Cooperative effects of genes controlling the G_2/M **checkpoint**

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It is believed that multiple effectors independently control the checkpoints permitting transitions between cell cycle phases. However, this has not been rigorously demonstrated in mammalian cells. The p53-induced genes $p21$ and $14-3-3\sigma$ are each required for the G_2 arrest and **allow a specific test of this fundamental tenet. We generated human cells deficient in both** *p21* **and** *14-3-3* **and determined whether the double knockout was more sensitive to DNA damage than either single knockout.** *p21***−/−** *14-3-3***−/− cells were significantly more sensitive to DNA damage or to the exogenous expression of p53 than cells lacking only p21 or only 14-3-3. Thus, p21 and 14-3-3 play distinct but complementary roles in** the G_2/M checkpoint, and help explain why genes at the **nodal points of growth arrest pathways, like** *p53,* **are the targets of mutation in cancer cells.**

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The *p53* tumor suppressor gene is mutated in a large fraction of human cancers, suggesting that wild-type p53 function is required to limit tumor growth (Hollstein et al. 1991). p53 is thought to inhibit growth through two mechanisms. First, expression of p53 causes arrest in both the G_1 and G_2 phases of the cell cycle (El-Deiry et al. 1994; Agarwal et al. 1995; Stewart et al. 1995; Levine 1997). The G_1 arrest is in large part due to transcriptional activation of p21, a cyclin-dependent kinase inhibitor (El-Deiry et al. 1993; Harper et al. 1993; Xiong et al. 1993; Brugarolas et al. 1995; Deng et al. 1995; Waldman et al. 1995). p53-induced G_2 arrest appears to be due to the induction of both p21 and $14-3-3\sigma$, a protein that normally sequesters cyclinB1–cdc2 complexes in the cytoplasm (Hermeking et al. 1997; Bunz et al. 1998; Chan et al. 1999). The second mechanism through which p53 acts involves the induction of apoptosis (Yonish-Rouach et al. 1991; Shaw et al. 1992). p53 activates transcription of a variety of apoptosis-associated genes, and programmed cell death (PCD) in response to genotoxic

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stress is impaired in the absence of p53 (Clarke et al. 1994; Miyashita et al. 1994; Oren 1994; Polyak et al. 1997; Yu et al. 1999).

Cells deficient in either $p21$ or $14-3-3\sigma$ are unable to maintain stable G_2 arrest and die when exposed to DNAdamaging agents (Bunz et al. 1998; Chan et al. 1999). However, it is unclear whether these two genes play complementary roles in checkpoint control, with each independently contributing to the arrest that occurs after DNA damage. To address this question, we have generated human colorectal cancer (CRC) cells lacking both $p21$ and $14-3-3\sigma$ through targeted homologous recombination. These experiments constitute the first successful attempt to create double knockouts in human cells and provide definitive data about the nonredundant roles of p21 and 14-3-3 σ in controlling the G₂/M checkpoint following DNA damage.

Results and Discussion

Creation of double knockout cells

Targeting vectors for $p21$ and $14-3-3\sigma$ were constructed (Waldman et al. 1995; Chan et al. 1999) and sequentially used to create cells deficient in both genes. The CRC cell line HCT116 was chosen for these studies because it has intact $p53$, $p21$, and $14-3-3\sigma$ genes and apparently normal DNA damage checkpoint responses. Southern blot analyses confirmed targeting of all four alleles (Fig. 1), and Western blot analysis showed that the double knockout cells did not produce either p21 or 14-3-3o proteins (data not shown). Two independently derived clones were obtained and compared with the *p21*−/− and *14-3-3*−/− cells described previously (Waldman et al. 1995; Chan et al. 1999).

Effects of DNA damage

To assess the functions of $p21$ and $14-3-3\sigma$ following DNA damage, we first treated cells of various genotypes with adriamycin, a DNA-damaging agent and commonly used chemotherapeutic agent. Cells were scored for apoptosis and mitotic death at sequential times after initiation of treatment (Fig. 2A) and subjected to analysis by flow cytometry (Fig. 2B). Parental cells stably arrested in both G_1 and G_2 and did not undergo cell death when treated with adriamycin (Fig. 2). The disruption of *p21* had a marked effect on the responses of the cells to DNA damage, as described previously (Waldman et al. 1996; Bunz et al. 1998). The cells failed to arrest in G_1 (Fig. 2B), failed to maintain a stable G_2 arrest, and eventually underwent PCD after failed cytokinesis. Cells lacking *14-* $3-3\sigma$ were able to arrest in G_1 but could not maintain a stable G_2 arrest. These cells underwent mitotic death (Fig. 2), a process associated with chromosome condensation and DNA degradation (Heald et al. 1993; Chan et al. 1999). Cells lacking both $p21$ and $14-3-3\sigma$ died significantly sooner than cells lacking only one of the two genes (Fig. 2A) and this death was associated with

Figure 1. Generation of human cells lacking 14-3-3 σ and p21. HCT116 CRC cells were transfected with $14-3-3\sigma$ targeting constructs, and knockout cells were identified as described in Chan et al. (1999). Southern analysis was used to confirm the successful deletion of both $14-3-3\sigma$ alleles (*top*). $14-3-3\sigma^{-1}$ cells were transfected with *p21* targeting vectors, and clones with successfully targeted alleles were identified by PCR and confirmed by Southern blot as in Waldman et al. (1995) (*bottom*). Wild-type and targeted alleles are labeled and marked with arrows.

marked DNA degradation (see 48 hr time point in Fig. 2B).

Colony-forming ability

Checkpoint defects in *Saccharomyces cerevisiae* and *Schizosaccharomyces pombe* result in cells that are more sensitive to DNA-damaging agents than wild-type yeast when studied with colony formation assays (Weinert 1992; Iede et al. 1994). Interestingly, loss of checkpoint function in human cells results in increased apop-

tosis, but this is often not associated with greater drug sensitivities when measured with standard colony formation assays (Slichenmyer et al. 1993). Lack of such checkpoints in mammalian cells may cause them to preferentially undergo cell death instead of arrest, with little net effect on colony-forming ability. Loss of the *p21* gene, for example, causes cells to die more readily after DNA damage, but there is no significant effect on colony formation (Waldman et al. 1997). To determine whether a similar phenomenon was applicable to the double knockout cells, colony formation assays were performed on the various mutants with varying concentrations of adriamycin. Cells were treated with the drug for 12 hr, replated in new flasks, and allowed to grow for 10 days, at which time clonogenic survival was assessed. Cells lacking both $14-3-3\sigma$ and $p21$ were dramatically more sensitive than cells lacking only one of the genes (Fig. 3). To our knowledge, this is the first demonstration that cells with defective checkpoints are actually more sensitive to DNA-damaging agents when their growth potential is measured in a standard assay for measuring drug sensitivities. Thus, human cells lacking both the *p21* and *14-3-3* genes, but not either alone, behaved like checkpoint deficient yeast mutants when exposed to DNA damaging agents.

Response to exogenous p53

One way to view the effects of p53 is as a competition between cell arrest and cell death (Oren 1994; Polyak et al. 1996). Accordingly, the absence of genes that result in growth arrest may allow the death-inducing effects of p53 to be more apparent. To determine the effects of the loss of $p21$ and $14-3-3\sigma$ genes on the response to $p53$, cells were infected with a recombinant adenovirus engineered to express wild-type p53 (Adp53) (Yu et al. 1999). Green fluorescent protein (GFP) was coexpressed along with p53 to serve as a marker of infection. As shown in Figure 4A, all cell types were infected by Adp53 at similar efficiencies. In addition, after infection with Adp53, cells of all the genotypes were analyzed by Western blot using anti-p53 antibody. The Western blot analysis indicated that infection with Adp53 resulted in similar levels of p53 protein in all the cell lines used (data not shown). After infection, cells were harvested and stained with Hoechst 33258 to assess cell death. On expression of p53, parental cells with intact $p21$ and $14-3-3\sigma$ genes underwent cell cycle arrest (Polyak et al. 1996). In contrast, cells lacking either *p21* or *14-3-3* underwent cell death (Fig. 4B). $p21^{-/-}$ 14-3-3 $\sigma^{-/-}$ cells died significantly more quickly than cells lacking only one of the two

Figure 2. Cells lacking 14-3-3 σ and p21 are more sensitive to DNA damage than cells lacking either 14-3-3 or p21. (*A*) Cells were treated with 0.2 µg/ml adriamycin for the times indicated. Cells were harvested and scored for death after staining with Hoechst 33258. (∇, \Diamond) p21^{-/-}, $\sigma^{-/}$; (\triangle) $\sigma^{-/}$; (\bullet) p21^{-/-}; (par) parental cells containing normal $14-3-3\sigma$ and $p21$ genes. Error bars correspond to one standard deviation; the points represent the mean cell death determined from at least three independent experiments. (*B*) Compromised cell cycle arrest in cells deficient in p21 and 14-3-3 σ . Cells of the indicated genotypes were treated with adriamycin, harvested at the indicated times, stained with Hoechst 33258, and subjected to flow cytometry. Peaks corresponding to 2N and 4N are labeled accordingly.

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Figure 3. Decreased clonogenic survival of *p21*−/− *14-3-3*−/− cells following DNA damage. Cells with indicated genotypes were treated with 0.02 µg/ml adriamycin and replated in new flasks (ADR). Colonies were stained with crystal violet 7–10 days later. (Control) Cells were not treated with adriamycin.

genes (Fig. 4B). As expected, when the cells were infected with an adenovirus expressing mutant p53 (R175H), they did not die. As with DNA damaging agents, it thereby seemed that *p21* and *14- 3-3* cooperated to prevent cell death.

Response to endogenous p53 induction

5-Fluorouracil (5-FU) is the most important drug used for the treatment of colorectal cancer. Previous work has demonstrated that 5-FU activates a p53-dependent apoptotic program (Bunz et al. 1999). Therefore, if $14-3-3\sigma$ and p21 protect cells from p53-mediated PCD, the double knockout cells should be more sensitive to 5-FU. Parental, *p21*−/− , *14-3-3*−/− , and double mutant cells were treated with 5-FU, cells were harvested at various time points, and cell death was scored (Fig. 4D). 5-FU treatment induced cell cycle arrest but not death in *p53*−/− cells, confirming that p53 was required for PCD following 5-FU treatment (Bunz et al. 1999). Parental cells with wild-type p53 died, with ∼50% of the cells apoptotic at 72 hr post-treatment. Cells lacking either p21 or 14-3- 3 were more sensitive to 5-FU than parental or *p53*−/− cells. And, cells lacking both p21 and 14- $3-3\sigma$ were significantly more sensitive than either of the single mutants. Of the double mutant cells, >90% were dead at 72 hr post-treatment.

Mitotic death

A mitotic trap assay was used to directly assess whether the cells entered mitosis following DNA damage. Parental, $p21^{-/-}$, 14-3-3 $\sigma^{-/-}$, and double knockouts were treated with adriamycin for 12 hr, the adriamycin-containing medium was removed, and colcemid containing medium was added. After 12 hr, cells were harvested and cell death and mitotic index was scored as described (Cahill et al. 1998; Chan et al. 1999). After such treatment, parental cells remained arrested in either G_1 or G_2 . The parental cells did not progress into mitosis, as indicated by the low mitotic index (Fig. 5A) and low incidence of cell death (Fig. 5B). Those $14-3-3\sigma^{-/-}$ cells that escaped the G₂ arrest appeared to die quickly thereafter, as evidenced by the higher fraction of dead cells in Figure 5B. That $14-3-3\sigma$ is required to prevent mitotic cell death was strongly supported by the results in the double knockout cells. It has been shown previously that *p21*−/− cells fail to maintain a stable G_2 arrest and progress into mitosis, as indicated by their high mitotic index score (Fig. 5A; Bunz et al. 1998). In the presence of wild-type 14-3-3 σ , $p21^{-/-}$ cells can remain in colcemid-stablized mitosis for long periods without dying (Fig. 5A,B). In the absence of $14-3-3\sigma$, this state is unstable and the cells rapidly die after

Figure 4. Cooperative effect of $p21$ and $14-3-3\sigma$ in determining cell cycle arrest vs. death following expression of p53. (*A*) Cells of the indicated genotypes were infected with an adenovirus that directed the expression of p53 and GFP. (*Top*) GFP expression of the cells 20 hr following start of infection; (*Bottom*) the same field of cells under bright field. Cells of all the genotypes became infected with the p53 adenovirus to a similar extent. Similar infection frequencies were obtained with the mutant p53 adenovirus (data not shown). (*B*) Cells lacking both p21 and 14-3-3σ died more readily following expression of p53 than cells lacking only one or none of the two genes. (●) p21^{-/-}, σ^{-/-}; (▲) σ^{-/-}; (+) p21^{-/-}; □, (par) parental cells containing normal *14-3-3* and *p21* genes. (*C*) No cell death was observed in any of the cells upon infection with an adenovirus expressing inactive mutant p53 (R175H). Labels as in *B*. (*D*) Cells lacking both p21 and $14-3-3\sigma$ are sensitive to 5-FU. 5-FU was used to induce endogenous p53 expression. Cells were scored for death at the indicated time points. Error bars correspond to one standard deviation; the points represent the mean cell death determined from at least three independent experiments.(\triangle) p53^{-/-}; other labels as in *B*.

Figure 5. Distinct functions of $p21$ and $14-3-3\sigma$ following DNA damage. (*A*) Cells of the indicated genotypes were treated with 0.2 µg/ml adriamycin for 12 hr and subsequently with colcemid for 20 hr. The *y*-axis corresponds to the percentage of cells in mitosis (mitotic index). Error bars correspond to one standard deviation; the bars represent the means determined from at least three independent experiments. (*B*) Cells were treated as in *A* and cell death was scored. The *y*-axis corresponds to percentage of dead cells. (*C*) Cells were treated as in *A*. The *y*-axis corresponds to the ratio between the fraction of dead cells to the fraction of cells arrested in mitosis.

they enter mitosis. As a result, the double knockout cells have a much higher ratio of dead to mitotic cells than cells that lack only p21 (Fig. 5C).

If p21 and 14-3-3 σ were redundant with regards to G_2 arrest, one would expect that the phenotype of double knockout cells would be identical to that of one of the two single knockouts. Our results exclude this possibility, as the double knockouts were significantly different from either single knockout, in terms of sensitivities to the chemotherapeutic drugs adriamycin and 5-FU as well as in their response to exogenous p53. The markedly different cell death/mitosis ratios observed in Figure 5C further illustrate these differences and suggest that the absence of p21 simply leads to an inability to remain in G_2 , with cells progressing into a reasonably normal mitosis. In contrast, the absence of $14-3-3\sigma$ is incompatible with stable G_2 arrest and normal mitosis, and cells without p21 rapidly die once they pass through G_2 in the absence of 14-3-3 σ .

Our finding that $p21$ and $14-3-3\sigma$ cooperate to achieve arrest is consistent with the known biochemical activities of these two proteins. The $14-3-3\sigma$ protein sequesters cdc2-cyclin B1 in the cytoplasm during a G_2 checkpoint initiated by DNA damage (Chan et al. 1999). Any cdc2–cyclin B1 that escapes this sequestration and migrates to the nucleus is "mopped up" and presumably inactivated by p21, which is permanently nuclear. It therefore is not difficult to understand why cells without $p21$ and without 14-3-3 σ have a more defective checkpoint than cells with defects in either alone. However, although this may be the case in CRC cells, the generality of our results remain to be determined. Further work is required to determine whether our model applies to other cell types and organisms.

Epithelial cells have thereby evolved the ability to induce two strong effectors of G_2 arrest following p53 ex-

pression. This provides some insights into the nature of the genes that are mutated in human cancers and thereby drive the process. Mutations of $p21$ or $14-3-3\sigma$ are rarely, if ever, observed in human cancers, whereas inactivation of p53, either directly through mutation or indirectly through binding to amplified *MDM2* genes or viral proteins, is the rule rather than the exception (Kinzler and Vogelstein 1992). It makes sense that the most critical genes to disable during tumor progression are those that control numerous downstream pathways and thereby function as nodal points of growth control. Based on the data provided here, it is clear that mutation of *p21* or $14-3-3\sigma$ genes would not have as dramatic an effect on control of the cell cycle as mutation of p53, as p53 mutation leads to loss of control of the expression of both these downstream targets. Moreover, it is known that p53 not only controls passage through the cell cycle, but also controls apoptosis. It is likely that most, if not all, commonly mutated genes in human tumors will reside at such nodal points, controlling the expression or function of numerous downstream targets (Yu et al. 1999).

Materials and methods

Targeted deletion of p21 and 14-3-3

Targeting constructs for *p21* and *14-3-3*, *p21*−/− cells, and *14-3-3*−/− cells were described previously (Waldman et al. 1997; Chan et al. 1999). To generate *p21*−/− *14-3-3*−/− cells, *14-3-3*−/− cells were transfected with a p21 targeting construct containing a neomycin resistance gene and selected in 0.4 mg/ml geneticin (GIBCO). Clones with a successfully targeted allele were identified by PCR using the primers 5--cggattcgccgaggcaccg-3' and 5'-gttgtgcccagtcatagccg-3' and Taq Platinum (GIBCO). *14-3-3*−/− *p21*+/− cells were then transfected with a second p21 targeting vector containing a hygromycin resistance gene and selected in 0.1 mg/ ml hygromycin B. Clones with successfully targeted p21 alleles were again identified using PCR. Southern analysis was used to confirm that the clones had a *14-3-3*−/− *p21*−/− genotype, and Western blot analysis was used to confirm that the double mutants did not produce p21 or 14-3-3 σ proteins (Waldman et al. 1997; Chan et al. 1999).

Cell culture and transfection

HCT116 cells were obtained from the American Type Culture Collection (ATCC). Cells were cultured in McCoy's medium supplemented with 10% fetal bovine serum (FBS; GIBCO). Tranfections were performed with lipofectamine as directed by the manufacturer (GIBCO). Clonal selection following transfection with the knockout construct was carried out in McCoy's medium with 10% FBS and 0.4 mg/ml geneticin or 0.1 mg/ml hygromycin B (GIBCO). Following transfection, cells were diluted in selection media and plated out in 96-well plates. After selection, genomic DNA was prepared from the drug-resistant clones using the QiaAmp column system (Qiagen). Adriamycin was used at a concentration of 0.2 µg/ml for time courses. For the colony formation assays, adriamycin was used at concentrations of 0.2 µg/ml, 0.1 µg/ml, or 0.02 µg/ml. 5-FU was used at a concentration of 50 µg/ml. Assays for cell death and mitotic catastrophe were as described previously (Chan et al. 1999).

Flow cytometry

Cells were trypsinized, washed with HBSS (GIBCO), and resuspended in 40 µl of HBSS. The cells were then added to 360 µl of a solution containing 1% NP-40 (Sigma), 4.7% formaldehyde (J.T. Baker), and 11 µg/ml Hoechst 33258 in PBS. These fixed and stained cells were stored at 4°C and analyzed within three days by flow cytometry.

Colony formation assays

Cells were grown to 50% confluency. Adriamycin was added to the media for 18 hr. Cells were then trypsinized and replated in new flasks with fresh media. Cells were grown for 10 days and then stained with crystal violet.

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Mitotic trap assay

Cells were treated with 0.2 µg/ml adriamycin for 12 hr. Subsequently, adriamycin was removed and media containing 0.1 µg/ml colcemid was added to the cells. After 20 hr, cells were collected, stained with Hoechst 33258, and mitotic cells were scored as described previously (Cahill et al. 1998).

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