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## **VISININ-LIKE PROTEIN-1: DIAGNOSTIC AND PROGNOSTIC BIOMARKER IN ALZHEIMER DISEASE**

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## **Abstract**

**Objective—There is a growing need to identify cerebrospinal fluid (CSF) markers that can detect** Alzheimer's disease (AD) pathology in cognitively normal individuals since it is in this population that disease-modifying therapies may have the greatest chance of success. While AD pathology is estimated to begin ~10–15 years prior to the onset of cognitive decline, substantial neuronal loss is present by the time the earliest signs of cognitive impairment appear. Visinin-like protein −1 (VILIP-1) has demonstrated potential utility as a marker of neuronal injury. We here investigate CSF VILIP-1 and VILIP-1/amyloid-β42 (Aβ42) ratio as diagnostic and prognostic markers in early AD.

**Methods—**We assessed CSF levels of VILIP-1, tau, phosphorylated-tau181 (p-tau181), and Aβ42 in cognitively normal controls [CNC] (*n*=211), individuals with early symptomatic AD  $(n=98)$ , and individuals with other dementias  $(n=19)$ . Structural magnetic resonance imaging (*n*=192) and amyloid imaging with Pittsburgh Compound-B (*n*=156) were obtained in subsets of

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this cohort. Among the CNC cohort, 164 individuals had follow-up annual cognitive assessments for 2–3 years.

**Results—**CSF VILIP-1 levels differentiated individuals with AD from CNC and individuals with other dementias. CSF VILIP-1 levels correlated with CSF tau, p-tau181, and brain volumes in AD. VILIP-1 and VILIP-1/Aβ42 predicted future cognitive impairment in CNC over the follow-up period. Importantly, CSF VILIP-1/Aβ42 predicted future cognitive impairment at least as well as tau/Aβ42 and p-tau181/Aβ42.

**Interpretation—**These findings suggest that CSF VILIP-1 and VILIP-1/Aβ42 offer diagnostic utility for early AD, and can predict future cognitive impairment in cognitively normal individuals similarly to tau and tau/Aβ42, respectively.

#### **Keywords**

Visinin-like protein-1; Alzheimer's disease; biomarkers; cerebrospinal fluid; neuronal injury

## **INTRODUCTION**

The identification of amyloid-β (Aβ) and tau as two key proteins involved in Alzheimer's disease (AD) pathogenesis have driven research efforts in search for suitable biomarkers for AD over the last decade <sup>1</sup>. Cerebrospinal fluid (CSF) measures of A $\beta$ 42, tau, and phosphorylated-tau 181 (which correlate with the presence of amyloid plaques and neurofibrillary tangles [NFT], respectively), have demonstrated diagnostic and prognostic utility in the earliest symptomatic and preclinical stages of disease  $2-10$ . However, as other aspects of the disease are being unraveled, CSF markers that reflect other disease mechanisms (e.g. neuronal and synaptic injury  $^{11}$ , oxidative stress  $^{12}$ , and inflammation  $^{13}$ ) are gaining interest as potential diagnostic and prognostic markers. Such markers may provide insight into the different mechanisms implicated in AD pathogenesis and assist in identifying novel targets for therapies in the future.

Clinicopathological studies support the notion of a long "preclinical" stage of the disease, with amyloid and tau pathologies estimated to begin ~10–15 years prior to the onset of cognitive impairment  $5$ ,  $14$ ,  $15$ . It is in these initial stages that disease-modifying therapies may have the greatest chance of preserving normal brain function. Therefore, CSF biomarkers that can detect preclinical AD and predict future cognitive impairment will be useful in the design of clinical trials, selection of research populations, and assessment of disease outcomes and response to therapy. Since the earliest clinical signs associated with AD pathology appear only after a threshold of neuronal damage has been reached in vulnerable brain regions<sup>16</sup>, CSF biomarkers that reflect neuronal injury may provide useful biomarker surrogates for cognitive decline and progression to symptomatic dementia.

Visinin-like protein-1 (VILIP-1) is a neuronal calcium-sensor protein<sup>17</sup> which has been identified as a marker of neuronal injury in large-scale gene-array analyses and in brain injury models <sup>18</sup>. In a large cohort of well characterized individuals with AD, we find that CSF VILIP-1 offers diagnostic and prognostic utility for early stage AD. Furthermore, in the cohort studied herein, the VILIP- $1/A\beta$ 42 ratio offers predictive value for the conversion from normal cognition to cognitive impairment over a 2–3 year follow-up period which is at least comparable to that of the tau/Aβ42 and p-tau181/Aβ42 ratios, the current "goldstandard" CSF biomarkers in AD.

## **MATERIALS AND METHODS**

#### **PARTICIPANTS**

Participants (*n*=309) were community-dwelling volunteers (37 to 91 years of age) enrolled in longitudinal studies of healthy aging and dementia through the Washington University Alzheimer's Disease Research Center (WU-ADRC). Participants were in good general health with no other medical illness that could contribute importantly to dementia and no contraindication to lumbar puncture (LP) or magnetic resonance imaging (MRI). Apolipoprotein E (APOE) genotypes were obtained as described <sup>19</sup>.

The Clinical Dementia Rating (CDR) was used to denote the presence or absence of dementia, and, when present, its severity  $20$ ,  $21$ . A CDR designation of 0 indicating no dementia characterizes individuals who are cognitively normal controls (CNC) (*n*=211). At the WU-ADRC, a CDR 0.5 designation denotes very mild dementia, whereas a CDR 1 and CDR 2 denote mild and moderate dementia, respectively. Cognitive assessments were performed annually and included assignment of CDR, CDR-sum of boxes (CDR-SB)  $^{22}$ , Mini-Mental State Exam (MMSE)<sup>23</sup>, and a 1.5-hour psychometric test battery <sup>21</sup>. CDR scores and clinical diagnoses were based on the cognitive assessment closest to the time of the LP (median interval, 3.4 months). Of the 309 participants enrolled in WU-ADRC and included in this study, 224 participants (CNC  $n=164$ , and AD  $n=60$ ) had more than one annual cognitive assessment.

All clinical diagnoses were made in accordance with standard criteria  $24$ ,  $25$ . Individuals with CDR 0.5 or greater at baseline  $(n=98)$  included in this study were all given a clinical diagnosis of AD. We have previously demonstrated that our CDR 0.5 cohort includes many individuals who meet criteria for mild cognitive impairment (MCI), as well as those who are insufficiently impaired to meet MCI criteria and might be designated as "pre-MCI"<sup>21</sup>.

Research participants (*n*=19) clinically diagnosed with frontotemporal lobar degeneration (FTLD) (*n*=11), progressive supranuclear palsy (PSP) (*n*=7), or Lewy body dementia (LBD) (*n*=1) at the University of California San Francisco (UCSF) Memory and Aging Center were also included in this study. Diagnoses were made according to published criteria  $26, 27$ .

Studies were approved by the Human Studies Committee at Washington University (*n*=309), and the UCSF Committee on Human Research (*n*=19). Informed consent was obtained from all participants.

#### **CSF AND PLASMA COLLECTION, PROCESSING AND ASSESSMENT**

CSF samples (20–30 ml) were collected from all participants and analyzed for total tau, ptau181, and Aβ42 by enzyme-linked immunosorbent assays (Innotest, Innogenetics, Ghent, Belgium) as described  $^{28}$ . Blood samples (10–15 ml) were obtained from a subset of participants (CNC  $n=149$  and AD  $n=64$ ) and processed to obtain plasma as described <sup>29</sup>.

CSF and plasma samples were analyzed for VILIP-1 by a microparticle-based immunoassay (Erenna, Singulex, USA).

#### **IN VIVO AMYLOID IMAGING**

A subset (*n*=156) of the CNC and AD cohorts underwent amyloid imaging via positron emission tomography (PET) utilizing Pittsburgh Compound-B (PET-PIB) within 1.1 years of their LP (median interval, 2.7 months) as described<sup>30</sup>. (See Supplementary Methods).

#### **REGIONAL AND WHOLE BRAIN VOLUMETRY**

A subset (*n*=192) of the CNC and AD cohorts underwent MRI within 1.1 years of their LP (median interval, 1.7 months). (See Supplementary Methods).

## **VILIP-1 IMMUNOREACTIVITY IN NORMAL AND AD BRAIN**

(see Supplementary Methods).

#### **STATISTICAL ANALYSES**

Student's *t*-tests, analysis of variance (ANOVA) or chi-square  $(\chi^2)$  analyses were used to determine whether demographic, clinical, MRI, or CSF/plasma biomarker variables differed between the clinical groups. Bonferroni's correction was performed for all multiple comparisons. Receiver operating curve (ROC) analyses assessed rates of agreement between CSF biomarkers and clinical diagnoses or PIB-positivity (SPSS v.15). In these analyses, the proposed cut-off value for each biomarker or ratio represents the value which provided the maximum rate of agreement with the clinical diagnoses.

Cox proportional hazard models tested the effect of demographic variables (age, gender, education, and *APOE* ε4 genotype) and CSF biomarker measures, individually or in combination (using Principal Components Analyses [PCA]), on the conversion rate from CDR 0 to CDR 0.5 or greater (SAS Inc, Cary, NC). CSF biomarker measures were analyzed as continuous and categorical variables. For illustrative purposes, Kaplan-Meier estimates of conversion rates as a function of CSF biomarker measures (dichotomized at the 85th percentile value) were performed. Survival analyses were conducted using baseline CDR scores at the clinical assessment prior to the time of the LP (median interval, 3.5 months). The bootstrap method was used to further examine the utility of CSF biomarker measures as predictors of future cognitive impairment (statistical software R). (See Supplementary Methods). Statistical significance was defined as  $p<0.05$  for all analyses. Confidence intervals (CI) reported herein represent 95% CI.

## **RESULTS**

#### **PARTICIPANTS**

The demographics and clinical summary of the study participants are presented in (Table 1). The CNC and AD cohorts differed significantly in age, mean educational level, percentage of individuals with the *APOE* ε4 genotype, percentage of individuals with amyloid binding on PET-PIB, mean CDR-SB, and mean MMSE. CSF VILIP-1 levels correlated with age in CNC (*r*=0.16, *p*=0.02), but not in AD (*r*= 0.10, *p*=0.30).

#### **DIAGNOSTIC PERFORMANCE OF CSF VILIP-1 AND VILIP-1/Aβ42 IN AD**

Summary statistics of the CSF biomarker measures in CNC and AD are presented in (Table 1). Participants with very mild (CDR 0.5, *n*=72), mild (CDR 1, *n*=23), or moderate (CDR 2, *n*=3) AD exhibited the typical CSF biomarker phenotype for AD characterized by significantly lower mean levels of CSF  $\text{A}\beta$ 42, higher mean levels of CSF tau, p-tau181, tau/ Aβ42, and p-tau181/Aβ42.

Differences between CNC (*n*=211) and AD (*n*=98) were significant for all CSF biomarker measures (*p*<0.0001). Mean CSF VILIP-1 and VILIP-1/Aβ42 levels were significantly higher in AD compared to CNC and scaled appropriately with the CDR categories (Figure 1A and F). Consistent with previous reports from similar aged populations  $30$ , our CNC cohort includes individuals (*n*=36) with evidence of preclinical AD as determined by amyloid binding on PET-PIB. When only CNC participants with negative PIB-status (*n*=95)

were included in the analyses, mean CSF VILIP-1 levels were significantly higher in AD compared to PIB-negative CNC (Figure 1B).

To examine the specificity of VILIP-1 as a diagnostic marker for AD, we included a small cohort of individuals with non-AD dementias (*n*=19). Mean CSF VILIP-1 levels in the non-AD cohort were significantly lower than those in AD (*p*<0.0001) (Figure 1A and B). No significant differences in mean CSF VILIP-1 levels between PIB-negative CNC and non-AD dementias were observed ( $p=0.089$ ) (Figure 1B). Scatter-plots of CSF VILIP-1, tau, Aβ42, tau/Aβ42 and VILIP-1/Aβ42 levels in CNC, AD, and non-AD dementias are illustrated in (Figure 1A–F).

ROC analyses for the CSF biomarkers and ratios in relation to clinical diagnoses (Figure 2A) and in relation to PIB-status (Figure 2B) were performed. Interestingly, VILIP-1 and VILIP-1/Aβ42 accurately predicted the presence or absence of PIB-positivity, regardless of clinical diagnoses, with comparable utility to that of the other CSF biomarkers or ratios. Rates of agreement between CSF biomarkers and clinical diagnoses, and between CSF biomarkers and PIB-status are summarized (Supplementary Table 1A and B). The VILIP-1/ Aβ42, tau/Aβ42, and p-tau181/Aβ42 ratios provided higher diagnostic accuracy (Area Under the Curve [AUC]) and higher specificity in relation to PIB-status than in relation to clinical diagnoses.

There were no significant differences in mean CSF VILIP-1 levels between individuals with AD who were on cholinesterase-inhibitors (521 pg/ml, *n*=42), NMDA-antagonists (e.g. Memantine) (465 pg/ml, *n*=3), both cholinesterase-inhibitors and NMDA-antagonists (536 pg/ml,  $n=20$ ), or neither medication (513 pg/ml,  $n=33$ ) at the time of their LP ( $p=0.91$ ). In particular, no significant differences in mean CSF VILIP-1 levels were observed between individuals with AD who were on an NMDA-antagonist at the time of their LP (526 pg/ml, *n*=23) versus those who were not (517 pg/ml, *n*=75) (*p*=0.82).

Interestingly, mean plasma VILIP-1 levels were significantly elevated in AD compared to CNC by 13.3% as compared to the 31% increase seen in CSF in AD versus CNC (Table 1), and scaled appropriately with the CDR categories (Supplementary Figure 1).

#### **CSF VILIP-1 CORRELATES WITH CSF TAU AND BRAIN VOLUMES IN AD**

CSF VILIP-1 levels correlated with CSF tau and p-tau181, but not Aβ42 levels (Supplementary Figure 2A–C) in AD and CNC (when combined or examined separately). CSF VILIP-1 (Supplementary Figure 2D) and VILIP-1/Aβ42 (Figure 2E) showed significant correlations with PET-PIB mean cortical binding potential [MCBP] (reflective of amyloid load) in the combined (AD and CNC) cohort. Interestingly, CSF VILIP-1 correlated with MCBP in CNC but not in AD, while CSF VILIP-1/Aß42 correlated with MCBP in both AD and CNC. Similarly to tau and  $p$ -tau181<sup>31</sup>, CSF VILIP-1 correlated with MCBP in CNC who had evidence of preclinical AD (i.e. MCBP  $\geq$  0.18) (Supplementary Figure 2E).

Summary statistics of the subset of participants who underwent MRI are shown (Supplementary Table 2A and B). CSF VILIP-1 negatively correlated with nWBV, hippocampal, entorhinal, and parahippocampal volumes in AD (*n*=43) (Figure 2C–D and Supplementary Table 3). When the AD cohort was sub-classified by CDR category, CSF VILIP-1 remained negatively correlated with nWBV, hippocampal and entorhinal volumes in the CDR 0.5 and CDR  $\geq 1$  cohorts (Supplementary Table 4). (See Supplementary Results).

#### **CSF VILIP-1 AND VILIP-1/Aβ42 PREDICT FUTURE COGNITIVE IMPAIRMENT IN COGNITIVELY NORMAL INDIVIDUALS**

We investigated whether CSF VILIP-1, tau, p-tau181, Aβ42, tau/Aβ42, p-tau181/Aβ42, and VILIP-1/Aβ42 predicted conversion from normal cognition (CDR 0) to cognitive impairment (CDR 0.5 or greater). Data from  $CNC(\geq 55$  years of age) who have had one or more follow-up annual cognitive assessments (*n*=164) were included in these analyses. For individuals who converted from CDR 0 to CDR 0.5 or greater during follow-up (i.e. "converters"), follow-up time was calculated as the interval between the baseline clinical assessment (CDR 0) and the time of the first clinical assessment with a CDR 0.5 or greater. For individuals who did not convert on follow-up (i.e. "non-converters"), follow-up time was calculated as the interval from their baseline assessment (CDR 0) to their last annual assessment. Of the 164 participants meeting these criteria, 26 (16%) had 1 or more CDR ratings of 0.5 or greater at follow-up, which averaged  $2 - 3$  years. This rate of conversion to cognitive impairment in cognitively normal individuals is consistent with previous population-based reports 32. Baseline demographic and clinical variables did not differ between the two groups, except converters were older than non-converters and included a higher percentage of individuals with amyloid binding on PET-PIB (Table 2).

Cox proportional hazard models were performed for each of the CSF markers or ratios as a continuous variable after adjusting for age, gender, education, and *APOE* ε4 genotype (Table 3). CSFVILIP-1 and VILIP-1/Aβ42 significantly predicted conversion from CDR 0 to CDR 0.5 or greater. Consistent with previous reports<sup>3, 9</sup>, CSF tau, p-tau181, tau/A $\beta$ 42, and p-tau181/Aβ42, but not Aβ42 alone, also predicted conversion over this follow-up period.

Hazard ratios [HR] were then calculated for each of these markers or ratios as a dichotomous variable using the 85th percentile value as a cutoff (adjusting for age, gender, education, and *APOE* ε4 genotype). Individuals with high VILIP-1 (adjusted HR: 3.74,  $p=0.0023$ ), corresponding to individuals whose VILIP-1 values were  $\geq$  535 pg/ml, progressed much more rapidly to cognitive impairment than individuals with lower values (<535 pg/ml, corresponding to the lower 85% of VILIP-1values). Individuals with high VILIP-1/Aβ42 (adjusted HR:13.00, *p*<0.0001), corresponding to individuals whose VILIP-1/Aβ42 values were ≥ 1.13, progressed much more rapidly to cognitive impairment than individuals with lower values  $\ll 1.13$ , corresponding to the lower 85% of VILIP-1/ Aβ42 values). The adjusted hazard ratios for the CSF biomarkers and ratios (dichotomized at the 85th percentile value) as predictors of future cognitive impairment are illustrated (Figure 3). CSF VILIP-1 and Aβ42 values in cognitively normal elderly (*n*=164) included in these analyses are illustrated (Supplementary Figure 3).

In agreement with these findings, results from the bootstrap analyses indicate that the predictive ability for future cognitive impairment was 0.892 (*p*=0.0007) for VILIP-1, 0.866 (*p*=0.0016) for tau, 0.452 (*p*=0.0426) for p-tau181, 0.328 (*p*=0.1117) for Aβ42, 0.998 (*p*<0.0001) for VILIP-1/Aβ42, 0.974 (*p*=0.0002) for tau/Aβ42, and 0.902 (*p*=0.0017) for ptau181/Aβ42. The combinations of CSF VILIP-1 and tau (0.904, *p*=0.0007), and of CSF VILIP-1 and p-tau181 (0.804, *p*=0.0031) were stronger predictors of conversion than either tau (0.866, *p*=0.0016) or p-tau181 (0.452, *p*=0.0426) alone, respectively. When VILIP-1 was added to the combination of tau and p-tau181, the three markers together were stronger predictors of conversion (0.844,  $p=0.0021$ ) than the combination of tau and p-tau181 (0.714, *p*=0.0084). Importantly, the combination of VILIP-1, tau, p-tau181, and Aβ42 (0.826, *p*=0.0024) was a stronger predictor of conversion than the combination of tau, p-tau181, and Aβ42 (0.730, *p*=0.0078).

#### **VILIP-1 IMMUNOREACTIVITY IN NORMAL AND AD BRAIN**

[See Supplementary Results and (Supplementary Figure 4)].

#### **DISCUSSION**

We here confirm CSF VILIP-1 as a diagnostic marker for AD in a large cohort, and now show that CSF VILIP-1 and VILIP-1/Aβ42 are strong prognostic measures for early AD. To our knowledge, this is the first study to show that CSF VILIP-1 and VILIP-1/Aβ42 predict future cognitive impairment in cognitively normal individuals. Furthermore, we provide new data from a large cohort of well characterized individuals that CSF VILIP-1 negatively correlates with whole brain and regional brain volumes in AD, and positively correlates with amyloid load in preclinical AD.

We have previously demonstrated that CSF VILIP-1 is increased in a small cohort of individuals with  $AD^{33}$ . We here validate these findings in a much larger cohort, including individuals in the earliest symptomatic stage of AD (CDR 0.5 or MCI). Our results suggest that CSF VILIP-1 and VILIP-1/Aβ42 offer diagnostic sensitivity for AD that is comparable to that of CSF tau, p-tau181, or Aβ42, and tau/Aβ42 or p-tau181/Aβ42, respectively. Notably, since our CDR 0.5 cohort includes individuals who may elsewhere be classified as MCI or pre-MCI, these findings reveal the promise of CSF VILIP-1 and VILIP-1/Aβ42 as diagnostic biomarkers for the earliest symptomatic stage of AD. Moreover, our study is the first to investigate the diagnostic utility of plasma VILIP-1 levels in AD. In agreement with the CSF findings, our plasma results suggest that significant, albeit smaller, differences in VILIP-1 levels between AD and non-demented controls can be detected peripherally.

Additionally, by including individuals with other neurodegenerative disorders (e.g. FTLD, PSP, and LBD), it appears that CSF VILIP-1 may confer some diagnostic specificity for AD. It is possible that elevated CSF VILIP-1 levels in the setting of chronic neurodegeneration may be influenced by relatively disease-specific mechanisms or alterations in signaling pathways  $34-37$ . It will be of interest in the future to validate these findings in multiple larger cohorts, including more individuals with non-AD dementias, and to investigate the mechanisms by which VILIP-1 levels may be preferentially altered in AD.

While significant differences in mean CSF VILIP-1 levels exist between AD and CNC, some overlap between the two groups was observed. Overlap is likely due in part to the inclusion of cognitively normal individuals with preclinical AD as well as some individuals with AD who may have alternative diagnoses. Consistent with previous reports<sup>14, 30</sup>, our CNC cohort (60 years or older) includes individuals with amyloid binding on PET-PIB and some with elevated tau. Most of these individuals have CSF VILIP-1 levels that are comparable to those in AD (Figure 1A and B). Moreover, our AD cohort predominantly consists of individuals with very mild dementia (CDR 0.5), potentially decreasing the difference in CSF levels between the AD and CNC cohorts. Very similar overlap is observed with other CSF biomarkers such as tau, Aβ42, and tau/Aβ42 when used to compare AD and CNC based only on clinical diagnoses, not taking into account underlying AD pathology on PET-PIB (Figure 1). Importantly, our results suggest that VILIP-1/Aβ42, tau/Aβ42, and ptau181/Aβ42 are better indicators of PIB-positivity than of clinical diagnoses (Figure 2A and B).

VILIP-1 is abundantly expressed in neurons, but not other cell types, in the brain (Supplementary Figure  $4^{35}$ , and has demonstrated utility as a marker of neuronal injury in brain injury models <sup>18</sup>. Our findings that CSF VILIP-1 negatively correlates with whole brain and regional brain volumes, at least as well as tau and p-tau181, in even the earliest symptomatic stages of AD, and with amyloid load in cognitively normal individuals, support

the potential utility of CSF VILIP-1 as a biomarker surrogate for neurodegeneration in AD. The correlation between CSF VILIP-1 and CSF tau or p-tau181 levels likely reflects close associations of these proteins in AD (Supplementary Figure 4)  $35, 38$  and, perhaps, the ability of these markers to measure neurodegeneration since VILIP-1 is not a component of neurofibrillary tangles. In contrast, CSF Aβ42 levels appear to decrease years prior to the onset of cognitive impairment and remain relatively stable with further disease progression  $^{28, 31, 39, 40}$ . Similarly to tau and p-tau181<sup>31</sup>, the correlation between CSF VILIP-1 and amyloid load in preclinical AD suggests that increasing amyloid accumulation in these early stages  $5, 6$  is associated with greater neuronal injury. On the other hand, cortical amyloid deposition is likely close to reaching its maximal extent by the time individuals with AD become cognitively impaired  $41$ , and does not appear to change considerably with further disease progression  $42$ .

There is accumulating evidence that progressive neuronal and synaptic loss is the best surrogate for cognitive decline and disease progression in AD<sup>41</sup>. Neuronal counts in the entorhinal and hippocampal regions are comparable in preclinical AD and "healthy aging", but decrease substantially as the earliest signs of cognitive impairment appear<sup>16</sup>. We here demonstrate that CSF VILIP-1, alone or in combination with Aβ42 (VILIP-1/Aβ42), offers predictive value for future cognitive impairment in a large cohort of cognitively normal elderly. Together with CSF tau and Aβ42 levels, these data support the notion that increased tau levels (reflective of NFT, and perhaps neurodegeneration) and VILIP-1 levels (generally reflective of neuronal injury) occur following decreases in Aβ42 and increasing amyloid load over this short follow-up period, and herald the onset of symptomatic cognitive impairment. Furthermore, our findings suggest that CSF VILIP-1 complements the prognostic utility of CSF tau, p-tau181, and Aβ42 (collectively) in predicting future cognitive impairment over this follow-up period.

In the cohort studied herein, VILIP-1/Aβ42 appears to predict future cognitive impairment at least as well as tau/Aβ42 and p-tau181/Aβ42, the current "gold standard" prognostic biomarkers for AD (Table 3 and Figure 3). These results further suggest that while the initial deposition of amyloid plaques and neurofibrillary tangles probably begins a decade or longer prior to the onset of clinical symptoms  $5$ ,  $14$ ,  $15$ , it is only after a threshold of neuronal injury has been reached in vulnerable brain regions that the clinical manifestations of cognitive impairment and later dementia appear  $16$ . Therefore, it is likely that CSF markers of neuronal injury together with markers of amyloid may predict future cognitive impairment over short follow-up periods with comparable ability to that of CSF markers of tau together with amyloid.

Together, these findings highlight the potential use of CSF VILIP-1 and VILIP-1/Aβ42 in guiding trial design, treatment decisions, outcome assessments, and response to therapies in clinical trials of disease-modifying therapies. CSF VILIP-1 may have potential value as a secondary or tertiary outcome measure in such trials, and complement diagnostic and prognostic information provided by CSF tau, p-tau, and Aβ42. It will be important to validate these findings in larger cohorts of well characterized individuals with similar or longer durations of follow-up.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### **FIGURE 1. Cerebrospinal Fluid (CSF) VILIP-1, tau, Aβ42, tau/Aβ42, and VILIP-1/Aβ42 levels by CDR Category in CNC, AD, and non-AD dementias**

**(A)** Mean  $(\pm$  SE) CSF VILIP-1 levels were significantly higher in CDR 0.5 (506  $\pm$  20 pg/ml, *n*=72) and CDR ≥1 (558 ± 34 pg/ml, *n*=26) compared to CDR 0 (396 ± 10 pg/ml, *n*=211) and non-AD dementias (323 ± 40 pg/ml, *n*=19) (*p*<0.0001). **(B)** Mean (± SE) CSF VILIP-1 levels were significantly higher in CDR  $0.5$  and CDR  $\geq 1$  compared to PIB-negative CDR 0  $(383 \pm 14 \text{ pg/ml}, n=95)$  and non-AD dementias  $(p<0.0001)$ . **(C–E)** Mean CSF tau and tau/ Aβ42 levels were significantly higher while mean CSF Aβ42 levels were significantly lower in CDR 0.5 and CDR ≥1 compared to CDR 0 ( $p$ <0.0001). **(F)** Mean ( $\pm$  SE) CSF VILIP-1/ Aβ42 levels were significantly higher in CDR 0.5 (1.46  $\pm$  0.08, *n*=69) and CDR ≥1 (1.79  $\pm$ 0.14,  $n=26$ ) compared to CDR 0 (0.74  $\pm$  0.03,  $n=200$ ) and non-AD dementias (0.44  $\pm$  0.03,  $n=19$ ) ( $p<0.0001$ ). One-Way ANOVA with Welch's correction for unequal variances, Tukey post-hoc test was used for all group comparisons. (Similar results were obtained when Bonferroni's correction was used for all group comparisons). Abbreviations: CNC, cognitively normal controls; AD, Alzheimer's disease; SE, standard error.

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#### **FIGURE 2.**

**(A) Receiver Operator Curves (ROC) for the Diagnostic Utility of CSF Biomarkers and Ratios in Differentiating AD from CNC by Clinical Diagnosis.** The area under the curve (AUC)  $\pm$  standard error (SE) was 0.85  $\pm$  0.02 for tau, 0.79  $\pm$  0.03 for p-tau181, 0.79  $\pm$ 0.03 for Aβ42, 0.75  $\pm$  0.03 for VILIP-1, 0.87  $\pm$  0.02 for VILIP-1/Aβ42, 0.87  $\pm$  0.02 for ptau181/Aβ42, and 0.90 ± 0.02 for tau/Aβ42 (AD *n*=98, CNC *n*=211).

**(B) Receiver Operator Curves (ROC) for the Diagnostic Utility of CSF Biomarkers and Ratios in Differentiating PIB-positive from PIB-negative Individuals.** Study participants who underwent PET-PIB (*n*=156) were categorized by PIB status as PIBpositive (MCBP>0.18) (*n*=54) or PIB-negative (*n*=102) irrespective of clinical diagnoses. The area under the curve (AUC)  $\pm$  standard error (SE) was  $0.86 \pm 0.03$  for tau,  $0.81 \pm 0.04$ for p-tau181, 0.87 ± 0.03 for Aβ42, 0.77 ± 0.04 for VILIP-1, 0.93 ± 0.02 for VILIP-1/Aβ42,  $0.95 \pm 0.02$  for p-tau181/Aβ42, and  $0.95 \pm 0.02$  for tau/Aβ42.

**(C–D) Correlations of CSF VILIP-1 with nWBV and Hippocampal Volumes in AD**. CSF VILIP-1 negatively correlated with **(C)** nWBV (unadjusted  $r = -0.448$ ,  $p = 0.003$ ; adjusted  $r = -0.422$ ,  $p=0.010$ ), and **(D)** hippocampal volumes (unadjusted  $r = -0.483$ , *p*=0.001; adjusted *r*= −0.611, *p*=0.0001) in AD (*n*=43). Unadjusted linear regression lines are shown. Adjusted correlations included age, gender, and scanner type as co-variates. **(E) Correlations Between CSF VILIP-1/Aβ42 and Amyloid Load by PET-PIB.** CSF VILIP-1/Aβ42 (*r*=0.71, *p*<0.0001) correlated with PET-PIB mean cortical binding potential (MCBP) in the combined cohort (*n*=148). CSF VILIP-1/Aβ42 correlated with MCBP in AD (*r*=0.57, *p*=0.005) and CNC (*r*=0.67, *p*<0.0001) when examined separately. Individuals with AD (*n*=22) are represented by blue dots.

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#### **FIGURE 3. Baseline CSF Measures of VILIP-1 (A), VILIP-1/Aβ42 (B), tau (C), tau/Aβ42 (D), ptau181 (E), and p-tau181/Aβ42 (F) as Predictors of Conversion from CDR 0 to CDR 0.5 or Greater**

Kaplan-Meier estimates of the rates of conversion from CDR 0 to CDR 0.5 or greater as a function of CSF biomarker measures (dichotomized at the 85th percentile value) are shown. Analyses were adjusted for age, gender, education, and *APOE* ε4+ genotype. Cutoff values were 535 pg/ml, 440 pg/ml, 78 pg/ml, 1.13, 0.94, and 0.15 for VILIP-1, tau, p-tau181, VILIP-1/Aβ42, tau/Aβ42, and p-tau181/Aβ42, respectively. Adjusted hazard ratios were 3.74 (95% CI: 1.98–9.57, *p*=0.0023) for VILIP-1, 2.57 (95% CI: 1.31–6.97, *p*=0.0306) for tau, 1.72 (95% CI: 0.97–5.38, *p*=0.06) for p-tau181, 13.00 (95% CI: 4.38–30.90, *p*<0.0001) for VILIP-1/Aβ42, 9.82 (95% CI: 3.11–21.28, *p*<0.0001) for tau/Aβ42, and 7.83 (95% CI: 2.65–16.34, *p*<0.0001) for p-tau181/Aβ42.

#### **TABLE 1**

Demographic, Clinical, Genotype, and CSF Biomarker Characteristics of Study Participants.



Abbreviations: CNC, cognitively normal controls; AD, Alzheimer's disease; LP, lumbar puncture; CDR, Clinical Dementia Rating; *APOE*, Apolipoprotein E; CDR-SB, Clinical Dementia Rating – sum of boxes; MMSE, Mini Mental State Examination; SD, standard deviation; PIB, Pittsburgh Compound B; CSF, cerebrospinal fluid; Aβ42, amyloid-β peptide 1–42; p-tau181, tau phosphorylated at threonine 181; VILIP-1, visinin-like protein-1.

*\* p* <0.05

*€ APOE* ε4+ genotype was defined by the presence of at least one *APOE* ε4 allele.

*¥*Mean CSF levels of VILIP-1 were 430 and 439 pg/ml for males and females, respectively.

*§* Chi-square (χ 2) tests were used for group comparisons. All other group comparisons were performed using Student's *t*-tests.

*¶* Individuals who underwent PET-PIB (*n*=156) included CNC (*n*=131) and AD (*n*=25).

*† n*=296,

*†† n*=295

*γ* Plasma samples were obtained from CNC (*n*=149) and AD (*n*=64).

#### **TABLE 2**

Baseline Demographic, Clinical, Genotype, and CSF Biomarker Characteristics of Non-converters and Converters.



Abbreviations: *APOE*, Apolipoprotein E; CDR, Clinical Dementia Rating; CDR-SB, Clinical Dementia Rating-sum of boxes; MMSE, Mini-Mental State Examination, PIB, Pittsburgh Compound-B; LP, lumbar puncture.

*€ APOE* ε4+ genotype was defined by the presence of at least one *APOE* ε4 allele.

*§* Chi-square (χ 2) tests were used for group comparisons.

<sup>γ</sup><br>These values represent the percentage of (PIB+) individuals among all individuals who were evaluated by PET-PIB in each of the "nonconverters"  $(n=86)$  and "converters"  $(n=11)$  cohorts.

*† n*=136 and *n*=25 for non-converters and converters, respectively.

*<sup>\*</sup> p* <0.05

#### **TABLE 3**

Baseline Demographic and CSF Biomarker Variables as Predictors of Time to Conversion from CDR 0 to CDR 0.5 or Greater.



Cox proportional hazard models were used to assess the ability of demographic variables (age, gender, education, and *APOE* ε4+ genotype) and baseline levels of CSF biomarkers (as continuous variables) to predict conversion from CDR 0 to CDR 0.5 or greater over the 2–3 year follow-up period. Analyses for all CSF biomarker measures were adjusted for age, gender, education, and *APOE* ε4+ genotype. Abbreviations: CI, confidence intervals.

*€* Hazard ratio for age after adjusting for the CSF biomarker measures tau, p-tau181, Aβ42, and VILIP-1, and demographic variables (education, gender, and *APOE* ε4+ genotype): 1.07 (CI: 1.01–1.145, *p*= 0.048).

*\** Statistically significant (*p* value <0.05).

<sup>†</sup> Because of the small values of the p-tau181/Aβ42 ratios, these values were transformed by multiplying each value by a constant of 10 prior to analysis.