

# Unique carbohydrate–carbohydrate interactions are required for high affinity binding between FcγRIII and antibodies lacking core fucose

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**Antibody-mediated cellular cytotoxicity (ADCC), a key immune effector mechanism, relies on the binding of antigen–antibody complexes to Fcγ receptors expressed on immune cells. Antibodies lacking core fucosylation show a large increase in affinity for FcγRIIIa leading to an improved receptor-mediated effector function. Although afucosylated IgGs exist naturally, a next generation of recombinant therapeutic, glycoengineered antibodies is currently being developed to exploit this finding. In this study, the crystal structures of a glycosylated Fcγ receptor complexed with either afucosylated or fucosylated Fc were determined allowing a detailed, molecular understanding of the regulatory role of Fc-oligosaccharide core fucosylation in improving ADCC. The structures reveal a unique type of interface consisting of carbohydrate–carbohydrate interactions between glycans of the receptor and the afucosylated Fc. In contrast, in the complex structure with fucosylated Fc, these contacts are weakened or nonexistent, explaining the decreased affinity for the receptor. These findings allow us to understand the higher efficacy of therapeutic antibodies lacking the core fucose and also suggest a unique mechanism by which the immune system can regulate antibody-mediated effector functions.**

immunoglobulin | afucosylation | antibody effector function | X-ray crystallography

**A**ntibodies are central mediators of the immune system, with IgG being the most dominant immunoglobulin. Upon binding of IgG/antigen complexes to membrane-bound Fcγ receptors (FcγRs) a cellular immune response is triggered. Multiple factors regulate such immune response. First, different IgG subclasses bind with different affinities to a given FcγR. Second, different FcγRs are heterogeneous in terms of ligand specificity, expression pattern, and triggered effector functions. Furthermore, post-translational modifications, in particular glycosylation, of both antibodies and Fcγ receptors modulate the affinity of their interactions (1, 2).

Glycosylation of the Fc region of human IgGs occurs at a conserved N-glycosylation site within the CH2 domain, where glycans are linked to asparagine 297 (Asn297). The carbohydrate chain attached at this site is usually comprised of a complex-type heptasaccharide core made up of N-acetylglucosamine (GlcNAc) and mannose, and followed by variable addition of galactose, sialic acid, fucose, as well as bisecting GlcNAc residues. The attached glycans play a crucial role for the function of immunoglobulins (1). It is well described that a- or deglycosylated IgGs are almost completely devoid of all Fc-mediated immune effector functions as a result of drastically reduced binding to FcγRs or to proteins of the complement system (3), although Fc carbohydrates are not directly in contact with FcγRs (4). By incrementally truncating the Fc-oligosaccharides, it was shown that the decreased interactions with FcγRs result from increased conformational changes in the individual CH2 domains and from a

“closed” Fc conformation generated through a mutual approach of both CH2 domains (5).

Changes outside the oligosaccharide core can also modulate the affinity to various Fcγ receptors and proteins of the complement system and in some cases have been associated with various pathological conditions. For example, murine agalactosylated IgG has a slightly higher affinity toward the activating FcγRIII, whereas the affinity to the inhibiting FcγRIIb receptor is reduced, which may explain the enhanced proportion of this glycoform in an autoimmune setting (6). In contrast, Fc-oligosaccharide sialylation, shown to reduce binding to Fcγ receptors and in addition facilitate binding to the lectin specific Icam-3 grabbing nonintegrin-related 1 (SIGN-R1), has been associated with an anti-inflammatory activity of immunoglobulins (7, 8).

A further modification consists in the attachment of a fucose residue in an α1,6-linkage to the first GlcNAc of Fc-oligosaccharide core (“core fucosylation”). Removal of core fucose selectively and significantly increases binding affinity to FcγRIII and leads to enhanced cellular immune effector functions, such as antibody-dependent cellular cytotoxicity (ADCC) both in vitro (9) and in vivo (10). Fc-FcγRIII interactions and ADCC can be highly relevant to the biological activity of therapeutic anticancer antibodies (11, 12), thus this type of Fc-oligosaccharide modification has been the focus of much research during the last decade. In particular, a next generation of anticancer antibodies carrying afucosylated glycoforms (13, 14) is currently in clinical development; the most advanced among these is the glycoengineered CD20 antibody obinutuzumab (GA101), currently in phase II/III clinical trials for treatment of non-Hodgkin’s lymphoma and chronic lymphocytic leukemia (15, 16).

Because the Fc fucose residue does not come into contact with the FcγRIII polypeptide (4), both the large impact of this modification in the affinity of the interaction as well as the selectivity for FcγRIII vs. other FcγRs remained unexplained. Recently, we discovered that the presence of a carbohydrate at position Asn162 of FcγRIIIa, is mandatory for high affinity binding to the Fc and for discrimination between fucosylated and

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Data deposition: The atomic coordinates and structure factors have been deposited in the Protein Data Bank, [www.pdb.org](http://www.pdb.org) (PDB ID codes 3SGJ and 3SGK).

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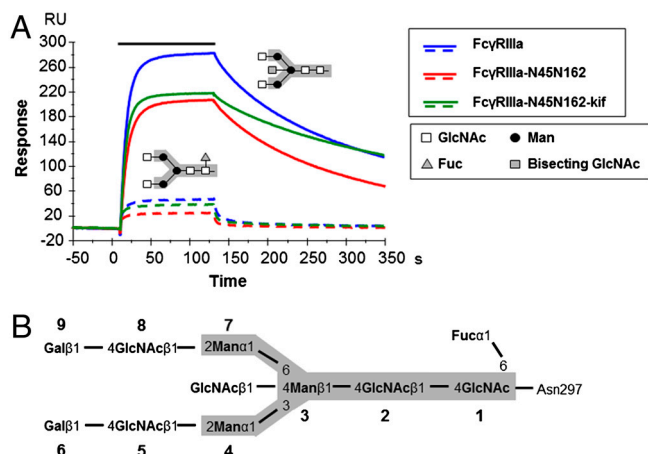
afucosylated IgG glycoforms (17). To explain this finding, we proposed the formation of productive contacts between the Asn162-linked carbohydrate of Fc $\gamma$ R1IIa and the afucosylated Fc region (17). However, it has also been reported that glycosylation of Fc $\gamma$ R1IIa at position 45, which is distantly located from the binding site, influences affinity (18), whereas solution of the crystal structure of an afucosylated Fc fragment indicated subtle changes within the CH2 domain compared to the fucosylated Fc (19). Therefore, all available data could not clearly verify whether the enhanced binding is mediated by direct or allosteric effects.

Here, we present the crystal structures of glycosylated Fc $\gamma$ R1IIa in complex with human afucosylated Fc as well as fucosylated Fc. The structures reveal carbohydrate-carbohydrate interactions that represent a previously undescribed molecular mechanism enabling the immune system to modulate the immunological response.

## Results

**Generation of the Proteins.** Fucosylated (unmodified) and afucosylated Fc fragments were prepared by enzymatic cleavage of the respective IgG1 antibodies. The glycans attached to the unmodified antibodies are mainly biantennary, fucosylated (97%), whereas 83% of the carbohydrate linked to afucosylated antibodies lack core fucose (Fig. S1).

The preparation of the human Fc $\gamma$ R1IIa for crystallographic studies required both a reduction of the number of glycosylation sites and the heterogeneity of the attached carbohydrates. First, three out of five N-linked glycosylation sites were removed by exchanging the asparagine residues on positions 38, 74, and 169 to glutamine. Asn162 was not substituted as it was shown to be important for the affinity for IgG1 (17) and Asn45 was kept because its elimination strongly affects the expression of the receptor (Table S1). Second, the variant Fc $\gamma$ R1IIa-N45N162 was expressed in the presence of an inhibitor of mannosidase I (kifunensine), which drastically reduces the complexity of the carbohydrates by blocking the oligosaccharide at the stage of high mannose type (20). These structures are naturally found on Fc $\gamma$ R1IIa expressed on human NK cells (21). The resulting variant, Fc $\gamma$ R1IIa-N45N162-kif, has an oligosaccharide profile characterized by 100% high mannose type sugars (Fig. S1 *b* and *c*).



**Fig. 1.** Surface plasmon resonance analysis of the interaction between Fc $\gamma$ R1IIa and hlgG1 glycovariants. (A) Overlay of sensorgrams for binding of 125 nM Fc $\gamma$ R1IIa variants to fucosylated (dotted lines) and afucosylated (continuous lines) IgG1s. The association phase is represented by a solid bar above the curves. (B) The N-linked carbohydrate moiety contains a core pentasaccharide (gray box), shared among high mannose, hybrid and complex-type oligosaccharides, and variable core fucosylation, GlcNAc bisection and/or composition of outer arms. GlcNAc, N-acetylglucosamine; Fuc, fucose; Man, mannose; kif, kifunensine.

**Biophysical Analysis of Fc $\gamma$  Receptor Binding to Human IgG1.** The affinities of the Fc $\gamma$ R1IIa variants for fucosylated or afucosylated IgG1 were determined by surface plasmon resonance (Fig. 1A). The receptor Fc $\gamma$ R1IIa-N45N162-kif binds afucosylated and fucosylated antibodies with similar dissociation constants as the fully glycosylated Fc $\gamma$ R1IIa and was therefore used for structure determination (Table 1). Slight differences, with minimal impact on the  $K_D$  of the interaction, were observed in on and off rates for afucosylated IgG binding to the Fc $\gamma$ R1IIa variants.

As previously observed, the absence of core fucose at the Asn297-associated carbohydrates of IgG enhances the stability of the complex with glycosylated Fc $\gamma$ R1IIa variants, characterized by a slower off rate (Fig. 1A and Fig. S2). This results in an increased affinity for Fc $\gamma$ R1IIa, that is up to 100-fold higher than for the fucosylated version (Table 1).

**Overall Structure of the Complexes Between Fc $\gamma$ R1IIa and Human Fc.** Well-diffracting crystals could be obtained for the complexes only with Fc $\gamma$ R1IIa-N45N162-kif, which suggests an inhibitory role of the glycans on the receptor for the crystallization.

The crystals belong to space group  $P2_12_12_1$  and diffract to a resolution of 2.4 Å for the complex with afucosylated Fc and of 2.2 Å for the complex with fucosylated Fc (Table S2). The overall fold of the glycosylated Fc-Fc $\gamma$ R1IIa complexes (Fig. 2A) is closely related to the structures of a complex between aglycosylated receptor Fc $\gamma$ R1IIb and fucosylated Fc (Fig. S3) (4, 22). The large contact surface area between Fc $\gamma$ R1IIa and human Fc is formed by various polar, van der Waal, and hydrogen bond interactions.

**The Carbohydrates.** In both structures the oligosaccharides linked to the Fc chains are well ordered. We could trace carbohydrate units on the glycan chains including GlcNAc5 and GlcNAc8 (Fig. 1B). Clearly visible is the bisecting GlcNAc on both chains of the afucosylated Fc, which is not present in fucosylated Fc (Fig. 2B and Fig. S1).

The Asn45- and Asn162-linked oligosaccharides of the receptor could be easily located in the difference electron density. Only the first two GlcNAc units of the Asn45-linked glycan are visible in both complex structures. Hydrogen bonds are shared between the GlcNAc units and the receptor, but no interactions to the Fc fragment could be observed. Glycosylation at Asn45 is described to negatively influence affinity of the receptor to IgG (18), which could be either caused by an allosteric effect on the receptor structure or a direct interaction of the glycan chain with the Fc fragment. Although the distance between the GlcNAc2 and the side chain of Pro331 of chain B is 12.8 Å it cannot be excluded that end standing units of the glycan chain can come in close proximity to the Fc fragment and may have an effect on the binding affinity.

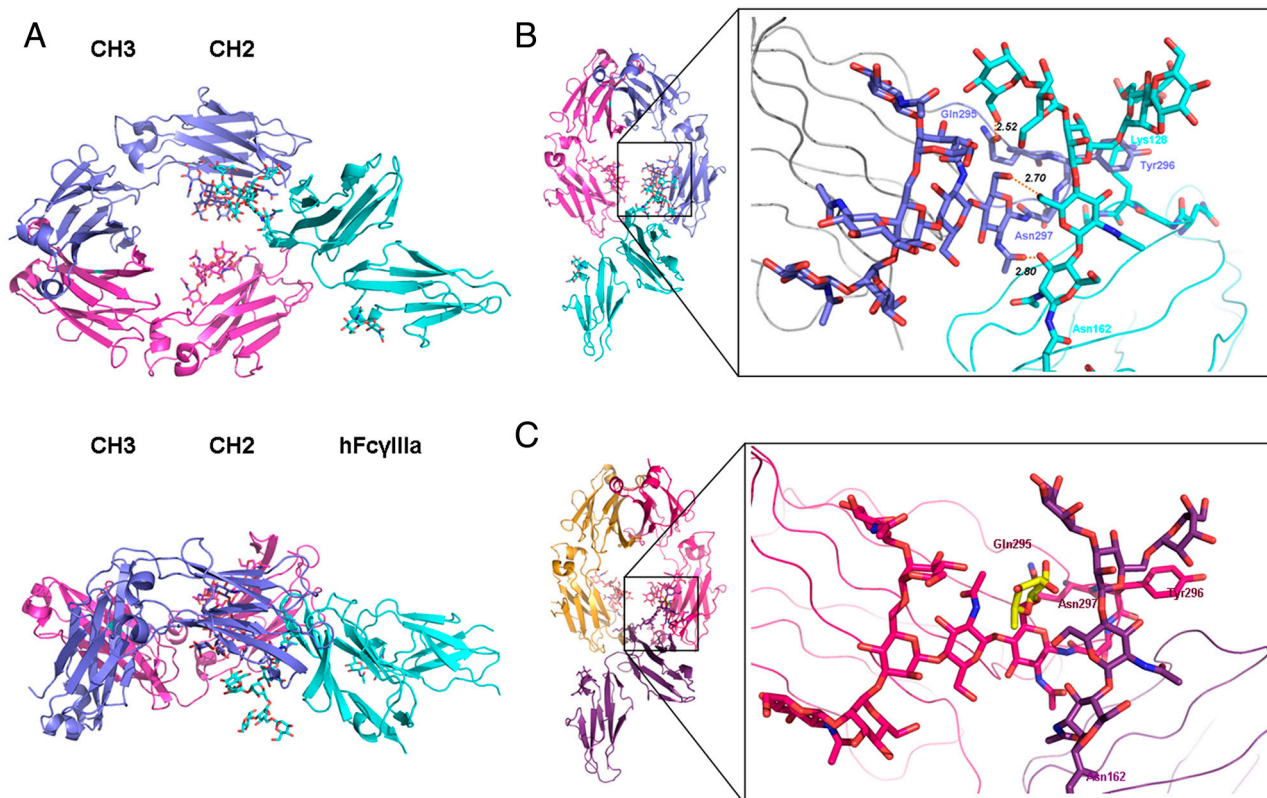
For the Asn162-glycan in the afucosylated complex structure sugar units up to mannose 10 could be modeled (Figs. S1c and S4 *a* and *c*). This carbohydrate tree is not interacting with neighboring molecules in the crystal, which indicates a tight binding

**Table 1. Summary of affinity constants determined by equilibrium and kinetic analysis**

Ligand (hlgG1)	Analyte (Fc $\gamma$ R1IIa)	$k_{on}$ , 1/Ms	$k_{off}$ , 1/s	$K_D$ , M kinetic	Steady state
Fucosylated	native	ND*			3.0E-07
Afucosylated	native	1.58E+06	4.6E-03	2.9E-09	7.2E-09
Fucosylated	-N45N162	ND*			4.9E-07
Afucosylated	-N45N162	1.01E+06	6.1E-03	6.0E-09	1.1E-08
Fucosylated	-N45N162 kif	ND*			2.8E-07
Afucosylated	-N45N162 kif	1.34E+06	3.0E-03	2.3E-09	5.6E-09

Representative data from a single experiment, which was repeated twice with similar results.

\*ND, not determined. Kinetics too fast for exact determination.



**Fig. 2.** Structure of glycosylated Fc-Fc $\gamma$ RIIIa. (A) Top and side views of the structure of the glycosylated Fc-Fc $\gamma$ RIIIa complex. The Fc chains are shown in blue and magenta, the receptor in cyan. The oligosaccharides are depicted as ball and stick representations. (B) View on the interaction interface between afucosylated Fc fragment and glycosylated Fc receptor. Chain A of the Fc fragment is shown in blue, the Fc receptor in cyan. Hydrogen bonds are presented as dashed lines with distance between donor and acceptor shown. (C) View on the interaction interface between fucosylated Fc fragment and glycosylated Fc receptor. Chain A of the Fc fragment is shown in magenta, the Fc receptor in dark violet. Core fucose of fucosylated Fc is highlighted in yellow.

to the complexed Fc domain. On the other hand, good density for the oligosaccharide chain on Asn162 in the fucosylated structure could be observed only for the first two GlcNAc units and the  $\beta$ -mannose 3 (Fig. S4 *b* and *d*).

For both complex structures, the nonreducing end of the Fc $\gamma$ RIIIa Asn162-glycan is pointing outward into the solvent region away from the central cavity formed by the two Fc chains (Fig. 2*A*). However, the receptor's carbohydrate core shares a large interaction surface area with the Fc formed by various polar, van der Waal, and hydrogen bond interactions.

**Interaction Interface of the Asn162-Linked Carbohydrate with the Afucosylated Fc.** The receptor Asn162-carbohydrate interactions are centered on the Asn297-carbohydrate core of Fc chain A and its immediate vicinity (Fig. 2*A* and *B*). Overall, a combination of direct or water-mediated carbohydrate-carbohydrate and carbohydrate-protein contacts are observed as part of the newly formed interaction between afucosylated Fc and the Asn162-glycosylated receptor (Table S3). To the best of our knowledge, carbohydrate-carbohydrate interactions can be rarely found as a major component in protein-protein complex formation (*SI Methods*). Furthermore, single carbohydrate-carbohydrate interactions are described as being weak (23). Therefore, in order to achieve the large contribution in the binding affinity observed between afucosylated Fc and the Asn162-glycosylated receptor (Table 1), an additive effect due to an increased number of newly formed hydrogen bonds and van der Waal's contacts is necessary.

In the structure of the complex with afucosylated Fc several direct hydrogen bonds are formed between the first two core GlcNAc units of the receptor and GlcNAc1 of the Fc. The interaction is further stabilized by the presence of a hydrogen bonding network mediated through five water molecules. In addition, only

two contacts between the oligosaccharide moiety at Asn162 and the protein part of the Fc fragment are visible. Mannose 5 of the 1,3 chain of the receptor carbohydrate forms a hydrogen bond to the side chain of Fc-Gln295, and Fc-Tyr296 is involved in van der Waals contacts with both core mannose 3 and the side chain of Lys128 from the receptor (Fig. 3*A*).

As shown in previously determined X-ray structures and also in NMR studies, the region around Tyr296 can adapt various conformations (4, 19, 22, 24). Okazaki et al. suggested a release of the Tyr296 in absence of the fucose and a switch of the side chain to form the polar interaction with the lysine, thereby increasing the affinity in complex formation. However, in our structure of the complex with afucosylated Fc, this loop is fixed by Tyr296, which is sandwiched between the receptor glycan and the side chain of Lys128 (Fig. 3*A* and *B*).

The described carbohydrate-mediated interaction is responsible for an up to 100-fold gain in binding affinity for afucosylated vs. fucosylated IgGs (Table 1 and Fig. 1). In order to understand the mechanism by which the core fucose can exert such a strong modulatory effect on the affinity for Fc $\gamma$ RIIIa, we also determined the structure of the glycosylated receptor complexed with fucosylated Fc.

#### Structural Evidence for a Carbohydrate-Mediated Binding Mechanism Regulated by the Presence of Core Fucose.

In the complex between Fc $\gamma$ RIIIa and fucosylated Fc, the core fucose linked to the Fc is oriented toward the second GlcNAc of the oligosaccharide connected to Asn162 (Fig. 2*C*) and has to accommodate in the interface between the interacting glycan chains. As a result the whole oligosaccharide tree on Asn162 has to move and is displaced by a maximum distance of 2.6 Å in comparison to its position in the structure with afucosylated Fc (Fig. 4). This movement



(21). The authors could track the difference to cell-type specific glycosylation variants of the receptor. These results were corroborated in a recent study where the affinity of IgG to different glycoforms of Fc $\gamma$ RIII was measured (28).

At present, the known relevance of this interaction is centered on the development of more potent therapeutic antibodies. The lack of the Fc-associated core fucose results in the case of the type II anti-CD20 antibody GA101 (29, 30), currently in phase II/III clinical trials for treatment of non-Hodgkin's lymphoma and chronic lymphocytic leukemia (15, 16), in superior potency in a cellular and a whole blood assay (Fig. S5).

Beyond the biotechnological exploitation of this interaction, the presence of up to 30% of serum IgG lacking core fucose (31), and the report of an increase in afucosylated IgG1 associated to fetal maternal allo-immune thrombocytopenia (32), could potentially be examples of such regulation occurring in nature. It would be of great interest to determine if variation of IgGs core fucosylation and/or Fc $\gamma$ RIII Asn glycosylation is used naturally to regulate immune cellular activity during allo- and autoimmune diseases, or in the course of an infectious disease.

The structures presented here help understanding the molecular mechanism by which the immune system can modulate Fc $\gamma$ RIIIa-mediated effector function by carbohydrate interactions and also provide a valuable tool for the rational design of therapeutic antibody Fc variants with improved properties.

## Methods

Production of unmodified and afucosylated IgGs, oligosaccharide analysis, surface plasmon resonance, ADCC assay, and B cell depletion in whole blood assay are described in *SI Methods*.

**Preparation of the Complex.** Human (h)IgG1s (fucosylated and afucosylated) were produced in CHO cells (CHO-K15V, Lonza Biologics) and purified as previously described (33). For generation of the Fc portion, human IgG1s were incubated for 72 h at 25 °C in 50 mM Tris pH 8.0, 150 mM NaCl with 0.42 U plasmin (Roche) per mg antibody. Cleaved Fc was separated from Fab fragments using protein A and size exclusion chromatography.

The DNA encoding the soluble human Fc $\gamma$ RIIIa-V158, comprising amino acids 1 to 191 of the mature protein, was prepared as described previously (17). For the generation of the glycosylation mutant Fc $\gamma$ RIIIa-N45N162, Asn38, Asn74, and Asn169 of Fc $\gamma$ RIIIa-V158 were exchanged for Gln by PCR. Soluble human Fc $\gamma$ RIIIa-V158 and mutants were produced as previously described (17). For the expression of the Fc $\gamma$ RIIIa variant in the presence of the mannosidase I inhibitor (Fc $\gamma$ RIIIa-N45N162-kif), 5  $\mu$ M of kifunensine (SIGMA) were added to the culture medium.

The complex was prepared by mixing the receptor with a 1.5-fold molar excess of Fc, and the excess Fc molecules were separated from the complex by size exclusion chromatography.

**Crystallization, Data Collection, and Structure Determination.** *Crystallization of afucosylated Fc-Fc $\gamma$ RIIIa.* Initial crystallization trials were performed in sitting drop vapor diffusion setups at 20 °C at a protein concentration of 7 mg/mL. Small crystals were observed with various kinds of salts as precipitating agents. These crystals were then used as microseeds. Rhombohedral-shaped crystals were obtained by 1:1 mixing of complex protein (13.5 mg/mL) with

1.4 M sodium malonate pH 6.0. The crystallization droplet was supplemented with 10% (vol/vol) of a crystal seed solution prepared out of 0.1 M Hepes pH 7.0, 1.5 M ammonium sulfate. Crystals appeared within 4 wk after setup and grew from there within 2 wk to a final size of 100  $\times$  100  $\times$  200  $\mu$ m.

*Crystallization of fucosylated Fc-Fc $\gamma$ RIIIa.* Crystals of the fucosylated Fc-Fc $\gamma$ RIIIa complex were obtained by grid screening with 1.4 M sodium malonate pH 6.0 as basis. Prior to crystallization the protein was concentrated to 14 mg/mL. All droplets were microseeded with crystals of afucosylated Fc-Fc $\gamma$ RIIIa. Crystals appeared out of 1.5 M sodium malonate pH 6.5 within 2 wk.

*Data collection and structure determination for afucosylated Fc-Fc $\gamma$ RIIIa.* For data collection, crystals were flash frozen at 100 K in precipitant solution containing 15% glycerol. Diffraction data were collected at a wavelength of 1.0000 Å using a PILATUS 6 M detector at the beamline X10SA of the Swiss Light Source. Data have been processed with XDS (34) and scaled with SADABS (BRUKER). The orthorhombic crystals belong to the space group  $P2_12_12_1$  with cell axes of  $a = 67.3$  Å,  $b = 88.2$  Å,  $c = 141.1$  Å and diffract to a resolution of 2.36 Å. The structure was determined by molecular replacement with PHASER (35) using an in-house afucosylated Fc structure and for the receptor the coordinates of the PDB ID code 1E4J as search model. The asymmetric unit is formed by a single 1:1 complex of Fc-Fc $\gamma$ RIIIa. Programs from the CCP4 suite (36) and BUSTER (37) have been used to subsequently refine the data. Difference electron density was used to place the sugar tree of the receptor and to change amino acids according to the sequence differences between hFc $\gamma$ RIIIa and IIIb by real space refinement. Manual rebuilding of protein and carbohydrates was done with COOT (38). The final structure includes residues 236–444 for chain A, 232–443 for chain B of the Fc, and 5–174 of Fc $\gamma$ IIIa as well as 140 water molecules. Amino acids 33–37 of the receptor were excluded from the final model due to lack of electron density. The final model has good stereochemistry with 99.6% of residues in favored and additionally allowed regions and only two residues in disallowed regions.

*Data collection and structure determination for fucosylated Fc-Fc $\gamma$ RIIIa.* Crystal harvesting, data collection, and structure determination were done as outlined above for the afucosylated complex. The orthorhombic crystals belong to the space group  $P2_12_12_1$  with cell axes of  $a = 67.7$  Å,  $b = 88.5$  Å,  $c = 140.3$  Å and diffract to a resolution of 2.2 Å. In the structure of the fucosylated Fc-hFc $\gamma$ RIIIa all residues of the receptor and the lower hinge region of the Fc are visible in the electron density. The final structure includes residues 226–444 for chain A, 227–443 for chain B of the Fc and 5–174 of Fc $\gamma$ IIIa, as well as 319 water molecules. The final model has good stereochemistry with 99.7% of residues in favored and additionally allowed regions.

Data collection and refinement statistics for both structures are summarized in *Table S2*. All graphical presentations were prepared with PYMOL (39).

Assignments of hydrogen bonds and of van der Waal's contacts in the structures were done utilizing subroutines within the program MOLOC (40).

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