Evidence for Catch-up in Cognition and Receptive Vocabulary Among Adolescents Born Very Preterm

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KEY WORDS

very low birth weight, prematurity, cognitive development, language

ABBREVIATIONS

WISC-III—Wechsler Intelligence Scale for Children, Third Edition PPVT-R—Peabody Picture Vocabulary Test–Revised NSI—neurosensory impairment OR—odds ratio

CI—confidence interval

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WHAT'S KNOWN ON THIS SUBJECT: Very preterm children display neuropsychological deficits that persist into adolescence.

WHAT THIS STUDY ADDS: By adolescence, very preterm children show catch-up gains in receptive vocabulary. The absence of significant neurosensory impairment and a favorable socioeconomic milieu are associated with better cognitive developmental trajectories across school years.

abstract

BACKGROUND: Very preterm adolescents display persistent deficits in neuropsychological functions.

OBJECTIVE: To compare cognitive and language outcomes at 16 years and cognitive and receptive vocabulary trajectories throughout school years between very preterm and term children and to determine child and family factors associated with better developmental trajectories.

DESIGN AND METHODS: At 8, 12, and 16 years, 322 very preterm children with birth weights of 1250 g or less and 41 term children had cognitive and language testing. Hierarchical growth-curve modeling was used to delineate the differences in cognitive and receptive vocabulary development between participants. Cluster analyses allowed for the characterization of very preterm children with different patterns of cognitive and receptive vocabulary development.

RESULTS: At 16 years, very preterm adolescents had deficits in general cognition and higher-order language skills (phonological awareness and phonemic decoding) compared with term peers. Although the between-group difference in cognitive scores remained stable from 8 to 16 years, very preterm children demonstrated catch-up gains in receptive vocabulary during the same period. Moreover, subgroups of very preterm children displayed developmental trajectories in cognition similar to term children (55% on the vocabulary and 46% on the block-design subtests). These children had lower rates of neurosensory impairment and mothers with higher education and were from an ethnic nonminority.

CONCLUSIONS: Significant catch-up in receptive vocabulary is observed by the age of 16 years among very preterm children compared to term peers. The absence of neurosensory impairment and residing in a favorable socioeconomic milieu are associated with the most optimal developmental trajectories. *Pediatrics* 2011;128:313–322

Very preterm children are at higher risk of neuropsychological difficulties from early school age until adulthood, leading to lower school attainment[.1](#page-8-0)[–4](#page-8-1) Studies have documented impairment in cognition among very preterm children,⁵ along with deficits in different language components, such as phonological processing and vocabulary⁶⁻¹⁰ and literacy skills[.6](#page-8-3)[,11](#page-8-5)[–15](#page-8-6) Comprehensive language assessment of more recent birth cohorts that have now reached adolescent years is scarce.

Moreover, the developmental trajectory of cognitive and language functions among very preterm children remains poorly defined because of few existing longitudinal studies using similar outcome measures over time. Long-term follow-up of very preterm children enrolled in the Multicenter Randomized Indomethacin Intraventricular Hemorrhage Prevention Trial has allowed for the identification of severe neonatal brain injury and socioeconomic disadvantage as predictors of slower development in receptive vocabulary from the age of 3 to 12 years.¹⁶ Taylor et al¹⁷ also have shown that children with birth weights less than 750 g generally display poorer cognitive progress during the school-aged years than their term counterparts, especially on tasks of visual-motor integration and executive function.

The aim of this study was to compare cognitive and language outcomes between very preterm and term adolescents at the age of 16 years. The second objective was to examine the trajectory of cognition and receptive vocabulary from the age of 8 to 16 years of very preterm children in comparison with term control children. Finally, child and family factors associated with better development among very preterm children were investigated. We hypothesized that (1) very preterm adolescents would have poorer language performance than

term control children, especially with increasingly complex tasks, (2) although the gap in cognitive function between preterm and term children was expected to remain stable over time, slower gains in receptive vocabulary would be observed among those born very preterm, especially boys, given the increased vulnerability of the preterm male brain to sequelae in regions sub-serving language function,^{18,[19](#page-8-10)} and (3) the absence of severe neonatal brain injury and favorable socioeconomic status would be associated with better developmental trajectories.

DESIGN AND METHODS

Population

The study population has been previously described and comes from a cohort of 505 infants with birth weight 1250 g or less admitted to 3 hospital centers in Rhode Island, Maine, and Connecticut, between September 1989 and August 1992[.20](#page-8-11)[,21](#page-8-12) A total of 440 preterm children survived to 8 years of age and were followed until age 12 years. At 16 years, 437 survivors were available for follow-up[.6](#page-8-3)[,22](#page-8-13) From the 8 to 16-year visits, 124 term control children were recruited from the local community or randomly selected from a telemarketing list and frequency matched on age, gender, race, and zip code. Informed consent was obtained from parents and children at each assessment. The institutional review boards of all participating institutions approved of the protocols.

Data Collection

Serial standardized neuropsychological tests were conducted by trained assessors. They were blinded to participants' perinatal history and to previous psychometric scores.

General intellectual ability was measured using the Wechsler Intelligence Scale for Children, Third Edition (WISC-III)[,23](#page-8-14) from which the verbal, performance, and full-scale IQs were obtained. Raw scores on the vocabulary and block-design subtests, which are strongly correlated with general intelligence,²⁴ were used for longitudinal analyses. Vocabulary subtest measures word knowledge and is computed in the verbal IQ, whereas block-design assesses visual-spatial problem-solving skills and composes the performance IQ.

Specific language skills were assessed with the Peabody Picture Vocabulary Test–Revised (PPVT-R[\)25](#page-8-16) for receptive vocabulary development and the Comprehensive Test of Phonological Processing[.26](#page-8-17) The Comprehensive Test of Phonological Processing yields 3 composite scores: rapid naming (rapid digit naming and rapid letter-naming subtests) measures efficient retrieval of phonological information from memory; phonological awareness (blending and segmented nonwords subtests) assesses how well a person can reproduce and manipulate the sound structure (phonemes) of oral language; and phonological memory (nonword repetition subtest only) refers to coding information phonologically for short-term storage, which is important in decoding new words. Finally, reading abilities were evaluated with the Test of Word Reading Efficiency[,27](#page-8-18) in which participants were asked to read a list of real words (sight-word efficiency) and pronounceable nonwords (phonemic decoding) as rapidly as possible.

Data on neonatal, sociodemographic, and neurologic characteristics were retrieved from the study database. Bronchopulmonary dysplasia was defined as oxygen need at 28 days. Severe brain injury referred to grades 3– 4 intraventricular hemorrhage, periventricular leukomalacia and grade 2 of higher ventriculomegaly on neonatal ultrasound. Neurosensory impairment (NSI) included the presence of abnor-

mal neurologic examination, including cerebral palsy, ventriculo-peritoneal shunt, seizure disorder, hearing aids, or services for the blind.

Statistical Analysis

Because descriptive statistics on test scores at 8 and 12 years have been published previously, 6[,22](#page-8-13) only the results of the cognitive and language assessment at the age of 16 years were compared between preterm and term children, with adjustment for potential confounders (gender, maternal education, minority status, and single parenthood). Between-group mean differences and odds ratios (ORs) with 95% confidence intervals (CIs) were computed by using regression analysis.

Trajectories of cognition and receptive vocabulary were delineated using a multilevel-model approach to individual growth modeling[.28,](#page-8-19)[29](#page-8-20) Details on this technique were outlined in a previous article[.16](#page-8-7) Analyses were conducted on raw scores, which are more sensitive to change. Raw scores refer to the number of points achieved by the participant on a subtest. With increasing cognitive development, examinees succeed in passing more items on each subtest and therefore obtain higher raw scores with time. From raw scores, normreferenced measures are obtained to facilitate comparison with an agestandardized population. Growth modeling analysis was performed on WISC-III vocabulary and block-design raw scores, because of their strong correlation with general intelligence, and on PPVT-R raw scores. Two main parameters are involved in growth modeling: the "intercept parameter," which represents initial status at 8 years and the "slope parameter," which describes the rate of growth per year in cognition and receptive language from the age of 8 to 16 years. The effect of very preterm birth on the intercept and slope parameters was examined first. Interaction between preterm status and gender also was assessed. Then, covariates thought to potentially influence developmental trajectories were entered in the model (maternal education, minority status, and household structure) for adjustment.

Finally, hierarchical agglomerative cluster analysis was performed on vocabulary, block-design, and PPVT-R raw scores to identify groups of very preterm children with similar developmental patterns[.30](#page-8-21) In this type of analysis, each individual initially forms its own cluster. Later, in successive steps, similar clusters are combined on the basis of Ward's method, which attempts to minimize the sum of squares of any 2 (hypothetical) clusters. Once a cluster is formed, it cannot be split. The mahalanobis distance was used to take into account correlation in the data[.31](#page-8-22)

Only very preterm children with data at all 3 visits could be entered in the models for cluster analysis (vocabulary: $n = 309$; block design: $n = 315$; and PPVT-R: $n = 302$). Discriminant function analysis was used to estimate the percentage of children correctly classified to validate the model. Once a classification structure was retained that best represented the data, comparisons of child and family factors across the clusters were initially performed, using analysis of variance and χ^2 tests. Then, multinomial logistic regression was performed to account for the different covariates in the logistic models. For all comparisons between clusters, *P* values of -.05 were considered statistically significant. All analyses were conducted by using SAS 9.2 (SAS Institute, Inc, Cary, NC).

RESULTS

[Table 1](#page-3-0) lists the characteristics of the preterm and term cohorts at 8, 12, and 16 years of age. Over the study period, 322 preterm and 41 term participants were seen at all 3 visits and had cognitive and language testing completed. They did not differ from the entire study population in terms of gestational age, birth weight, gender, social factors, and WISC full-scale IQ (data not shown).

Outcomes at 16 Years

Differences in IQ scores between the very preterm and term cohorts remained significant at 16 years of age [\(Table 2\)](#page-4-0).

Although very preterm adolescents performed, on average, at a lower level than their term counterparts on language tasks, significant differences were not detected on tests of receptive vocabulary, rapid naming, and sightword reading, after adjustment for gender and social factors [\(Table 2\)](#page-4-0). Very preterm adolescents obtained significantly lower scores on more complex tasks of phonological awareness and phonemic decoding. Moreover, a higher proportion of very preterm adolescents scored in the impaired ranges (< 70) on the PPVT-R (OR: 3.9 [95% CI: 1.1–13.1]), on rapid naming (OR: 4.3 [95% CI: 1.3– 14.7]), on phonological awareness (OR: 9.1 [95% CI: 2.1–39.2]), and on phonemic decoding (OR: 6.5 [95% CI: 1.5–27.6]). On the sight wordefficiency scale, 8% of very preterm adolescents versus 2% of term control infants performed in the abnormal ranges. This difference, however, was not statistically significant (OR: 3.7 [95% CI: 0.9 –16.3]).

Trajectories of Cognitive and Receptive Vocabulary Development

[Table 3](#page-4-1) shows the effect of preterm birth, male gender, and the interaction

TABLE 1 Population Characteristics at the Ages of 8, 12, and 16 Years

The number of children (*n*) for whom data are available is mentioned only when there are missing data.

^a Severe brain injury includes grades 3 to 4 intraventricular hemorrhage, periventricular leukomalacia, and grade 2 and above ventriculomegaly on neonatal ultrasound.

b NSI includes the presence of any of the following: abnormal neurologic examination, including cerebral palsy, ventriculo peritoneal shunt, seizure disorder, hearing aids, and services for the blind.

of these 2 factors on growth in cognitive and receptive vocabulary scores from the age of 8 through 16 years. The intercept indicates a mean raw score for the entire study population at the age of 8 years on WISC-III vocabulary, WISC-III block design, and PPVT-R. Preterm birth conferred a disadvantage in initial raw score at 8 years of age. Preterm participants had a 5.5-point gap behind term children on vocabulary, a 7.8-point negative difference on block design, and performed 14.4 points lower on the PPVT-R. A gender effect was observed on block design only among children born at term, with term boys performing better than term girls by 7.1 points.

As expected, raw scores increased yearly by 9.8, 13.3, and 19.1 points on vocabulary, block design, and PPVT-R, respectively. Rates of change on WISC-III cognitive scores were similar between preterm and term children, regardless of gender, meaning that the overall difference in vocabulary and block-design scores observed between the 2 groups remained constant from the age of 8 through 16 years. Contrary to our hypothesis, increases in PPVT-R raw scores over time were higher among very preterm children compared with term control children, with an additional 4.0-point gain yearly. It is notable that gender

was not associated with differential rate of change in PPVT-R raw scores.

Patterns of Cognitive and Receptive Vocabulary Development

Cluster analyses revealed 4 patterns of development for WISC-III vocabulary and block-design subtests [\(Fig 1\)](#page-5-0) and PPVT-R [\(Fig 2\)](#page-5-1). Using this 4-cluster structure, discriminant analysis showed that 87% of the total preterm sample was correctly classified in the vocabulary model, 94% in block design, and 88% in PPVT-R.

In the vocabulary model, cluster A (17% of very preterm children) surpassed the term group at each assess**TABLE 2** Comparison of the Results Between Very Preterm Adolescents and Term Control Children on Tests of Cognition and Language

Adjustment for gender, maternal education, minority status, and single parent household. CTOPP indicates Comprehensive Test of Phonological Processing, TOWRE, Test of Word Reading Efficiency.

 $P < 0.005$.

 $p < 0.05$.

TABLE 3 Adjusted Individual Growth Models for Longitudinal Changes in WISC-III Vocabulary, WISC-III Block-Design, and PPVT-R Raw Scores and Effects of Preterm Birth and Gender on Growth From 8 Through 16 Years of Age

Parameters and Growth Predictors	WISC-III Vocabulary Subtest, Estimate (SE)	WISC-III Block Design Subtest, Estimate (SE)	PPVT-R. Estimate (SE)
Fixed effects			
Initial status			
Intercept	$23.5(1.5)$ ^a	$27.6(2.6)$ ^a	$99.0(5.3)$ ^a
Preterm birth	$-5.5(1.5)$ ^a	$-7.8(2.5)$ ^a	$-14.4(5.1)^{b}$
Male gender	2.3(2.0)	$7.1(3.4)^b$	3.1(7.0)
Preterm birth \times male	$-2.9(2.1)$	$-7.5(3.6)$	$-4.2(7.4)$
Rate of change	$9.8(0.8)$ ^a	$13.3(1.2)$ ^a	$19.1(1.7)$ ^a
Age, y			
Age \times preterm birth	0.3(0.8)	$-0.9(1.2)$	$4.0(1.8)^{b}$
Age \times male	$-1.7(1.1)$	$-2.6(1.7)$	$-0.3(2.5)$
Age \times preterm birth \times male	1.9(1.2)	2.8(1.8)	$-0.9(2.6)$

Models are adjusted for the level of maternal education, minority status, and single-parent household. $P < .001$.

 $p < 0.05$.

ment. Clusters B (21%) and C (38%) started with a lower score at 8 years of age compared with term control children. Scores improved over time, with cluster C catching up with term children by the age of 16 years. Finally, cluster D (25%) had significantly lower scores than all groups with an increasing gap from the age of 8 to 16 years.

On block design, cluster A* (46%) showed a similar pattern of visualspatial cognitive development to the term group. Clusters B* (21%) and C* (13%) displayed lower scores compared with term control children from the age of 8 to 16 years, with cluster C^* closing the gap with cluster B* by age 16 years. Cluster D* (20%) obtained very low scores with minimal gains over time.

Finally, regarding PPVT-R developmental trajectory, all clusters obtained lower scores at age 8 years. By age 12 years, cluster A' (28%) caught up to the term group and by age 16 years, cluster B' (33%) also displayed similar performance to control children.

Characteristics Differentiating Patterns of Development

Multinomial logistic regression allowed for the identification of factors that discriminated among the different clusters. All variables identified in [Tables 4,](#page-6-0) 5 and 6 were entered except for gestational age, because of its high correlation with birth weight.

[Table 4](#page-6-0) shows child and family characteristics for each pattern of verbal cognitive development. Clusters did not differ on birth weight, antenatal steroids, gender, multiple birth, prophylactic indo-

Patterns of cognitive development from the age of 8 to 16 years: A, WISC-III vocabulary raw score; B, WISC-III block-design raw score. Raw scores of term children are indicated with the bold line. The shadow represents CIs. Subgroups A and A* show similar patterns of cognitive development to the term cohort. Subgroup C also is catching up to the term group by the age of 16 years.

FIGURE 2

Patterns of receptive language development from the age of 8 to 16 years. PPVT-R raw scores of term children are indicated with the bold line. The shadow represents CIs. By the age of 16 years, subgroups A' and B' are catching up to the term group.

methacin, being small for gestational age, bronchopulmonary dysplasia, maternal age, bilingual environment, and household structure. Mothers in cluster A, in which children obtained the best performance over time, had higher educational levels than mothers in clusters

B, C, and D (detailed ORs available on request). Mothers in clusters B and C also were more educated than those in cluster D. Cluster A had a lower percentage of children from an ethnic minority than the other clusters. Finally, children in cluster D, who had the slowest cognitive gains over time, displayed the highest rate of NSI.

[Table 5](#page-6-1) outlines characteristics of the different clusters for longitudinal changes in block-design scores. Once again, clusters differed on maternal education, child's race and ethnicity, and presence of NSI. Children in cluster A* had mothers with higher education than in clusters B* and D*. There was a higher proportion of children from a nonminority group in cluster A* in comparison with clusters C* and D*, as well as in cluster B* compared with D*. Children in cluster D* differed from those in the other clusters by their higher rate of NSI. Children in cluster B* also were more likely to display NSI compared with those in cluster A*.

Finally, when exploring factors that allowed differentiation of the 4 patterns of receptive vocabulary development, analyses showed that children in cluster D' displayed higher rates of severe brain injury than those in the other clusters. Other child and family factors were not significant.

TABLE 4 Characteristics of the 4 Clusters for Longitudinal Changes in WISC-III Vocabulary Raw Scores

a Severe brain injury includes grades 3 to 4 intraventricular hemorrhage, periventricular leukomalacia, and grade 2 and above ventriculomegaly.

b NSI includes the presence of any of the following: abnormal neurological examination including cerebral palsy, ventriculo peritoneal shunt, seizure disorder, hearing aids, services for the blind.

a Severe brain injury includes grades 3 to 4 intraventricular hemorrhage, periventricular leukomalacia, and grade 2 and above ventriculomegaly.

DISCUSSION

This study demonstrated continuing difficulties among very preterm adolescents in cognition and higher-order language tasks when compared with term peers. However, it also highlighted the potential for catch-up in cognitive skills among very preterm children during the school-aged years. As a whole, very preterm adolescents displayed lower IQs at the age of 16 years compared with term counterparts, although this gap remained constant throughout school years. Nonetheless, subgroups of very preterm children showed progress over time and even achieved performance similar or close to term peers. Moreover, the difference in receptive vocabulary development between very preterm and term children diminished over time.

To our knowledge, only Guarini et a[l15](#page-8-6) showed that certain aspects of phonological awareness were affected during school years in Italian children born at 33 weeks' gestation or earlier. Our study also outlined persisting difficulties among very preterm adolescents on a composite measure of phonological awareness, an important skill for reading accuracy,³² especially for nonword deciphering[.33](#page-9-1) It comes as no surprise that preterm adolescents displayed lower scores compared with term peers on phonemic decoding. However, differences between preterm and term adolescents were not detected on rapid naming and sight word reading, which are correlated tasks that also involve visual processing (ie, orthographic decoding that relies on mental representation of letters or words to allow later automatic recognition), thus decreasing the demand on phonological processing[.33,](#page-9-1)[34](#page-9-2) Microstructuralimaging studies of neural pathways subserving rapid naming suggest the emergence of compensatory

TABLE 6 Characteristics of the 4 Clusters for Longitudinal Changes in PPVT-R Raw Scores

a Severe brain injury includes grades 3 to 4 intraventricular hemorrhage, periventricular leukomalacia, and grade 2 and above ventriculomegaly.

mechanisms in very preterm adolescents with engagement of both arcuate fasciculi, which connect frontal cortices to temporoparietal regions[,35](#page-9-3) in contrast to left-dominant activation in typically developing individuals. Likewise, in a functional imaging study comparing normal to poor readers, a positive correlation was found between increased activation of both dorsal inferior frontal gyri and better skills on phonological awareness among poor readers[.36](#page-9-4)

One encouraging finding is the improvement in receptive vocabulary over time in our very preterm cohort. Imaging studies show that very preterm children develop alternative neural connections for semantic processing compared with term control children, which could explain enhanced language skills.³⁷ However, the constant gap across ages between preterm and term children on WISC-III vocabulary (which requires expressive language and conceptualization) and block design could either reflect maturational lag or a limit to cerebral plasticity in the recovery of certain higher-order cognitive functions in very preterm children as a group. Nonetheless, at an individual level, some children showed potential for cognitive catch-up.

From the age of 8 to 16 years, 55% of very preterm children (clusters A and C) displayed cognitive trajectories similar to term control children, as measured by the WISC-III Vocabulary subtest, whereas 46% (cluster A*) performed at the same level as their term counterparts on block design. Factors linked to favorable socioeconomic status in the United States, such as higher maternal education and membership to a nonminority group, were associated with better developmental trajectories. Children in clusters A or A*, who exhibited the best performance over time, had both favorable child and family factors, whereas those in clusters D or D*, who fared poorly, displayed

higher rates of NSI and cumulated factors associated with lower socioeconomic status. The importance of social factors as major determinants of child physical and developmental health is well recognized regardless of prematurity status. Children evolving in families with lower socioeconomic status have poorer health³⁸ as well as delayed motor and sociocognitive development[.39,](#page-9-7)[40](#page-9-8) Moreover, the combined effects of preterm birth and social adversity expose the vulnerable child to a greater risk of slower development and lower educational attainment[.41,](#page-9-9)[42](#page-9-10) This study provides additional evidence that aggregation of both significant medical morbidities and socioeconomic disadvantage lead to unfavorable developmental trajectories. However, it cannot provide an explanation for the underlying mechanism leading to better or poorer outcomes. Favorable family factors in this study could be proxy measures of a stronger genetic background for higher IQ, better nutrition, decreased exposure to stress, easier access to medical, rehabilitation, and educational resources or better neighborhood, all potential mediators in the pathway linking the social environment to cognition. Furthermore, this study did not look at other medical factors that could affect outcomes, such as white matter abnormalities⁴³ or postnatal steroids[,44](#page-9-12) because this information was not collected during the neonatal period.

Our study draws its strength from its longitudinal nature and the use of similar measures across time, thus allowing the current statistical analyses. Despite complete sets of data on only 74% of our very preterm cohort, the 322 participants were representative of the entire group and constitute one of the largest recent preterm cohorts followed into adolescent years.

CONCLUSIONS

Although very preterm adolescents continue to display deficits in general cognition and higher-level language skills compared with term peers, significant catch up in receptive vocabulary is observed by the age of 16 years. Moreover, sub-

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groups of very preterm children demonstrate remarkable progress with increasing age. Continued research is needed to identify perinatal interventions that prevent morbidities associated with significant NSI and educational programs that promote early developmental stimulation and parenting qualities in vul-

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MATH IN PUBLIC: I have traveled a lot. In each city I stay I tend to visit a few museums. I like to explore both large museums with massive collections and smaller museums not necessarily listed in various popular travel guides. Smaller museums tend to have eclectic or very unique collections. While I have visited many small museums and even science museums, I have never been to a museum dedicated to mathematics. That may soon change. As reported in The New York Times *(Science: June 27, 2011), the Museum of Mathematics is scheduled to open in Manhattan next year. The museum is the brainchild of Glen Whitney, a former mathematics professor and retired venture capitalist, with a passion for mathematics. According to the article, he fell in love with the field of mathematics as a teen and has been involved in the field since then. After leaving teaching, he used his mathematical prowess to help develop models used by hedge funds to invest money. Retiring in 2008, he has used his connections to help raise funds for the new museum. Currently, no math museums exist in the U.S. The only one that had existed closed in 2006. A traveling proof of concept exhibit, entitled Math Midway, contains large scale, interactive props such as a bicycle with square wheels that rides smoothly to explain complex or abstract theories. Mr. Whitney hopes the museum will be exciting and help promote mathematics education in the U.S. As for me, I can't wait for the opening. I am curious to see how the museum makes mathematical concepts approachable and fun. Maybe my wife will even join me. I have taken her to so many dusty museums, Romanesque churches, and remote temples that she has practically begged me to stop. Since she is a mathematician, this is one museum she may really appreciate. We'll see.*

Noted by WVR, MD