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# Enantioselective Nitroaldol Reaction of $\alpha$ -Ketoesters Catalyzed by Cinchona Alkaloids

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#### **Abstract**

The development of highly enantioselective and general catalytic nitroaldol (Henry) reactions with ketones is a challenging yet desirable task in organic synthesis. In this communication, we report an asymmetric nitroaldol reaction with  $\alpha$ -ketoesters catalyzed by a new C6′-OH cinchona alkaloid catalyst. This is the first highly efficient organocatalytic asymmetric Henry reaction with ketones. This reaction is operationally simple and affords high enantioselectivity as well as good to excellent yield for a broad range of  $\alpha$ -ketoesters.

The nitroaldol reaction or Henry reaction constitutes an important class of C-C bond forming reactions that provide straightforward access to important synthetic intermediates from readily accessible nitroalkanes and carbonyl compounds. Due to its significance in organic synthesis, considerable efforts have been devoted to the development of efficient catalytic asymmetric nitroaldol reactions. Consequently, several chiral metal complexes and chiral phase transfer catalysts have been identified to be highly efficient catalysts for enantioselective nitroaldol reactions with aldehydes.

In contrast to the substantial progress made with aldehydes, the development of enantioselective nitroaldol reaction with ketones has met with limited success. To date, only one catalyst system, consisting of a Cu-bisoxazoline complex and triethylamine, has been identified to afford synthetically useful enantioselectivity for the addition of nitromethane to  $\alpha$ -ketoesters 2. Aa, However, in addition to requiring a catalyst loading of 20 mol% and the use of anhydrous conditions, both the yield and enantioselectivity of the reaction display a dependence on the structure of 2. For example, the enantioselectivity was high for reactions with aryl  $\alpha$ -ketoesters bearing an electron-withdrawing group on the aromatic ring, it became moderate when the electron-withdrawing group was replaced with an electron-donating substituent. Depending on the steric bulk of the alkyl  $\alpha$ -ketoesters, the enantioselectivity could be either high or modest. For  $\alpha$ -ketoesters 2 bearing an alkenyl group, synthetically useful enantioselectivity was not attainable.

On the other hand, it is especially desirable to realize a catalytic asymmetric nitroaldol reaction that affords high enantioselectivity for a wide rang of  $\alpha$ -ketoesters **2**. Such a reaction, in combination with the synthetic versatility of the ester and the nitro groups, will provide enantioselective access to a broad range of optically active tertiary carbinols (Scheme 1). In this communication, we wish to report a significant progress towards achieving this goal.

Although effective chiral organic catalysts have been reported for enantioselective aza-Henry reactions,  $^{5,6}$  no broadly effective chiral organic catalyst has been developed for the direct asymmetric Henry reaction. We recently reported C6'-OH cinchona alkaloids 1a–c(Figure 1) as efficient catalysts for various enantioselective conjugate additions. Mechanistic studies from our laboratories indicated that catalysts 1 could serve as acid-base bifunctional catalysts via hydrogen bonding interactions with the Michael donor and acceptor through the quinuclidine nitrogen and the C6'-OH, respectively. In light of the wide range of nucleophiles and electrophiles that could engage in hydrogen bonding interactions, we envisaged that catalysts 1 might be able to effectively stabilize and organize transition states involving nucleophiles and electrophiles other than Michael donors and acceptors, thereby allowing 1 to function as efficient enantioselective catalysts for reactions that are mechanistically distinct from conjugate additions. Guided by these considerations, we began to investigate 1 as catalysts for enantioselective nitroaldol reaction with  $\alpha$ -ketoesters 2.

We first focused on the addition of nitromethane to alkenyl  $\alpha$ -ketoester 2a, because high enantioselectivity had not yet been achieved for nitroaldol reactions of this important class of  $\alpha$ -ketoesters. Furthermore, alkenyl  $\alpha$ -ketoesters could engage in 1,2- as well as 1,4-additions with a nitroalkane, thus presenting a particularly challenging class of substrates for nitroaldol reactions. As reported previously,  $^{4b}$  nitromethane reacted with 2a in the presence of Et<sub>3</sub>N to give products 3a and 3a' in 4:1 ratio (entry 1, Table 1).

Promoted by various cinchona alkaloids the addition of nitromethane to **2a** in methylene chloride proceeded in a highly chemoselective fashion to cleanly afford the nitroaldol product **3a** (Table 1). The enantioselectivity of the C6'-OH cinchona alkaloids **1a**–**c** was found to be considerably higher than that displayed by C6'-OMe cinchona alkaloids (entries 6–8 vs. 2–4, Table 1). Furthermore, the significant impact of the C9-substituent (OR) on the enantioselectivity of **1** raised the possibility of finding a more effective and practical C6'-OH cinchona alkaloid for the nitroaldol reaction by modifications of this substituent. Further studies following this hypothesis led to the discovery that C6'-OH cinchona alkaloid bearing a C9-OBz group (QD-**1d**) is even more effective than **1a**–**c**. The addition of nitromethane to **2a** with either QD-**1d** or Q-**1d** occurred in 97% ee (entries 9–10, Table 1). It is noteworthy that the preparation of **1d** employs significantly cheaper reagents than those required for the preparation of **1c**.<sup>8</sup>

With 5.0 mol% of 1d, excellent enantioselectivity and high yield could be attained not only for alkenyl  $\alpha$ -ketoesters 2a–b, but also for a broad range of aryl and alkyl  $\alpha$ -ketoesters 2c–m (Table 2). Thus, the enantioselectivity of 1d is insensitive to either the steric or the electronic properties of 2. The unprecedented excellent enantioselectivity obtained with  $\alpha$ -ketoestes 2 bearing alkenyl, electron-rich aryl and sterically bulky alkyl groups is noteworthy. Among them 2a, 2d and 2l were previously reported to react with nitromethane in 57–77% ee with existing catalyst systems, and enantioselective nitroaldol reaction was not documented for 2b, 2e and 2m.  $^{4a,4b,4e}$ 

We have applied the 1d-catalyzed nitroaldol reaction to develop new and concise asymmetric syntheses of synthetically important chiral intermediates such as aziridines 7 and  $\beta$ -lactams 5 (Scheme 2). <sup>10</sup> As shown by the conversion of 7j to 8j, optically active aziridines 7 are valuable intermediates for the synthesis of optically active  $\alpha$ ,  $\alpha$ -disubstituted  $\alpha$ -amino acids. It should be noted that  $\alpha$ -methylcysteine (8j) was the key intermediate in the total syntheses of mirabazoles and thiangazole. <sup>11</sup> The ability of 1d to promote highly enantioselective nitroaldol reaction for a wide range of  $\alpha$ -ketoesters 2 should facilitate the preparations of analogues of these antitumor and anti-HIV natural products.

In conclusion, we have developed the first efficient organocatalytic enantioselective nitroaldol reaction with ketones using a new C6'-OH cinchona alkaloid 1d. Employing a relatively low loading of an easily accessible and recyclable chiral catalyst and affording high enantioselectivity for a wide range of  $\alpha$ -ketoesters 2, the reaction should provide a broadly useful approach for the asymmetric synthesis of chiral compounds containing tetrasubstituted carbon stereocenters. The current study also reveals for the first time that the C6'-OH cinchona alkaloids 1 are highly efficient catalysts for enantioselective 1,2-additions to carbonyls.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

# **Acknowledgments**

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- 8. For preparations of Q-1d and QD-1d, see Supporting Information.
- 9. Under the same condition, the addition of nitroethane to **2c** with Q-**1d** afforded the 1,2-adducts in 4/1 dr and in 75% ee/88% ee, respectively; Acetophenone was found to be inactive for the **1**-catalyzed nitroaldol reaction with nitromethane.
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**Figure 1.** 6'-OH Cinchona Alkaloids Derivatives

**Scheme 1.** A General Approach to Optically Active Tertiary Carbinols

#### Scheme 2.

Asymmetric Synthesis of β-Lactam, Aziridine and α-Methylcysteine Derivatives <sup>a</sup> (a) Raney Ni, H<sub>2</sub> (1atm); (b) *i*-PrMgCl, 38% y. over 2 steps; (c) TfN<sub>3</sub>, CuSO<sub>4</sub>(cat.), for **6c**, 84 % y. over 2 steps; for **6j**, 63% y. over 2 steps; (d) PPh<sub>3</sub>, CH<sub>3</sub>CN, for **7c**, 80% y.; for **7j**, 71% y.; (e) BF<sub>3</sub>. Et<sub>2</sub>O, p-Methoxybenzyl mercaptan, 56% y.

<sup>a</sup>See Supporting Information for details.

Table 1 Enantioselective Nitroaldol Addition of Nitromethane to  $\alpha\textsc{-Ketoester 2a.}^{\alpha}$ 

OEt Cat. (10 mol%),CH <sub>3</sub> NO <sub>2</sub> (10	eq.)O <sub>2</sub> N—OH	O <sub>2</sub> N \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
CH <sub>2</sub> Cl <sub>2</sub> , 12 h, -20°C	3a	+ OEt

Entry	Cat.b	Conv./% c	3a/3a' <sup>c</sup>	ee/% d
1	Et <sub>3</sub> N	>95	80/20	
2	QD	91	>95/5	-17
3	DHQD-PHN	74	>95/5	59
4	$(DHQD)_2AQN$	>95	>95/5	40
5	β-ICD	>95	>95/5	61
6	QD-1a	>95	>95/5	86
7	QD- <b>1b</b>	93	>95/5	70
8	QD-1c	93	>95/5	93
9	QD-1d	>95	>95/5	97
10	Q-1d	>95	>95/5	-97

<sup>&</sup>lt;sup>a</sup>Unless noted, reactions were carried out with 0.1 mmol of 2a, 1 mmol CH<sub>3</sub>NO<sub>2</sub> in 0.1 mL CH<sub>2</sub>Cl<sub>2</sub> with 10 mol% catalyst at -20°C for 12h.

 $<sup>^{</sup>b}{\rm See}$  Supporting Information for the structure of the catalysts.

 $<sup>^{</sup>c}$ Determined by  $^{1}$ H NMR analysis.

 $<sup>^{</sup>d}$ Determined by HPLC analysis

Table 2

Enantioselective Nitroaldol Addition of Nitromethane to  $\alpha$ -Ketoester 2 Catalyzed by QD-1d and Q-1d (in brackets)<sup>a</sup>

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Enfry B 3 2 1 1 2 8 8 8 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9	0 = 0   0 = 0	CH <sub>3</sub> NO <sub>2</sub> (10 equiv.) CH <sub>3</sub> NO <sub>2</sub> (10 equiv.) CH <sub>2</sub> Cl <sub>2</sub> , -20 °C R R Time/h R Time/h Ph- Ph- 35 (46) 4-MeO-Ph- 96 (96) 4-MeS-Ph- 12 (12) 4-CI-Ph- 12 (12) 3-CI-Ph- 13-CI-Ph- 11 (11) 2-Naphthyl- 60 (60)	7 (5 mol%) 0 equiv.) 0 equiv.) 0 cquiv.) 0 cquiv.) 20 cC  14 (15) 24 (24) 35 (46) 96 (96) 72 (72) 12 (12) 9 (11) 11 (11) 60 (60) 60 (60)	R * Yield/" b yield	96 (97) 96 (97) 94 (95) 95 (96) 97 (96) 97 (96) 97 (96) 97 (97) 96 (96) 97 (97) 97 (96)
11	23.	Me- n-Pr-	12 (12)	(06) 06	95 (95) 93 (93)
12 13	21 2m	Ph	14 (11)	(88) 88 (86)	95 (94)

<sup>&</sup>lt;sup>a</sup>Unless noted, reactions were run with 0.5 mmol of 2, 5 mmol CH3NO2 in 0.5 mLCH2Cl2 with 5 mol% QD-1d, the results in parentheses were obtained with Q-1d to give opposite enantiomer, see Supporting Information for details.

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b Isolated yield.

 $<sup>^{</sup>c}$ Determined by HPLC analysis.

 $<sup>^</sup>d$ The absolute configuration is determined to be S, see Supporting Information for details.