

A β -catenin/XTcf-3 complex binds to the *siamois* promoter to regulate dorsal axis specification in *Xenopus*

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The *Wnt* pathway regulates the early dorsal-ventral axis in *Xenopus* through a complex of β -catenin and HMG box transcription factors of the Lef/Tcf family. We show that the promoter of the dorsalizing homeobox gene *siamois* is a direct target for the β -catenin/XTcf-3 complex, establishing a link between the *Wnt* pathway and the activation of genes involved in specifying the dorsal axis. By injecting *siamois* reporter constructs into the animal pole of *Xenopus* embryos, we show that a 0.8-kb fragment of the *siamois* promoter is strongly activated by β -catenin. The proximal 0.5 kb, which is also activated by β -catenin, contains three Lef/Tcf-binding sites. Mutations in these sites eliminate the β -catenin-mediated activation of *siamois* and show that *siamois* is regulated by the β -catenin/XTcf-3 complex, in combination with additional transcriptional activators. When expressed at the equator of the embryo, the *siamois* promoter is activated to much higher levels on the dorsal side than the ventral side. Ectopic ventral expression of β -catenin raises the ventral expression of the *siamois* promoter to the dorsal levels. Conversely, ectopic dorsal expression of dominant-negative XTcf-3 abolishes the dorsal activation of the *siamois* promoter. Furthermore, elimination of the Lef/Tcf sites elevates the ventral expression of *siamois*, revealing a repressive role for XTcf-3 in the absence of β -catenin. Finally, we find that the endogenous *siamois* activator, although present throughout the dorsal side of the embryo, is most potent in the dorsal vegetal region. We propose that the dorsal activation of *siamois* by the β -catenin/XTcf-3 complex combined with the ventral repression of *siamois* by XTcf-3 results in the restriction of endogenous *siamois* expression to the dorsal side of *Xenopus* embryos.

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Specification of the dorsal-ventral axis is a crucial early patterning event in vertebrate development, yet the molecular mechanisms that initiate this process in the embryo remain elusive. In *Xenopus laevis*, dorsal axis formation begins shortly after fertilization when the radial symmetry of the egg is broken by a rotation of the cortical cytoplasm relative to the inner cytoplasm (Gerhart et al. 1989). This cortical rotation repositions maternal factors from the vegetal pole to the future dorsal side of the embryo (Fujisue et al. 1993; Kikkawa et al. 1996; Sakai 1996; Rowning et al. 1997), establishing a dorsal signaling center that induces the Spemann organizer at the dorsal equator of the embryo. Intriguingly, prefertilization vegetal cortical cytoplasm has *Wnt*-like activity (Holowacz and Elinson 1995), consistent with previous demonstrations that members of the *Wnt* family can induce a dorsal axis in *Xenopus* embryos (McMahon and Moon 1989).

The identity and position of proteins within the *Wnt*

signaling pathway was first established through genetic analysis of the homologous *wingless* (*wg*) pathway in *Drosophila* (Siegfried et al. 1994). Studies of the vertebrate *Wnt* pathway have characterized many of the biochemical mechanisms involved in transducing the signal from the cell-surface to the nucleus (Miller and Moon 1996). In *Xenopus*, *Wnt* signaling is thought to repress the activity of glycogen synthase kinase-3 (Xgsk-3) (Dominguez et al. 1995; He et al. 1995; Pierce and Kimelman 1995), which negatively regulates β -catenin stability by directly phosphorylating it and/or phosphorylating the APC protein (Rubinfeld et al. 1996; Yost et al. 1996). Artificially raising β -catenin levels on the ventral side of *Xenopus* embryos, either by inactivating Xgsk-3 or by ectopically expressing β -catenin, results in the formation of a second dorsal axis (Dominguez et al. 1995; Funayama et al. 1995; He et al. 1995; Pierce and Kimelman 1995). Conversely, depletion of β -catenin transcripts from *Xenopus* oocytes with synthetic antisense oligonucleotides inhibits dorsal axis formation (Heasman et al. 1994). A recent study analyzing the location and subcellular distribution of the endogenous protein

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has found elevated levels of β -catenin on the dorsal side of *Xenopus* embryos as early as the four-cell stage (Larabell et al. 1997). Notably, some of the β -catenin is found in the nucleus (Funayama et al. 1995; Schneider et al. 1996; Yost et al. 1996; Larabell et al. 1997), suggesting that Wnt signaling could modify gene expression by regulating the level of nuclear β -catenin.

The discovery that β -catenin interacts with high mobility group (HMG) box transcription factors of the Lef/Tcf class explains how β -catenin could affect transcription. Lef and Tcf were originally identified as factors binding to lymphocyte enhancers (Travis et al. 1991; van de Wetering et al. 1991; Waterman et al. 1991) but have recently been identified as components of the *Drosophila* *wg* pathway (Brunner et al. 1997; van de Wetering et al. 1997). In *Xenopus*, several Tcfs (called XTcf-3, b, c, d) are present as maternal transcripts that are not localized within the early embryo (Molenaar et al. 1996). In cell culture, both Lef-1 and XTcf-3 form a complex with β -catenin (Behrens et al. 1996; Huber et al. 1996; Molenaar et al. 1996). Dominant-negative mutants of both Lef-1 and XTcf-3 disrupt dorsal development indicating that these proteins are required for normal axial formation in *Xenopus* (Behrens et al. 1996; Molenaar et al. 1996). A major unresolved issue is the identity of the targets of the β -catenin/XTcf-3 complex in dorsal axis formation. The promoter of the Spemann organizer gene *gooseoid* (*gsc*) has a Wnt-responsive element (Watabe et al. 1995), however, it neither contains a Lef/Tcf consensus binding site nor does it bind Lef-1 in vitro (M. Gomperts and R.T. Moon, unpubl.). These results suggest that another transcription factor is an intermediate between β -catenin/XTcf-3 and *gsc*.

We have focused on the *Xenopus* homeo box gene *siamois* as a potential downstream target of β -catenin. *siamois* is expressed in the dorsal vegetal cells soon after the start of zygotic transcription and ectopic *siamois* expression induces complete axis duplication as does ectopic expression of Wnt and β -catenin (McMahon and Moon 1989; Funayama et al. 1995; Lemaire et al. 1995). Unlike *gsc*, *siamois* can be induced by Wnt and β -catenin in the absence of transforming growth factor β (TGF- β) signaling (Brannon and Kimelman 1996; Carnac et al. 1996; Yang-Snyder et al. 1996; Fagotto et al. 1997), and it can be induced by β -catenin in the absence of cell-cell contact (Brannon and Kimelman 1996). These results suggest that *siamois* might be directly regulated by a β -catenin/XTcf-3 complex.

We report here the isolation of the *siamois* promoter and show that it is a direct target for the β -catenin/XTcf-3 complex. The promoter contains a β -catenin-responsive element within 300 bp of the start of transcription and several enhancing elements contained within a 0.8-kb fragment. The β -catenin-responsive element contains three Lef/Tcf-binding sites that are required for the response to β -catenin. Activation of the *siamois* promoter is strongest in the dorsal vegetal region of embryos and this activation is dependent on XTcf-3. In addition, we show that the Lef/Tcf sites are involved in repressing *siamois* expression on the ventral side of *Xenopus* em-

bryos. Our results provide the first link between the β -catenin/XTcf-3 complex and the transcriptional activation of a gene involved in dorsal axis specification.

Results

Functional domains of the siamois promoter

To understand the molecular mechanism of β -catenin-induced transcription during dorsal-ventral axis formation in *Xenopus*, we have studied the *siamois* promoter. Primer extension analysis indicated that *siamois* has a short 5'-untranslated region (UTR) and no introns within the first 233 bp of *siamois* coding sequence (data not shown). By use of a probe from the first 246 bp of the *siamois* cDNA, three recombinant phage were isolated from a *Xenopus* genomic DNA library. Nucleotide sequence analysis showed that all three clones contain a region exactly matching the published *siamois* cDNA sequence (Lemaire et al. 1995; data not shown).

A DNA fragment from the largest clone was inserted into a luciferase reporter vector to assay for transcriptional activity. As a preliminary analysis indicated that this fragment was β -catenin-responsive (data not shown), a 5' nested deletion series was generated in an attempt to identify regions of the *siamois* promoter containing *cis*-acting regulatory elements that respond to β -catenin (Fig. 1A). Our previous work had shown that β -catenin, like Wnt (Carnac et al. 1996; Yang-Snyder et al. 1996; Fagotto et al. 1997), is a potent inducer of the endogenous *siamois* gene in animal cap assays (Brannon and Kimelman 1996). Thus, to measure the response of the *siamois* promoter, the deletion constructs were injected into the animal pole of *Xenopus* embryos at the two-cell stage, in the presence and absence of synthetic *β -catenin* RNA. Injected embryos were cultured beyond the mid-blastula transition (MBT; stage 8), which marks the onset of zygotic transcription, and harvested at stage 10, when endogenous *siamois* expression peaks (Lemaire et al. 1995). Whole embryos were used to assay the level of luciferase activity induced by β -catenin as experiments with isolated animal caps or whole embryos showed no difference in background levels of luciferase activity (data not shown).

All the *siamois* promoter fragments, with the exception of the -0.13-kb fragment, respond to β -catenin in this assay (Fig. 1B). β -Catenin induced the expression of the -0.8- and -0.65-kb constructs to ~100-fold over background levels. Although there was a significant drop in β -catenin inducibility when the promoter fragment is reduced to -0.3 kb, this construct was still induced 20-fold. Superimposed on this pattern of β -catenin inducibility, we found that each successive deletion decreased the absolute activity of the promoter. These data indicate that a β -catenin response element is located within -0.3 kb of the start of transcription, and that additional β -catenin response and/or enhancing elements are located between -0.3 kb and -0.8 kb.

In the *Xenopus* embryo, *siamois* expression is restricted to the dorsal equatorial and subequatorial regions (Lemaire et al. 1995). This expression pattern ap-

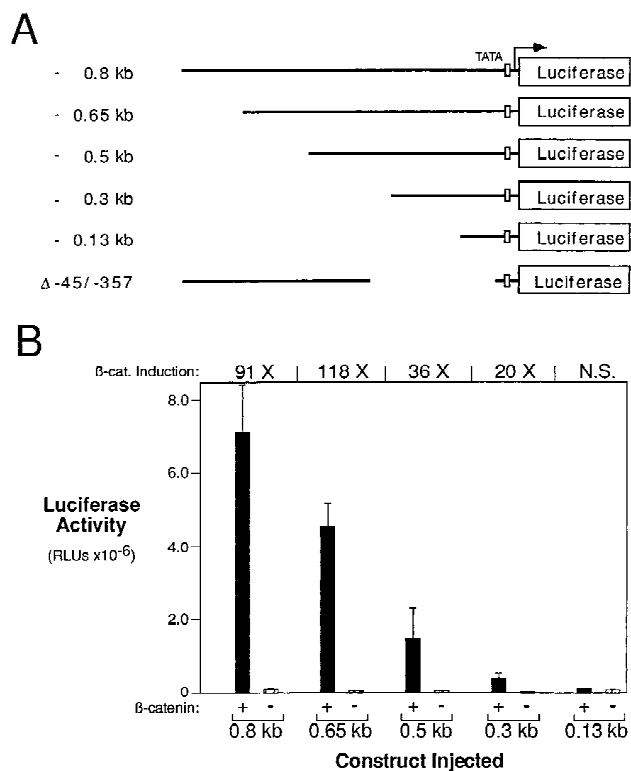


Figure 1. β -Catenin responsive regions of the *siamois* promoter. (A) Diagram of the *siamois* promoter deletion constructs used in this study. The length that each promoter fragment extends upstream of the *siamois* translation start site is indicated at left; each horizontal line represents the relative length of the promoter fragments. Δ -45/-357 represents a 312-bp deletion of the *siamois* promoter proximal to the TATA box, which is represented by an open box. Each *siamois* promoter fragment was fused to a luciferase reporter gene at the same point, as indicated. (B) β -Catenin responsive regions of the *siamois* promoter. The indicated constructs were injected into the animal pole of both blastomeres of two-cell embryos in the presence (+) or absence (-) of β -catenin RNA. Three pools of five stage 10 *Xenopus* embryos were assayed, and the mean and standard error of the resulting luciferase activities, in relative luciferase units (RLUs), are shown. The average fold induction by β -catenin (β -cat. Induction) is indicated above each data set. (N.S.) No significant β -catenin induction.

pears to depend on maternally deposited dorsal determinants (Brannon and Kimelman 1996). To map the sequences in the *siamois* promoter responsive to an endogenous dorsalizing activity, in the absence of ectopic β -catenin, we compared the levels of luciferase activity resulting from dorsal versus ventral injection of the 5' nested deletion series. The -0.8-kb *siamois* promoter fragment was strongly responsive to an endogenous activity present in the dorsal, but not the ventral, marginal zone of early *Xenopus* embryos (10-fold; Fig. 2A). Deletion of the -0.8-kb fragment resulted in lower absolute levels of expression, as was observed with the β -catenin response (Fig. 1B). Whereas the -0.3-kb element showed a significant dorsal versus ventral response (eightfold), this difference was lost upon deletion to -0.13 kb (Fig.

2A). Our results show that the -0.3-kb fragment of the *siamois* promoter is activated by both β -catenin and a dorsally localized activity. Moreover, the -0.8-kb *siamois* promoter fragment contains multiple elements required for the normal expression of the *siamois* gene.

Lef-1 and XTcf-3 bind to the siamois promoter

To identify elements conferring β -catenin responsiveness and dorsal specificity, we determined the nucleotide sequence of the -0.8-kb *siamois* promoter fragment (Fig. 3). Sequence analysis revealed a TATA-like basal promoter element located 34-bp upstream of the tran-

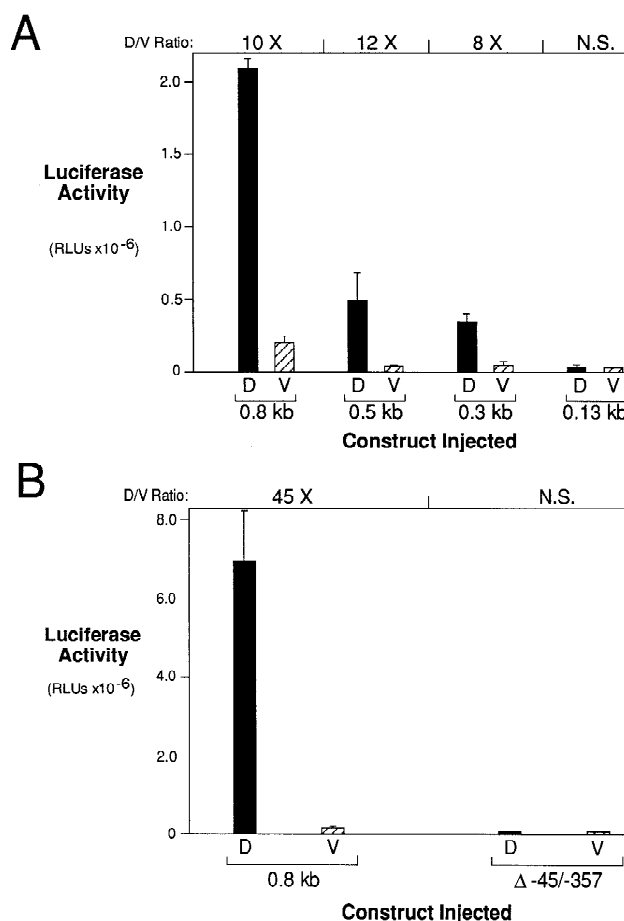


Figure 2. Determination of *siamois* promoter regions responsive to a dorsally localized endogenous activity. (A) Regions of the *siamois* promoter responsive to the dorsalizing activity. The indicated constructs were injected into the marginal zone of dorsal (D) or ventral (V) blastomeres at the four-cell stage. The mean and standard error, in RLUs, from three pools of five embryos each are shown. The average fold induction (D/V Ratio) for each construct is indicated above each data set. (N.S.) No significant dorsal vs. ventral difference. (B) *siamois* activation by the endogenous dorsalizing activity requires the proximal promoter region. The 0.8-kb and Δ -45/-357 reporter constructs were assayed as described above. The *siamois* promoter is no longer responsive to the endogenous dorsalizing activity when the proximal region containing the three Lef/Tcf sites is deleted.

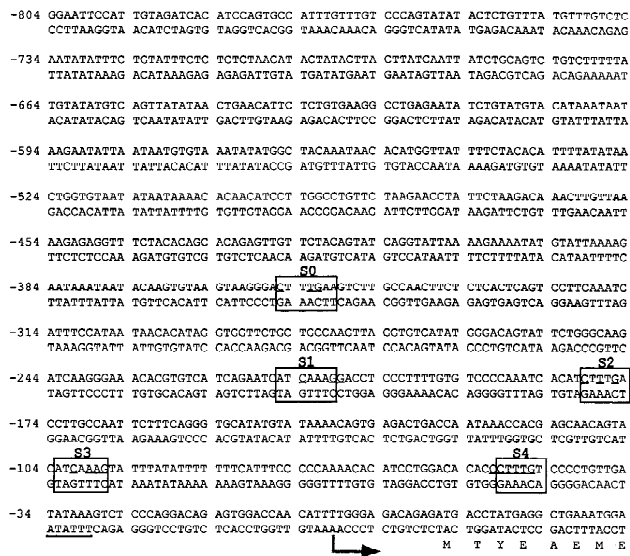


Figure 3. Nucleotide sequence of the *Xenopus siamois* promoter -0.8-kb fragment. The first 23 nucleotides of the *siamois* coding sequence with its deduced amino acids and 817 nucleotides of 5' *siamois* promoter sequence are shown. The transcription start site is indicated with an arrow and the TATA box is underlined. The possible Lef/Tcf-binding sites are boxed and identified above the sequence, as S0, S1, S2, S3 and S4. S0, S1, and S3 conform to the Lef/Tcf-binding site consensus, whereas S2 and S4 diverge at the 3'-most base. Nucleotides mutated in the Lef/Tcf-binding sites are underlined. The GenBank accession no. for the *Xenopus siamois* promoter is AF016226.

scription start site. Whereas a *Wnt*-responsive element was identified in the *gsc* promoter (Watabe et al. 1995), no sequence of significant homology was found in the *siamois* promoter. Instead, we identified three consensus Lef/Tcf-binding sites, CTTTGA/TA/T [site 0 (S0), S1, and S3], within 360 bp upstream of the transcription start site (Fig. 3). Two of these sites (S1 and S3) are located within the proximal 300 bp that responds to β -catenin and exhibits dorsal-ventral differences. Two additional sequences (S2 and S4), which differ from the Lef/Tcf core consensus sequence at the 3' most base, were also identified within the -360-bp region.

To determine whether XTcf-3 and Lef-1 bind to the *siamois* promoter, we performed electrophoretic mobility shift assays. Lef-1 and XTcf-3, transcribed and translated in vitro, were tested for binding to a radiolabeled 246-bp *siamois* promoter restriction fragment containing four of the possible Lef/Tcf-binding sites (S1234). One major band was observed when Lef-1 or XTcf-3 was incubated with this *siamois* promoter fragment (Fig. 4A,B). Lef-1 and XTcf-3 binding was completely abolished by the addition of 500-fold molar excess of a double-stranded oligonucleotide containing a consensus Lef/Tcf-binding site but was unaffected by addition of the same concentration of an oligonucleotide in which the Lef/Tcf binding site is mutated (Fig. 4A, cf. lane 6 with lane 11; data not shown). These results show that Lef-1 and XTcf-3 bind to the *siamois* promoter in a sequence-specific manner.

Because XTcf-3 and Lef-1 both interact with β -catenin and form a ternary complex on oligonucleotides containing consensus Lef/Tcf-binding sites (Behrens et al. 1996; Huber et al. 1996; Molenaar et al. 1996), we analyzed the effect of recombinant β -catenin on Lef-1 and XTcf-3 binding to the *siamois* promoter. β -Catenin alone did not bind the S1234 promoter fragment at any concentration tested, but it did reduce the mobility of both Lef-1 and XTcf-3 promoter complexes (Fig. 4B, cf. lane 4 with 2 and lane 8 with 7). Previous studies have shown that truncation of the amino-terminal region of Lef-1 and XTcf-3 eliminates the interaction of these proteins with β -catenin but does not affect their ability to bind DNA (Behrens et al. 1996; Huber et al. 1996; Molenaar et al. 1996). Even at the highest β -catenin concentration tested, we observed no change in the mobility of amino-terminally deleted Lef-1 or XTcf-3 (Fig. 4B, cf. lane 6 with 5 and lane 10 with 9). This result indicates that the β -catenin-induced supershift is caused by an interaction with the amino-terminal domains of XTcf-3 and Lef-1 and is not the result of a nonspecific interaction.

Site-directed point mutations were used to determine whether consensus Lef/Tcf-binding sites S1 and S3 are required for Lef-1 and XTcf-3 to bind the *siamois* promoter. Probe S1234 is wild-type, probe S13 retains the consensus sites S1 and S3, probe S24 retains the imperfect sites S2 and S4, and probe S is mutated at all four sites (diagrammed in Fig. 6A, below). Whereas probes S1234 and S13 produced a single-shifted band when incubated with Lef-1 (Fig. 4C, lanes 5,6), probes S24 and S did not bind Lef-1 (Fig. 4C, lanes 7,8). Because we observed a single major band only when using probes known to contain two consensus Lef/Tcf-binding sites, probes S124 and S234 were generated to measure Lef-1 binding to S1 and S3 independently. Both of these probes were found to bind Lef-1 (Fig. 4D, lanes 7,8) with similar affinity (data not shown). The greater mobility of the Lef-1/S124 probe complex (lane 8) compared with the Lef-1/S234 probe complex (lane 7) is consistent with Lef-1 induced DNA bending (Behrens et al. 1996) at a site near the end of the probe (S1), compared with bending at a centrally located site (S3). In separate gel shift assays the consensus S0 also specifically bound Lef-1 (data not shown).

To further show the specificity of Lef-1 binding to the *siamois* promoter we performed DNase I footprinting assays by use of Lef-1 HMG domain and the S1234 restriction fragment used in the band shift assays described above. Under conditions of excess protein to probe, we observed DNase I-protected regions centering on S1 and S3, but not S2 or S4 (Fig. 5, cf. lane 2 with lane 5; data not shown). The gel shift and DNase I footprinting data indicate that S0, S1, and S3 are specific binding sites for Lef-1 and XTcf-3 class transcription factors.

Contribution of the Lef/Tcf sites to the activation of *siamois* by β -catenin

Although no additional Lef/Tcf consensus sites are located upstream of the 5'-most site, S0, our deletion series

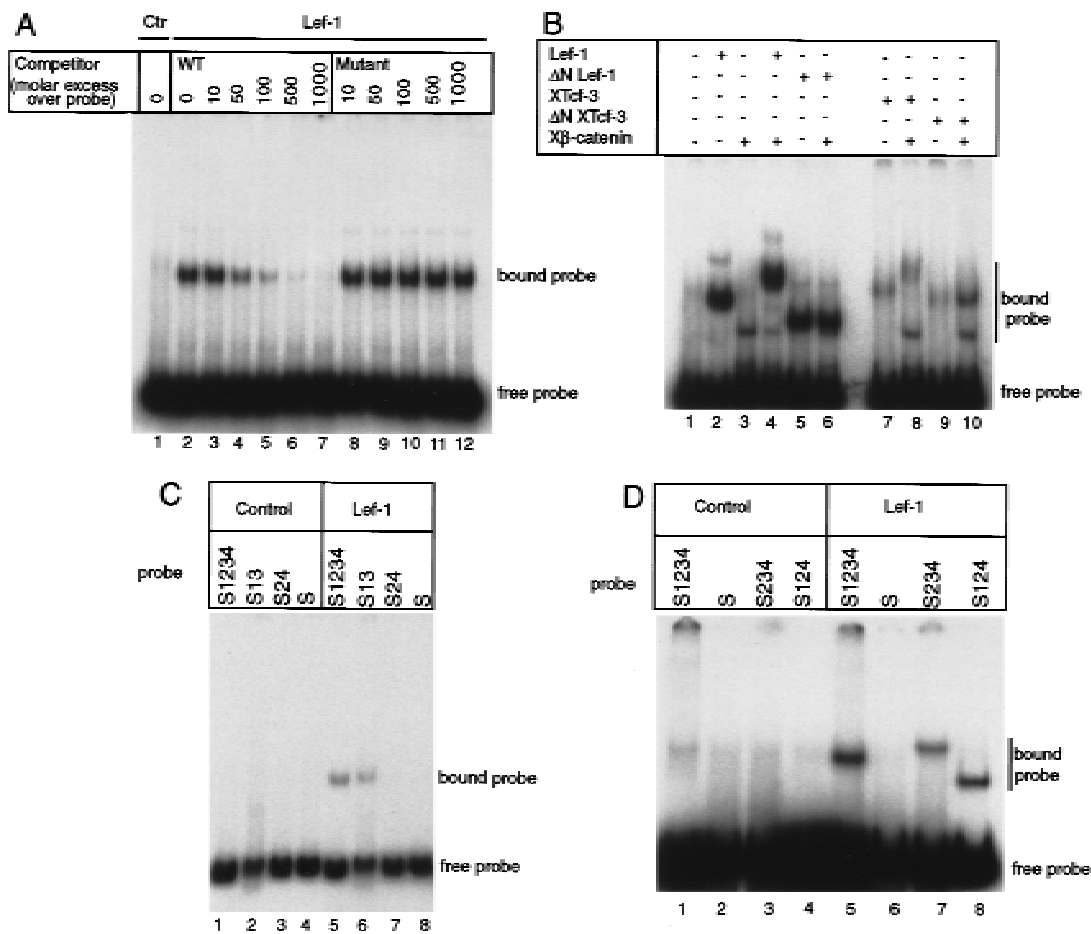


Figure 4. Lef-1 and XTcf-3 specifically bind to the *siamois* promoter. (A) Lef-1 binding to the *siamois* promoter is competed by an oligonucleotide containing a consensus Lef/Tcf-binding site. Double-stranded competitor oligonucleotides containing either a consensus Lef/Tcf-binding site (WT) or a mutated site were incubated with Lef-1 in the presence of the *siamois* promoter probe S1234, which contains four potential binding sites. Binding to the promoter was analyzed by electrophoretic mobility shift assays. The concentrations of competitor oligonucleotide are indicated. [(Ctr) control; uncharged reticulocyte lysate]. (B) Lef-1 and XTcf-3 form a ternary complex with β-catenin on the *siamois* promoter. Control lysate or lysate containing either Lef-1, ΔNLef-1, XTcf-3, or ΔNXTcf-3 were incubated with the *siamois* promoter probe S1234 in the presence or absence of recombinant β-catenin. β-Catenin decreases the mobility of the S1234 probe in the presence of full-length Lef-1 or XTcf-3 but not in the presence of amino-terminally truncated proteins (cf. lanes 4 and 8 with lanes 6 and 10). A nonspecific band is observed in lanes containing recombinant β-catenin. (C) Lef/Tcf consensus S1 and S3 are required for Lef-1 to bind the *siamois* promoter. Control reticulocyte lysate (lanes 1–4) or lysate containing Lef-1 (lanes 5–8) was incubated with the following *siamois* promoter probes. Probe S1234 is wild-type for all sites, S13 contains the consensus Lef/Tcf-binding S1 and S3, S24 contains the imperfect S2 and S4, and S contains none of these sites. (D) Lef-1 binds to both consensus Lef/Tcf sites S1 and S3 and causes DNA bending. Control lysate or lysate containing Lef-1 was incubated with *siamois* promoter probes S1234, S234, or S124, as indicated. Because sites S2 and S4 do not bind Lef-1 (as shown in Fig. 4C), binding of Lef-1 to either S1 or S3 independently is being analyzed. Note the different migration rates of probes S234 (lane 7) and S124 (lane 8) in the presence of Lef-1, which is consistent with Lef-1 DNA bending.

shows that other sites in this region must contribute to the overall activation of *siamois*. By use of construct Δ-45/-357 (Fig. 1A), however, in which the region containing S0–S4 is deleted, we determined that the upstream region of the promoter is unable to activate *siamois* expression on its own (Fig. 2B). Therefore, we focused our analysis on the region of the *siamois* promoter containing the Lef/Tcf-binding sites. To evaluate the contribution of the Lef/Tcf sites to the activation of *siamois* expression by β-catenin, we analyzed the site-directed mutations described for the gel shift assays within

the context of the -0.8 kb *siamois* reporter construct. When all potential Lef/Tcf sites were eliminated, β-catenin responsiveness was abolished, and the basal level of promoter activity was reduced (Fig. 6B, S). Elimination of S2 and S4 reduced the absolute level of the promoter activity in the presence and absence of β-catenin (Fig. 6B, S013), indicating that these sites have a general enhancing role.

Elimination of any one of the consensus Lef/Tcf sites (S0, S1, and S3) reduced the response to β-catenin only weakly, whereas elimination of any pair of sites reduced

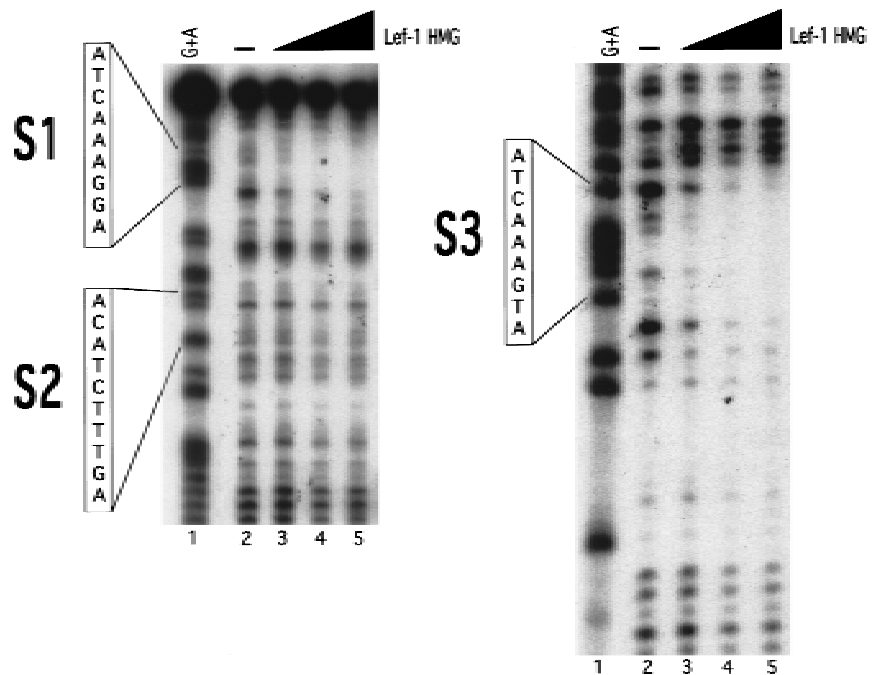


Figure 5. DNase I footprint analysis of the S1234 *siamois* promoter fragment. S1234 was 3'-end labeled and incubated in the presence of 0.1 µg BSA (-, lane 2), or 0.025 µg (lane 3), 0.05 µg (lane 4), or 0.1 µg (lane 5) of Lef-1 HMG domain protein. (Lane 1) Maxam-Gilbert G + A sequence reaction of the same DNA fragment. The positions and sequences of S1, S2, and S3 are indicated. DNase I-protected regions can be observed at S1 and S3 in the presence of 0.1 µg Lef-1 HMG protein (cf. lanes 2 and 5) but not S2 (shown) or S4 (not shown).

most of the β -catenin response (data not shown). Elimination of all three Lef/Tcf-binding sites abolished the β -catenin responsiveness of the *siamois* promoter (Fig. 6B, S24). Intriguingly, we always observed a significant increase in the basal level of the promoter, in the absence of β -catenin, when all three Lef/Tcf sites are mutated. These results (and see below) suggest that XTcf-3 binding to the Lef/Tcf consensus sites is important for repressing the *siamois* promoter in the absence of β -catenin.

Lef/Tcf site contribution to siamois activation by the endogenous dorsalizing activity

In addition to β -catenin activation in the animal pole, the *siamois* promoter responds to an activity present in the dorsal marginal region of early *Xenopus* embryos (Fig. 2). Because endogenous β -catenin is elevated on the dorsal side of the embryo (Schneider et al. 1996; Larabell et al. 1997) and required in early *Xenopus* embryos for *siamois* expression (Fagotto et al. 1997) and dorsal axis formation (Heasman et al. 1994), we postulated that the relatively lower ventral level of β -catenin accounts for the absence of ventral *siamois* expression. To test this hypothesis, the wild-type *siamois* reporter construct S01234 was injected with β -catenin RNA into the marginal zone of both ventral blastomeres at the four-cell stage and compared with S01234 injected both dorsally and ventrally in the absence of β -catenin. The dose of β -catenin RNA used in this experiment (250 pg) consistently caused complete axis duplication (data not shown). The ventral expression of S01234 was 30-fold less than the dorsal expression (Fig. 7A). Coinjection of β -catenin RNA induced the ventral expression of S01234 103-fold, a level exceeding that observed for S01234 ac-

tivated by the endogenous dorsal activity (Fig. 7A). This result shows the potent ability of β -catenin to fully activate the *siamois* promoter in the marginal zone and implies that the differential localization of β -catenin in early *Xenopus* embryos restricts activation of *siamois* gene expression to the dorsal side of the embryo.

Next, we tested the hypothesis that the endogenous activation of *siamois* requires the β -catenin/XTcf-3 complex. Amino-terminally truncated XTcf-3 (Δ NXTcf-3) does not bind to β -catenin and acts as a dominant-negative protein, suppressing axis formation when dorsally expressed (Molenaar et al. 1996). To determine whether Δ NXTcf-3 will block the normal activation of the *siamois* promoter, we injected the S01234 *siamois* reporter gene into the dorsal blastomeres of four-cell stage *Xenopus* embryos, with and without 1.6 ng of Δ NXTcf-3 RNA. This amount of Δ NXTcf-3 has a strong ventralizing effect, resulting in embryos with an average dorsoanterior index of 1.0 (Molenaar et al. 1996). Consistent with the ability of Δ NXTcf-3 to inhibit axis formation, the dorsal activation of S01234 was reduced 77-fold when coinjected with Δ NXTcf-3 compared with S01234 injected alone (Fig. 7B). This result shows that *siamois* promoter activation is dependent on the β -catenin/XTcf-3 complex.

Because the Lef/Tcf sites are required for β -catenin responsiveness in the animal pole assay, we predicted that the Lef/Tcf sites are also necessary for the activation of *siamois* gene expression in the dorsal marginal zone. To test this, the S24 reporter gene, which was mutated to eliminate the three Lef/Tcf-binding sites in the *siamois* promoter, was injected into the marginal zone of either dorsal or ventral blastomeres at the four-cell stage. Surprisingly, we found that the S24 reporter construct was as active on the dorsal side of the embryo as the

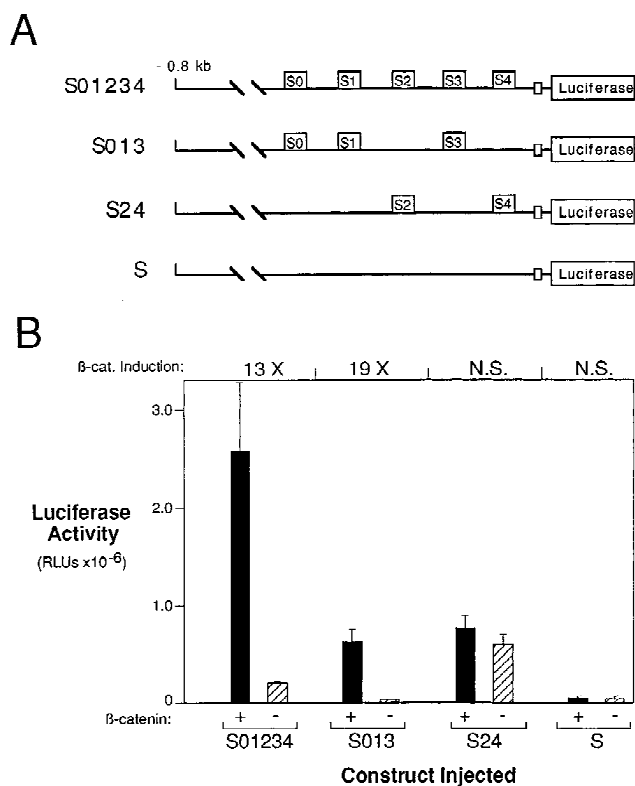


Figure 6. Lef/Tcf site mutations eliminate the β -catenin response of the *siamois* promoter. (A) Schematic representation of the constructs that result from introducing site-directed mutations into the -0.8 -kb *siamois* promoter. S01234 contains no mutations, S013 is mutant at S2 and S4, S24 is mutant at S0, S1, and S3, and S is mutant at all sites. (B) Lef/Tcf consensus sites S0, S1, and S3 are β -catenin response elements, whereas S2 and S4 have a general activating function. The indicated promoter constructs were injected into the animal pole of both blastomeres of two-cell-stage embryos in the presence (+) or absence (-) of β -catenin. The mean luciferase activities and standard errors, in RLUs, from three pools of five embryos each are shown. Average fold inductions by β -catenin (β -cat. Induction) are indicated above each data set. Note that basal luciferase levels resulting from injection of S24 are greater than those for S01234. (N.S.) No significant β -catenin induction.

S01234 construct (Fig. 8, D). Moreover, the S24 promoter was 20-fold more active on the ventral side of the embryo than the S01234 construct (Fig. 8, V). These results are consistent with the derepression observed for S24 in the animal pole when all three Lef/Tcf-binding sites are mutated (Fig. 6B). Thus, whereas S01234 was expressed 33-fold more strongly on the dorsal side of the embryo, S24 showed only a 2-fold dorsal-ventral difference. These results suggest that the high dorsal levels observed for S24 are the result of a lack of Lef/Tcf-mediated repression, which allows sites S2 and S4 to execute a general activating function.

Localization of the endogenous siamois activator

Because the *siamois* promoter specifically responds to the endogenous dorsal signal, we attempted to map the

location of this activity more precisely in the whole embryo. This approach was first used with the *gsc* promoter to show that an activin/BVg1-like activity is present throughout the vegetal hemisphere of *Xenopus* embryos (Watabe et al. 1995). For our experiments, the *siamois* promoter construct S01234 was injected into each of the four tiers of blastomeres on either the dorsal or ventral side of 32-cell stage embryos (Fig. 9A). As with the four-cell stage injections, S01234 was activated on the dorsal, but not the ventral side of embryos (Fig. 9B). The highest activity level was obtained in C and D tier blastomeres, although significant activity was present in B tier blastomeres and a low level in A tier blastomeres. These results agree well with previous studies showing that the highest dorsal axis-inducing activity is present in tiers C and D (Kageura 1990).

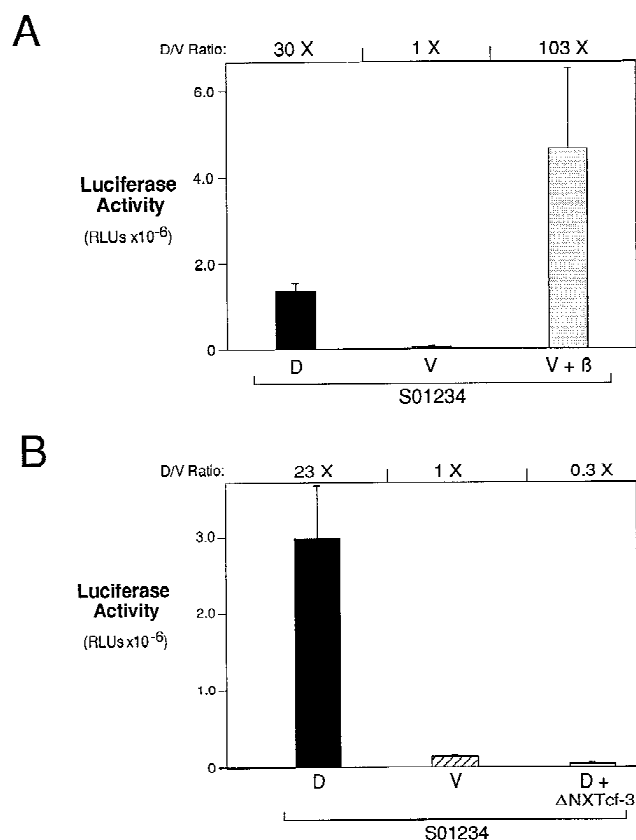


Figure 7. *siamois* promoter activation is dependent on the β -catenin/XTcf-3 complex. (A) β -Catenin activates the *siamois* promoter in the ventral marginal zone. Construct S01234 was injected dorsally (D), ventrally (V), or ventrally in the presence of β -catenin RNA (V + β). The mean and standard errors of luciferase activities are shown for each sample, as described previously. Average fold induction (D/V Ratio) for D vs. V and V + β vs. V is shown above the graph. (B) Δ NXTcf-3 blocks the dorsal activation of the *siamois* promoter. Construct S01234 was injected dorsally (D), ventrally (V), or dorsally in the presence of Δ NXTcf-3 RNA (D + Δ NXTcf-3). The mean and standard errors of luciferase activities are shown for each sample. Average fold inductions for D vs. V and D + Δ NXTcf-3 vs. V are shown above the graph.

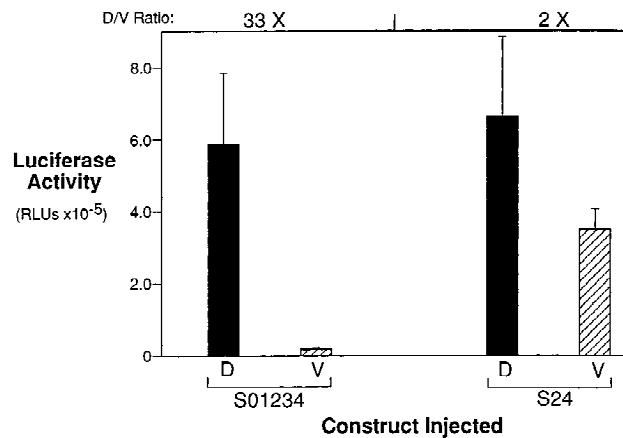


Figure 8. The Lef/Tcf-binding sites repress ventral *siamois* activation. Constructs S01234 and S24, which lacks the three Lef/Tcf-binding S0, S1, and S3, were injected into the marginal zone of dorsal (D) or ventral (V) blastomeres at the four-cell stage. The mean and standard errors of the resulting luciferase activities from three pools of five embryos each are shown. Average fold induction (D/V Ratio) for D vs. V is shown above both data sets. Note that construct S24 has 20-fold higher ventral activation of the *siamois* promoter than S01234, indicating that the *siamois* promoter lacking the Lef/Tcf-binding sites is no longer repressed on the ventral side of the embryo.

Discussion

The *Wnt* pathway, mediated by β -catenin, regulates the formation of the dorsal-ventral axis in *Xenopus* (Heasman et al. 1994; Miller and Moon 1996). Evidence from a number of recent studies indicates that β -catenin exerts its effect by modulating transcription. β -Catenin accumulates in the nuclei of dorsal blastomeres by the 16- to 32-cell stage (Larabell et al. 1997), hours before the onset of zygotic transcription. Taken with evidence that β -catenin binds to transcription factors of the Lef/Tcf family (Behrens et al. 1996; Huber et al. 1996; Molenaar et al. 1996), one would predict that complexes of β -catenin and Lef/Tcf might regulate transcription. Consistent with this possibility, β -catenin/Lef-1 heterodimers bind to the murine E-cadherin (Huber et al. 1996) and *Drosophila Ultrabithorax (Ubx)* (Riese et al. 1997) promoters. Finally, a transactivation domain has been mapped to the carboxyl terminus of *armadillo*, the *Drosophila* β -catenin homolog (van de Wetering et al. 1997). Here we present evidence that β -catenin regulates *siamois* expression by showing that the *siamois* promoter is a target for the β -catenin/XTcf-3 complex. Our results provide a direct link between the accumulation of β -catenin in dorsal blastomeres and the activation of a gene involved in the specification of the *Xenopus* dorsal-ventral axis.

Analysis of *siamois* promoter activity in the animal pole, a region that does not express the endogenous *siamois* gene unless β -catenin is ectopically expressed (Brannon and Kimelman 1996), showed that a -0.8-kb genomic fragment is strongly induced by β -catenin. Pro-

gressive deletion from the 5' end of this fragment showed that the -0.3-kb proximal region was still inducible by β -catenin. The -0.3-kb fragment contains four possible Lef/Tcf-binding sites, but only the two sites that conform to the Lef/Tcf consensus sequence (S1 and S3) (Grosschedl et al. 1994) bind Lef-1 and XTcf-3 and form a ternary complex with β -catenin in vitro. Introducing mutations at these sites eliminates binding in vitro and renders the *siamois* promoter insensitive to β -catenin in animal pole injections. These results indicate that the β -catenin induced expression of *siamois* in the animal pole occurs through the direct binding of the β -catenin/XTcf-3 complex to the *siamois* promoter.

An extensive mutational analysis was performed within the context of the -0.8-kb fragment, which contains the three consensus Lef/Tcf-binding sites (S0, S1, and S3), to determine the functional significance of these sites. Mutation of any single site reduced β -catenin inducibility of the *siamois* promoter two- to threefold,

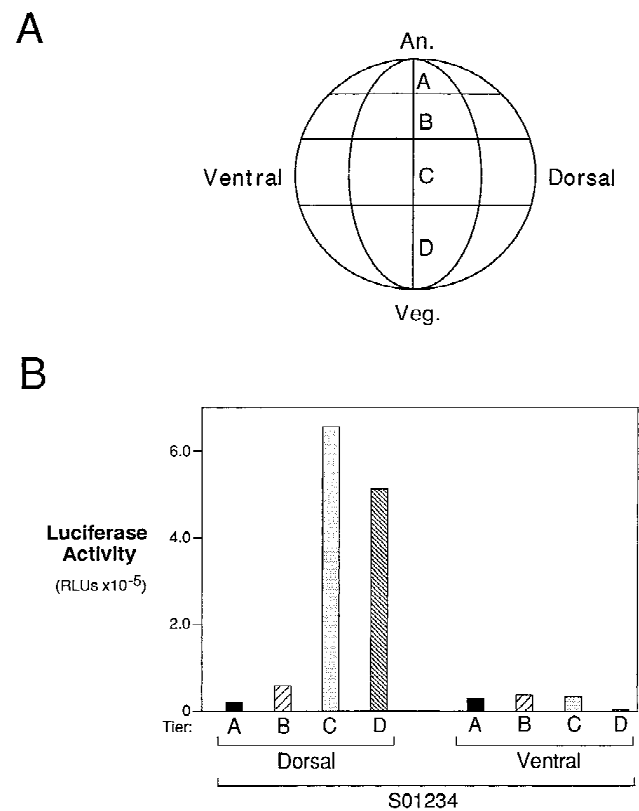


Figure 9. Localization of the endogenous dorsalizing activity in the embryo. (A) Diagram of a 32-cell stage *Xenopus* embryo showing the four tiers of blastomeres. (An) Animal pole; (Veg) vegetal pole. (B) The endogenous dorsalizing activity is highest in dorsal vegetal cells. A single dorsal or ventral blastomere at the 32-cell stage was injected with S01234. The luciferase activity of a pool of five embryos injected into the same blastomere indicates that the endogenous dorsalizing activity is present mainly in the dorsal C and D tiers (dorsal vegetal cells) of early embryos. This region of the embryo is most abundant in β -catenin (Larabell et al. 1997).

showing that each site contributes to the optimal expression of *siamois*. Mutations in any combination of two sites removed most of the β -catenin responsiveness, showing that at least two sites must be present to achieve high-level expression of *siamois*. When all three sites were mutated the β -catenin inducibility was eliminated, indicating that each site can activate *siamois* at a low level.

Similar results were obtained when the *siamois* promoter fragments were injected into the marginal zone. The -0.8 -kb fragment showed a large difference in activity between the dorsal and ventral marginal zones. The -0.3 -kb fragment also showed a dorsal-ventral difference, but as with the β -catenin inducibility in the animal pole, it was not as strongly activated as the -0.8 -kb fragment. The ventral levels of expression were raised to the dorsal levels by ectopic expression of β -catenin RNA, supporting the hypothesis that the dorsal enrichment of β -catenin observed in early *Xenopus* embryos (Schneider et al. 1996; Larabell et al. 1997) is responsible for activating endogenous *siamois* on the dorsal side of the embryo. Dorsal activation of *siamois* is also dependent on the β -catenin/XTcf-3 complex, because dominant-negative XTcf-3 completely abolished dorsal activation of a *siamois* reporter construct. In summary, our results from injection of the *siamois* promoter into the animal pole and marginal regions of *Xenopus* embryos indicate that β -catenin activates *siamois* expression in a manner dependent on the formation of a β -catenin/XTcf-3 complex.

Injection of the *siamois* promoter into dorsal cells of the 32-cell stage embryo indicates that the β -catenin/XTcf-3 complex is not the only component necessary for the correct localization of *siamois*. *Siamois* is expressed in the dorsal vegetal cells of the gastrula stage embryo (Lemaire et al. 1995), which accords well with our result that maximal *siamois* promoter activity is observed on injection into dorsal tiers C and D, because these cells populate the gastrula stage dorsal vegetal region (Bauer and Moody 1994). The dorsal tier B cells of the 32-cell-stage embryo, however, have similar staining of cytoplasmic and nuclear β -catenin relative to the dorsal tier C cells (Larabell et al. 1997), suggesting that another factor may be important for maximizing the expression of *siamois* in the vegetal cells. Previously, we have suggested that a member of the TGF- β family such as Vg1 could be important for enhancing the vegetal expression of *siamois* (Brannon and Kimelman 1996). These data fit well with our prior hypothesis that an overlap of broadly localized factors, activated during the blastula stage, determines the specific patterns of gene expression observed during the early gastrula stage (Kimelman et al. 1992).

Regulation of the *siamois* promoter is complex

Whereas the major regulation of *siamois* expression is caused by activation by the β -catenin/XTcf-3 complex, our studies have uncovered additional regulatory mechanisms. Perhaps the most intriguing is a XTcf-3-mediated

repression of *siamois* expression. XTcf-3 appears to be ubiquitously expressed (Molenaar et al. 1996) and binds to the three *siamois* promoter Lef/Tcf sites in the presence or absence of β -catenin. Elimination of these sites resulted consistently in elevated basal levels of expression in both the animal pole and marginal zone, indicating that in the absence of β -catenin, XTcf-3 binds to the *siamois* promoter and represses its activity. Consistent with this finding, a repressive role for Lef-1 has been shown on a synthetic promoter containing four tandem Lef-binding sites (Ho and Leiden 1990), and a recent study showed that HBP1, an HMG box transcription factor related to Lef-1, is a transcriptional repressor (Tevonian et al. 1997).

Because Lef-1 and XTcf-3 have never been shown to repress a bona fide promoter, the mechanism of repression has not been elucidated. Lef/Tcf-type factors have an architectural function that involves the induction of sharp DNA bends (Grosschedl et al. 1994) and it is possible that in the absence of bending, the *siamois* promoter becomes active, whereas XTcf-3-induced bending may cause repression. β -Catenin has been shown to decrease the ability of Lef-1 to bend DNA (Behrens et al. 1996) and suggests a mechanism in which β -catenin contributes to *siamois* activation by relieving the XTcf-3-mediated repression. Alternatively, XTcf-3 may contain a domain that represses transcription in the absence of β -catenin. It is clear that simply derepressing the *siamois* promoter is not sufficient for full activation, because elimination of the Lef/Tcf sites does not activate the promoter to β -catenin induced levels in the animal pole assay. Our results suggest that β -catenin has a positive role in activating transcription, which is supported by the recent finding that the β -catenin homolog *armadillo* contains a transactivation domain (van de Wetering et al. 1997). We are currently investigating why the *siamois* promoter lacking the Lef/Tcf-binding sites is more strongly derepressed in the marginal zone than in the animal pole.

The remaining regulatory regions we have identified appear to be involved in enhancing the β -catenin/XTcf-3-mediated activation of the *siamois* promoter. First, using the deletion series, we found that the -0.8 -kb fragment showed the same degree of response to β -catenin as the -0.65 -kb fragment, but both the basal and induced levels of expression of the -0.8 -kb fragment were higher. This suggests the presence of a general transcriptional enhancer between -0.8 and -0.65 kb. Second, we observed an additional enhancing element between -0.8 and -0.5 kb that affected the β -catenin inducibility of the promoter. The factor binding in this region appears to function in conjunction with β -catenin. Importantly, the region between -0.8 and -0.5 kb cannot activate the *siamois* promoter when the proximal region containing the consensus Lef/Tcf sites is deleted, showing that the proximal region is absolutely required for *siamois* expression. Finally, we showed by site-directed mutagenesis that sites S2 and S4 also have a general activating function for the *siamois* promoter. Because they do not bind Lef-1 or XTcf-3 in vitro, we expect that these sites

function by binding a different transcriptional activator. Our results show that transcriptional activation by the β -catenin/XTcf-3 complex alone is not sufficient for optimal *siamois* expression. Instead, this complex appears to function as part of an assembly of transcriptional activators that are brought together on the *siamois* promoter.

A model for *siamois* regulation

On the basis of our data, we propose a model to explain how the endogenous *siamois* expression pattern is obtained. The rotation of the cortex induced by sperm entry moves an activator of the *Wnt* pathway (Holowacz and Elinson 1995) from the vegetal pole to the future dorsal side of the embryo (Fujisue et al. 1993; Kikkawa et al. 1996; Sakai 1996), resulting in the stabilization of β -catenin in the dorsal region by the two-cell stage (Larabell et al. 1997). Presently it is not known whether a *Wnt* signal is required or whether the pathway is activated cytoplasmically (Moon et al. 1997). The stabilized β -catenin associates with XTcf-3 (Molenaar et al. 1996), and binds to the *siamois* promoter during the cleavage stages, before embryonic transcription begins. With the onset of transcription at MBT, the β -catenin/XTcf-3 complex activates the expression of *siamois* in concert with other transcription factors. In contrast, on the ventral side of the embryo, XTcf-3 bound to the *siamois* promoter in the absence of β -catenin represses *siamois* expression. This provides a molecular model linking the accumulation of β -catenin in dorsal marginal blastomeres to the potent activation of *siamois*, a gene involved in specifying the Spemann organizer (Carnac et al. 1996).

Materials and methods

Isolation of genomic clones containing the *siamois* promoter

A *siamois* cDNA PCR clone in pGEMT (Promega) was a gift from Jan Christian (Oregon Health Sciences University, Portland). Oligonucleotide Xsiam/GSP2 (5'-ACTCCGAGGACACCTTAAGGG-3') was designed and used in the PCR to generate a probe containing the first 246 bp of the *siamois* 5'-coding sequence. This probe was used to screen $\sim 1 \times 10^6$ plaques from a *Xenopus* genomic DNA library (made from strain HD-1; a gift from Dr. Thomas D. Sargent, National Institutes of Health, Bethesda, MD) and resulted in the isolation of 3 hybridizing recombinant phage. Hybridizations were performed by standard procedures. Genomic DNA was isolated from each positive clone, digested with *EcoRI* or *BglII* and tested for hybridization to the same 246-bp probe by Southern blot analysis. Three hybridizing fragments, corresponding to -3.0, -0.8, and -0.3 kb of the *siamois* promoter, were gel purified, subcloned into pBluescript (Stratagene), and sequenced.

Construction of luciferase reporter plasmids

To fuse the isolated *siamois* promoter fragments to a luciferase reporter gene, oligonucleotide *siam/luc-2* (5'-CGCAGATCTCTGTCTCCCAAAATGTTGG-3') was designed. This oligonucleotide introduces a *BglII* site (underlined) and eliminates

the *siamois* translation start site. By use of *siam/luc-2* and the pBluescript reverse primer, a -3.0-kb *siamois* promoter fragment was amplified by PCR with *Vent* polymerase (New England Biolabs). The amplification product was directionally cloned into the *KpnI* and *BglII* sites of the luciferase reporter vector pGL3B (Promega) upstream of the luciferase ATG. The -0.3-kb *siamois* promoter fragment was similarly cloned into pGL3B with *SacI* and *BglII* sites following amplification with *siam/luc-2* and the pBluescript -20 primer. The -0.8-kb promoter construct was generated by digesting the -3.0-kb/pGL3B construct with *KpnI* and at an internal *EcoRI* site to remove 2.2 kb of intervening sequence, filling in with DNA polymerase I large fragment and religating.

A series of 5' nested deletions was generated from the -3.0-kb *siamois* reporter construct by use of the exonuclease III-mung bean nuclease method (Sambrook et al. 1989). Deletions ranged from the internal *EcoRI* site at the 5' end of the -0.8-kb construct down to a -0.13-kb fragment. Three reporter constructs containing -0.65, -0.5, and -0.13-kb of the *siamois* promoter were selected.

Oligonucleotide-mediated, site-directed mutagenesis (Kunkel et al. 1987) was used to eliminate the possible Lef/Tcf sites in the -0.8-kb *siamois* reporter construct. The mismatched oligonucleotides used to eliminate sites S0 through S4 are as follows: (S0) 5'-GTTGGCAAGACTTGGAAATCCCTTACTTACA-3'; (S1) 5'-CAAAAGGGAGGTAATITTCATGATTCTGATGAC-3'; (S2) 5'-GAATTGGCAAGGTGATATCTGTGATTTGGGGAC-3'; (S3) 5'-TGAAAAAATATAAATAGAATTCATGTACTGTTGC-3'; (S4) and 5'-ATATCAACAGGGAGCTCTTGTGTGTCCAGG-3'. Each mutagenic oligonucleotide introduces or eliminates a restriction site (underlined) that was used to assay for the incorporation of the mutation. These oligonucleotides were used successively or in combination to eliminate multiple sites. All constructs were sequenced to confirm that only the intended point mutations were introduced.

Preparation of synthetic RNA

β -Catenin RNA was prepared from a CS + MT vector linearized with *NotI* as described previously (Yost et al. 1996). Δ NXTcf-3 RNA was prepared from pT7Ts Δ NXTcf-3 linearized with *XbaI* as described (Molenaar et al. 1996).

Xenopus embryo manipulation and microinjection

Xenopus embryos were obtained by artificial fertilization of eggs as described previously (Pierce and Kimelman 1995). Embryos were cultured at 14°C to 23°C and staged as described previously (Nieuwkoop and Faber 1967).

Embryos were microinjected at the 2-cell stage into the animal pole of both blastomeres, at the 4-cell stage into the equator of either dorsal or ventral blastomeres, or into one of the four tiers of blastomeres on either the dorsal or ventral side at the 32-cell stage. The volume of each microinjection was 10 nl per blastomere, except for 32-cell stage injections, which were 5 nl per blastomere. For each embryo, 265 pg (13.25 μ g/ml) of *siamois* reporter plasmid DNA was microinjected in all experiments except the dorsal versus ventral injections of the 5' nested deletion series, which used a total of 300 pg (15 μ g/ml). When coinjected with the *siamois* reporter constructs, synthetic β -catenin RNA was used at a final amount of 250 pg (12.5 μ g/ml) per embryo. Synthetic Δ NXTcf-3 RNA was coinjected at a final amount of 1.6 ng (80 μ g/ml). Each microinjection experiment was performed with embryos obtained from a single fe-

male as absolute luciferase activity levels varied from batch to batch.

Luciferase assays

All embryos were cultured to stage 10 (early gastrula) and then each set of microinjected embryos was separated into three pools of five embryos each for assay in triplicate. Excess medium was removed, embryos were homogenized in 50 μ l of 1 \times Rapid Lysis Buffer (Promega) and cleared by a 30-sec microcentrifugation. Ten microliters of the resulting supernatant was used for luciferase activity assays that were performed according to the manufacturers protocol (Promega) with a Berthold luminometer. Experiments were repeated not less than two times. Figures 1, 2, 6–9 show a single representative experiment.

Electrophoretic mobility shift and DNase I footprinting assays

XTcf-3 (Molenaar et al. 1996), hLef-1 (Waterman et al. 1991), and their derivatives were transcribed and translated in vitro with the TNT T7 coupled reticulocyte lysate system (Promega) according to the manufacturers instructions. Recombinant His-tagged β -catenin was prepared as described (Yost et al. 1996). A 246-bp *Bsa*AI–*Bgl*II fragment containing potential Lef/Tcf-binding sites S1–S4 or a 264-bp *Sty*I–*Bsa*AI fragment containing Lef/Tcf S0 were end-labeled by standard procedures. Probe [10 \times 10³ cpm (12.5 fmoles)] was incubated with 0.5- μ l equivalents of reticulocyte lysate in the presence of 1 μ g of poly[d(I-C)] in 1 \times binding buffer (20 mM HEPES, 50 mM EDTA, 5.0 mM MgCl₂, 8% glycerol, 1.0 mM DTT, at pH 8) in a total volume of 10 μ l. Samples were incubated for 10 min on ice, followed by a further 20-min incubation with radiolabeled probe. Competition analyses were performed with a double-stranded oligonucleotide, 5'-GATCTAGGGCACCCTTTGAAGCTCT-3', which contains a consensus Lef/Tcf-binding site (underlined) and an oligonucleotide containing three point mutations (in bold) identical to the mutated S1 described above, 5'-GATCTAGGGCACAATTTCAAGCTCT-3'. Electrophoresis was performed through 3.5% native polyacrylamide gels in 0.5 \times TBE at room temperature.

DNase I footprinting assays were performed with the Promega SureTrack footprinting kit according to the manufacturers instructions.

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