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Low dose estrogen prevents neuronal degeneration and microglial reactivity in an acute model of spinal cord injury: effect of dosing, route of administration, and therapy delay

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Abstract

Spinal cord injury (SCI), depending on the severity of injury, leads to neurological dysfunction and paralysis. Methylprednisolone, the only currently available therapy renders limited protection in SCI. Therefore, other therapeutic agents must be tested to maximize neuroprotection and functional recovery. Previous data from our laboratory indicates that estrogen (17 β -estradiol) at a high dose may attenuate multiple damaging pathways involved in SCI and improve locomotor outcome. Since use of high dose estrogen may have detrimental side effects and therefore may never be used in the clinic, the current study investigated the efficacy of this steroid hormone at very low doses in SCI. In particular, we tested the impact of dosing (1 – 10 µg/kg), mode of delivery (intravenous vs. osmotic pump), and delay in estrogen application (15 min – 4 hr post-SCI) on microgliosis and neuronal death in acute SCI in rats. Treatment with 17 β -estradiol (1 – 10 µg/kg) significantly reduced microglial activation and also attenuated apoptosis of neurons compared to untreated SCI animals. The attenuation of cell death and inflammation by estrogen was observed regardless of mode and time of delivery following injury. These findings suggest estrogen as a potential agent for the treatment of individuals with SCI.

Keywords

Spinal cord injury; estrogen; neurodegeneration; apoptosis; microgliosis

Introduction

Injury to the spinal cord causes deficits in neurological function, and depending on the extent of injury can lead to paralysis. Spinal cord injury (SCI) is highly debilitating, largely affecting otherwise healthy, young individuals. Although several agents have been used in clinical trials, only methylprednisolone is widely used (1, 2). Recently, methylprednisolone was found to have limited efficacy and its use in SCI remains controversial (3, 4). Therefore,

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Following SCI, several overlapping, and often synergistic mechanisms promote cell death and tissue destruction. Contributing factors involved in secondary SCI include oxidative stress, ischemia/reperfusion injury, phospholipase activity, Ca^{2+} influx, protease activation, and extracellular glutamate increase leading to excitotoxicity (5–14). Furthermore, these factors are not independent. Prostaglandins generated by the post-injury inflammatory response can lead to edema, resulting in decreased tissue blood flow and further exacerbating post-SCI ischemia and oxidative stress on reperfusion (6, 15–17). SCI also results in mitochondrial damage which may affect Na⁺-K⁺ ATPase activity and in turn may lead to increases in intracellular Ca^{2+} via dysfunction of the Na⁺- Ca^{2+} exchanger (18–20).

The increase in intracellular Ca²⁺ following SCI causes activation of Ca²⁺-dependent enzymes, including phospholipases and proteases, particularly the Ca²⁺-activated neutral protease calpain (21). Ubiquitous calpain exists in two isoforms μ -calpain and m-calpain, activated by μ M and mM concentrations of Ca²⁺, respectively. Calpains are up-regulated in a number of neurodegenerative diseases and CNS injury. Such up-regulation promotes degradation of its specific endogenous inhibitor calpastatin, leading to unregulated calpain activity (22). Calpain cleaves many substrates, including cytoskeletal proteins, axonal and myelin proteins, and pro-apoptotic Bax causing mitochondrial cytochrome c release and activation of caspase-3 (23–26). Thus, the various actions of calpain may directly, or indirectly, be involved in apoptosis and necrosis of neurons and glial cells, suggesting that calpain may be a therapeutic target in spinal cord injury and other neurodegenerative disorders (27, 28).

Since SCI has a complex pathophysiology involving several mechanisms of cell death and axonal degeneration, therapy with a single agent may be ineffective. Instead, therapy with either multiple agents or a multi-active agent is likely necessary to prevent neuronal degeneration and loss of motor and sensory function following injury. Previous research in our laboratory and others has shown that estrogen (17 β -estradiol) may be an effective neuroprotectant due to its actions as an anti-oxidant, anti-inflammatory, and anti-apoptotic steroid hormone (29–33). In addition, our recent studies indicate that estrogen attenuates Ca²⁺ influx via modulation of L-type Ca²⁺ channels and the Na⁺-Ca²⁺-exchanger (34). Estrogen has also been shown to reduce calpain expression and activity, resulting in reduced axon degeneration and neuronal apoptosis *in vitro* (34, 35). Most importantly, our findings indicate that pharmacological doses of estrogen (4 mg/kg) attenuate the secondary injury process and restore locomotor function in rats following chronic SCI *in vivo* (6 weeks post-injury) (36, 37).

Unfortunately, controversy still exists as to whether the multiple actions of estrogen confer functional neuroprotection in animal models of SCI (38). Despite encouraging evidence, other laboratories have failed to replicate measures of tissue sparing and improved functional recovery following estrogen therapy in SCI animals seen in other studies (39). Disparity in outcomes noted in these studies may be attributed to differences in estrogen dosing, route of administration, and delay in therapy after injury (38). Therefore, development of a more standardized therapy regime is necessary both for potential laboratory and clinical studies investigating the use of estrogen doses $(1 - 10 \,\mu\text{g/kg})$, routes of administration (intravenous vs. osmotic pump), and delays in therapy application (15 min to 4 hr post-injury) on inflammatory and neurodegenerative changes following acute SCI in rats. The observations presented here may provide insight into a more

appropriate and clinically relevant therapeutic regimen for the use of estrogen in CNS trauma and degeneration.

Experimental Procedure

Induction of Spinal Cord Injury

Adult male Sprague-Dawley rats weighing between 250 – 300 grams were housed in individual cages and given water and food *ad libitum*. All animal care, surgery, and induction of SCI was conducted in accordance with the *Guide for the Care and Use of Laboratory Animals* of the US Department of Health and Human Services (National Institutes of Health, Bethesda, MD, USA) and was approved by the Institutional Animal Care and Use Committee (IACUC) at the Medical University of South Carolina. Spinal cord injury was induced by an experienced technician using the modified weight-drop method of Perot as previously described (36, 40).

Laminectomy was performed at T10, the spine was immobilized, and an impounder was gently placed onto the dura. A clinically relevant model of moderately severe SCI was induced by dropping a 5 g weight from a height of 8 cm to produce a 40 g·cm force. Sham operated animals underwent laminectomy alone. At 48 hr post-injury, rats were anesthetized and sacrificed by decapitation. Following decapitation, the original laminectomy was extended and three 1-cm segments of spinal cord were removed, representing the lesion segment, the rostral penumbra, and the caudal penumbra.

Therapeutic Estrogen Delivery

Physiologic doses of estrogen $(1 \ \mu g/kg - 10 \ \mu g/kg)$ were delivered by subcutaneously implanted osmotic pumps to determine the lowest concentration of estrogen which produced optimal attenuation of secondary injury parameters. Following laminectomy and SCI induction, all animals received a bolus tail vein injection of 17β -estradiol $(1 - 10 \ \mu g/kg)$ to facilitate increases in blood estrogen levels sufficient for therapeutic efficacy. Osmotic pumps were then implanted subcutaneously immediately after injury to maintain constant dosing of estrogen $(1 - 10 \ \mu g/kg/day)$ or vehicle until 48 hr after injury.

After determining appropriate estrogen dosage, drug delivery via osmotic pump was also compared to intravenous injection to examine the effect of administration route on estrogen efficacy. Animals in the IV administration group received two doses of 17β -estradiol ($10 \mu g/kg$) or vehicle at 15 min and 24 hrs post-injury via tail-vein injection.

Finally, the effects of delay in therapy on the neuroprotective efficacy of estrogen in SCI was examined. Animals in these studies were treated via osmotic pump delivery. Pumps were implanted at 15 min, 2 hr, and 4 hr post-injury and maintained a consistent dose of 17β -estradiol (10 µg/kg/day) or vehicle until sacrifice.

Immunohistochemical Labeling for Microgliosis

Immunohistochemical staining for microgliosis in spinal cord tissue sections was performed as previously described (36, 41). Briefly, harvested spinal cord samples were immediately frozen in tissue-embedding media (HistoPrep; Fisher Scientific, Fair Lawn, NJ) at -70° C. Spinal cord tissues from the caudal penumbra were warmed to -18° C prior to sectioning at a thickness of 5 µm using a Reichart-Jung cryostat (Cryocut 1800; Leica, Wetzlar, Germany). Sections were then fixed in 95% ethanol and incubated for 1 hr in blocking buffer containing 2% horse serum in PBS (pH 7.4), followed by 3 – 4 hr incubation with primary IgG antibody for OX-42 (1:100; Biosource, Camarillo, CA). OX-42 is a macrophage/microglia marker specific for the CD11b antigen expressed on activated cells. Following incubation

with the primary antibody, sections were rinsed three times in PBS for 5 mins and then incubated for 60 min with horse anti-mouse secondary IgG antibody conjugated to fluorescein isothicyanate. The slides were then mounted with one drop of anti-fade Vectashield Mounting Media (Vector Laboratories, Burlingame, CA), cover slipped, and viewed under a fluorescent microscope at 200X magnification. Images were captured using ImagePro Plus software (Media Cybernetics, Bethesda, MD).

Combined TUNEL and Neuron-Specific Fluorescent Labeling

In order to detect neuron death in spinal cord tissues, the terminal deoxynucleotidyl transferase (TdT)-mediated dUTP nick-end labeling (TUNEL) assay was combined with neuron-specific marker labeling as previously described (41, 42). Briefly, spinal cord tissue was sectioned and fixed (see above). Sections were then post-fixed in 4% methanol-free formaldehyde (in phosphate-buffered saline) for 15 min. Slides were saturated with TdT buffer (50 μ l/slide) for 5 min which was then replaced with an equal volume of TUNEL reaction mixture containing 10X polymerase chain reaction mixture with digoxigenin-11-dUTP (2.5 μ l) and terminal TdT (25 units) in buffer (Promega, Madison, WI). Neuron-specific staining was performed with an anti-Neuronal Nuclei (NeuN) primary antibody (1:100; Chemicon, Temecula, CA) as described previously.

Western Blot Analysis

Spinal cord tissue segments were harvested and frozen at -70° C. Each tissue segment was then homogenized using a Polytron batch homogenizer (Kinematica, Cincinnati, OH) in buffer containing 50 mM Tris-HCl (pH 7.4), 1 mM phenylmethylsulfonyl fluoride (PMSF; Bethesda Research Laboratories, Gaithersburg, MD), and 5 mM EGTA (Sigma Aldrich, St. Louis, MO). Protein concentration in each sample was then measured using Coomassie Plus Protein Assay Reagent (Pierce, Rockford, IL) by spectrophotometric analysis at 595 nm (Spectronic, Rochester, NY). Protein samples were then mixed 1:1 with sample buffer (62.5 mM Tris-HCl (pH 6.8), 2% SDS, 5 mM β-mercaptoethanol, and 10% glycerol), boiled for 5 min, and stored at -20° C. Samples were then diluted to 1 mg/ml protein and equal volumes of samples (10 µl) were loaded on 4–20% gradient gels. Gels were electrophoresed for 30 min at 200 V and resolved proteins were then transferred to nylon membranes (Millipore, Billerica, MA) in a Genie transfer apparatus (Idea Scientific, Minneapolis, MN). Membranes were then blocked in 5% nonfat milk in Tris/Tween buffer (20 mM Tris-HCl (pH 7.6), 137 mM NaCl, and 0.1% Tween 20) for 1 hr. Monocolonal primary IgG antibodies used were Bax and Bcl-2 (Santa Cruz Biotechnology, Santa Cruz, CA). Bax (Bcl-2 associated X protein) is a pro-apoptotic member of the Bcl-2 family of proteins. Bcl-2 is an anti-apoptotic protein. In addition, monoclonal β-actin primary IgG antibody (clone AC-15, Sigma Aldrich) was used to standardize protein loading in Western Blots. Following overnight incubation with primary antibody, membranes were incubated with horseradish peroxidase (HRP)-conjugated goat anti-mouse secondary antibody (ICN Biomedicals, Aurora, OH) for 1 hr. Between all steps, membranes were washed three times with Tris/Tween buffer. Membranes were then incubated with enhanced chemiluminescent (ECL) reagent (Amersham, Piscataway, NJ) and exposed to X-OMAT AR films (Kodak, Rochester, NY). Autoradiograms were scanned with a UMAX PowerLook Scanner using Photoshop software (Adobe Systems, Seattle, WA). The optical density (OD) of each band was determined using Quantity One software (Bio-Rad, Hercules, CA).

Statistical Analysis

Data was expressed as mean \pm S.E.M. of separate experiments (n \geq 3) and compared by one way analysis of variance (ANOVA) followed by Fisher's post hoc testing. A significant difference between groups (p < 0.05) was determined by ANOVA using StatView software (Abacus Concepts, Berkeley, CA).

Results

Physiologic Doses of Estrogen Prevented Microgliosis Following Acute SCI

One of the main aims of this investigation was to determine the lowest dose of estrogen required to attenuate secondary pathophysiology following acute SCI. Since inflammatory events play a major role in the degenerative process associated with secondary injury, activation of microglia was examined in the injured cord. In particular, the efficacy of estrogen (delivered via osmotic pump) in ameliorating microgliosis was examined via immunofluorescent labeling of OX-42 (a marker specific to the CD11b antigen on activated macrophages/microglia) in the caudal penumbra of injured animals. Our findings indicated that reactive microgliosis was significantly enhanced following acute SCI (Figure 1). The 1 μ g/kg/day dose of 17 β -estradiol showed a negligible effect on microglial activation whereas 5 μ g/kg/day significantly ameliorated increases in reactivity of OX-42 positive cells compared to untreated SCI. However, the 10 μ g/kg/day dose of estrogen appeared to be the most effective in reducing microgliosis following injury (Figure 1). Interestingly, this dose showed greater efficacy in reducing post-injury changes in microglial reactivity than even higher doses (100 – 200 μ g/kg) (43). Based on these data, all subsequent experiments were conducted using only the 10 μ g/kg/day dose.

Low Dose Estrogen Protected Neurons and Reduced Microglial Reactivity Regardless of Route of Administration in Acute SCI

Once the lowest effective dose was determined, we also aimed to examine whether alternative modes of delivery alter the neuroprotective and anti-inflammatory effects of estrogen. For these studies, similar doses of 17β -estradiol were delivered via osmotic pump $(10 \ \mu g/kg)$ or intravenous injection $(10 \ \mu g/kg)$ beginning at 15 min post-injury. In order to determine the extent of cellular damage in the caudal penumbra, neuronal apoptosis was measured using a cell-specific marker for neurons (NeuN – green) and TUNEL (red) staining for DNA fragmentation. A significant increase in TUNEL-positive neurons (merged image – yellow) was noted in injury-vehicle animals when compared to sham (Figure 2). However, 17β -estradiol markedly reduced TUNEL-labeling of spinal cord neurons. No apparent qualitative differences were seen in attenuation of NeuN/TUNEL co-labeling when comparing osmotic pump to intravenous delivery (Figure 2). These findings indicate that low, physiologic doses of estrogen may protect against neuronal apoptosis following acute SCI regardless of route of administration.

To further confirm that the protective effects of estrogen in SCI were independent of delivery mode, reactive microgliosis was measured by immunofluorescent labeling of OX-42. As previously described, microglial activation was substantially increased in the caudal penumbra of injured animals receiving vehicle alone compared to sham (Figure 2). However, estrogen therapy ($10 \mu g/kg$) greatly reduced the number of OX-42 positive cells in acute SCI rats. Similar to our data concerning neuronal death, the extent of estrogen-mediated attenuation of reactive microgliosis was comparable in both osmotic pump and intravenous injection groups.

Effects of Delay in Low Dose Estrogen Therapy on Neuronal Death and Inflammatory Response in Acute SCI

In order to further determine the most appropriate window of therapy following acute SCI, estrogen administration via osmotic pump was also delayed to multiple time points postinjury (15 min, 2 hr, and 4 hr). The effects of therapy delay on secondary pathophysiology were determined by analysis of neuron-specific apoptosis (NeuN/TUNEL co-labeling) and reactive microgliosis (OX-42 immunofluorescence) (Figure 2). Low dose of 17 β -estradiol (10 µg/kg/day) attenuated neuronal death associated with acute SCI at all time points tested.

Similar results were also noted when examining the impact of therapy delay on microgliosis in acute SCI (Figure 2). Optimal reduction in microglial reactivity was observed in animals receiving low doses of estrogen at 15 min post-injury. However, OX-42 immunoreactivity was also substantially reduced at later delays in therapy onset (2 hr and 4 hr post-injury).

Low Dose Estrogen Reverses Post-Injury Increases in the Bax:BcI-2 Ratio in Caudal Penumbra Tissue

In order to further quantitatively assess the effects of estrogen on activation of apoptotic pathways, we measured changes in the Bax:Bcl-2 ratio following post-injury administration of low doses (1, 5, and 10 μ g/kg via osmotic pump) by Western blot analysis. Bax is a pro-apoptotic member of the Bcl-2 family of proteins whereas Bcl-2 is anti-apoptotic. A significant increase in the Bax:Bcl-2 ratio was observed in acute SCI animals receiving vehicle alone. All doses of estrogen tested attenuated increase in the Bax:Bcl-2 ratio, with the 10 μ g/kg dose demonstrating the greatest degree of reduction (Figure 3).

Discussion

Given the severity of SCI and the lack of effective treatments, development of novel therapies for this devastating condition is essential. Estrogen (17 β -estradiol) has received particular attention as a potential therapy because it targets many of the pathways active in secondary injury including oxidative stress, inflammation, apoptosis, and ischemia (29, 30). A number of reports indicate that estrogen may have neuroprotective effects in experimental models of CNS trauma and neurodegenerative disorder including traumatic brain injury (TBI), stroke, and Parkinson disease (PD) (44-46). Previous studies in our laboratory have demonstrated that pharmacologic doses of estrogen (4 mg/kg) may attenuate a number of secondary injury parameters and restore locomotor function in an animal model of SCI (36, 37, 47). However, chronic administration of such high doses of estrogen may elicit a number of unwanted side effects in SCI patients including increased risk of fatal deep venous thrombosis (DVT), breast/endometrial cancer, and feminization in males. In addition, debate remains about the use of estrogen in SCI because at least one pre-clinical study failed to show beneficial neuroprotective effects (39). However, the differential therapeutic outcomes in animal models of SCI are hypothesized to be attributable to differences in estrogen dosing, route of administration, and delay in therapy initiation (38). In contrast to other findings, Swartz et al. utilized silastic capsules in low and high doses to maintain plasma estrogen levels at 25 ng/ml and 75 ng/ml respectively and failed to show tissue sparing or improvement in locomotor function (39). In addition, various studies have estrogen efficacy in doses ranging from 100 μ g/kg to 4 mg/kg which are non-physiologic (36, 43). We therefore tested the effects of low dose estrogen, administration mode, and delay on neuroprotective efficacy *in vivo* in an effort to further standardize therapy regimens for preclinical studies.

To our knowledge, the lowest dose of estrogen used in previous studies that demonstrated efficacy in attenuating multiple secondary injury mechanisms was 100 μ g/kg (48). We have shown here that 17 β -estradiol doses as low as 10 μ g/kg may act via anti-inflammatory and anti-apoptotic mechanisms to attenuate damage associated with secondary SCI. In particular, we found that low dose estrogen (5 – 10 μ g/kg) reduced both microglial activation and neuronal apoptosis in the caudal penumbra of spinal cord injured animals. Our findings indicated that lower doses of 17 β -estradiol than previously used may be beneficial in ameliorating inflammatory and neurodegenerative pathways in acute SCI. These data further

demonstrate promise for estrogen as a neuroprotectant because smaller dosages may avoid potentially harmful side effects. However, it is important to note, these studies were in an acute SCI model, hence they did not focus on behavioral outcomes following spinal cord trauma. Future studies aimed at determining whether low dose estrogen confers restoration of locomotor function in chronic SCI are necessary and ongoing.

This study also investigated the effects of delivery mode on estrogen treatment of SCI. We specifically compared microgliosis and neuronal death in animals receiving estrogen administration via osmotic pump or intravenous injection at similar dosage levels ($10 \mu g/kg$). As previously noted, 17β -estradiol greatly reduced microglial reactivity following acute SCI and no apparent differences were noted between osmotic pump delivery and intravenous injection. Measurement of neuron-specific apoptosis showed a similar result, indicating little difference between the two routes of administration. These findings are similar to those of other laboratories using a variety of administration routes to determine neuroprotective efficacy of estrogen at higher doses (48–50). Together, our results indicate that low dose estrogen has anti-apoptotic and anti-inflammatory actions in the setting of acute SCI independent of mode of delivery.

The final aim of this study was to investigate effects of therapy delay on the efficacy of estrogen in acute SCI. Outcomes were again based on attenuation of microgliosis and neuronal death after injury. As expected, estrogen was most effective in reversing microglial reactivity and neuronal apoptosis when therapy was initiated at 15 min post-injury. However, even when started at 4 hr after initial insult, 17β-estradiol was capable of ameliorating SCI-associated increases in activation of microglia and apoptotic death. These findings indicate that physiologic doses of 17β -estradiol may have neuroprotective and antiinflammatory effects when therapy begins as late as 4 hr after SCI in rats. This is an important contribution to current knowledge about the use of estrogen in SCI and other CNS traumas because most studies have initiated its use either prior to injury or very shortly afterwards with substantially higher doses (0.1 - 4.0 mg/kg) (38). However, it is important to note that therapy will likely be delayed up to 48-72 hr in human cases to allow for stabilization of neurologic and medical condition (51). Debate also remains about whether biochemical alterations occur over the same time period when comparing rats and humans (52). Further work is necessary to determine the maximal in vivo delay which still results in estrogen-mediated improvement in functional outcome.

In summary, we have expanded on previous studies in our laboratory and others to demonstrate that low or physiologic doses of estrogen reverse secondary pathophysiology in an animal model of spinal cord injury by both anti-inflammatory and anti-apoptotic actions. We have also demonstrated that these effects are similar regardless of mode of delivery (osmotic pump vs. intravenous injection) and are maintained even with therapy delay. Whether the use of low dose estrogen in SCI promotes improvement in locomotor recovery is still unknown. Work in our laboratory is underway to address this concern. However, the findings presented herein further strengthen the notion that estrogen may be a potentially viable clinical alternative in the treatment of SCI.

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Samantaray et al.

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Samantaray et al.



Figure 1.

Assessment of microgliosis in acute SCI animals. Spinal cord injured animals were treated with low doses of estrogen (1, 5, 10 μ g/kg/day via osmotic pump) or vehicle and compared to sham surgery alone. Microgliosis was measured by OX-42 immunoreactivty in thin (5 μ m) frozen sections. (A) Representative images (200X) are shown from the ventral horn of caudal penumbra spinal cord tissue. (B) Bar diagram quantifying OX-42 immunoreactivity. Fluorescence intensity was measured in a per field manner with 3 fields per animal. *P < 0.05 compared to sham + vehicle; [@]P < 0.05 compared to injury + vehicle (n ≥ 3 animals).

Samantaray et al.



Figure 2.

Effect of route of administration and therapy delay on estrogen treatment in acute SCI animals. SCI animals were treated with low dose estrogen (10 μ g/kg) via osmotic pump (p) or intravenous injection (iv) at 15 min (15), 2 hr (2), and 4 hr (4) post-injury. Neuron-specific apoptosis was assessed by double fluorescent labeling of NeuN and TUNEL (top panel). Microgliosis was measured by OX-42 staining (bottom panel). Representative images (200X) are shown from frozen sections (5 μ m) in the ventral horn of caudal penumbra spinal cord tissue (n \geq 3 animals).

Samantaray et al.



Figure 3.

Effect of estrogen treatment on changes in the Bax:Bcl-2 ratio in spinal cord injured animals. SCI animals were treated with low doses of estrogen (1, 5, and 10 µg/kg) via osmotic pump at 15 min following injury. Changes in the Bax:Bcl-2 were assessed by Western blot analysis. (A) Representative Western blot showing changes in Bax and Bcl-2 expression in caudal penumbra tissue. (B) Bar graph quantifying densitometry of Western blots. *P < 0.05 compared to sham + vehicle; ${}^{\textcircled{o}}P$ < 0.05 compared to injury + vehicle (n = 3).