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## Can Prostate Specific Antigen Velocity Thresholds Decrease Insignificant Prostate Cancer Detection?

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### Abstract

**Purpose**—A controversy of current PSA-based prostate cancer screening is the over-detection of potentially insignificant prostate cancer. Because PSA kinetics have previously been linked to prostate cancer-specific mortality, our objective was to determine whether PSA velocity (PSAV) was associated with clinically significant prostate cancer.

**Materials and Methods**—From 1992 to 2008, 1073 men underwent radical prostatectomy with data on PSA velocity and tumor volume. “Insignificant” cancer was defined by the Ohori criteria (organ-confined, tumor volume  $\leq 0.5$  cc, no primary or secondary Gleason pattern 4 or 5). We calculated the proportion of men with pathologically “insignificant” prostate cancer, stratified by PSAV.

**Results**—A preoperative PSAV  $>0.4$  ng/ml was significantly associated with high-grade disease ( $p=0.008$ ), positive surgical margins ( $p=0.003$ ), and seminal vesicle invasion ( $p=0.007$ ) at radical prostatectomy. The median tumor volume was also significantly higher among men with a preoperative PSAV  $>0.4$  ng/ml/year (3.1 vs. 2.4 cc,  $p=0.0001$ ). Overall, 69 (6%) met the Ohori criteria for “insignificant” cancer. Patients with a preoperative PSAV  $>0.4$  ng/ml/year were 50% less likely to have “insignificant” disease (10% vs. 5%, respectively,  $p=0.003$ ).

**Conclusions**—A PSAV threshold of 0.4 ng/ml/year distinguished between men who did or did not meet published pathology criteria for potentially “insignificant” prostate cancer. These results suggest that PSAV may be a useful adjunct in prostate cancer screening to increase the specificity for identifying patients with clinically significant disease.

### Keywords

prostate cancer; insignificant; screening; PSA; PSA velocity

## INTRODUCTION

Currently there is considerable controversy over PSA-based screening. The European Randomized Study of Screening for Prostate Cancer demonstrated a 20% reduction in cancer-specific mortality in the screening arm.<sup>1</sup> However, they reported that 1410 men would need to be screened and 48 treated to prevent one prostate cancer death.

Correspondingly, there is considerable concern regarding the overdiagnosis of potentially insignificant prostate cancer. Nevertheless, current clinical staging modalities are limited in their ability to accurately predict tumor biology. This has fueled an ongoing search for more specific markers for clinically significant prostate cancer.

One promising marker is PSA velocity.<sup>2</sup> Our research group has previously demonstrated a relationship between PSA velocity and Gleason grade in the radical prostatectomy specimen.<sup>3</sup> Specifically, the median preoperative PSAV was 0.84, 0.97, and 1.39 ng/mL/year in patients with a prostatectomy Gleason score of 6, 7, and 8-10, respectively ( $p=0.05$ ). Other studies have demonstrated a relationship between pretreatment PSA velocity with the risk of prostate cancer-specific mortality.<sup>4-6</sup> Taken together, these findings suggest that PSAV (or a rapidly rising PSA) may represent a surrogate marker for prostate cancer aggressiveness. Conversely, a low PSAV (small PSA slope over time) might indicate a greater likelihood of indolent disease.

The 2007 National Comprehensive Cancer Network Guidelines and others have suggested the use of a PSAV threshold of ~0.35 to 0.4 ng/ml/year in prostate cancer screening protocols.<sup>7, 8</sup> We tested whether this PSA velocity threshold was associated with the pathology features and likelihood of finding histologically “insignificant” prostate cancer at radical prostatectomy.

## METHODS

From 1992 to 2002, approximately 26,000 men participated in a prostate cancer screening study, as previously described.<sup>9</sup> PSA and digital rectal examination (DRE) were performed at 6 to 12 month intervals, and biopsy was recommended for a PSA level >4.0 (before 1995) or >2.5 ng/ml (after 1995), or findings suspicious for cancer (induration or irregularity) on DRE. From this population, 1374 underwent radical prostatectomy by a variety of different surgeons. Of these men, 556 met the following inclusion criteria for the present study: tumor volume data and multiple preoperative PSA measurements to enable a PSAV calculation. Included men from the screening study were slightly older (64 vs. 63,  $p=0.02$ ) and a greater proportion were Caucasian (92% vs. 89%,  $p=0.09$ ).

From 2003 to 2008, an additional 517 men underwent radical prostatectomy by a single surgeon at Northwestern University with data on tumor volume and PSA velocity. Accordingly, the combined overall study population included 1073 men. Compared to included men from the screening study, the 517 men from Northwestern were significantly younger ( $p<0.0001$ ), but the racial distribution was similar ( $p=0.86$ ).

Clinical and pathological features were recorded in a prospective database. Tumor volume was primarily determined by visual estimation, which has been shown to correlate well with the grid morphometric method.<sup>10</sup> Insignificant prostate cancer was defined according to the previously published Ohori criteria (organ-confined, tumor volume  $\leq 0.5$  cc, no Gleason pattern 4 or 5).<sup>11</sup> PSA velocity was calculated by regression analysis of PSA measurements within the year prior to prostate cancer diagnosis, as previously described.<sup>4</sup>

The t-test, chi-square, and Wilcoxon rank sum tests were used to compare clinico-pathological features based on PSA velocity. Logistic regression was used for multivariate analysis to predict insignificant prostate cancer. Receiver operating characteristic (ROC) analysis was performed using MedCalc. Subgroup analysis was also performed for the 464 men with a preoperative PSA level <4 ng/ml, to examine the ability of PSAV to distinguish insignificant prostate cancer at lower PSA levels. SAS was used for all statistical analysis.

## RESULTS

Table 1 shows the demographic characteristics of the study population. The mean age was 62 years, and most men were Caucasian. The median PSA at diagnosis was 4.3 ng/ml, and the majority of patients had clinical stage T1c prostate cancer with a biopsy Gleason score of 6.

Men with a PSAV >0.4 ng/ml/year had a higher PSA level at diagnosis (4.5 vs. 4.0 ng/ml,  $p<0.0001$ ) and a significantly lower proportion with clinical stage  $\geq$ T2 disease (18% vs. 27%,  $p=0.0005$ ) compared to those with a PSAV <0.4 ng/ml/year (Table 1). Age, race and the biopsy Gleason score distribution were similar between the groups.

At radical prostatectomy, 858 (80%) men had organ-confined disease (Table 2). Positive surgical margins were significantly more likely among men with a PSAV >0.4 ng/ml/year (19% vs. 12%,  $p=0.003$ ), as was seminal vesicle invasion (4% vs. 1%,  $p=0.007$ ). Similarly, patients with a PSAV >0.4 ng/ml/year were significantly more likely to have a Gleason score  $\geq$ 7 ( $p=0.008$ ) and had a significantly higher tumor volume ( $p=0.0001$ ) compared to those with a PSAV <0.4 ng/ml/year.

Median PSAV was 0.79, 0.92 and 2.78 ng/ml/year in patients with a prostatectomy Gleason score of 6 or less, 7 and 8-10, respectively ( $p<0.0001$ ). On ROC analysis, PSAV had an AUC of 0.70 to predict a prostatectomy Gleason score of 8-10.

Overall, 69 (6%) men had pathologically insignificant cancer according to the criteria by Ohori et al.<sup>11</sup> Insignificant disease was significantly more likely among men with a preoperative PSAV <0.4 ng/ml/year (10% vs. 5%,  $p=0.003$ ). The median PSAV was 0.36 ng/ml/year in men with insignificant disease versus 0.89 ng/ml/year in those who did not meet the criteria for insignificant disease ( $p=0.005$ ). Of the 1055 men with PSA <15 ng/ml, insignificant disease was reported in 10% vs. 5% of those with PSAV <0.4 vs. >0.4 ng/ml/year ( $p=0.005$ ).

On univariate analysis age (OR 1.0, 95% CI 1.0-1.1,  $p=0.04$ ), Gleason score (OR 9.3, 95% CI 2.3-38.3,  $p=0.02$ ), PSA (OR 1.4, 95% CI 1.2-1.7,  $p<0.0001$ ) and PSAV >0.4 ng/ml/year (OR 2.0, 95% CI 1.2-3.3,  $p=0.004$ ) were significantly associated with significant prostate cancer. Figure 1 shows the ROC curve to predict significant prostate cancer using PSAV alone.

On multivariate analysis (Table 3a), PSAV was an independent predictor of significant prostate cancer (OR 1.8, 95% CI 1.1-3.0,  $p=0.02$ ) after controlling for age, clinical stage, and biopsy Gleason score. After additional adjustment for PSA (Table 3b), PSAV was associated with a nonsignificant increased likelihood of significant disease. On ROC analysis, a model including age, clinical stage, biopsy Gleason score and PSA had an area under the curve (AUC) of 0.73 which increased to 0.74 with the addition of PSAV.

Finally, in the subset of 464 men with total PSA levels <4 ng/ml, 48 (10%) met the Ohori criteria for insignificant prostate cancer. Similar to the overall population, men with a PSAV <0.4 ng/ml were twice as likely to have pathologically insignificant prostate cancer, compared to those with a PSAV >0.4 ng/ml/year (14% vs. 7%,  $p=0.02$ ). A PSAV >0.4 ng/ml/year was also associated with a significantly higher tumor volume (2.3 vs. 2.1,  $p=0.03$ ) and greater proportion of Gleason  $\geq$ 7 tumors at prostatectomy (36% vs. 22%,  $p=0.002$ ). Among “low-risk” men with PSA levels <4 and a biopsy Gleason score of 6, a PSAV >0.4 ng/ml/year was associated with a 2.1-fold increased odds ( $p=0.03$ ) of significant cancer on multivariate analysis with age and clinical stage (Table 3b).

## DISCUSSION

PSA has been criticized as a marker due to its limited specificity for prostate cancer. As a result, PSA kinetics measurements such as PSA velocity (PSAV) have recently gained considerable attention.

The initial clinical report by Carter et al. in 1992 compared longitudinal changes in PSA between 20 men with BPH, 18 men with prostate cancer and 16 controls from the Baltimore Longitudinal Study on Aging.<sup>12</sup> In this non-screening population with PSA levels between 4 and 10 ng/ml, a PSAV greater than 0.75 ng/ml/year was useful to distinguish prostate cancer from benign conditions ( $p < 0.01$ ).

Subsequently, Smith and Catalona tested these results in 9,492 men with serial PSA measurements from a formal prostate cancer screening study.<sup>13</sup> Of these men, 982 underwent a prostate biopsy. Prostate cancer was detected in 47% of men with a PSAV  $> 0.75$  ng/ml/year, compared to only 11% of men with a lower PSAV.

Because all of these early studies were based upon men with PSA levels greater than 4 ng/ml, their generalizability to men with lower total PSA levels is unclear. Nevertheless, the vast majority of men aged 40 and older have PSA levels less than 4 ng/ml. According to data from the National Health and Nutrition Examination Survey (2001-2002), the overall estimated mean and median PSA levels were 1.56 (95% CI, 1.37-1.74) and 0.9 (25<sup>th</sup>-75<sup>th</sup> percentile: 0.5-1.4) ng/ml, respectively, for U.S. men aged 40 to 84 years.<sup>14</sup>

Interestingly, Carter et al. demonstrated that prostate cancer-specific mortality was significantly higher among men with a PSAV  $> 0.35$  ng/ml/year more than a decade prior to diagnosis, at a time when total PSA levels were low.<sup>6</sup> Correspondingly, some groups are now recommending the use of PSA velocity thresholds in the range of 0.3 to 0.5 ng/ml/year.<sup>7, 15</sup> The 2007 National Comprehensive Cancer Network Guidelines recommend considering a prostate biopsy for men with a PSA  $< 2.5$  ng/ml with a PSAV  $> 0.35$  ng/ml/year.<sup>8</sup>

It is unclear whether the use of these lower PSA velocity thresholds will merely increase the detection of insignificant prostate cancer, or, conversely, enhance the specificity of PSA-based screening for identifying clinically significant disease. The objective of this study was therefore to compare the tumor features and proportion of pathologically "insignificant" tumors between men with a pretreatment PSA velocity  $< 0.4$  versus  $> 0.4$  ng/ml/year.

Indeed, we found that men with a PSAV  $> 0.4$  ng/ml/year were significantly more likely to have adverse pathological features at radical prostatectomy, including positive surgical margins, seminal vesicle invasion, Gleason score  $\geq 7$ , and a higher tumor volume. Moreover, the PSAV distribution was significantly different between men who did and did not meet the Ohori<sup>11</sup> criteria for insignificant prostate cancer. Specifically, pathologically insignificant disease was 50% less likely among men with a preoperative PSAV  $> 0.4$  ng/ml/year. After controlling for age, clinical stage, and Gleason score, a PSAV  $> 0.4$  ng/ml/year was associated with approximately a 2-fold increased odds of pathologically significant prostate cancer. Although this association was slightly attenuated with the addition of PSA to the model, this is likely related to the strong interaction between the variables and requires further exploration. Interestingly, in a subset analysis of low-risk men with PSA levels  $< 4$  ng/ml and a biopsy Gleason score of 6, a PSAV  $> 0.4$  ng/ml/year was similarly associated with a 2-fold increased odds of significant disease in a multivariate model. These results suggest that PSAV might be useful to distinguish clinically significant prostate cancer among otherwise low-risk patients.

Limitations of our study include the small sample size with “insignificant” disease reducing our statistical power. In addition, both the optimal method for PSA velocity calculation and the definition for pathologically insignificant<sup>11</sup> prostate cancer are subject to debate. There are insufficient data to prove that individuals who meet pathological criteria for insignificant prostate cancer will not experience metastasis or prostate cancer death in the long term. For this reason, we also provided data on the relationship between PSA velocity with specific pathological tumor features as additional support for its role as a potential marker for prostate cancer aggressiveness. Finally, we selected the threshold of 0.4 ng/ml/year for analysis based on prior literature and National Comprehensive Cancer Network guidelines. However, this may not represent the optimal cutoff and this issue requires further prospective study.

## CONCLUSIONS

Patients with a preoperative PSAV >0.4 ng/ml/year were significantly less likely to have pathologically “insignificant” prostate cancer and had a significantly higher tumor volume at radical prostatectomy. On multivariate analysis with age, clinical stage and Gleason score, PSAV was significantly associated with the risk of clinically significant prostate cancer. Similarly, among men with a PSA <4 ng/ml and biopsy Gleason score of 6, a PSAV >0.4 ng/ml was associated with a 50% reduced odds of findings pathologically insignificant prostate cancer. These results suggest that PSAV may be useful in conjunction with other variables to help enhance the specificity of prostate cancer screening for the detection of clinically significant prostate cancer.

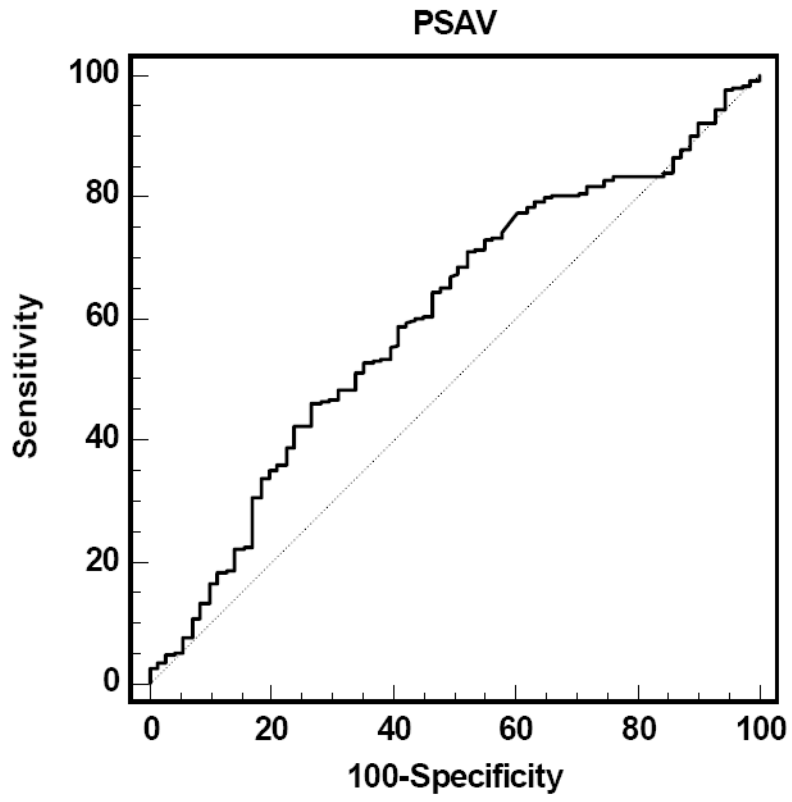
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**Figure 1.**  
ROC analysis of significant prostate cancer prediction by PSAV

**Table 1**

Clinical characteristics of the study population.

	Overall population	PSAV < 0.4 ng/ml/year	PSAV>0.4 ng/ml/year	p-value
<b>Mean Age</b>	62 (41-78)	62 (43-78)	62 (41-77)	0.42
<b>Race (%black)</b>	50 (5)	20 (5)	30 (4)	0.74
<b>Family history</b>	223/740 (30)	65 (25)	158 (33)	0.05
<b>Median PSA</b>	4.3 (0.2-63.3)	4.0 (0.2-28.8)	4.5 (1.1-63.3)	<0.0001
<b>Clinical stage</b>				
<b>T1</b>	842 (79)	270 (73)	572 (82)	
<b>≥T2</b>	228 (21)	102 (27)	126 (18)	0.0005
<b>Biopsy Gleason score</b>				
<b>6</b>	848 (79)	304 (82)	544 (78)	
<b>≥ 7</b>	219 (21)	66 (18)	153 (22)	0.13



**Table 2**

Pathological features in the study population, stratified by PSA velocity.

	Overall population	< 0.4 ng/ml/year	>0.4 ng/ml/year	p-value
<b>Organ-confined</b>	858 (80)	309 (83)	549 (79)	0.13
<b>Positive surgical margins</b>	173 (16)	43 (12)	130 (19)	0.003
<b>Extracapsular extension only</b>	140 (13)	50 (13)	90 (13)	0.85
<b>Seminal vesicle invasion</b>	31 (3)	4 (1)	27 (4)	0.007
<b>Lymph node metastases</b>	5 (0.5)	2 (0.6)	3 (0.4)	0.99
<b>Prostatectomy Gleason score</b>				
<b>6</b>	688 (64)	260 (70)	428 (61)	0.008
<b>≥ 7</b>	385 (36)	114 (30)	271 (39)	
<b>Median Tumor volume</b>	2.9 (0.0-71.2)	2.4 (0.0-37.8)	3.1 (0.0-71.2)	0.0001

**Table 3**

Multivariate analyses to predict significant prostate cancer in the (a, b) overall population, and (c) in the subset with a PSA <4 ng/ml and biopsy Gleason score of 6.

(a)		
	OR (95% CI)	p-value
Age decade	1.04 (0.99-1.07)	0.07
Clinical stage	1.0 (0.6-1.9)	0.93
Biopsy Gleason score	8.9 (2.2-36.7)	0.003
PSAV >0.4 ng/ml/year	1.8 (1.1-3.0)	0.02
(b)		
	OR (95% CI)	p-value
Age decade	1.04 (0.99-1.08)	0.07
Clinical stage	1.5 (0.8-3.0)	0.20
Gleason score	7.0 (1.7-28.9)	0.007
PSA	1.4 (1.2-1.6)	0.0002
PSAV >0.4 ng/ml/year	1.4 (0.8-2.4)	0.18
(c)		
	OR (95% CI)	p-value
Age decade	1.06 (1.01-1.11)	0.02
Clinical stage	1.3 (0.6-2.7)	0.55
PSAV >0.4 ng/ml/year	2.1 (1.1-4.1)	0.03