

# Free radical functionalization of surfaces to prevent adverse responses to biomedical devices

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Edited\* by Charles R. Cantor, Sequenom, Inc., San Diego, CA, and approved July 12, 2011 (received for review February 28, 2011)

**Immobilizing a protein, that is fully compatible with the patient, on the surface of a biomedical device should make it possible to avoid adverse responses such as inflammation, rejection, or excessive fibrosis. A surface that strongly binds and does not denature the compatible protein is required. Hydrophilic surfaces do not induce denaturation of immobilized protein but exhibit a low binding affinity for protein. Here, we describe an energetic ion-assisted plasma process that can make any surface hydrophilic and at the same time enable it to covalently immobilize functional biological molecules. We show that the modification creates free radicals that migrate to the surface from a reservoir beneath. When they reach the surface, the radicals form covalent bonds with biomolecules. The kinetics and number densities of protein molecules in solution and free radicals in the reservoir control the time required to form a full protein monolayer that is covalently bound. The shelf life of the covalent binding capability is governed by the initial density of free radicals and the depth of the reservoir. We show that the high reactivity of the radicals renders the binding universal across all biological macromolecules. Because the free radical reservoir can be created on any solid material, this approach can be used in medical applications ranging from cardiovascular stents to heart-lung machines.**

unpaired electrons | protein immobilization | antibody array | biosensor | microarray

Unfavorable responses to biomedical devices necessitate intervention, prolong recovery after surgery, and often require the surgical removal or “revision” of implanted devices. Unfavorable responses include: inflammation; encapsulation in a thick layer of fibrotic tissue, known as the foreign-body response; and infection in the form of a colony of adherent bacterial cells or biofilm. The cost of intervention and prolonged recovery, including direct cost and that associated with further medical complications, is so high that any advances that reduce unfavorable responses are of great importance.

Exposed surfaces of medical devices that come into contact with body fluids typically promote nonspecific binding of molecules (mainly proteins) that results in a range of complications, such as the induction of clots and the activation of cellular immune responses. An inflammatory response, characterized by increases in the expression of at least ten leukocyte cluster of differentiation antigens (1), has been observed during cardiopulmonary bypass using heart-lung machines in patients undergoing heart transplantation. Attempts have been made to minimize these inflammatory responses to the surfaces that exchange the blood gases by cloaking them with proteins such as human plasma-derived albumin. These attempts have been largely unsuccessful (2), presumably because the albumin failed to bind to the surface during exposure to blood flow or was denatured.

Foreign-body responses to implantable devices result from the host's identification of the implanted material as foreign. When attempts fail to break down and eliminate the material, a thick layer of fibrous scar tissue is formed around the implant to isolate

it from the body. Such a layer of tissue may limit the effectiveness of the device, requiring its removal (3). For example, thick fibrous tissue at the electrodes of an implant used to stimulate neurons increases electrical impedance, resulting in prohibitive power consumption. Similarly, the formation of a fibrocellular coating inside a stent will reduce the flow rate through a coronary artery.

Serious infection results when invading bacteria or fungi attach to an implant surface and form a biofilm (4). The biofilm consists of the adherent cells embedded and proliferating in a self-synthesized extracellular matrix. Bacterial cells in a biofilm are often resistant to treatment with conventional antibiotics, so that in most instances the device must be surgically removed (5).

Inflammation of coronary vessel walls is the primary cause of coronary artery disease and involves increased extracellular protein (fibrin) deposits (6) and elevated monocytes, macrophages, and neutrophils, as well as platelet infiltration (7). There is strong evidence that bare stents, used to treat the condition, produce increased inflammation (7). A surface coating for stents that enables a covalent (nonreleasing) attachment of a patient's own native plasma protein would be a valuable method of reducing the inflammatory potential of stents.

A surface that covalently binds but does not denature protein could effectively mask the original implant surface, preventing its recognition as foreign. Hydrophilic surfaces are well known to preserve the native conformations of proteins (8) by stabilizing polar amino acid side chains on the exterior of the molecule. However, hydrophilic surfaces typically do not retain adsorbed protein molecules (9). In fact, highly hydrophilic surfaces such as poly(ethylene glycol) are known for their protein-repellent properties (10). We achieve immobilization on a hydrophilic surface by creating radicals that form covalent bonds with amino side chains.

Free radicals have been implicated in aging (11) and in many diseases arising from the malfunctioning of proteins (12). However, we present evidence that shows that they can be used to immobilize proteins onto hydrophilic surfaces directly from a solution while retaining their function. An effective method of creating buried radicals is to treat an organic polymer with energetic ions (13, 14). After treatment with energetic ions, either postformation or during their deposition, these surfaces strongly immobilize proteins (15–29) and provide a means of cloaking biomaterial surfaces. What is required is a means of controlling the density of radicals to bind a full protein monolayer that is not compromised by excessive numbers of covalent bonds, while

Author contributions: M.M.M.B. and D.R.M. designed research; M.M.M.B., D.V.B., A.K., Y.Y., N.J.N., K.F., A.W., and D.R.M. performed research; A.S.W. and C.G.d.R. contributed new reagents/analytic tools; M.M.M.B., D.V.B., A.K., Y.Y., A.W., A.S.W., C.G.d.R., and D.R.M. analyzed data; and M.M.M.B. and D.R.M. wrote the paper.

The authors declare no conflict of interest.

\*This Direct Submission article had a prearranged editor.

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This article contains supporting information online at [www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103277108/-DCSupplemental](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1103277108/-DCSupplemental).







$$n_r(t) = n_0 \exp\left(-\frac{\bar{v}_r S}{4h} t\right). \quad [1]$$

The mean velocity of the unpaired electrons in the reservoir is  $\bar{v}_r$ ,  $S$  is the quenching probability upon reaching the surface, and  $A$  is the area of the surface. Eq. 1 does not include recombination in the bulk, which is shown to be insignificant compared to passivation at the surface in [Supporting Information](#).

Eq. 1 describes an exponential decay with time constant  $\tau = \frac{4h}{\bar{v}_r S}$  and initial radical density of  $n_0$ . Fig. 3D shows that Eq. 1 gives a good fit to the decay of free radicals as measured by ESR for PIII-treated low-density polyethylene (LDPE). The PIII treatment was carried out in nitrogen plasma with pulsed bias of 20 kV. The curves show fits of Eq. 1 ( $R^2 = 0.96$ ;  $\tau = 9 \pm 1$  d—blue curve) and of the same equation with an additive constant to represent a residual density of free radicals that remains at long times ( $R^2 = 0.98$ ;  $\tau = 4 \pm 1$  d—red curve). The dependence of the radical decay time constant,  $\tau$ , on the depth,  $h$ , of the reservoir shows that deep reservoirs retain the ability to covalently couple protein molecules to the surface for longer periods of time. When the reservoir is created in an already formed polymer, the reservoir depth  $h$  depends on the ion energy used for implantation and the type of ion used, whereas when the reservoir is a polymer deposited from a plasma containing monomeric precursors during ion bombardment, the depth  $h$  is the thickness of the deposited layer. We have observed high levels of covalent immobilization after more than a year of shelf storage.

The covalent attachment process in which radicals diffuse to the surface and form covalent bonds with physisorbed proteins is illustrated schematically in the inset of Fig. 4A. The first step is the physisorption of a protein on the surface, and the second step is the formation of a covalent bond between a protein residue and a radical group. There are two more relevant time con-

stants, one for the diffusion of proteins in solution to the surface,  $\tau_1$ , and the second for the diffusion of the unpaired electrons from the reservoir to the surface,  $\tau_2$ . The kinetic theory description of these two processes (see [Supporting Information](#)) leads to two coupled differential equations that are solved (see [Supporting Information](#)) to yield the following quantitative descriptions of the physisorption and covalent immobilization processes:

$$N_p = N_{\text{psites}}(1 - e^{-t/\tau_1}) \quad [2]$$

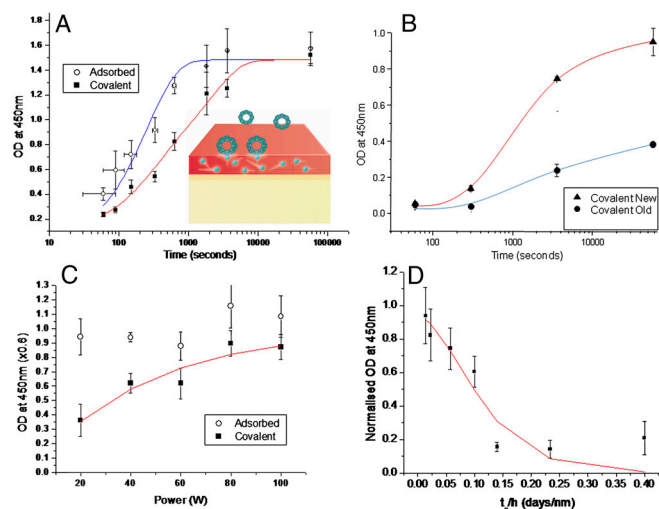
and

$$N_c = FN_{\text{psites}}\left(1 - \frac{\tau_1 e^{-t/\tau_1}}{\tau_1 - \tau_2} - \frac{\tau_2 e^{-t/\tau_2}}{\tau_2 - \tau_1}\right), \quad [3]$$

where  $N_p$  is the number of physisorbed protein molecules per unit area and  $N_c$  is the number of covalently immobilized protein molecules per unit area. The time constant  $\tau_1$  depends linearly on the number density and diffusion coefficient of the molecules in solution, on the sticking coefficient of physisorbed molecules on the surface, and on the number of sites available for physisorption per unit area (see [Eq. S9](#)). The time constant  $\tau_2$  depends linearly on the number density of unpaired electrons, on the diffusion coefficient of the unpaired electrons in the modified region of the polymer, and on the number of sites available for covalent immobilization per unit area (see [Eq. S10](#)). This number is  $FN_{\text{psites}}$ , where  $N_{\text{psites}}$  is the number of sites available for physisorption per unit area and  $F$  is the fraction of physisorption sites that is accessible to radicals diffusing from the interior reservoir.

Experiments were conducted to test the predictions of the model. We treated PTFE films with a PIII process. Voltage pulses of 20 kV were applied to a mesh over the films for 20  $\mu$ s at a frequency of 50 Hz to provide the energetic ion bombardment from a nitrogen plasma. To examine protein adsorption, the samples were incubated in a 20  $\mu$ g/mL solution of the extracellular matrix protein, tropoelastin, for a range of times. After removal from solution, they were washed in fresh buffer, and the amount of adsorbed tropoelastin was assayed using ELISA. Eq. 2 gives a good fit to the dependence of optical density obtained from ELISA (proportional to the amount of immobilized protein) on incubation time as shown in Fig. 4A. This gives a value of the adsorption time constant  $\tau_1$  of  $4.3 \pm 1.2$  min. The value is consistent with adsorption from a 500  $\mu$ g/mL tropoelastin solution as measured in Yin et al. (figure 4 of ref. 20), who found a time constant 25 times shorter, as predicted by [Eq. S9](#).

In parallel, a group of samples was subjected to rigorous SDS washing prior to ELISA detection of the tropoelastin to quantify the proportion of the protein covalently bonded at each stage. Because the protein detected in this case is covalently immobilized, it would be expected to show the time dependence predicted by Eq. 3. A fit of this data by Eq. 3 is shown in Fig. 4A. The parameters determined in the physisorption experiments ( $N_{\text{psites}}$  and  $\tau_1$ ) were used in the fit of Eq. 3 with  $F = 1$ , leaving only one free parameter, the time constant for covalent binding  $\tau_2$ , which was found to be  $35 \pm 9$  min. All fitting parameters are shown in Table 1. The shape of the experimental curve for covalent attachment is distinctly different from that for physisorption, especially in its behavior at short incubation times, and is well



**Fig. 4.** Testing the predictions of the radical model against ELISA immobilization data. The model is illustrated schematically in the *Inset*. (A) The dependence of tropoelastin coverage on incubation time for PIII-treated PTFE. The lines show the fits of Eqs. 2 and 3 to the amounts adsorbed and covalently immobilized. (B) The time constant for covalent immobilization increases with sample age. (C) Tropoelastin physisorbed (open circles) and covalently bound (closed circles) to polystyrene treated for 10 s in nitrogen (2 mtorr) plasma discharges created at various rf plasma powers as indicated. The red line shows the fit of Eq. 4 with fitting parameter  $D = 0.0034 \pm 10\%$ . (D) Tropoelastin covalent binding to acetylene plasma-deposited layers of varying thickness on stainless steel sheet. The data are plotted against the independent variable time since deposition divided by layer thickness. The data, taken from two assays, is normalized to the average amount adsorbed. The red line shows the fit to Eq. 5 with fitted parameters  $B = 0.065 \pm 0.019$  d/nm and  $C = 976 \pm 391$  s.

**Table 1. Results of model fitting to data for binding of tropoelastin to PTFE**

Fitting equation	$N_{\text{psites}}^*$ , $\text{m}^{-2}$	$\tau_1$ , s	$\tau_2$ , s	$\chi^2$	$R^2$
Eq. 2	$1.48 \pm 0.07$	$258 \pm 74$	not applicable	0.01666	0.94358
Eq. 3	1.48	258	$2,122 \pm 564$	0.00478	0.98568

\* $N_{\text{psites}}$  has units of  $(\text{area})^{-1}$  and is scaled according to the optical density of the ELISA for the purposes of fitting the ELISA data.

reproduced by the model. The presence of two different time constants in the binding process, one for physisorption and one for radical diffusion and covalent binding, is confirmed.

To test the predicted dependence of the covalent binding capability on sample age due to the reduction in the number density of free radicals in the reservoir over time, we compare in Fig. 4B the dependence of the amount of protein covalently attached on incubation time for new and aged (448 d) PIII-treated PTFE films. The time constant,  $\tau_2$ , for free radical binding found by fitting Eqs. 2 and 3 to the data for the old sample was  $3 \pm 1$  d, two orders of magnitude greater than for the new sample (approximately 30 min, as found above) a result consistent with the ESR-determined decay constant for radicals (see discussion after Eq. S10).

In Fig. 4C we test for the effect of changing the initial number density of free radicals in the reservoir, and in Fig. 4D we test for the effect of the depth of the reservoir, on the amount of protein covalently bound. This is achieved by plasma treating polymer surfaces at a range of plasma powers (Fig. 4C) to vary the density of free radicals and depositing plasma polymer layers containing a constant density of radicals to a range of thicknesses (Fig. 4D). Fig. 4C shows that the amount of protein covalently bound after 1 h of incubation in 10  $\mu\text{g/mL}$  tropoelastin solution increases with the power used in the plasma treatment. In this case, the polymer was polystyrene, and it was treated for 10 s in a 2-mtorr (0.27-Pa) nitrogen plasma at rf powers of 20, 40, 60, 80, and 100 W. Increasing the power is expected to increase the plasma density and thus the density of unpaired electrons created below the surface of the treated polymer. Making the assumption that the relationship between the power and unpaired electron density is linear (14) (i.e.  $n_r = Kp$ , where  $p$  is the power and  $K$  is a constant), the expected dependence of the amount of covalently bound protein  $N_c$  (from Eqs. S8 and S10) is given by

$$\frac{N_c}{FN_{\text{psites}}} = \frac{Dp(1 - e^{-t/\tau_1}) + e^{-(Dp/\tau_1)t} - 1}{Dp - 1}, \quad [4]$$

where  $p$  is power and  $\tau_1$  is a constant for this experiment.  $D = \frac{\tau_1}{\tau_2 p}$  is also constant as  $\tau_2$  is proportional to  $1/p$  (see Eq. S10). The fit ( $R^2 = 0.9$ ) shown in Fig. 3C is that produced by Eq. 4 with  $t = 1$  h (the incubation time in protein solution) and  $\tau_1 = 8$  min (adjusted for 10  $\mu\text{g/mL}$  protein solution). The fitted value of  $D$  gives  $\tau_2 = 24 \pm 8$  min at the highest power of 100 W, similar to that observed for fresh PIII-treated polymers above, implying a similar number density of free radicals. For the lowest free radical density at 20 W, the time constant ( $\tau_2 = 118 \pm 41$  min) is significantly longer, as would be expected, because of the reduced number density. The quality of the fit achieved with  $D$  as the only fitted parameter verifies the model.

We now test the prediction of the model for the dependence of  $\tau_2$  on the thickness  $h$  and the time of storage after treatment and prior to incubation with protein,  $t_s$ . The model predicts the following from Eqs. S4 and S10 (see Eq. S11) :

$$\frac{N_c}{FN_{\text{psites}}} = \frac{(\tau_1 - \tau_1 e^{-t_s/\tau_1}) + \frac{C}{\exp(-t_s/Bh)} (e^{-t \exp(-t_s/Bh)/C} - 1)}{\tau_1 - \frac{C}{\exp(-t_s/Bh)}}. \quad [5]$$

Fig. 4D shows the amount of tropoelastin covalently attached as a function of  $t_s/h$  for fixed incubation time,  $t = 1$  h and  $\tau_1 = 8$  min (adsorption time constant expected for a 10  $\mu\text{g/mL}$  tropoelastin solution). Eq. 5 is fitted ( $R^2 = 0.88$ ) to the data with independent variable  $t_s/h$ . The fitted values of  $B$  and  $C$  give  $\tau_2 = 20 \pm 14$  min at the lowest value of  $t_s/h$ , which is close to that observed for fresh PIII-treated polymers. For the highest value of  $t_s/h$ , the covalent binding time ( $\tau_2 = 5.3 \pm 3.7$  d) is higher as predicted.

These results enable fundamental properties of unpaired electrons in the reservoir to be estimated and checked for consistency with known data. A value of  $\bar{v}_r$  of  $(7 \pm 2) \times 10^{-13}$  m/s is obtained from  $B$  assuming that  $S_r$  is unity. The fitted values of  $B$  and  $C$  give  $n_0$  through the relation  $n_0 = BFN_{\text{psites}}/C$ , which can be derived from the definitions of  $B$  and  $C$  below Eq. S11. Assuming that all physisorption sites are available for covalent binding ( $F = 1$ ) and that the number of physisorption sites can be estimated by assuming close packing of protein molecules of 10-nm diameter, we find that the free radical density immediately after treatment is predicted to be  $n_0 = 6 \times 10^{25}$   $\text{m}^{-3}$ . This value is consistent with measured values of  $n_r$  determined by ESR of  $5 \times 10^{23}$   $\text{m}^{-3}$  in our plasma polymer 1 d after deposition and of  $2.7 \times 10^{26}$   $\text{m}^{-3}$  in a similar amorphous carbon material deposited from a plasma (14). An upper limit for  $n_0$  is given by the case of 1 spin per carbon atom, which would give approximately  $1 \times 10^{29}$   $\text{m}^{-3}$ . All the parameters determined from the model are therefore self consistent and consistent with known values.

The model explains in a natural way what happens when the connectivity of the polymer is changed so that there are regions in which unpaired electrons are mobile and regions where they are not. Carbon-based systems containing extended states, such as  $\pi$  conjugated carbon structures, have mobile unpaired electrons that can move freely throughout the conjugated region and can hop across small gaps between the regions (32). The inclusion of elements that discourage the  $\pi$  conjugation reduces the mobility of the unpaired electrons. This is observed in plasma polymer samples with added hydrogen, oxygen, or stainless steel inclusions (see Fig. S2). In all cases, the covalent binding capability is dramatically reduced.

Some polymers, such as polydimethylsiloxane (PDMS) and Elast-Eon (a PDMS-polyurethane copolymer), which have high concentrations of silicon, show no and reduced covalent binding, respectively. The reduced covalent binding correlates with the reduced concentration of mobile unpaired electrons on carbon sites. In PDMS, the unpaired electrons are principally on Si-O or Si sites and are distinguished from those on carbon sites by a different  $g$  value of the electron spin (see Fig. S3). The unpaired electrons on Si or Si-O are immobile and do not facilitate covalent binding at the surface. In Elast-Eon there are both immobile and mobile spins. PIII treatment up to a critical fluence increases the density of mobile spins and then decreases it again as carbon is selectively etched from the structure and immobile spins associated with silicon become dominant. The covalent bonding capability reaches a maximum and then declines with further treatment (see Fig. S4).

We have shown that ion treatments create layers containing unpaired electrons that provide a universal protein binding platform, given sufficient electron mobility within the layer. The free radicals can covalently link a wide range of amino acid residues (see Table S2), showing that this technique of covalent immobilization is universal with respect to biomolecules. Because these layers can be created on any material, they are universal in that sense also and are versatile interfaces for covalent coupling of functional biomolecules without the need for specific linker chemistry. The role of free radicals is underlined by the high reactivity immediately after treatment (Fig. S5) and the action of radical blockers (Fig. S6 and Table S3).

We have developed a quantitative understanding of how the unpaired electrons are effective in covalent surface immobilization of protein molecules from solution. The dependences of the rate of covalent immobilization on the age of the sample and the density of free radicals show that the irreversible protein immobilization observed is associated with the free radicals. The dependence of the rate of covalent immobilization on the depth of the free radical reservoir and the connectivity of subsurface structures in which electrons are mobile, such as regions of  $\pi$

conjugated carbon, show that the unpaired electrons come from the bulk and diffuse to the surface.

There is a need for such surfaces in biomimetic surface coatings for medical implants (33, 34), environmental biosensors (35), and antibody arrays for early and precise disease diagnosis (36), all of which require functional (33, 37) immobilized proteins. The capability of immobilizing the whole range of proteins expressed in a cell would enable “reverse phase” microarrays (38) to monitor disease progression through changes in protein expression. “Cloaking” a prosthetic implant with a conformal coverage of selected bioactive proteins or peptide segments could be used to elicit an optimal local host response such as adherence of a target cell type (28, 33, 39, 40). Used on implantable biomedical devices, such cloaked surfaces would make truly biomimetic implants that elicit optimum local cellular responses by means of a covalently immobilized functional protein layer derived from the patient’s protein present at the site of the implant.

## Materials and Methods

Polymer sheets were from Goodfellow, and protein, polyamino acids, blockers, and reagents were from Sigma Aldrich. PIII was carried out at 20 kV in rf plasma. Plasma polymers were deposited from rf plasma containing hydrocarbon precursors. ESR spectra were recorded on a Bruker Elexsys E500 EPR

spectrometer; contact angles were measured using Kruss DS10. FTIR spectra were recorded using a Digilab FTS7000 fitted with Harrick Ge ATR. AFM images were acquired in tapping mode using a PicoSPM with WSxM software (Nanotec Electronica). Ellipsometry was used to determine spun polymer and protein thickness and optical constants (M2000V, JA Woollam). Assays of HRP activity were performed on 25- $\mu$ L aliquots using the optical density produced by tetramethylbenzidine (TMB) at 450 nm, measured with a Beckman DU530. ELISA was used to complement the FTIR assessments of protein coverage. The primary antibody was mouse anti-elastin antibody (BA-4), and the secondary antibody was goat anti-mouse IgG-HRP conjugated. Static thrombogenicity assays were carried out by incubation of surfaces with isolated platelet suspension or platelet-rich plasma in 24 or 48 well plates blocked with 3% BSA. For assessing thrombogenesis under flow, whole human blood was circulated over the surfaces being tested in a modified Chandler loop (41). Further details of all materials and methods are contained in [Supporting Information](#).

**ACKNOWLEDGMENTS.** We thank Stacey Hirsh for comments on the manuscript and Jennifer Tilley, Robert Thompson, Pourandohkt Naseri, and Susan Graham for collecting some of the data shown in [Supporting Information](#). We acknowledge the Australian Research Council for funding and the Wellcome Trust Equipment Fund for provision of the ESR. We acknowledge industry partners Cochlear Ltd. and SpineCell Pty Ltd. for financial and in-kind research support.

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