

## ORIGINAL ARTICLE

# $\alpha$ B-Crystallin is a Novel Oncoprotein Associated with Poor Prognosis in Breast Cancer

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**Purpose:**  $\alpha$ B-crystallin, a small heat shock protein, is an anti-apoptotic protein associated with aggressive tumor behavior. A recent study revealed that  $\alpha$ B-crystallin is overexpressed in a metastatic variant of the GI101A human breast carcinoma cell line. The purpose of this study was to investigate whether  $\alpha$ B-crystallin is related to other breast tumor markers and can predict a breast cancer prognosis. **Methods:** Eighty-two patients who underwent breast cancer surgery at Hallym Sacred Heart Hospital were enrolled.  $\alpha$ B-crystallin expression was determined by immunohistochemical staining. Estrogen receptor, progesterone receptor (PR), human epidermal growth factor receptor, lymphovascular invasion, histological grade, other tumor markers and time to recurrence were compared with  $\alpha$ B-crystallin expression. **Results:**  $\alpha$ B-crystallin expression in breast cancer tis-

ues was associated with PR ( $p=0.030$ ), the number of metastatic lymph nodes (pN) ( $p=0.020$ ), lymphovascular invasion ( $p=0.022$ ), histological grade ( $p=0.004$ ) and triple negative breast cancer (TNBC) ( $p=0.004$ ).  $\alpha$ B-crystallin expression significantly decreased time to recurrence ( $p=0.039$ ). **Conclusion:** The results revealed a strong relationship between  $\alpha$ B-crystallin and poor prognostic factors such as the number of metastatic lymph nodes (especially pN2), TNBC, and rapid time to recurrence. We believe that  $\alpha$ B-crystallin could be a novel oncoprotein biomarker of a poor prognosis in breast cancer.

**Key Words:**  $\alpha$ B-crystallin, Breast neoplasms, Lymph node metastasis, Triple negative breast cancer

## INTRODUCTION

Many studies have attempted to identify predictors of a poor prognosis for breast cancer. Breast cancer can be classified into five major subtypes based on gene expression signature; luminal A, luminal B, normal breast-like, human epidermal growth factor receptor (HER2), and basal-like. Among these, basal-like breast cancer (BLBC) is associated with a poor prognosis, because these cancers are highly proliferative and invasive, and they metastasize rapidly to the lung and brain [1]. The molecular classification of breast cancer has provided new prognostic factors. One of the molecules related to prognosis is  $\alpha$ B-crystallin, which was thought to be associated with a poor prognosis in many studies.  $\alpha$ B-crystallin is a member of the conserved small heat shock protein and is expressed in diverse malignancies.

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Crystallins, including  $\alpha$ B-crystallin, are soluble proteins found primarily in the lens of the eye, and  $\alpha$ B-crystallin is found in normal and diseased non-lenticular tissue [2]. Indeed,  $\alpha$ B-crystallin has been found in malignant diseases such as gliomas, renal cell carcinomas, and breast carcinomas [3-5], and its expression correlates with poor clinical outcomes in breast and head and neck carcinomas [5-7]. Recent studies have indicated that  $\alpha$ B-crystallin is expressed in BLBCs and likely contributes to their aggressive phenotype [8]. But, it is unknown whether  $\alpha$ B-crystallin overexpression is driven by promoter transactivation, loss of transcriptional inhibition, increased DNA copy number, or by other means, such as a mutation of promoter elements [7].  $\alpha$ B-crystallin influences cytoprotective effects by functioning as a molecular chaperone to inhibit intracellular protein aggregation. Additionally,  $\alpha$ B-crystallin inhibits apoptosis in response to many different stimuli, including chemotherapy drugs, tumor necrosis factor- $\alpha$ , tumor necrosis factor-related apoptosis-inducing ligand, and reactive oxygen species through the cell death protease caspase-3 and by preventing the mitochondrial translocation of proapoptotic Bcl-2 family members such as Box [2-6].

A recent study indicated that  $\alpha$ B-crystallin is expressed in

BLBCs and predicts poor survival independent of tumor grade, lymph node metastases, estrogen receptor (ER) or HER2 status [7]. Furthermore,  $\alpha$ B-crystallin is expressed more in breast cancers with lymph node metastasis [5]. Although the anti-apoptotic function of  $\alpha$ B-crystallin is thought to be related to such a poor breast cancer prognosis, clinical studies are insufficient.

The objective of this study was to investigate the correlation between  $\alpha$ B-crystallin expression and established prognostic factors such as molecular subtypes, histological grade, and lymph node metastasis. Furthermore we wanted to determine whether  $\alpha$ B-crystallin is a novel predictor of aggressive breast cancer.

## METHODS

### Patients and tissue specimens

Eighty-two invasive ductal carcinomas (IDC) were obtained from surgical resections conducted at the Department of Surgery at Hallym Sacred Heart Hospital from August 2002 to June 2006. Ipsilateral axillary lymph node dissection was performed in all cases. All samples were paraffin-embedded, and whole tissue sections were previously fixed in 10% neutral buffered formalin or an alcoholic formalin mixture. Clinicopatho-

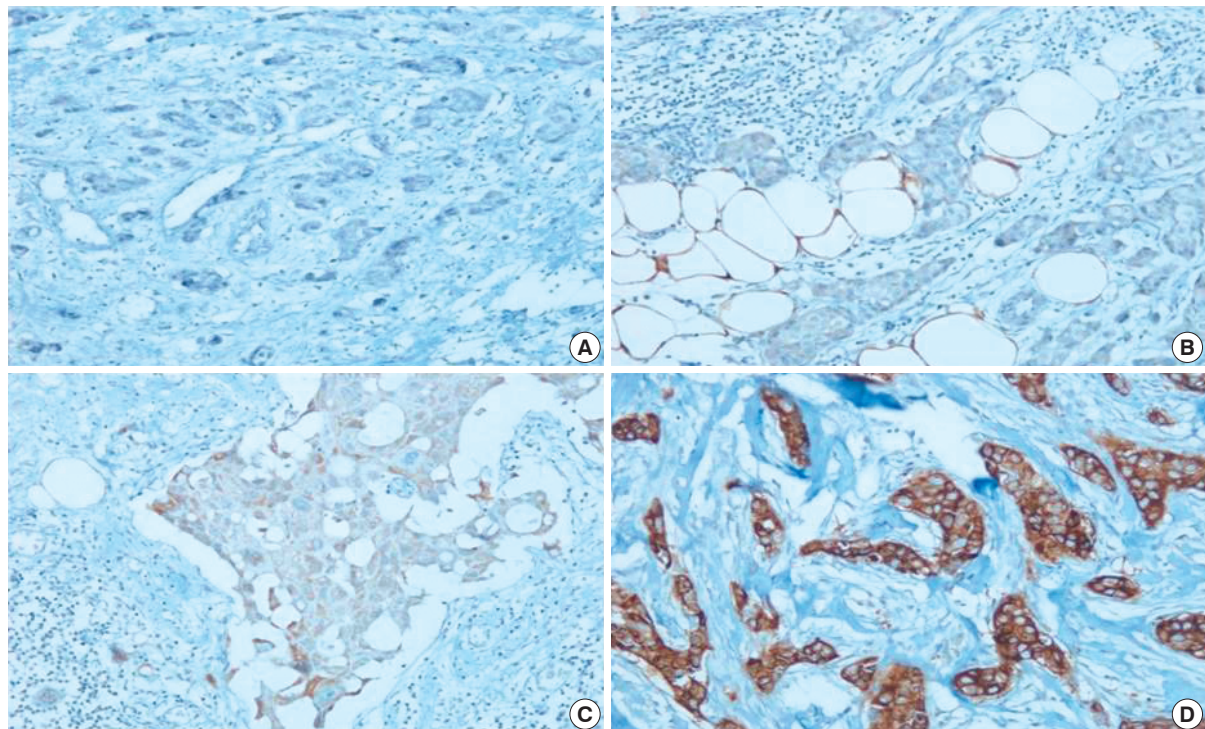
logical factors were evaluated, including age at initial diagnosis, tumor size, lymph node metastasis, lymphovascular invasion, histological grade, and tumor markers such as ER, progesterone receptor (PR), and HER2. The histological grade was assessed by a modified Bloom-Richardson-Scarff grading system. Tumor marker positivity was evaluated based on pathology reports. We considered HER2 staining scores of 2 and 3 as HER2 positive.

### Tissue microarray block

Hematoxylin and eosin tissue sections were reviewed by a pathologist, who selected areas of invasive tumor to be placed on a tissue microarray, for each case included in the study. Five  $\mu$ m thick sections were cut and placed on a tissue microarray.

### Immunohistochemistry

Slides were incubated for 30 minutes, deparaffinized, and rinsed. Heat antigen unmasking was performed for 20 minutes, followed by the addition of primary antibody (1:200, anti- $\alpha$ B-crystallin) for 1 hour at room temperature. After washing, the secondary antibody was added for 30 minutes at room temperature.  $\alpha$ B-crystallin immunohistochemistry was performed using a commercially available monoclonal antibody



**Figure 1.**  $\alpha$ B-crystallin expression scoring. Staining was graded as follows: 0, negative staining; 1, weakly positive staining; 2, moderately positive staining; 3, highly positive staining (Immunohistochemical staining for  $\alpha$ B-crystallin,  $\times 100$ ). (A) Score=0, (B) Score=1, (C) Score=2, (D) Score=3.

to  $\alpha$ B-crystallin (1:200 in antibody diluents, SPA-222; Stressgen Biotechnologies, Victoria, Canada).

### Scoring of $\alpha$ B-crystallin staining

Cytoplasmic expression of  $\alpha$ B-crystallin was scored using a four-tiered system. Staining was graded as follows: 0, negative staining; 1, weakly positive staining; 2, moderately positive staining; 3, highly positive staining in cytoplasm (Figure 1).  $\alpha$ B-crystallin expression was analyzed according to various clinical and biological characteristics such as tumor size, lymph node metastasis, lymphovascular invasion, histological grade, tumor markers such as ER, PR, HER2, and time to recurrence.

### Statistical analysis

DBSTAT software version 4.1 (DBSTAT Co., Seoul, Korea) was used. Correlations between  $\alpha$ B-crystallin and clinicopathological characteristics were assessed using chi-square and Fisher's exact tests. Time to recurrence between the  $\alpha$ B-crystallin positive and negative groups was analyzed by the Kaplan-Meier method. A  $p < 0.05$  was considered significant.

## RESULTS

Patient age ranged from 28-76 years with a median age of 53

**Table 1.** Correlation between  $\alpha$ B-crystallin expression and patient's clinicopathological features

Clinicopathologic feature (n=82)	$\alpha$ B-crystallin expression		p-value*
	Negative	Positive	
Tumor size (cm)			0.602
≤2	24	20	
>2, ≤5	24	8	
≥5	4	2	
Lymph node metastasis			0.403
Negative	24	11	
Positive	28	19	
pN			0.020
pN0 (LN=0)	24	11	
pN1 (LN=1-3)	15	3	
pN2 (LN ≥4)	13	16	
Histologic grades			0.004
1	15	1	
2	27	15	
3	10	14	
Lymphovascular invasion			0.022
Negative	31	10	
Positive	21	20	
Distant metastasis (n=74)			0.064
Negative	43	22	
Positive	3	6	

LN=number of lymph node metastases.

\*Statistical analysis was performed with the chi-square test.  $p < 0.05$  was considered significant.

years. The mean follow-up period was 50 months. All of the pathological types were IDC. Nine cases of distant metastasis to bone, lung, liver, adrenal gland and the leptomenix were found.

### Immunohistochemical staining for $\alpha$ B-crystallin

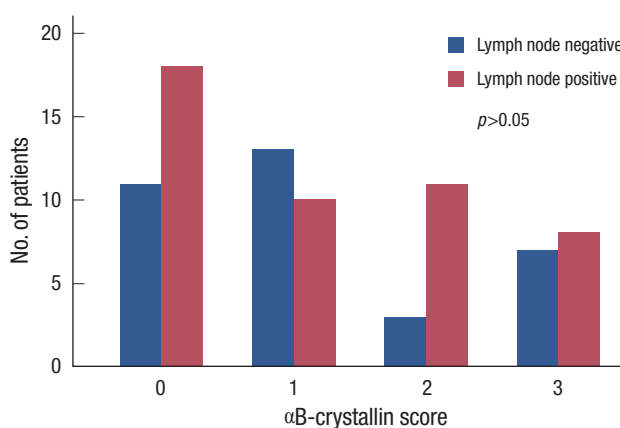
Twenty nine tumors (35.4%) had no cytoplasmic  $\alpha$ B-crystallin staining (score 0), 23 (28.0%) had weakly positive staining (score 1), 15 (18.3%) had moderate staining (score 2), and 15 tumors (18.3%) had strong cytoplasmic staining (score 3). We defined scores of 0 and 1 as being indicative of "negative or low expression" and scores of 2 and 3 as being indicative of "positive or high expression." As a result, 52 tumors (63.4%) had low expression, and 30 tumors (36.6%) had high expression.

### Correlation between $\alpha$ B-crystallin expression and clinicopathological features (Table 1)

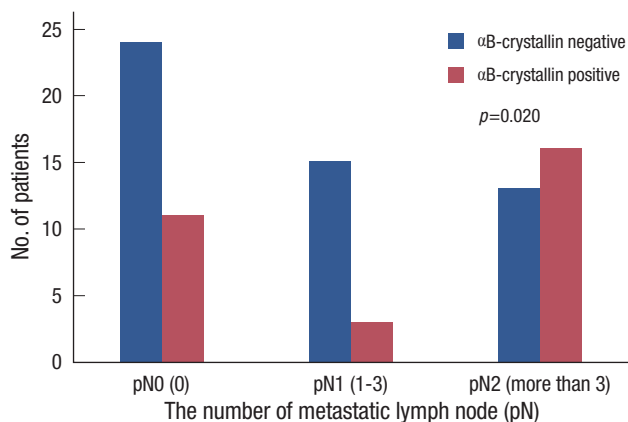
$\alpha$ B-crystallin was not correlated with tumor size ( $p = 0.602$ ), lymph node status ( $p = 0.403$ ), or distant metastasis ( $p = 0.064$ ). However, it was correlated with histological grade ( $p = 0.004$ ) and lymphovascular invasion ( $p = 0.022$ ). No statistical correlation was found between  $\alpha$ B-crystallin score and lymph node metastasis (Figure 2). Six of nine cases who developed distant metastasis positively expressed  $\alpha$ B-crystallin. Although the patient population was small and the  $p$  value was  $> 0.05$ ,  $\alpha$ B-crystallin expression tended to have a marginal association with distant metastasis. Furthermore, when lymph node status was classified into pN stages by the number of metastatic lymph nodes,  $\alpha$ B-crystallin was expressed more strongly in pN2 than in pN0 or pN1 ( $p = 0.020$ ) (Figure 3).

### Correlation between $\alpha$ B-crystallin expression and breast tumor markers

$\alpha$ B-crystallin expression was associated with PR ( $p = 0.030$ )



**Figure 2.**  $\alpha$ B-crystallin score distributions among patients with lymph node negative and lymph node positive breast cancer. No statistical correlation was found between  $\alpha$ B-crystallin score and each group.



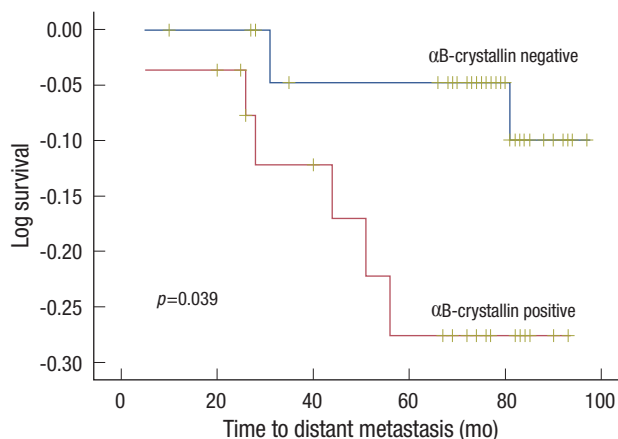
**Figure 3.** αB-crystallin expression according to the number of metastatic lymph nodes (pN stage). αB-crystallin expression in breast cancers was expressed more strongly in pN2 than in pN0 and pN1 ( $p=0.006$ ).

**Table 2.** Correlation between αB-crystallin expression and tumor markers

Tumor marker	αB-crystallin expression		p-value*
	Negative	Positive	
ER (n=81)			
Negative	23	19	0.113
Positive	28	11	
PR (n=81)			
Negative	23	21	0.030
Positive	28	9	
HER2 (n=81)			
Negative	37	25	0.269
Positive	14	5	
CK5/6 (n=9)			
Negative	4	2	0.167
Positive	0	3	
EGFR (n=9)			
Negative	2	4	0.167
Positive	3	0	
p53 (n=80)			
Negative	35	18	0.360
Positive	15	12	
Ki-67 (n=57)			
Negative	16	14	0.911
Positive	14	13	
c-kit (n=67)			
Negative	18	9	0.580
Positive	24	16	
TNBC (n=81)			
Negative	41	15	0.004
Positive	10	15	

ER=estrogen receptor; PR=progesterone receptor; CK5/6=cytokeratin5/6; EGFR=epidermal growth factor receptor; TNBC=triple negative breast cancer. \*Statistical analysis was performed with the chi-square test.  $p < 0.05$  was considered significant.

and triple negative breast cancer (TNBC) ( $p=0.004$ ). A total of 60% of the TNBCs were αB-crystallin positive, whereas 26.8% of non-TNBCs were αB-crystallin positive. Other fac-



**Figure 4.** Time to distant metastasis based on αB-crystallin expression. αB-crystallin expression significantly decreased time to distant metastasis. Statistical significance was found between any type of distant metastasis and αB-crystallin expression ( $p=0.039$ ).

tors such as ER, HER2, cytokeratin 5/6 (CK 5/6), and epidermal growth factor receptor (EGFR) had no association with αB-crystallin expression (Table 2).

#### Time to distant metastasis according to αB-crystallin expression

The difference in the time to distant metastasis between patients who were αB-crystallin negative and positive was assessed by the Kaplan-Meier method using the log-rank test. αB-crystallin expression significantly decreased time to distant metastasis. A statistical significance was found between any type of distant metastasis and αB-crystallin expression ( $p=0.039$ ) (Figure 4).

## DISCUSSION

Clinical indices such as tumor size, grade and axillary lymph node metastasis are useful prognostic factors in breast cancer. Among these factors, axillary lymph node metastasis is the most important prognostic factor for patients with breast cancer [9]. Many other studies have been conducted to identify predictors related to axillary lymph node status. However, no factor accurately predicts axillary lymph node metastasis.

Recently, Chelouche-Lev et al. [5] found significantly more αB-crystallin-positive tumors among patients with lymph node-positive disease than patients with lymph node-negative disease ( $p < 0.001$ ). They reported that constitutive αB-crystallin expression in human breast cancer cells *in vitro* was associated with the ability to metastasize in nude mice, and that the highest expression levels were observed in cell lines established from metastatic cells. Similarly, αB-crystallin staining was significantly associated with lymph node metastasis in our study.



However, in this study,  $\alpha$ B-crystallin was expressed significantly more in the pN2 breast cancer group than in pN0 or pN1 groups, which was different from Chelouche-Lev's study. We thought that the differences in the patient cohorts made it difficult to compare the results of Chelouche-Lev et al. with ours. Although  $\alpha$ B-crystallin seemed to be associated with lymph node metastasis, we do not think  $\alpha$ B-crystallin is an accurate enough factor to eliminate axillary lymph node dissection. We thought that other factors co-expressed with  $\alpha$ B-crystallin such as stromal cell-derived factor-1 and its receptor, CXCR4 chemokine receptor 4 [10] need to be studied to accurately predict prognosis and survival.

Additionally, 60% of TNBCs were  $\alpha$ B-crystallin positive, whereas 26.8% of non-TNBCs were  $\alpha$ B-crystallin positive.  $\alpha$ B-crystallin may be associated with TNBC. Patients with a TNBC had significantly shorter survival following the first metastatic event than those with a non-TNBC [11,12]. As most BLBCs are ER-negative and HER2-negative, the term TNBC has previously been substituted for BLBCs [13]. Although there is overlap between TNBC and BLBC, 76% of BLBCs expressed either EGFR or CK5/6, and these markers define BLBCs [14]. Both gene expression data and a recent immunohistochemistry analysis of breast cancer tissue have suggested an association between  $\alpha$ B-crystallin and BLBCs [15]. The gene expression data revealed that  $\alpha$ B-crystallin is included in the basal-like gene cluster [5,16,17].  $\alpha$ B-crystallin is commonly expressed in BLBCs and is thought to be a sensitive (81%) and specific (100%) marker for BLBCs [18]. These studies provide additional independent validation linking  $\alpha$ B-crystallin to BLBCs [18]. We did not find a relationship between BLBC and  $\alpha$ B-crystallin because of insufficient immunohistochemistry staining for CK5/6 and EGFR.

It seems that  $\alpha$ B-crystallin is resistant to neoadjuvant chemotherapy. Ivanov et al. [19] reported that  $\alpha$ B-crystallin-positive tumors had poorer overall response rates than  $\alpha$ B-crystallin-negative tumors (clinical overall response rate, 21% vs. 59%, respectively,  $p = 0.005$ ; overall pathological response rate, 16% vs. 70%, respectively,  $p < 0.001$ ).

Despite the pathogenic significance of  $\alpha$ B-crystallin, the regulatory mechanism of its expression related to aggressiveness is poorly understood. Heat-shock proteins such as  $\alpha$ B-crystallin play a major role in the ability of *in vitro* tumor cells to overcome stress caused by external stimuli, and enhance resistance to apoptosis [20,21]. Such resistance may result from the partial binding of  $\alpha$ B-crystallin to caspase-3 and the resulting inhibition of the autoproteolytic maturation of caspase-3, a key effector molecule in the apoptotic cascades [22]. These findings suggest that the anti-apoptotic effect of  $\alpha$ B-crystallin may be related to the aggressiveness of breast cancer. Furthermore,

recent research shows that Ets1, an oncogenic transcription factor, binds to the  $\alpha$ B-crystallin promoter and regulates its expression through an ETS-binding site dependent mechanism. Ets1 overexpression in breast cancer cells increases  $\alpha$ B-crystallin protein level, whereas silencing Ets1 reduces  $\alpha$ B-crystallin levels. Moreover, Ets1 is expressed in BLBC and is associated with poor survival [8]. The rapid time to distant metastasis in our study may be linked to these *in vitro* findings.

Consistent with earlier studies, we demonstrated that  $\alpha$ B-crystallin expression was associated with poor prognosis such as axillary lymph node metastasis in pN2, TNBC, and rapid time to recurrence. We think that  $\alpha$ B-crystallin could be used as an oncoprotein to predict poor clinical outcomes. However, further studies are needed to prospectively elucidate the role of this novel tumor marker as a clinical prognostic factor in breast cancer.

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