

The Use of Animal Models for Stroke Research: A Review

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Stroke has been identified as the second leading cause of death worldwide. Stroke is a focal neurologic deficit caused by a change in cerebral circulation. The use of animal models in recent years has improved our understanding of the physiopathology of this disease. Rats and mice are the most commonly used stroke models, but the demand for larger models, such as rabbits and even nonhuman primates, is increasing so as to better understand the disease and its treatment. Although the basic mechanisms of stroke are nearly identical among mammals, we here discuss the differences between the human encephalon and various animals. In addition, we compare common surgical techniques used to induce animal models of stroke. A more complete anatomic knowledge of the cerebral vessels of various model species is needed to develop more reliable models for objective results that improve knowledge of the pathology of stroke in both human and veterinary medicine.

Abbreviation: EVA, encephalic vascular accident.

The success of stroke studies in animals depends on the choice of the experimental model species. This selection must be rigorous because it is the most important aspect of experiment design. An inadequate model may lead to limitations that compromise results and analyses. Furthermore, the extrapolation of results from animal models to humans can be unreliable.¹⁴

Four basic types of animal models are referred to in the medical literature: induced, spontaneous, negative, and orphan. The first 2 types are the most important models. As the name suggests, in induced models, a diseased condition is induced experimentally, as in the induction of diabetes mellitus.⁵⁴ Spontaneous models of human diseases involve animals that naturally present a disease with similar causes and symptoms.⁵⁴ Several hundred breeds or strains of animals have inherited diseases that display similar conditions to those in humans and therefore have been characterized and maintained.⁵⁴

Negative models involve a specific disease that inhibits growth, such as gonococcal infection in rabbits, and includes animals that are unable to react when submitted to a specific condition. The most common application of negative models involves studying the mechanism of resistance to achieve a clear understanding of the physiology.¹⁴

Orphan models of disease refer to conditions that occur naturally in nonhuman species but have not yet been described in humans. An orphan model is studied when a similar disease is identified in humans.⁵⁴

Stroke

Stroke is a focal neurologic deficit caused by an alteration in circulation in the encephalon. In the last decade, this term has evolved to include injuries caused by hemodynamic disturbances and coagulation that cannot be detected in arteries or veins.⁷⁰ Stroke is one of the most prevalent pathologies affecting the CNS. Recent studies indicate that stroke has become the second most common cause of death. Stroke is important for public health reasons because it is the main cause of physical and cognitive incapacities in developing countries.^{30,12,33} In 2001, stroke was responsible for 5.5 million deaths and 15 million nonlethal brain injuries worldwide; these figures are projected to increase to 6.3 million deaths in 2015 and 7.8 million in 2030.^{46,67} Stroke lethality is 11% in women and 8.4% in men and is more prevalent among blacks than whites, especially in the younger age groups.⁴⁵

Of all strokes, 88% are ischemic, 9% involve an intracerebral hemorrhage, and 3% involve a subarachnoid hemorrhage. The most common type of stroke is atherothrombotic brain infarction, which accounts for approximately 61% of all strokes (excluding transient ischemic attacks). The second most common type of stroke is embolic stroke, at 22%.⁶⁶ Most stroke survivors develop lasting symptoms, such as physical and intellectual limitations, leading to high social costs.

Encephalic vascular accident (EVA) is the newest terminology used to describe stroke, replacing the previous nomenclature of 'cerebrovascular accident.'⁵⁶ EVA occurs in 4 different forms: 1) ischemic and transitory, with decreased blood flow and possible recovery after 24 h; 2) ischemic and complete, with neurologic deficits caused by a vascular disturbance for one day or more that remains stable; 3) progressive, with intermittent increases in deficits caused by embolisms or thrombus and 4) hemorrhagic, with ruptured vessels and blood overflow caused by increased intracranial pressure. The main risk factors of EVA are hypertension, obesity, smoking, sedentary lifestyle, stress, and high cholesterol.¹

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Arteries	Clinical symptoms
Anterior cerebral artery	Behavior alterations (frontal lobus stroke) Contralateral hemiparesis, more severe in lower limb Contralateral psychomotor disorders Contralateral sensorial losses Functional alterations in bladder and anal sphincter Hemiplegia with crural predominance Homolateral ataxia in the arm Mental manifestations
Middle cerebral artery	Alexia Aphasia (dominant hemisphere lesion) Apraxia Atherosclerosis Contralateral hemiplegia and/or hemiparesis Homonymous hemianopsia
Posterior cerebral artery	Anton syndrome Ataxia Cortical blindness associated with agnosia (bilateral lesion) Dyslexia without agraphia Hemiplegia Homonymous hemianopsia Memory disorders (bilateral lesion) Thalamic sensorial syndrome
Internal carotid artery	Contralateral hemiplegia with hemipostesia and aphasia (dominant hemisphere lesion) Thrombosis, recurrent transient ischemic, and intraparenchymal hemorrhages (Moyamoya disease) Retinal ischemia with numbness or blindness in ipsilateral eye Unconsciousness at the time of occlusion
Basilar artery	Contralateral hemiplegia or tetraplegia Dysarthria and diaphasia Facial paralysis Loss of consciousness and vertigo Sensitivity and bulbar symptoms such as swallowing Speech impairment Transient ischemic attacks
Vertebrobasilar artery	Contralateral hemiplegia Connections with contralateral sensory and motor signals Headaches Ipsilateral paralysis of the common oculomotor nerve Signs of cranial nerve injury and ipsilateral cerebellar Weber syndrome (midbrain lesion)

From reference nos. 1, 8, 12, 24, 26, 33, 42, 45, 46, 53, 56, 63.

Figure 1. Major human arteries and specific clinical symptoms produced after stroke.

In ischemic EVA, an interruption in cellular oxidative metabolism decreases phosphate and glucose production, liberates neurotransmitters, and decreases levels of calcium and sodium. These factors lead to a reduction in neuronal metabolism and mitochondrial function, energetic insufficiency, formation of arachidonic acid, prostaglandin and leukotrienes, vasoconstriction, plate aggregation and poor microvasculature.^{15,26,29,44} In hemorrhagic cerebrovascular accidents or EVA, an expansive, acute lesion forms that leads to the destruction, compression, and displacement of encephalic structures; a secondary ischemic lesion around the hematoma may also occur.^{15,26,29,44}

The pathophysiology of cerebral ischemia has been studied in animals with various forms of ischemic lesions. These models have shown that metabolic alterations in reperfusion may lead to cellular lesions in specific brain regions, depending on the duration of the ischemia.^{15,26,29,44} Regional destruction of the brain is followed by alterations in motor activity.⁶³ Recovery processes begin immediately after the lesion and last for months.⁶³ Even though the recovery process begins gradually after development of the lesion, the motor function present before the lesion will not necessarily be recovered. However, residual functional mechanisms may adapt, demonstrating neuronal plasticity.⁶³

The principal arteries affected by stroke and their clinical symptoms are shown in Figure 1. Clinically, several deficiencies are possible, including deficits in motor function, sensitivity, perception, and language skills. Motor deficiencies are characterized by paralysis (hemiplegia) or weakness (hemiparesis) on the side of the body opposite the lesion. Strokes vary from mild to serious, and the consequences can be either temporary or permanent.⁵³

The Use of Animal Models in Stroke Research

The use of animal models in recent years has provided a better understanding of the pathophysiologic mechanisms of strokes.⁶⁰ Numerous animal species have been used to study strokes.⁶⁰ Mice and rats are the most commonly used species, with a growing use of larger species, such as rabbits and even nonhuman primates, to better study the disease and its treatments.⁶⁰

However, the applicability of results obtained for animals to the treatment of human diseases has been limited, as occurred with neuroprotection.⁶⁰ Neuroprotection is an intervention, sometimes involving drug administration, that acts directly on the intracellular mechanisms of the ischemic cascade to affect the area around the stroke. Neuroprotection may decrease the size of the compromised area after an acute ischemic process. Several pharmacologic agents have been effective in animal models but not in humans.^{21,60}

Most variables are tightly controlled in laboratory experiments; therefore, they may not reflect factors contributing to strokes in the human population as a whole. In the laboratory, animals are treated according to a strict protocol after induction of stroke. In contrast, a human patient experiencing an ischemic process may not notice the symptoms or seek medical assistance promptly. Precise and rapid identification of symptoms enables improved treatment options and outcomes.⁶⁸

Another important difference between animal models and humans is the rigorous control of the animals used.⁶⁸ Typically, young, healthy, genetically similar animals of the same sex or age groups are used, especially in studies involving rodents. However, such homogeneity does not exist in the human population. The typical stroke patient is elderly, with many risk factors, and may

present additional complications, such as diabetes, hypertension, or coronary disease. Age is a primary risk factor for stroke pathologies, and this factor is often overlooked in studies on animals. The use of older animals can provide information about stroke-induced damage and facets of the recovery process that are not well-represented in younger animal models.⁶⁸ For example, one study used young and old rats to assess the reduction in blood volume due to a cortical infarction after occlusion of the transient middle cerebral artery occlusion.³¹ Several intergroup differences emerged, including the total volume of affected tissue, edema formation, and functional consequences.³¹

In 3 databases (Medline, <http://www.ncbi.nlm.nih.gov/pubmed/>; Lilacs, <http://regional.bvsalud.org/>; SciELO, <http://www.scielo.br/>), mice were the most commonly used animal model, followed by rats, rabbits, dogs, swine, and primates. Approximately 85% of the articles in Medline and 70.5% of the entries in Lilacs used mice as models.¹⁴ The success of stroke research requires parallel studies to identify the best animal model for each form of EVA. Therefore, detailed anatomic knowledge of the encephalic vessels of various species is essential for developing a reliable and useful model of the pathology.

General Encephalic Vasculature

The brain has undergone many structural evolutionary changes.⁴⁸ As the complexity of the nervous system has increased throughout evolution, the encephalon and arrangement of arterial vessels have also been modified, with a correlation between the evolution of the CNS and modifications in the arrangement of encephalic vessels. The vessels that supply the encephalon constitute the circle of Willis. These arteries include the anterior and posterior cerebral arteries and the anterior and posterior communicating arteries. The vertebral arteries that unite to form the basilar artery also are important to the encephalic blood supply.⁴² A phylogenetic study in domestic animals demonstrated the diverse arrangements of the multiple arteries constituting the circle of Willis, but these different morphologic features do not necessarily represent evolutionary adaptations.⁶⁰

In lower vertebrates, the internal carotid artery directed blood to the encephalic mass through the posterior branch without contribution from the basilar artery.⁷ In higher vertebrates, 2 posterior branches stemmed from a single and central branch that turned into the branch of the basilar artery. Two tiny vertebral arteries have been described, running from the bottom upward and connecting to the terminal portion of the basilar artery at the border between the pons and bulbus.⁷ In the third phase of evolution, the vertebral artery enlarged to feed the basilar artery, conducting blood to the internal carotid artery that is used during the development of the anterior portion of the brain.⁷ The basilar artery flowed from bottom to top, and its 2 branches increased in volume and continued into the corresponding posterior cerebral arteries.⁷ The carotid and basilar arteries are responsible for the blood supply to the brain and are connected by the posterior branches of the carotid artery, which atrophies to form the posterior communicating artery in each antimer.⁷

Ontogenetic studies⁶⁴ have shown that the vasculature developmental process followed the evolution of a complex encephalon.⁷ Despite all of the changes that arterial branches have undergone during development of the encephalon, their vascular territories have remained constant throughout the evolutionary process. Encephalic metabolism requires an adequate supply of glucose

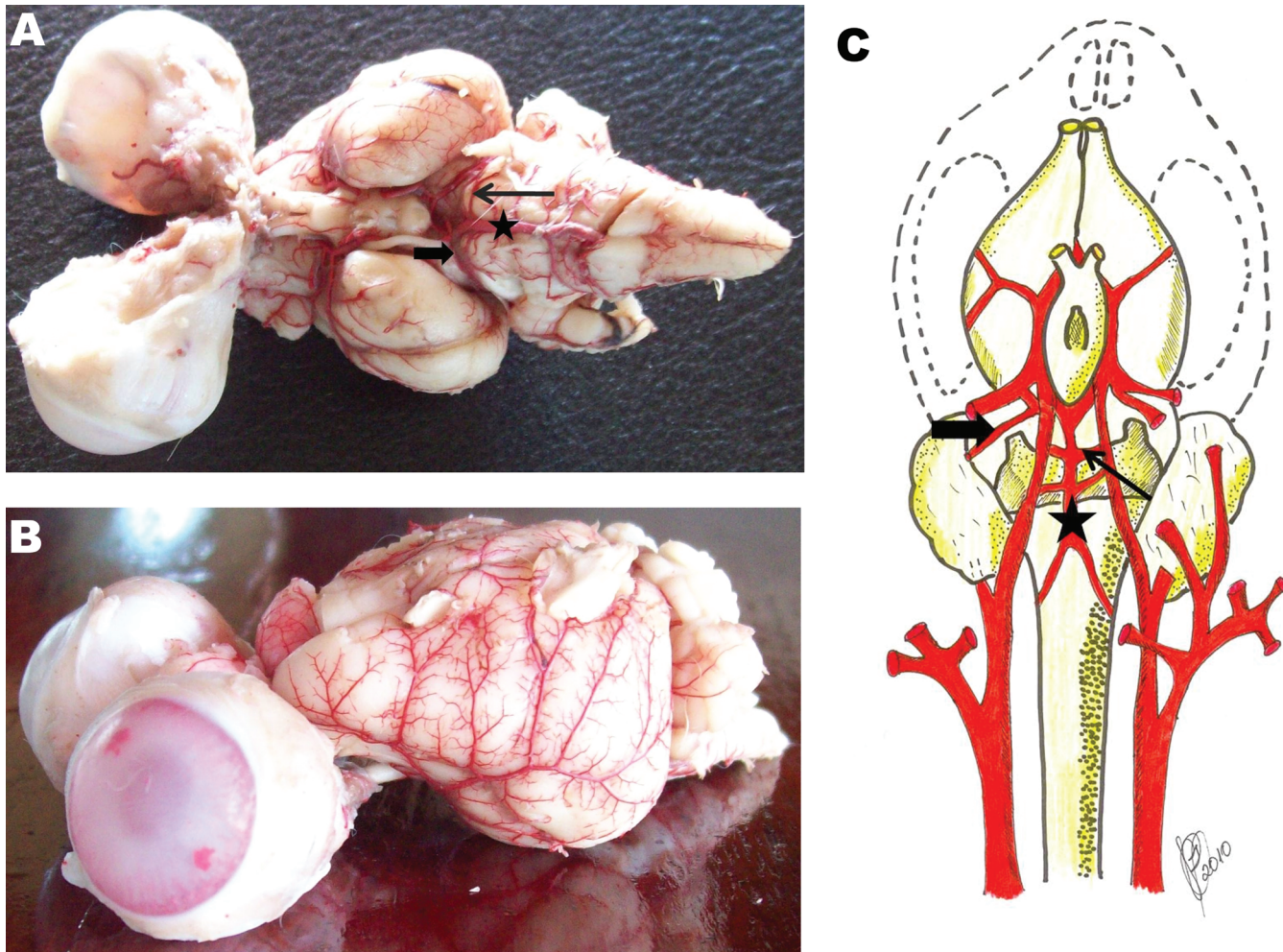


Figure 2. (A) Ventral and (B) lateral views of rabbit encephalon injected with latex to show arterial vasculature and (C) a schema of the same vasculature. The origin of the inferior cerebellar artery is the vertebral artery in humans in primates but is the basilar artery (star) in rabbits. Andrade (1983) noted that rodents, like rabbits, have a lower anterior cerebellar artery (solid arrow) and superior cerebellar artery (open arrow).

and oxygen for correct function and therefore a high rate of blood flow.

The encephalon has a peculiar vasculature; vessels enter at several points and are divided into different circulation territories and return to the bilateral carotid arteries and vertebrobasilar system. These 2 systems have a mutual anastomosis, which is not always functional, through the posterior communicating artery, which connects the internal carotid artery (anterior circulation) and posterior cerebral artery (posterior circulation).⁹ In the anterior circulation, the internal carotid artery has a larger caliber and more distal branches compared with the anterior cerebral, middle cerebral, posterior communicating, anterior choroidal, and ophthalmic arteries. In the posterior circulation, blood bilaterally reaches the brain by way of the vertebral arteries, which originate on each side of the posterior inferior cerebellar artery and join the groove-level bulbopontine to form the single and medial basilar arteries. These arteries run superior and rostral to the pons to form the bilateral anterior inferior cerebellar, superior cerebellar, and posterior cerebral arteries. By failing to maintain a significant level of anaerobic metabolism, the brain is subject to injury from brief interruptions in the blood supply.⁹

Particularities of Encephalic Vasculature in Animal Models

Among various species, the general arrangement of encephalic arteries is conserved with some particularities (Figures 2 and 3).

In humans, the internal carotid artery provides the major blood supply to the encephalon. In dogs, the vertebral artery assumes this role. This different model of blood supply with numerous intra- and extracranial anastomoses protects the encephalon from the effects of cerebral arterial occlusions. These differences of blood supply in the dog encephalon explain the low use of this model in ischemia studies.²⁴

The branches of arteries that form the arterial circle of the pig encephalon may constitute 2 vascular networks: the basal and cortical vascular networks.¹⁶ The branches of the cerebral arteries have been grouped into 3 classes:¹⁶ the arteries at the base of the brain nuclei, the ventricular arteries, and the arteries of the convolutions of the cortical gray layer. The initial portion of the anterior cerebral artery has small branches that supply the basal ganglia.

The distribution of the internal carotid and vertebral arteries in human primates, especially monkeys,⁶⁴ is similar to that found in humans. The anterior cerebral arteries fuse into a unique median

Species	Major artery	Branches of major artery	Region vascularized	References	
Monkey	Internal carotid artery	Caudal cerebral artery Posterior cerebral artery	Temporal region and occipital and parietal regions of optic chiasm (caudal cerebral artery and posterior cerebral artery)	17, 19, 58	
	Basilar artery	Caudal cerebral artery Posterior cerebral artery Encephalon carotid artery (forked middle and rostral cerebral arteries or triforked middle, rostral, and caudal cerebral arteries)	Olfactory lobe (rostral cerebral artery)		
Human	Internal carotid artery	Middle cerebral artery Anterior cerebral artery	Frontal lobe to the parieto-occipital sulcus (anterior cerebral artery) Superolateral face of hemispheres (middle cerebral artery)		42, 49, 51, 61
	Basilar artery	Posterior cerebral artery	Midbrain, occipital and temporal lobe (posterior cerebral artery)		
	Posterior cerebral artery	Posterior communicating artery			
	Anterior cerebral artery	Anterior communicating artery			
Rat	Internal carotid artery	Posterior communicating artery Hypothalamic artery Anterior choroidal artery Middle cerebral artery Anterior cerebral artery	Lateral surface of the olfactory tract and cerebral cortex (middle cerebral artery)	20, 66	
	Basilar artery	Posterior cerebral artery Vertebral artery	Posterior portion of the arterial circle of Willis (posterior communicating artery)		
	Posterior cerebral artery	Posterior communicating artery			
Rabbit	Internal carotid artery				
	Basilar artery	Rostral cerebral artery			
	Posterior cerebral artery				
	Anterior cerebral artery				
Gerbil	Internal carotid artery			34, 35	
	Basilar artery				
	Posterior cerebral artery				
	Anterior cerebral artery	United			
Cat	Internal carotid artery	Posterior communicating artery Middle cerebral artery Rostral cerebral artery	Rostral colliculus bodies, middle cerebral pedunculus, choroid plexus, third ventricle, and mammillary body (anterior cerebral artery)	36, 37	
	Basilar artery	Anterior cerebral artery (tectal rostral artery and caudal branch forked), vertebral artery			
Dog	Internal carotid artery	Middle cerebral artery Rostral cerebral artery Anterior cerebral artery	Occipital lobe (vertebral artery)	3, 13	
	Basilar artery	Anterior and posterior cerebellar artery Basilar artery with vertebral anastomosis	Lateral parts of hemispheres (middle cerebral artery)		

Figure 3. Encephalic regions supplied by the major encephalic arteries and their branches.

branch, which surrounds the genu corporis callosi and bifurcates distally. The basilar artery bifurcates into the posterior cerebral arteries, which are connected to the internal carotid posterior communicating artery. In adults, the encephalon is supplied by both the internal carotid and vertebral arteries, but in embryos, blood is supplied only by the internal carotid arteries. The internal carotid artery branches from the internal ophthalmic artery, which pierces the dura mater and stems from 2 terminal branches with different calibers. A posterior branch of the small arm, the posterior communicating artery, and the anterior branch all branch into the choroidal artery and middle cerebral artery and finish as the anterior cerebral artery. In the rostral region of the anasto-

motric arterial circle at the base of the brain, the interhemispheric artery proceeds into the longitudinal fissure of the brain dorsal to the genu of the corpus callosum. The collateral branches are issued from the medial sides of each cerebral hemisphere from the frontal lobe, and the arteries bifurcate at the corpus callosum to produce the right and left callosum arteries.¹⁷

At the base of the brain of *Cebus apella* (capuchin), the inferior cerebellar and posterior inferior cerebellar arteries supply blood to the lower portion of the cerebellum and the lateral surface of the bulb. Before the bifurcation of the basilar artery to the right and left superior cerebellar arteries, the superior cerebellar satellites spread to the midbrain, upper stem, and cerebellum.⁵⁷

Induction method	Species	Age	Infarct size	References
Embolism				
Preformed fibrin clot into right internal carotid artery	Rat	Unknown	Unknown	11
Fibrin microemboli	Mice	Adult	Unknown	2
Photocoagulation of internal carotid artery	Mice	Range	7% to 15% brain volume	41
Use of small clot	Rabbit	Unknown	Unknown	32
Single clot injection in internal carotid artery	Rabbit	Unknown	Greater stroke volume in anterior cerebral artery; greater percentage of stroke occurrence in middle cerebral artery	5
Middle cerebral artery occlusion				
	Rat	Adult	4% to 27% of hemisphere	40
	Rat	Adolescent	High number of nervous system structure were damage	25
Perforating artery occlusion				
Cortical photocoagulation	Rat	Adult	Depth, 1.7 to 4.2 mm	47
Cortical pial vessel crush	Rat Rat	Adult Adult	Diameter, 1629 ± 261 μm 1.09 mm ³	65 27
Endothelin 1 injection				
Into striatum and subcortical white matter	Rat	Adult	17.5 μL per 20 μm ²	28
Into striatum	Rat	Unknown	Unknown	22
Into internal capsule	Rat	Unknown	Diameter, ~500 μm	69
Spontaneous stroke				
	Rat (hypertensive)	Range	Unknown	39, 59
	Dog Dog	Range Range	Unknown Diameter, 9–21 mm	24 50
Miscellaneous				
2 injections of sodium laurate into internal carotid artery	Rat	Adult	8% of slice area	62
Genetic model of CADASIL syndrome	Mice	Range	0.2–0.6 μm	52
Bilateral carotid artery occlusion	Mice	Adolescent	Unknown	55
Immunization with HL60 promyelocytic cell differentiation factor	Rabbit	Unknown	Unknown	23

Figure 4. Major methods of stroke induction in different animal models.

The encephalic arteries of monkeys are represented by 3 branches of the vascular pedicle:¹⁸ the basilar artery, right internal carotid artery, and left internal carotid artery. The basilar artery results from anastomosis by convergence of the right and left vertebral arteries. The arterial segments belonging to this system

form a closed circuit with 2 distinct sectors: caudal (basilar) and carotid (cranial).

The encephalic circulation of carnivores can be classified into 3 groups:⁶⁴ one in which the encephalic blood supply is provided by the internal carotid arteries, one in which the encephalic blood

supply is provided by the vertebral arteries, and the last with characteristics of both of these groups. However, these 3 groups do not show an evolutionary pattern because similar classifications exist in distant phylogenetic groups.⁶⁴

The surfaces of the arteries of the dog and cat encephalons are similar and follow the general model of carnivores.³⁷ The intrinsic arteries follow the model described for submammals and primates. The extrinsic arteries of dogs and cats have some unusual modifications and thus resemble those of some ungulates. Most importantly, a single major source of arterial blood supplies the encephalon of cats and dogs. This vessel originates from the maxillary artery and acts as an anastomotic branch for the rete admirabile.

The arteries at the base of the brain in cats are dependent on the carotid and vertebrobasilar systems and are responsible for the formation of the arterial circuit of the encephalon.³⁷ The rostral portion of the arterial circuit of the encephalon lies across the base, resembling an ellipse, and is closed by the rostral communicating artery. The caudal portion of this circuit had a characteristic morphologic asymmetry and was closed by the caudal branches of the carotid arteries of the brain and terminal branches of the basilar artery on both sides. In addition, the presence of a training network prepared within this circuit was observed.³⁷

Studies of the circle of Willis in *Canis familiaris* have revealed that the caudal communicating artery usually branches into 2 branches and flows laterally from rostral to caudal by means of the caudal artery of the brain and rostral cerebellar artery.¹⁰ The first of these 2 communicating branches divides the flow to serve 2 distinct regions: the proximal and distal portions of the midbrain.

Animal Models Compared with Methods of Occlusion Induction

The use of diverse experimental models is useful for experimental studies on ischemia, preventing the development of a standard surgical model. The ideal model has the characteristics of clinical relevance, ease of experimental execution, reproducibility, and absence of collateral effects unrelated to ischemia.⁶ Several methods of ischemia induction have been described, including craniotomy, arterial embolism, and occlusion of 3 or 4 cervical vessels.⁶ Variation in time of ischemia contributes to the diversity of the experimental models used. The most used method for inducing ischemia is thrombosis by middle cerebral artery occlusion.⁶ In tests of motor behavior, animals presented different degrees of functional defects on the contralateral side of the ischemia. Histologically, middle cerebral artery occlusion produces small necrotic central and apoptotic peripheral regions.^{4,8,43}

Occlusion of the middle cerebral artery is the most commonly used surgical method of producing stroke.⁵⁰ By first damaging subcortical structures and then damaging cortical structures, this occlusion mimics human striatocapsular infarcts in terms of size and the structures affected. Striatocapsular infarcts affect the majority of the basal ganglia or adjacent white matter.⁵⁰ These lesions are caused by occlusion of the transient middle cerebral artery with early reperfusion or, if the occlusion persists, with good collateral flow from the anterior or posterior arteries to the cortical middle cerebral artery territory.⁵⁰

Techniques other than occlusion of the middle cerebral artery can also be used to induce stroke in animals (Figure 4). Injection

of endothelin 1 (a powerful vasoconstrictor) affects microvessels, causing ischemic lesions. In gray matter, endothelin 1 causes small lesions with neuronal and astrocyte losses and a delayed macrophagic–microglial response. In white matter, endothelin 1 causes axonal and oligodendrocyte disruption followed by myelin damage and increased astrocyte reactivity.

Embolism caused by injecting different amounts and sizes of emboli (microspheres, black beads, silicone rubber cylinders, and preformed clots) into the internal carotid artery produced multiple, unpredictable infarcts.³ These infarcts were mostly cortical, with a few in the basal ganglia and caudate nucleus.³ However, the subcortical lesions were poorly documented, making their relevance to lacunar infarction uncertain.³

Perforating artery occlusion causes small cortical infarcts in rats after using forceps or photochemical irradiation to occlude a pial artery on the surface of the brain. Perforating artery occlusions mimic lacunar infarction because they show “cavitation caused specifically by ischemia of smaller vessels.”⁴⁷ This type of occlusion may result in occlusion of the lenticulostriate artery by eosinophilic thrombus accompanied by brain tissue softening, necrosis, and cyst formation.²⁷ These lenticulostriate occlusion models all produced striatocapsular-sized rather than lacunar-sized lesions.²⁷

Another method involves spontaneous lesion formation in transgenic or spontaneously hypertensive stroke-prone animals.³⁹

Conclusions

The ideal model for stroke research incorporates several factors. The ideal model should have a sufficient number of features that are similar to those in humans to allow the study of the biologic, behavioral, and physiologic factors of the pathology so that, after the induction of the pathologic process, the outcomes can be investigated and treated with minimal limitations. The most applicable animal models for research related to stroke are rodents and lagomorphs. These models satisfy all of the basic requirements needed to induce, manipulate, and treat diseases that affect humans. However, other models should still be explored through similar studies.

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