor to give the exact classical term (see [78]). Furthermore, there is now consensus in the field that the transmission factor is close to one when  $\Delta G^{\neq} > 5$  kcal/mol (see references in [55] and [49]). In addition, even the inclusion of NQM does not change these conclusions because the main NQM corrections are included in the quantum free energy (see [43,53]) and the quantum transmission factor also appears to be close to one [79].

In view of the discussion above,  $\Delta H^{\neq}$  was determined from Eq. (19),  $-T\Delta S^{\neq}$  from ln  $k_{\text{H/D}}$  at 1/T=0 (see Fig. 4) and  $\Delta G^{\neq}$  from Eq. (20). The data are summarized in Table 1. From these data we can also estimate the KIE as a function of 1/T, which is shown for the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  and  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  reactions in Fig. 5a and b.

# 5. Discussion

We have investigated the temperature dependencies of the H/D KIEs of the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  and  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  reactions in the wild-type CytcO as well as in two structural CytcO variants, one in which proton uptake from solution is delayed and one in which proton pumping is uncoupled from O<sub>2</sub> reduction. As discussed below, an analysis of these reactions in the framework of theory indicates that that the simpler,  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  reaction is rate-limited by proton transfer from Glu286 to the catalytic site. This proton transfer involves a change in the configuration of the Glu286 side chain, but this movement is not suggested to be involved in proton gating. When the same proton-transfer events are also linked to electron transfer to the catalytic site, i.e. the  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  reaction, the proton-transfer reactions are gated by a protein structural change, presumably controlling the release of the pumped proton from the PLS.

In this section, we start with a general discussion of proton transfer and pumping in the investigated reaction steps of CytcO and then we continue to a more detailed discussion in the framework of theory.

#### 5.1. Background

Results from earlier studies showed that the kinetic-isotope effects (KIEs) are distinctly different for the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  ( $\tau \approx 100 \ \mu s$  at pH 7.5) and  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  ( $\tau \approx 1 \ m s$  at pH 7.5) reactions. First, we recapitulate the differences and similarities of these two reactions (see Fig. 2). The  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  reaction involves all events that occur during CytcO turnover, i.e. electron transfer via heme *a* to the catalytic site, proton uptake to the catalytic site as well as the uptake and release of a proton that is pumped. In contrast, for the preceding step,  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$ , the electron is already present at the catalytic site (in  $\mathbf{P}^3$ ) and the reaction only involves proton transfer to

the catalytic site as well as the uptake and release of a proton that is pumped across the membrane. In other words, the difference between the  $P^3 \rightarrow F^3$  and  $F^3 \rightarrow O^4$  reactions is that only the latter involves intramolecular electron transfer to the catalytic site.

The  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  reaction, which in the wild-type CytcO is accompanied by proton uptake from solution, displays a small KIE of ~2, which is typical for a proton (deuteron) transfer from a donor to a nearby acceptor. The  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  reaction displays a much bigger KIE of 7–8 in the *R. sphaeroides* CytcO [67,69,80] and it was found that this large KIE is associated with an event (possibly a structural change) that controls both electron transfer to the catalytic site and the release of the proton that is pumped across the membrane [70]. This structural change is also likely to be linked to a re-orientation of the Glu286 side chain [81]. These conclusions are based on the following additional observations, which are relevant for the discussion below:

- i. Even though the uptake of the substrate proton, pumped proton and formation of the  $\mathbf{F}^3$  state during in the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  reaction display a KIE of ~2, the release of the pumped proton in this reaction step displays a KIE of ~7, i.e. the same KIE as that observed for the  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  reaction [70]. In other words, the release of the pumped proton is delayed in D<sub>2</sub>O. One interpretation of this observation is that the  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  rate is determined by the proton release.
- ii. During the F<sup>3</sup>→O<sup>4</sup> transition, as already mentioned above, all reaction steps display the same large KIE of 7–8 (τ ≈ 1 ms in H<sub>2</sub>O and ~7 ms in D<sub>2</sub>O at pH/pD 7). If intramolecular *electron transfer* is slowed by more than a factor 100 to ~150 ms (in the Met263Leu mutant CytcO [69]), the reaction still slows by a factor of ~8 in D<sub>2</sub>O (τ ≈ 1.3 s). However, if *proton uptake* is slowed by a factor of ~500 to yield a time constant of ~0.5 s (in the Asp132Asn mutant CytcO), the KIE was only 1.6 [71]. In other words, it appears that the large KIE of 7–8 is associated with reactions that control electron transfer and proton transfer *within* CytcO itself; if the F<sup>3</sup>→O<sup>4</sup> reaction is slowed due to proton uptake from the *outside* solution, no further slowing in D<sub>2</sub>O is observed.

#### 5.2. Thermodynamic parameters for the different types of CytcO

The data from this study show that for the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  reaction in the wild-type CytcO the  $\Delta H^{\neq}$ values are almost a factor of ~2 larger in D<sub>2</sub>O than in H<sub>2</sub>O, while the opposite is observed for  $-T\Delta S^{\neq}$ , yielding similar  $\Delta G^{\neq}$  values. This difference between the  $\Delta H^{\neq}$  and  $-T\Delta S^{\neq}$ parameters is discussed in more detail below. Essentially the same KIE,  $\Delta H^{\neq}$  and  $-T\Delta S^{\neq}$ values were obtained for the two-subunit CytcO where the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  transition is only associated with internal proton transfer from Glu286 to the catalytic site and is not followed by immediate re-protonation of Glu286 (Glu286 is left in the ionized state) (see Fig. 2c). Also with the non-pumping Asn139Asp mutant CytcO the KIE for the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  reaction was about the same as for the wild-type CytcO. Furthermore, as with the wild-type and SUIII– CytcOs, the  $\Delta H^{\neq}$  values were smaller in H<sub>2</sub>O than in D<sub>2</sub>O while the  $-T\Delta S^{\neq}$  values were larger in H<sub>2</sub>O than in D<sub>2</sub>O. However, for the Asn139Asp mutant CytcO the  $\Delta H^{\neq}$  and  $-T\Delta S^{\neq}$ values were in general slightly larger and smaller, respectively, than the corresponding values in the wild-type CytcO. This difference is not surprising given that the configuration of Glu286, the internal proton donor to the catalytic site, is likely to be different [41,81].

The situation is notably different for the  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  reaction. Here, the  $\Delta H^{\neq}$  and  $-T\Delta S^{\neq}$  values were within the error the same in H<sub>2</sub>O and D<sub>2</sub>O for both the wild-type and two-subunit (SUIII–) CytcOs, i.e. for each type of CytcO the two thermodynamic parameters were insensitive to isotopic exchange. When considering the difference between the wild-type and the SUIII– CytcOs, we note that  $\Delta H^{\neq}$  was larger for the former and that in the latter case the value of  $-T\Delta S^{\neq}$  was negative (see more detailed discussion below).

As already mentioned above, the observation of a much larger KIE for the  $F^3 \rightarrow O^4$  than for the  $P^3 \rightarrow F^3$  reaction suggest that these reactions are likely to be rate-limited by proton transfer process of different nature. Furthermore, the observation that the thermodynamic parameters associated with the  $F^3 \rightarrow O^4$  reaction were insensitive to the isotopic exchange indicates that the reaction involves significant structural changes around the donor and/or acceptor.

A different situation was obtained in the non-pumping Asn139Asp mutant CytcO where the activation enthalpy values were different in H<sub>2</sub>O and D<sub>2</sub>O for the  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  reaction;  $\Delta H^{\neq}$  was smaller in H<sub>2</sub>O (~10 kcal/mol) than in D<sub>2</sub>O (~15 kcal/mol). Furthermore, in D<sub>2</sub>O the –  $T\Delta S^{\neq}$  value was negative (while it was positive in H<sub>2</sub>O). These data indicate that for the  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  transition the rate-limiting reaction(s) is different in the non-pumping Asn139Asp mutant than in the wild-type CytcO. Furthermore, the data with the Asn139Asp mutant CytcO support the conclusion that in the wild-type CytcO the rate-limiting reaction is closely linked to the release of pumped protons (the Asn139Asp mutant CytcO does not pump protons in H<sub>2</sub>O, but in D<sub>2</sub>O it displays a pumping stoichiometry of ~30%).

# 5.3. Computational results, the $P^3 \rightarrow F^3$ reaction

We first discuss the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  reaction, which is rate-limited by internal proton transfer from Glu286 to the catalytic site. Using Eqs. (9), (12) and (13) above, and looking for the best parameters to reproduce the observed experimental data in Figs. 4 and 5 we obtained the results presented in Fig. 5c and d. The calculated slope in Fig. 5d that reproduced the observed slope for the KIE for the Asn139Asp mutant in the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  transition was obtained with  $R_0 = 4.0$  Å. A PMF with slightly larger  $R_0$  was needed for the wild-type CytcO, which means that in this case the equilibrium distance between the proton donor and acceptor is larger than for the Asn139Asp mutant CytcO. This would be the case, for example, if in the wild-type CytcO the Glu286 side chain points away from the catalytic site compared to the Asn139Asp CytcO (see [41]) as observed in the X-ray crystal structure of the mutant CytcO from *P. denitrificans* [82].

Although we have not performed here systematic QCP calculations of the temperature dependence (because the analysis is not straightforward (see [37])), it is useful to point here to the potential of this approach in the analysis of reactions in CytcO. For example, our recent study for the Asn139Asp mutant [41] has generated the surface shown in Fig. 6 for the proton transfer from Glu286 to the catalytic site through a bridge of two water molecules (W<sub>1</sub> and W<sub>2</sub> in Fig. 6). This surface reflects also the PMF of rotating Glu286 to a position, which brings the donor and acceptor to an optimal distance ( $R_0 = 3.0$  Å). The figure also includes the NQM correction calculated by the QCP approach for protons and deuterons, leading to a KIE of ~2. When the donor and acceptor distance increases to 3.5 Å the KIE becomes ~3.

#### 5.4. Computational results, analysis of the thermodynamic parameters

The slope of the temperature (1/T) dependence of the KIE for the  $\mathbf{F^3} \rightarrow \mathbf{O^4}$  transition in the Asn139Asp mutant CytcO is similar to the corresponding dependence in the case of the  $\mathbf{P^3} \rightarrow \mathbf{F^3}$  transition (compare ratios of  $E_a$  values in H<sub>2</sub>O and D<sub>2</sub>O, Table 1), which is consistent with the same proton transfer being rate limiting, i.e. the absence of proton pumping by this mutant CytcO. However, in order to obtain more accurate data, this issue must be explored with QCP calculations and with EVB free energy surface for the  $\mathbf{F^3} \rightarrow \mathbf{O^4}$  transition.

The decomposition of the activation free energy change,  $\Delta G^{\neq}$ , to the entropic and enthalpy components (Table 1) can potentially offer insights into the molecular nature of the proton-

transfer process. For example, for the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  reaction the activation entropy is negative in all cases (positive  $-T\Delta S^{\neq}$ ). This means that the reacting system has a smaller available configurational space at the transition state. The reduction in configurational space is fully consistent with the fact that the proton transfer involves a change from a neutral pair (Glu286 – H<sub>2</sub>O) to an ion pair (Glu286<sup>-</sup> – H<sub>3</sub>O<sup>+</sup>). In the transition state the water molecules and polar protein residues are partially oriented towards the charges of the ion pair and this reduces the entropy of the system. An additional factor is associated with the constraint on the rotation of Glu286, which is relatively fixed at the transition state.

Another feature is the fact that the entropic contribution is larger in H<sub>2</sub>O than in D<sub>2</sub>O. Here, we can invoke entropy–enthalpy compensation, since the nuclear quantum mechanical (NQM) effect leads to a larger reduction in  $\Delta H^{\neq}$  for the proton than for the deuteron. The resulting compensation by the activation entropy is reflected in the overall activation entropy (which includes a NQM contribution and a classical contribution). However, we can also obtain this trend from the vibronic treatment (see below). It should also be noted that the same behavior for the proton and deuteron NQM entropies has been observed with other systems such as e.g. lypoxygenase [83] and alcohol dehydrogenase [84]. However, the trend in the classical treatment is expected to be different (e.g. negative activation entropy in ADH, see [55]) due to the different charge distributions in the transition and ground states.

The general behavior of the quantum contribution to the activation free energy can be explored by using the vibronic treatment discussed above. In doing so it is useful to consider a harmonic approximation for the effective barrier using:

$$w^{\neq}(R) = w(R) + \Delta g_{00}^{\neq}(R) \cong \Delta g_{\min}^{\neq} + K(R - R_0)^2.$$
(22)

Substituting this expression in Eq. (9) gives:

$$k \propto \int_{-\infty}^{\infty} \exp\left[-58\sqrt{m}(R-2b)^2 - \beta\left\{\Delta g_{\min}^{\neq} + K(R-R_0)^2\right\}\right].$$
 (23)

Note that for simplicity the integration range has been extended over  $(-\infty,\infty)$ . This is a reasonable approximation because the integral has almost no contribution for *R*<0. Using Eq. (23) we obtain:

$$-T\Delta S^{\neq} = -\frac{1}{2\beta} \ln\left(\frac{\pi}{58\sqrt{m}+\beta K}\right) - \frac{K}{2(58\sqrt{m}+\beta K)} + \frac{58\sqrt{m}\beta(R_0 - 2b)^2}{\left[(58\sqrt{m}/K)^2 + \beta\right]^2}.$$
(24)

One can easily verify from the above expression that for physically meaningful numerical values, the first two terms have very small contribution. Consequently, we can approximate  $-T\Delta S$  as:

$$-T\Delta S^{\neq} \approx \frac{58\sqrt{m}\beta(R_0 - 2b)^2}{\left[\left(58\sqrt{m}/K\right)^2 + \beta\right]^2}.$$
(25)

#### 5.5. Computational results, comparison to experiments

The above relation provides a few important physical insights, namely (*i*)  $-T\Delta S^{\neq}$  increases rapidly with increasing  $R_0$ , where the position of the minimum of  $w^{\#}(R)$  moves to higher

values, and (*ii*) for intermediate values of the force constant *K*, we can find that  $-T\Delta S^{\neq}$  is lower in deuterium than in hydrogen. Thus,  $-T\Delta S^{\neq}$  (D)– $(-T\Delta S^{\neq}$  (H)) becomes negative, i.e. there is agreement between experiment and theory (Table 1) for the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  reaction, but not the  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  reaction, which indicates that the latter is rate-limited by another event than a pure proton transfer (see below).

It should be clarified that the above general behavior depends strongly on the PMF used and it suffers from the fact that the vibronic treatment is invalid in the range for *R* values <2.6 Å (see analysis in [85]). Thus a more general verification would require QCP path integral calculations at different temperatures but this is left to subsequent studies.

Our main point in the discussion of the quantum entropic effect is to establish that the trend in the sign of  $-T\Delta S^{\neq}$  (D)–( $-T\Delta S^{\neq}$  (H)) is consistent with the observed trend in the KIE for different enzymes and with qualitative considerations. The details of this behavior can provide information on the PMF for the donor–acceptor distance, but extracting the quantitative trend is challenging and can only be done with full QCP type analysis. On the other hand, the observation of a large KIE and its temperature dependence is easier to analyze because it reflects large donor–acceptor distances where the vibronic treatment is valid. In addition, the classical  $-T\Delta S^{\neq}$  does provide important information on changes in the environment of the donor and acceptor system.

At this point it is useful to turn to the observed temperature dependence of the KIE. As was derived from an analysis of mutants of dihydrofolate reductase (DHFR) [37] there is considerable temperature dependence in cases where the contribution is large from configurations with large donor–acceptor distances. In the case of CytcO one has to be careful with the analysis because a specific reaction step may involve several proton-transfer events [41]. Thus, it is most straightforward to start with the Asn139Asp mutant CytcO, which does not pump protons.

The simplest explanation for the larger KIE for the  $F^3 \rightarrow O^4$  than for the  $P^3 \rightarrow F^3$  reaction is that the proton donor-acceptor distance is larger in the  $F^3 \rightarrow O^4$  than in the  $P^3 \rightarrow F^3$  reaction. However, this scenario is unlikely because the two reactions involve proton transfer through the same (D) pathway to the catalytic site. Alternatively, the exotermicities of the protontransfer reactions may be different due to different proton acceptors at the catalytic site [23] and thereby the electrostatic effects on the proton transfer would be different. However, we exclude this possibility as the larger KIE appears to be linked to proton transfer on the proton-release (P) side of the CytcO and not proton transfer to the catalytic site (see discussion above). Yet another possibility, which is consistent with other results discussed above, is that the PMF for rotating Glu286 is steeper in the  $F^3 \rightarrow O^4$  than in the  $P^3 \rightarrow F^3$ reaction. This explanation is consistent with the proton transfer during the  $F^3 \rightarrow O^4$  reaction being controlled by a change in structure above the hemes if such a change is tightly linked to a reorientation of the Glu286 side chain (as discussed in detail in [81]).

Another major effect is the disappearance of the temperature dependence of the KIE for the SUIII– CytcO in the  $\mathbf{F^3} \rightarrow \mathbf{O^4}$  reaction. Here, it is possible that rate-determining proton-transfer step is different than in the intact wild-type CytcO. We note that while for the wild-type CytcO at the initiation of the  $\mathbf{F^3} \rightarrow \mathbf{O^4}$  reaction Glu286 is protonated, for the two-subunit CytcO Glu286 is likely to be in the ionized state because there is no proton uptake during the  $\mathbf{P^3} \rightarrow \mathbf{F^3}$  reaction [32] (Fig. 2c). Consequently, the  $\mathbf{F^3} \rightarrow \mathbf{O^4}$  reaction involves proton transfer all the way through the D pathway, rather than from Glu286 followed by reprotonation of the residue (as in the wild-type CytcO). Furthermore, with the SUIII–CytcO, two substrate protons are taken up on the time scale of the  $\mathbf{F^3} \rightarrow \mathbf{O^4}$  reaction (c.f. one with the wild-type CytcO) (c.f. Fig. 2b and c); one proton to the catalytic site and one to re-

protonate the ionized Glu286. Interestingly, in the  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  step with the SUIII– CytcO the sign of the activation entropy  $(-T\Delta S^{\neq})$  is different from that obtained with the wild-type CytcO (see Table 1), which indicates that the proton-transfer transition state is different for the SUIII– CytcO. One explanation of this result is that the system moves from a charged ground state to less polar transition state, which is consistent with Glu286 carrying a charge upon initiation of the  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  reaction (see Fig. 2c). Even though the proton transfer to the catalytic site requires Glu286 to be re-protonated, because of the different initial state, the proton transfer may involve a different pathway, e.g. via a water molecule. Alternatively, in this case proton transfer to the ionized Glu286 may be rate limiting for the overall process.

# 6. Summary and concluding remarks

The data show that for the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  reaction qualitatively the same or similar KIEs, thermodynamic parameters (or the ratio of these parameters in H<sub>2</sub>O and D<sub>2</sub>O) (Table 1) and temperature dependencies of the KIE (Fig. 5) were observed for the wild-type, SUIII– and Asn139Asp CytcOs. This indicates that the same proton-transfer reaction is rate limiting irrespectively if the reaction involves (*i*) proton transfer all the way through the D pathway to the catalytic site and proton pumping (wild-type CytcO, see Fig. 2b), (*ii*) internal proton transfer from Glu286 to the catalytic site, no proton transfer through the D pathway to reprotonate Glu286 and presumably no proton pumping (SUIII– CytcO, see Fig. 2c), and (*iii*) proton transfer all the way through the D pathway to the catalytic site, but no proton pumping (Asn139Asp mutant CytcO, see Fig. 2d). Thus, the data are consistent with the proton transfer from Glu286 to the catalytic site being rate-limiting for this reaction.

The situation is strikingly different for the  $F^3 \rightarrow O^4$  reaction, which in the wild-type CytcO involves the same proton-transfer events as the  $P^3 \rightarrow F^3$  step and differs from the latter only in that it involves internal electron transfer to the catalytic site. First, the  $F^3 \rightarrow O^4$  reaction displays a significantly larger KIE in all investigated CytcO variants. Next, for the (pumping) wild-type and SUIII– CytcOs, the  $\Delta H^{\neq}$  and  $-T\Delta S^{\neq}$  values were the same in H<sub>2</sub>O and D<sub>2</sub>O, although they differed between the two enzyme types. For the Asn139Asp mutant CytcO, which does not pump protons in  $H_2O$  but pumps to some extent in  $D_2O$  [73], the  $\Delta H^{\neq}$  and  $-T\Delta S^{\neq}$  were different in H<sub>2</sub>O and D<sub>2</sub>O. Furthermore, in all cases the  $\Delta H^{\neq}$ contributions were significantly larger and the  $-T\Delta S^{\neq}$  were significantly smaller for the  $F^3 \rightarrow O^4$  than for the  $P^3 \rightarrow F^3$  step. In addition, while the temperature dependencies of the KIE were similar for all enzyme forms in the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  step (Fig. 5a), significant differences were observed for the  $F^3 \rightarrow O^4$  reaction (Fig. 5b). Thus, a comparison of the thermodynamic parameters for the  $P^3 \rightarrow F^3$  and  $F^3 \rightarrow O^4$  reactions indicates that these reactions involve different rate-determining steps. Furthermore, the theoretical analysis indicates that while the  $P^3 \rightarrow F^3$  reaction is rate-limited by proton transfer to the catalytic site, the  $F^3 \rightarrow O^4$ reaction is rate-limited by a structural change, presumably related to the function of CytcO as a proton pump. It should be noted that the computational results discussed above indicate a slight movement of the Glu286 side chain during the  $P^3 \rightarrow F^3$  reaction, but this change in configuration is only part of the proton-transfer event and it is not implied to be the structural change that is suggested to be rate-determining for the  $F^3 \rightarrow O^4$  reaction.

As described in the "Introduction" section, the operation of any redox-driven proton pump requires control of internal electron and proton-transfer reactions to prevent leaks and assure that at any step of the reaction protons are taken up from the "correct", *N* side, of the membrane. Controlling internal electron transfer and proton transfer from the *N*-side of the membrane to the catalytic site by the release of the pumped proton to the *P*-side (as suggested here for the  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  reaction) is one way to solve this problem. In an early discussion of the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  reaction it was pointed out [86] that it is "artificial" in that it occurs only in the four-electron (fully) reduced CytcO where electron transfer from heme *a* 

to the catalytic site is faster than the proton transfer to the catalytic site (see discussion above). Even though the conclusion that proton pumping does not occur [86] in this reaction was proven to be incorrect [29,87] (these are technically difficult measurements and was not straightforward to demonstrate proton pumping in a single, specific reaction of CytcO), it is a fact that the  $P^3 \rightarrow F^3$  reaction is unique in that it is the only reaction step in CytcO that does not involve simultaneous electron transfer to the catalytic site (c.f. Fig. 2). As already mentioned above, the electron transfer to form the  $\mathbf{P}^3$  state (*i*) is not linked to any proton uptake from solution [88] and (ii) it occurs also when the internal proton donor to the catalytic site, Glu286, is removed by mutation (Glu286Gln mutant CytcO) [89]. Because the state of the CytcO upon initiation of the  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  is different from that upon initiation of the  $F^3 \rightarrow O^4$  reaction (as well as other steps during CytcO turnover, which all involve simultaneous electron transfer to the catalytic site), it is possible that the mechanism of proton gating during  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  is different. In other words, the sequence of events during  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  leads to proton pumping by the same mechanism as in any other step of the reaction. only that in this case the reaction is not controlled (rate-limited) by the structural changes referred to above. A corollary of this statement is that proton pumping during  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  may be more sensitive to changes in the transmembrane electrochemical potential because it is not controlled (as tightly as) the other steps of the CytcO reaction (it should be noted that all measurements of proton pumping during  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  so far have been made in the absence of a transmembrane potential).

In summary, the discussion above suggests that understanding the (simpler)  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  reaction offers insights into internal proton-transfer reactions that eventually lead to pumping while during the  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  reaction, which is representative of any other reaction step during turnover, there is an additional level of control, which ensures that the proton-pumping stoichiometry is maintained also in the presence of a transmembrane electrochemical gradient.

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# Abbreviations

CytcO	cytochrome c oxidase
Ν	P-side, negative and positive sides of the membrane, respectively
SU	subunit; time constants are given as (rate constant)-1
PLS	proton-loading site
KIE	kinetic isotope effect
PMF	potential of mean force
QCP	quantum classical path
k <sub>B</sub>	Boltzmann constant
h	Planck constant
Τ	temperature
Ea	Arrhenius activation energy
M	mass

U	potential used in the classical simulation
F <sub>qm</sub>	transmission factor
λ	the "reorganization energy"
k <sub>H</sub>	$k_{\rm D}$ , proton or deuteron-transfer rate constants
$\Delta H^{\neq}$	standard enthalpy of activation
$\Delta S^{\neq}$	standard entropy of activation
$\Delta G^{\neq}$	standard Gibbs energy of activation
$\Delta g^{\ddagger}$	the quantum mechanical activation barrier
$\Delta g^{\neq}$	activation free energy relative to the minimum of the reactant state
Р	number of quasiparticles
$\Delta \epsilon_{ba}$	"energy gap" between the reactant and product states
$Z_{\mathbf{q}}$	quantum mechanical partition function
ω	effective vibrational frequency
w	potential of mean force
S <sub>mm</sub>	vibrational overlap integral
R	distance between the proton donor and acceptor

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#### Fig. 1.

Structures of the D and K proton pathways in CytcO from *R. sphaeroides*. The positions of amino-acid residues discussed in this work are indicated. The red spheres are water molecules. During CytcO turnover electrons are transferred from Cu<sub>A</sub> (not seen in this figure, located above heme *a*) to heme *a* and then to the catalytic site, which consists of heme  $a_3$  and Cu<sub>B</sub>. Protons that are pumped across the membrane are transferred through the D pathway. The figure was prepared using the program PyMOL [90] (cytochrome *c* oxidase (PDB ID: 1M56)). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



#### Fig. 2.

Schematic representation of electron and proton-transfer reactions in CytcO. The CytcO is illustrated by colored boxes where the circles represent the redox-active metal sites (empty and filled circles represent oxidized and reduced sites, respectively). In (b)–(d), EH and E<sup>-</sup> are the protonated and deprotonated forms of Glu286, respectively. (a) During turnover when electrons are transferred to the catalytic site one-by-one. (b) During reaction of the four-electron (fully) reduced wild-type CytcO with O<sub>2</sub>. In the  $P^3 \rightarrow F^3$  reaction there is no internal electron transfer and the transition is only accompanied by proton uptake and pumping. During  $F^3 \rightarrow O^4$  there is electron transfer to the catalytic site (in reality the electron at  $Cu_A$  equilibrates with heme a in  $F^3$ , but for simplicity it is indicated to be present at  $Cu_A$ ) as well as proton uptake and pumping (c.f. the corresponding reactions during turnover in (a)). (c) SUIII- CytcO, same reaction as in (b). Here, there is no proton uptake from solution during  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  and during  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  two protons are taken up (to the catalytic site and to reprotonate Glu286) and there is presumably also proton pumping. (d) Asn139Asp CytcO, same reaction as in (b), except that here there is no proton pumping. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



### Fig. 3.

Absorbance changes at 580 nm associated with reaction of the four-electron reduced CytcO with O<sub>2</sub>: (a) wild-type CytcO (b) SUIII (–) CytcO and (c) Asn139Asp mutant CytcO in H<sub>2</sub>O (green) and D<sub>2</sub>O (red). The increase in absorbance is associated with the  $P^3 \rightarrow F^3$  reaction, while the following decrease in absorbance is associated with the  $F^3 \rightarrow O^4$  reaction. The rate constants of the  $P^3 \rightarrow F^3$  and  $F^3 \rightarrow O^4$  reactions were extracted from these data at 580 nm (as well as at 445 nm in the case of the  $F^3 \rightarrow O^4$ ) by fitting the traces to sums of exponential functions. The black solid lines show such fits. The rate constants are plotted in Fig. 4. Experimental conditions: ~2  $\mu$ M reacting enzyme, 0.1 M HEPES pH-meter reading 7.5, 100  $\mu$ M EDTA, 0.05% DDM, ~1 mM O<sub>2</sub> (at RT) and the temperature was ~20 °C. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



#### Fig. 4.

Temperature dependence of the  $\mathbf{P^3} \rightarrow \mathbf{F^3}$  and  $\mathbf{F^3} \rightarrow \mathbf{O^4}$  rates with (a) wild-type Cyt*c*O (b) SUIII (–) Cyt*c*O and (c) Asn139Asp mutant Cyt*c*O in H<sub>2</sub>O and D<sub>2</sub>O at a pH meter reading of 7.5. The experiments in H<sub>2</sub>O and D<sub>2</sub>O were done at the same pH-meter reading because the deuterium-isotope effect on the pH-glass electrode has about the same magnitude as that on the pK<sub>a</sub> of protonatable groups. Thus, at a given pH-meter reading in H<sub>2</sub>O and D<sub>2</sub>O, respectively, the protonation state of the protonatable groups is approximately the same. The rates were extracted from absorbance changes at 580 nm and 445 nm.



#### Fig. 5.

The observed kinetic isotope effect (KIE), defined as  $k_{\rm H}/k_{\rm D}$ , as a function of the inverse temperature (1/T) for the (a)  $\mathbf{P}^3 \rightarrow \mathbf{F}^3$  and (b)  $\mathbf{F}^3 \rightarrow \mathbf{O}^4$  reactions. In (a) and (b) the rates were determined using the parameters shown in Table 1. (c) The logarithm of rate constants  $k_{\rm H}$  and  $k_{\rm D}$  as function of 1/T and (d) the KIE, defined as  $k_{\rm H}/k_{\rm D}$ , as function of 1/T. In c and d the rate constants were determined from calculations.







Calculated free energy profile for the proton transfer between Glu286 and the OH<sup>-</sup>in the catalytic site for the Asn139Asp mutant CytcO. We indicate the calculated QCP NQM corrections for hydrogen (H) and deuteron (D) for both ground and transition states. The corresponding total NQM corrections lead to an isotope effect of ~2.

# Table 1

Kinetic-isotope effects and thermodynamic and kinetic parameters of the  $\mathbf{P}^{3} \rightarrow \mathbf{F}^{3}$  and  $\mathbf{F}^{3} \rightarrow \mathbf{O}^{4}$  reactions in the wild-type (WT), two-subunit (SUIII-) and Asn139Asp (N139D) mutant CytcOs. The parameters are extracted from the data shown in Fig. 4. The data with the Asn139Asp mutant CytcO at pH 10 are not shown in that figure.

	$H_2O or$	${ m P}^3 { ightarrow} { m F}^3$					${\rm F}^3{\rightarrow}0^4$				
	D <sub>2</sub> O (pH meter)	KIE at RT	$E_{ m a}$ (kcal/mol)	∆ <i>H</i> <sup>≠</sup> (kcal/mol)	-TAS (kcal/mol)	$\Delta G^{\neq}$ (kcal/mol)	KIE at RT	$E_{\rm a}$ (kcal/mol)	∆ <i>H</i> <sup>≠</sup> (kcal/mol)	$-T\Delta S^{\neq}$ (kcal/mol)	$\Delta G^{\neq}$ (kcal/mol)
WT	H <sub>2</sub> O (7.5)		$5.4 \pm 0.6$	$4.8 \pm 0.6$	$6.3 \pm 0.4$	11±1		$12.0 \pm 0.8$	$11.4 \pm 0.8$	$1.07 \pm 0.05$	12.5±1
ΜT	D <sub>2</sub> O (7.5)	~2.0	$9.3{\pm}1.3$	8.7±1.3	$2.7\pm0.3$	$11\pm 2$	~7.7	$13.0 \pm 0.9$	$12.4 \pm 0.9$	$1.19 \pm 0.09$	13.6±1
SUIII–	H <sub>2</sub> O (7.5)		$4.3 \pm 0.7$	$3.7\pm0.7$	$7.8 {\pm} 0.7$	12±2		$17.5 \pm 0.2$	$16.9 \pm 0.2$	$-4.52\pm0.05$	$12.4\pm0.3$
SUIII–	D <sub>2</sub> O (7.5)	~2.2	$8.5 \pm 0.4$	$7.9{\pm}0.4$	$3.9{\pm}0.1$	$12\pm 0.5$	~5.2	$17.2 \pm 0.4$	$16.6 \pm 0.4$	$-3.27\pm0.07$	$13.3 \pm 0.5$
N139D	H <sub>2</sub> O (7.5)		7.9±1.6	7.3±1.6	$3.6{\pm}0.5$	11±2		$11.0 \pm 0.4$	$10.4 \pm 0.4$	$1.79 \pm 0.06$	$12.2 \pm 0.5$
N139D	D <sub>2</sub> O (7.5)	~2.0	$11\pm 1$	$10 \pm 1$	$1.0 \pm 0.07$	11±1.2	~7.0	$15.5 \pm 0.4$	$14.9 \pm 0.4$	$-1.79\pm0.05$	$13.1 {\pm} 0.5$
N139D	H <sub>2</sub> O (10)		$7\pm 2$	$6\pm 2$	$5\pm 1$	$11\pm3$		$13.6 \pm 1$	$13\pm 1$	$1.0\pm0.1$	$14.0{\pm}1.1$