

Determination of left/right asymmetric expression of *nodal* by a left side-specific enhancer with sequence similarity to a *lefty-2* enhancer

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The *nodal* gene is expressed on the left side of developing mouse embryos and is implicated in left/right (L-R) axis formation. The transcriptional regulatory regions of *nodal* have now been investigated by transgenic analysis. A node-specific enhancer was detected in the upstream region (-9.5 to -8.7 kb) of the gene. Intron 1 was also shown to contain a left side-specific enhancer (ASE) that was able to direct transgene expression in the lateral plate mesoderm and prospective floor plate on the left side. A 3.5-kb region of *nodal* that contained ASE responded to mutations in *iv*, *inv*, and *lefty-1*, all genes that act upstream of *nodal*. The same 3.5-kb region also directed expression in the epiblast and visceral endoderm at earlier stages of development. Characterization of deletion constructs delineated ASE to a 340-bp region that was both essential and sufficient for asymmetric expression of *nodal*. Several sequence motifs were found to be conserved between the *nodal* ASE and the *lefty-2* ASE, some of which appeared to be essential for *nodal* ASE activity. These results suggest that similar transcriptional mechanisms underlie the asymmetric expression of *nodal* and of *lefty-2* as well as the earlier expression of *nodal* in the epiblast and endoderm.

[Key Words: Left/right asymmetry; transcriptional regulation; *nodal*; *lefty*]

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Vertebrates exhibit numerous left/right (L-R) asymmetries such as the positioning of the heart and spleen on the left side of the body. Important insights into the molecular mechanisms that underlie L-R axis formation have been obtained only recently (Fujinaga 1997; King and Brown 1997; Varlet and Robertson 1997; Levin and Mercola 1998; Beddington and Robertson 1999). The entire process by which L-R asymmetry is achieved can be divided into three phases: (1) initial determination of L-R polarity; (2) establishment of such polarity by the asymmetric expression of signaling molecules; and (3) L-R asymmetric morphogenesis induced by these signaling molecules.

The mechanism of initial determination of L-R polarity remains unknown, although several models have been proposed (Brown and Wolpert 1990; Brown et al. 1991; Yost 1991). Several mutations that affect L-R patterning have been identified in mice (Hummel and Chapman 1959; Yokoyama et al. 1993; Heymer et al. 1997; Chen et al. 1998; Melloy et al. 1998; Nonaka et al. 1998) and in human (Gebbia et al. 1997). Two of the more

extensively studied of these mutations are *iv* and *inv*, the corresponding genes of which encode axonemal dynein and a protein with ankyrin repeats, respectively (Supp et al. 1997; Mochizuki et al. 1998; Morgan et al. 1998). However, the precise functions of the proteins encoded by most of these affected genes (including *Lrd*, *Inv*, *KIF3A*, and *HFH4*) remain unclear. The initial determination of L-R polarity in mice probably occurs in or near the node, with recent observations suggesting an important role for ciliary movement in the node (Nonaka et al. 1998). In *Xenopus*, maternal *Vg1* is implicated in the initiation of L-R asymmetry (Hyatt et al. 1996).

Three signaling molecules whose asymmetric expression is thought to contribute to the second phase of L-R axis formation have been identified: *Nodal*, *Lefty-1*, and *Lefty-2*, all of which belong to the transforming growth factor- β (TGF β) superfamily of proteins. The *nodal* gene is expressed asymmetrically in mice, chick, *Xenopus*, and zebrafish, suggesting that the encoded protein is a highly conserved component of the pathway of L-R determination (Levin et al. 1995; Collignon et al. 1996; Lowe et al. 1996; Lustig et al. 1996; Sampath et al. 1997). The highly related *lefty-1* and *lefty-2* genes are also expressed on the left side of developing mouse embryos

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(Meno et al. 1996 1997). Whereas *lefty-1* is expressed predominantly in the prospective floor plate (PFP), *lefty-2* is expressed in lateral plate mesoderm (LPM). The results of ectopic expression experiments in chick and *Xenopus*, as well as of the analysis of knockout mice, support the notion that these genes encode signaling molecules that convey L-R positional information (Levin et al. 1997; Sampath et al. 1997; Meno et al. 1998). Expression of *lefty-1*, *lefty-2*, and *nodal* is affected in *iv* and *inv* mutant mouse embryos (Collignon et al. 1996; Lowe et al. 1996; Meno et al. 1996), suggesting that Lefty-1, Lefty-2, and Nodal all act downstream of the proteins encoded by the *iv* and *inv* genes.

With regard to the third phase of L-R asymmetry formation, situs-specific morphogenesis in response to L-R asymmetric signals, genetic evidence in mice (Oh and Li 1997) suggests that type II activin receptors (ActRIIA and ActRIIB) serve as functional receptors for Nodal (and also for Lefty-2). Furthermore, recent studies (Logan et al. 1998; Meno et al. 1998; Piedra et al. 1998; Ryan et al. 1998; Yoshioka et al. 1998) have indicated a role for Pitx2, a Bicoid-type homeobox transcription factor, in mediating transmission of signals from Nodal and Lefty-2. In addition, a *snail*-related gene is expressed on the right side of chick and mouse embryos (Isaac et al. 1997; Sefton et al. 1998) and may be negatively regulated by Nodal.

Although key genes that contribute to the pathway of L-R axis formation have been identified, the precise functions of these genes and how they interact with each other are largely unclear. Several important questions remain to be addressed. For example, it is not known how the initial L-R-specific signal (perhaps generated in the node by *Inv* and *Lrd* function) is translated into asymmetric expression of *nodal* and *lefty* genes. Elucidation of the mechanisms by which the asymmetric expression of *nodal* and *lefty* genes is achieved will require an understanding of the regulation of these genes. Previously, we have analyzed transcriptional regulatory elements of *lefty-1* and *lefty-2*, and have shown that asymmetric expression is conferred on *lefty-2* by a left side-specific enhancer [ASE (for asymmetric enhancer)], whereas such expression is conferred on *lefty-1* by a combination of bilateral enhancers and a right side-specific silencer (Saijoh et al. 1999).

We have now identified the transcriptional regulatory element of *nodal* that is responsible for the asymmetric expression of this gene. Our results indicate that like *lefty-2*, *nodal* is regulated by an ASE that is active exclusively on the left side of the body. Comparison of the *nodal* and *lefty-2* ASE sequences suggests that the asymmetric expression of the two genes is regulated by similar transcriptional mechanisms.

Results

A node-specific enhancer in the upstream region of nodal

At the early somite stage in mice, *nodal* is expressed in two domains: the LPM and the node (Zhou et al. 1993;

Collignon et al. 1996). Expression of *nodal* in LPM shows a strict L-R specificity, being confined to the left half (Collignon et al. 1996; Lowe et al. 1996). Expression in the node also shows a subtle L-R asymmetry (Collignon et al. 1996). In this study we focus primarily on transcriptional regulatory elements that are required for expression of *nodal* in the node and left LPM at the early somite stage.

We first measured the transcriptional activity of the 5-kb region upstream of the *nodal* coding sequence (from -5 kb to -50 bp with respect to the translational initiation codon). Construct *5'-1*, in which the 5-kb upstream fragment was linked to a promoterless *lacZ* gene (Fig. 1A), was injected into the pronuclei of fertilized mouse embryos at the one-cell stage. The embryos were allowed to develop in utero until embryonic day 8.2 (E8.2), when they were recovered and the expression of the *lacZ* transgene was examined by staining with X-gal (5-bromo-4-chloro-3-indoyl- β -D-galactoside). (Other constructs described below were tested similarly unless otherwise mentioned.) The *5'-1* construct failed to give rise to substantial X-gal staining at E8.2 (Fig. 1B), suggesting that the 5-kb upstream region is not sufficient for *nodal* expression. The observation that *5'-1* reproducibly yielded low-intensity staining in a few cells of the left LPM (Fig. 1B) suggested the possible existence of a weak *cis*-regulatory element that contributes to asymmetric expression.

Next we examined the effect of construct *5'-2*, which contained the upstream region of *nodal* from -11.5 kb to -50 bp (Fig. 1A). This construct gave rise to X-gal staining in the node (Fig. 1B), suggesting the presence of a node-specific enhancer (NDE) between -11.5 and -5 kb. To examine the specificity of the NDE, we linked this 6.5-kb region (from -11.5 to -5 kb) to a heterologous promoter, that of the *hsp68* gene. The resulting construct (*NDEhsp*) yielded X-gal staining in the node but also in ectopic sites (Fig. 1B), indicating that both NDE and the proximal promoter region of *nodal* are required for strictly node-specific expression.

To locate the NDE more precisely, we first divided the 6.5-kb region between -11.5 and -5.0 kb into two and attached each portion to the 5-kb proximal promoter region. Construct *NS1.5*, which contained the 1.5-kb 3' portion of the upstream region, did not show expression in the node, whereas *SN5.0*, which contained the 5.0-kb 5' portion of the upstream region, possessed NDE activity (Fig. 2). Then we prepared two sets of deletion mutants from *SN5.0* and examined their activity (Fig. 2). Among the 5' deletion mutants tested, $\Delta 1-33$ and $\Delta 1-70$ retained NDE activity, whereas $\Delta 1-21$ did not. Of the 3' deletion mutants, $\Delta 2-1$ and $\Delta 2-77$ showed X-gal staining in the node, whereas $\Delta 2-37$ did not. These results localized the NDE to a 0.8-kb region between -9.5 and -8.7 kb.

An ASE in intron 1 of nodal

Among five constructs (3'-1 to 3'-5) containing the 5-kb proximal promoter region and various portions of *nodal*

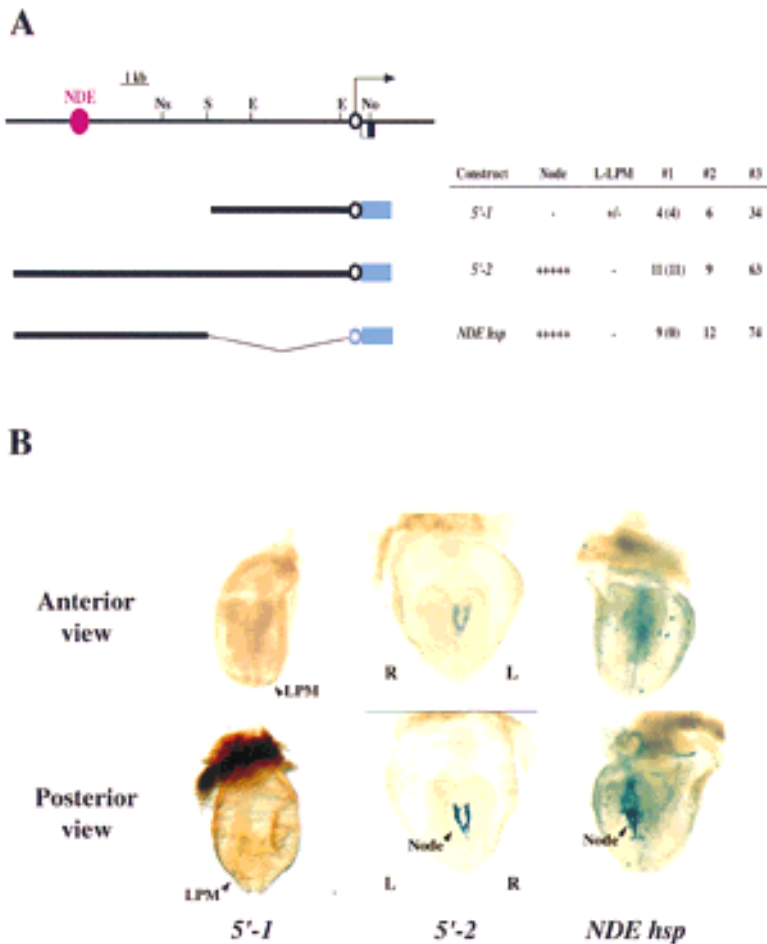


Figure 1. Localization of a NDE in the upstream region of *nodal*. (A) The structure of the *nodal* gene, with the arrow indicating the direction of transcription, is shown above those of three *lacZ* constructs. (E) *EcoRI*; (No) *NotI*; (Ns) *NsiI*; (S) *SalI*. The blue box indicates the *lacZ* gene; the open black and closed blue circles indicate the *nodal* proximal promoter and the *hsp68* promoter, respectively. The NDE is shown in pink. In the summary of the expression data in this and subsequent figures, #1 indicates the number of embryos positive for X-gal staining (numbers in parentheses refer to the number of embryos showing the typical staining pattern), #2 indicates the number of embryos that contained the *lacZ* transgene but were negative for X-gal staining, and #3 indicates the total number of injected embryos that were recovered at E8.2. (L-LPM) Left LPM. (B) X-gal staining of transgenic embryos harboring constructs 5'-1, 5'-2, or *NDEhsp*. Weak staining in a few cells of the left LPM (arrowhead) was apparent with 5'-1. The 5'-2 construct gave rise to expression in the node, whereas *NDEhsp* yielded expression in the node and in ectopic sites. The left (L)-right (R) axis is indicated.

downstream region, construct 3'-1, which contained a 3.5-kb downstream fragment including intron 1, gave rise to marked X-gal staining in the left LPM (Fig. 3), whereas the remaining constructs failed to yield any staining in E8.2 embryos. To confirm the specificity of

the 3.5-kb fragment, we linked it to the *hsp68* promoter, yielding construct 3'-1*hsp*. This construct also gave rise to X-gal staining in the left LPM, but the intensity of the staining was much greater than that obtained with 3'-1. Transverse sections confirmed that both 3'-1 and 3'-1*hsp*

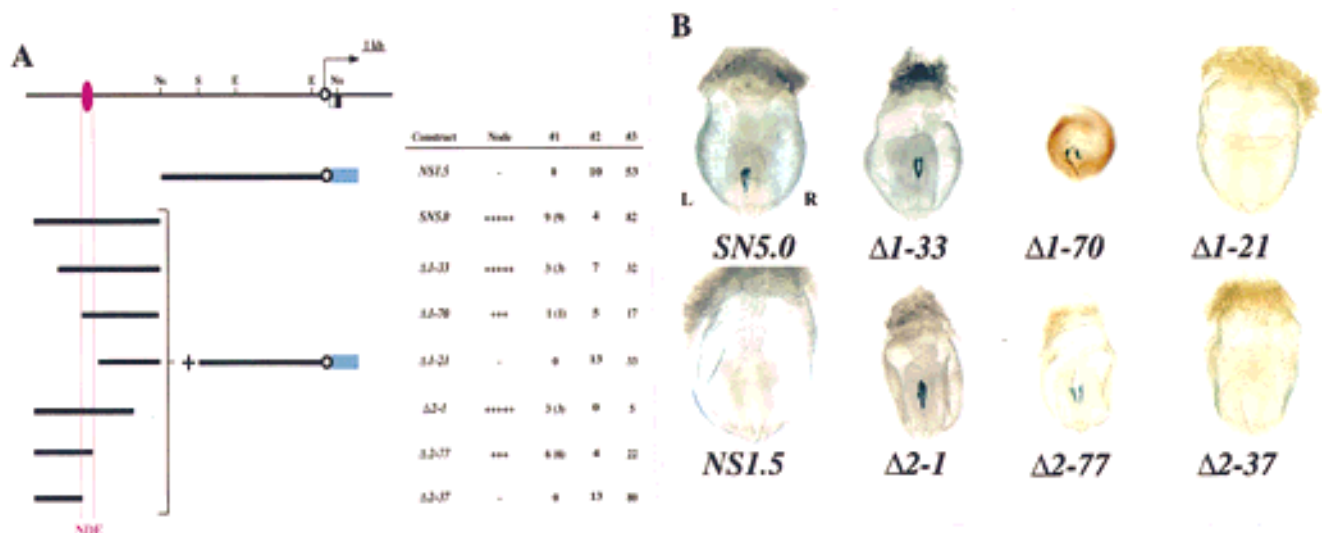


Figure 2. Mapping of the NDE in the upstream region of *nodal*. (A) Structures and activities of various *lacZ* constructs. (B) Posterior views of X-gal staining in embryos transgenic for the indicated constructs. X-gal staining in the node was observed with SN5.0, Δ1-33, Δ1-70, Δ2-1, and Δ2-77, but not with NS1.5, Δ1-21, or Δ2-37.

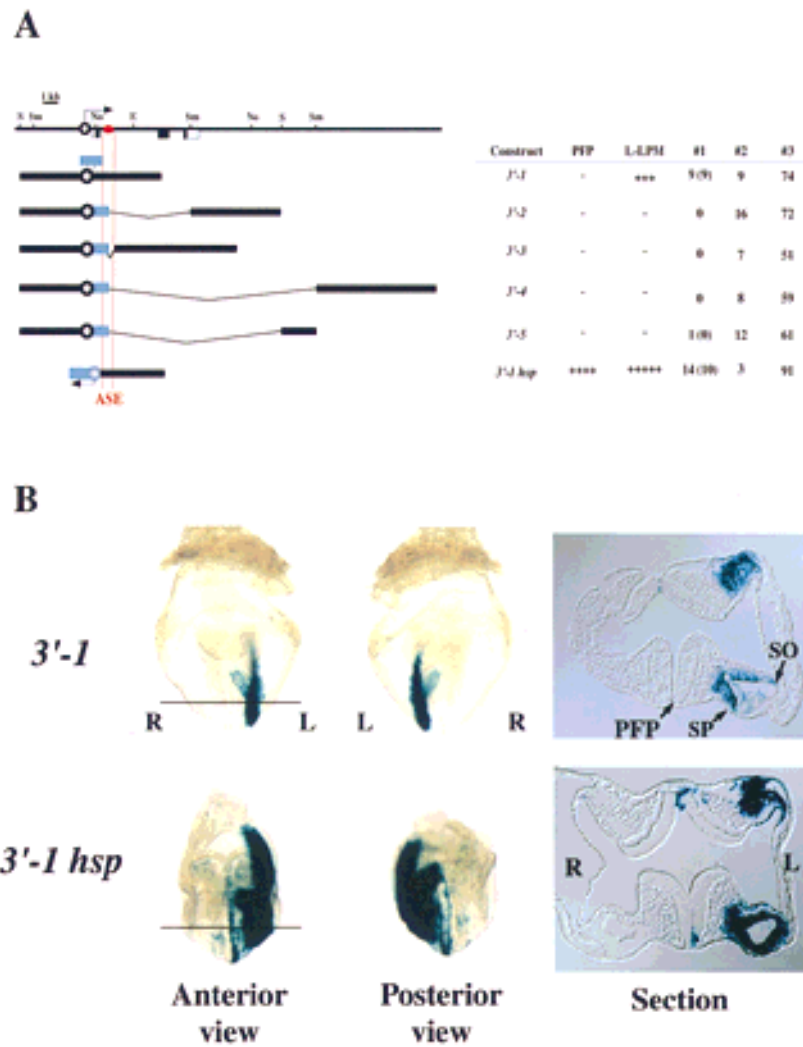


Figure 3. Localization of a left side-specific enhancer (ASE) in intron 1 of *nodal*. (A) Structures and activities of six constructs containing various portions of *nodal* downstream plus the proximal promoter region. The approximate location of the ASE is indicated by the red oval. (B) Anterior and posterior views as well as transverse sections of X-gal staining in embryos transgenic for *3'-1* or *3'-1hsp*. In both types of transgenic embryos, the somatopleure (*so*) and splanchnopleure (*sp*) on the left side are positive for staining. In the embryo harboring *3'-1hsp*, left-sided staining was also apparent in the PFP.

induced left-sided expression in LPM (including the splanchnopleure and somatopleure) (Fig. 3B). X-gal staining in the PFP was not apparent with *3'-1*, but it was observed with *3'-1hsp*. Transverse sections of embryos transgenic for *3'-1hsp* indicated that the staining in the PFP was predominantly left-sided (Fig. 3B). These results suggested the presence of an ASE in intron 1 of *nodal*.

To verify further the presence of a left side-specific enhancer in intron 1, we generated a permanent mouse line (A8) harboring *3'-1* and two such lines (H2 and H13) harboring *3'-1hsp*. In all three transgenic lines, X-gal staining was observed in left LPM at the early somite stage (Fig. 4; data not shown). In embryos of lines H2 and H13, left-sided staining was also apparent in the PFP (Fig. 4A). These X-gal staining patterns were indistinguishable from those observed by 'transient' transgenic assay (Fig. 3), further confirming the presence of a left side-specific enhancer in intron 1.

ASE is essential and sufficient for L-R asymmetric expression

To delineate further the ASE responsible for asymmetric

expression of *nodal*, we prepared two sets of deletion mutants from *3'-1hsp* and examined their transcriptional activity in transgenic embryos (Fig. 5). Among the 5' deletion mutants, left-sided *lacZ* expression in LPM and PFP was observed with *5'Δ2-30* and *5'Δ1-20*, but not with *5'Δ1-12*, *5'Δ2-8*, or *5'Δ2-11*. Of the 3' deletion mutants, *3'Δ2-1* and *3'Δ107* gave rise to asymmetric expression, whereas *3'Δ2-7* did not. These results localized the ASE to a 340-bp region of intron 1. Among the deletion mutants examined, left PFP was positive for X-gal staining whenever left LPM was positive. Thus, this 340-bp region alone was able to direct asymmetric expression in both the LPM and PFP.

Internal deletion of the 340-bp region containing ASE from construct *3'-1*, yielding *3'-1ΔASE*, abolished the asymmetric expression of X-gal in LPM (Fig. 6), indicating that ASE is absolutely required for such expression. When the 340-bp region was linked to the 5-kb proximal promoter region of *nodal*, the resulting construct (*5'-1ASE*) yielded left-sided X-gal staining in LPM (Fig. 6). Finally, a construct (*501/301hsp*) in which the 340-bp region was linked to the *hsp68* promoter rather than to the *nodal* promoter, gave rise to X-gal staining in both

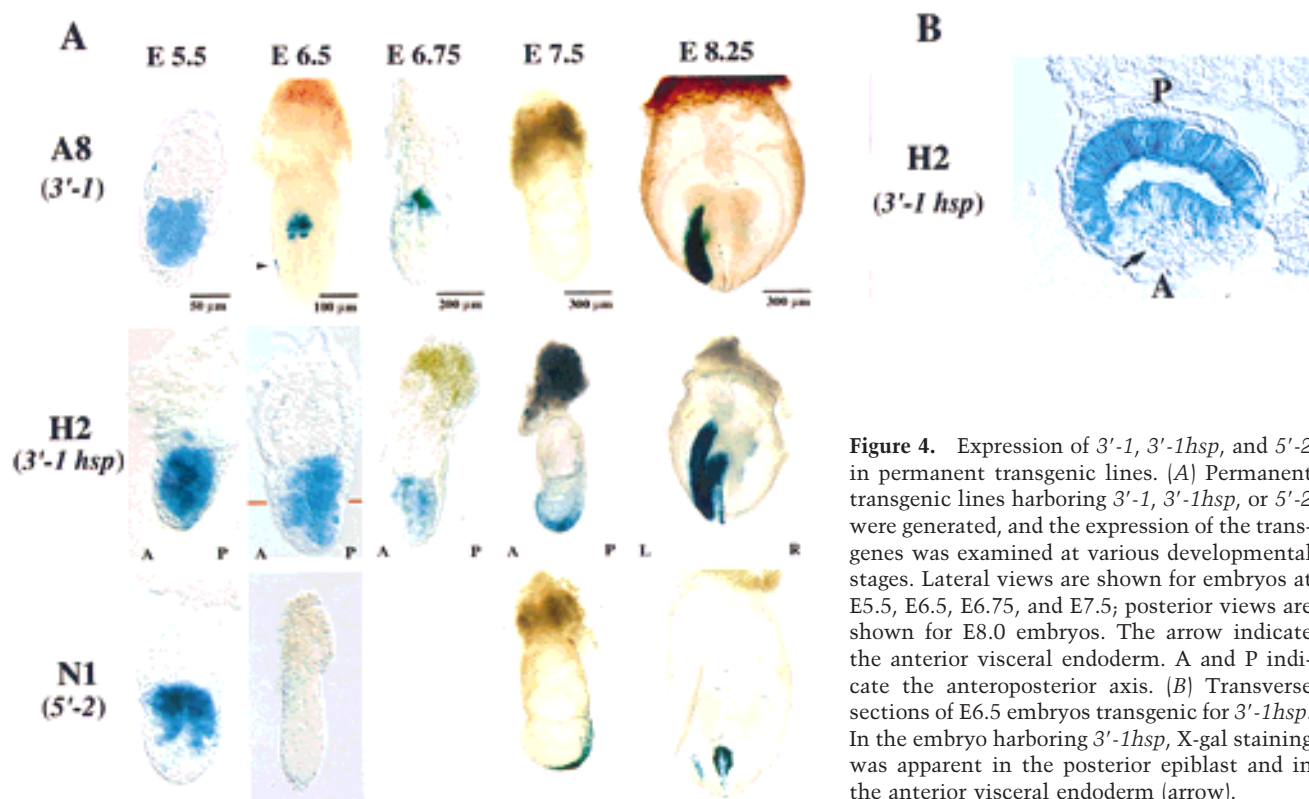


Figure 4. Expression of *3'-1*, *3'-1hsp*, and *5'-2* in permanent transgenic lines. (A) Permanent transgenic lines harboring *3'-1*, *3'-1hsp*, or *5'-2* were generated, and the expression of the transgenes was examined at various developmental stages. Lateral views are shown for embryos at E5.5, E6.5, E6.75, and E7.5; posterior views are shown for E8.0 embryos. The arrow indicates the anterior visceral endoderm. A and P indicate the anteroposterior axis. (B) Transverse sections of E6.5 embryos transgenic for *3'-1hsp*. In the embryo harboring *3'-1hsp*, X-gal staining was apparent in the posterior epiblast and in the anterior visceral endoderm (arrow).

left LPM and left PFP (Fig. 6). Together, these results suggested that ASE is both essential and sufficient for the asymmetric expression of *nodal*.

Sensitivity of ASE to *iv*, *inv*, and *lefty-1* mutations

Expression of *nodal* is affected in situs mutants such as *iv/iv*, *inv/inv*, and *lefty-1^{-/-}* mice; the pattern of expres-

sion is randomized (left sided, right sided, or bilateral) in *iv/iv* mutants, reversed in *inv/inv* mutants, and bilateral in *lefty-1^{-/-}* mutants (Collignon et al. 1996; Lowe et al. 1996; Meno et al. 1998). These observations indicate that *nodal* acts downstream of the *iv*, *inv*, and *lefty-1* genes. We therefore examined whether expression of *nodal-lacZ* transgenes was affected by *iv*, *inv*, or *lefty-1* mutations (Fig. 7). Mice of transgenic lines H2 and H13, which

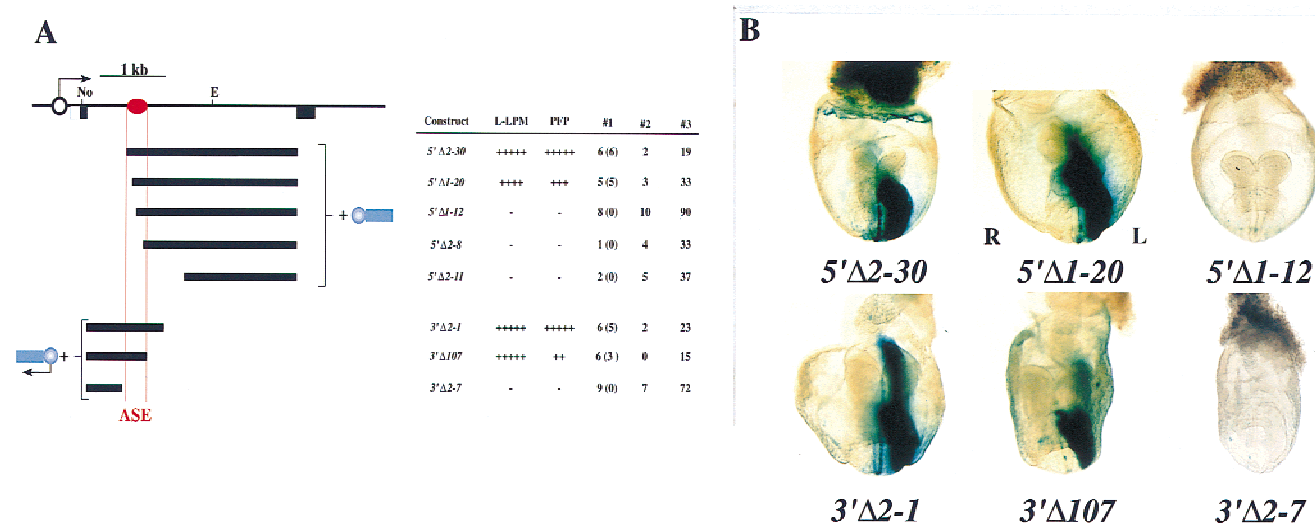


Figure 5. Mapping of ASE to a 340-bp region of intron 1. (A) Structures and activities of various deletion mutants of *3'-1hsp*. The approximate location of ASE is shown by the red oval. (B) X-gal staining in representative embryos. Constructs *5' Δ 2-30*, *5' Δ 1-20*, *3' Δ 2-1*, and *3' Δ 107* induced left-sided X-gal staining in LPM and PFP, whereas *5' Δ 1-12* and *3' Δ 2-7* failed to give rise to X-gal staining.

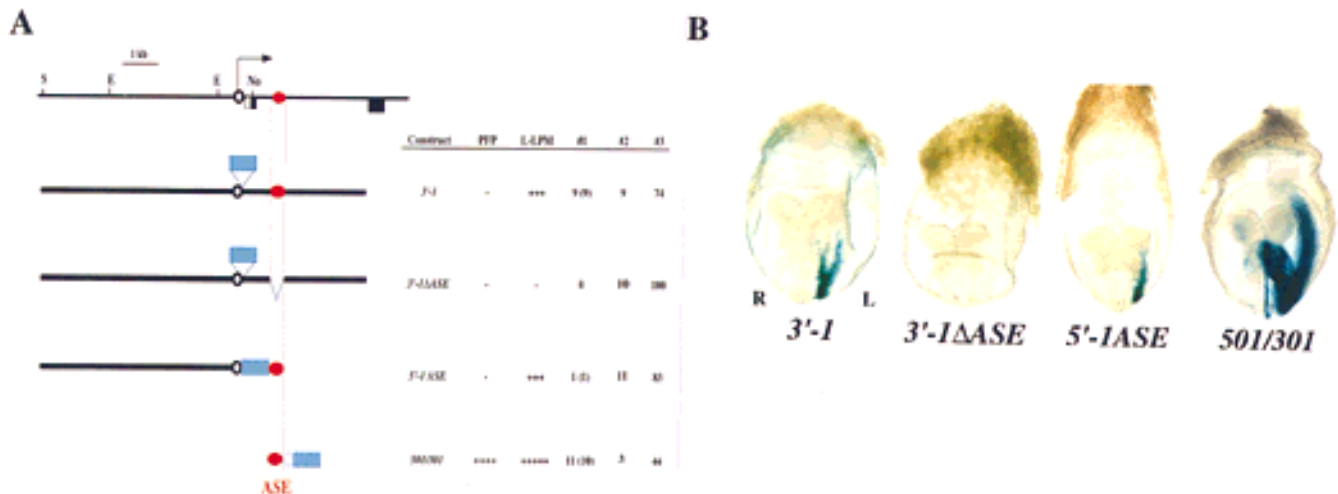


Figure 6. ASE is essential and sufficient for L-R asymmetric expression of *nodal*. (A) Structures and activities of the *lacZ* constructs 3'-1, 3'-1ΔASE, 5'-1ASE, and 501/301hsp. (B) X-gal staining patterns of representative transgenic embryos. Constructs 3'-1, 5'-1ASE, and 501/301hsp yielded left-sided staining in the LPM, whereas 3'-1ΔASE did not. Construct 501/301hsp also gave rise to left-sided staining in PFP.

harbor 3'-1hsp, were mated with *iv/iv* mice, and the *lacZ* transgene was transferred to the *iv/iv* background. The *iv/iv* embryos harboring the transgene showed three different patterns of X-gal staining in LPM (Fig. 7A): left-sided (2/8 embryos), right-sided (2/8 embryos), or bilateral (4/8 embryos). The pattern of X-gal staining in PFP was similarly affected; for example, staining in one PFP was reversed when the staining in LPM was reversed (Fig. 7A).

The same transgene was also transferred to the *inv/inv* background by mating mice of lines H2 and H13 with *inv/+* mice (*inv/inv* homozygotes are lethal). After crossing [*inv/+*, 3'-1hsp] mice with [*inv/+*] mice, ~25% of the *lacZ*⁺ embryos, which were *inv/inv* homozygotes, showed aberrant X-gal staining in the LPM; the staining pattern in such embryos was either right-sided (1/10 embryos), right-side dominant (4/10 embryos), or bilaterally equal (5/10 embryos) (Fig. 7B). Again, the pattern of X-gal staining in PFP was affected similarly to that in LPM. These patterns of X-gal staining observed in the *iv/iv* and *inv/inv* mice were almost identical to those of the distribution of *nodal* mRNA in these mutants (Collignon et al. 1996; Lowe et al. 1996). A minor discrepancy was that, among *inv/inv* embryos, the frequency of bilateral X-gal staining was somewhat higher than that of bilateral *nodal* mRNA distribution. The 3.5-kb region of *nodal* contained in 3'-1hsp, which includes ASE, was thus able to reproduce the response of the intact gene to the *iv* and *inv* mutations.

In *lefty-1*^{-/-} mutant mice, *nodal* (as well as *lefty-2*) expression in the LPM becomes bilateral (Meno et al. 1998). We examined whether the 3.5-kb fragment of *nodal* containing ASE was able to respond to the *lefty-1* mutation. The 3'-1hsp transgene was transferred to the *lefty-1*^{-/-} background by mating mice of lines H2 and H13 with *lefty-1* mutant animals. At the two- to four-somite stages, the transgene was expressed on the left

side of *lefty-1*^{-/-} embryos. However, at the four- to eight-somite stages, all *lefty-1*^{-/-} embryos showed bilateral expression of *lacZ* in the LPM (Fig. 7C). Thus, *lacZ* expression in the right LPM was apparent later than that in the left LPM. At the four- to six-somite stages, X-gal staining in the right LPM extended posteriorly (to a greater extent than does *lefty-2* expression). In addition, X-gal staining in the PFP was up-regulated and bilateral. These patterns of X-gal staining in *lefty-1*^{-/-} embryos are consistent with the distribution of *nodal* mRNA observed previously in these animals (Meno et al. 1998). The *lefty-1* mutant mice were also mated with animals of the A8 line containing the 3'-1 transgene. As expected, this transgene was expressed bilaterally in the LPM of embryos with the *lefty-1*^{-/-} background. Expression of *lacZ* in the PFP, which was not observed in the wild-type background (Figs. 3 and 4), was apparent in the *lefty-1*^{-/-} background (Fig. 7D). These results suggest that the 3.5-kb region of *nodal*, and most likely ASE, is able to respond not only to the *iv* and *inv* mutations but also to the *lefty-1*^{-/-} mutation.

ASE-directed transgene expression in the epiblast and endoderm of gastrulating embryos

nodal is known to exhibit dynamic expression patterns during development; it is expressed in the epiblast at E5.5 to E6.0, the visceral endoderm at E6.0 to E6.5, and the posterior ectoderm at the early-streak stage (E6.5) (Conlon et al. 1994; Collignon et al. 1996; Varlet et al. 1997). We therefore examined whether ASE conferred similar expression patterns on *lacZ* transgenes. Embryos were recovered at various developmental stages either from permanent line A8 (harboring the 3'-1 transgene) or from lines H2 and H13 (harboring the 3'-1hsp transgene) and were subjected to X-gal staining (Fig. 4). In embryos containing the 3'-1hsp transgene, X-gal staining was ap-

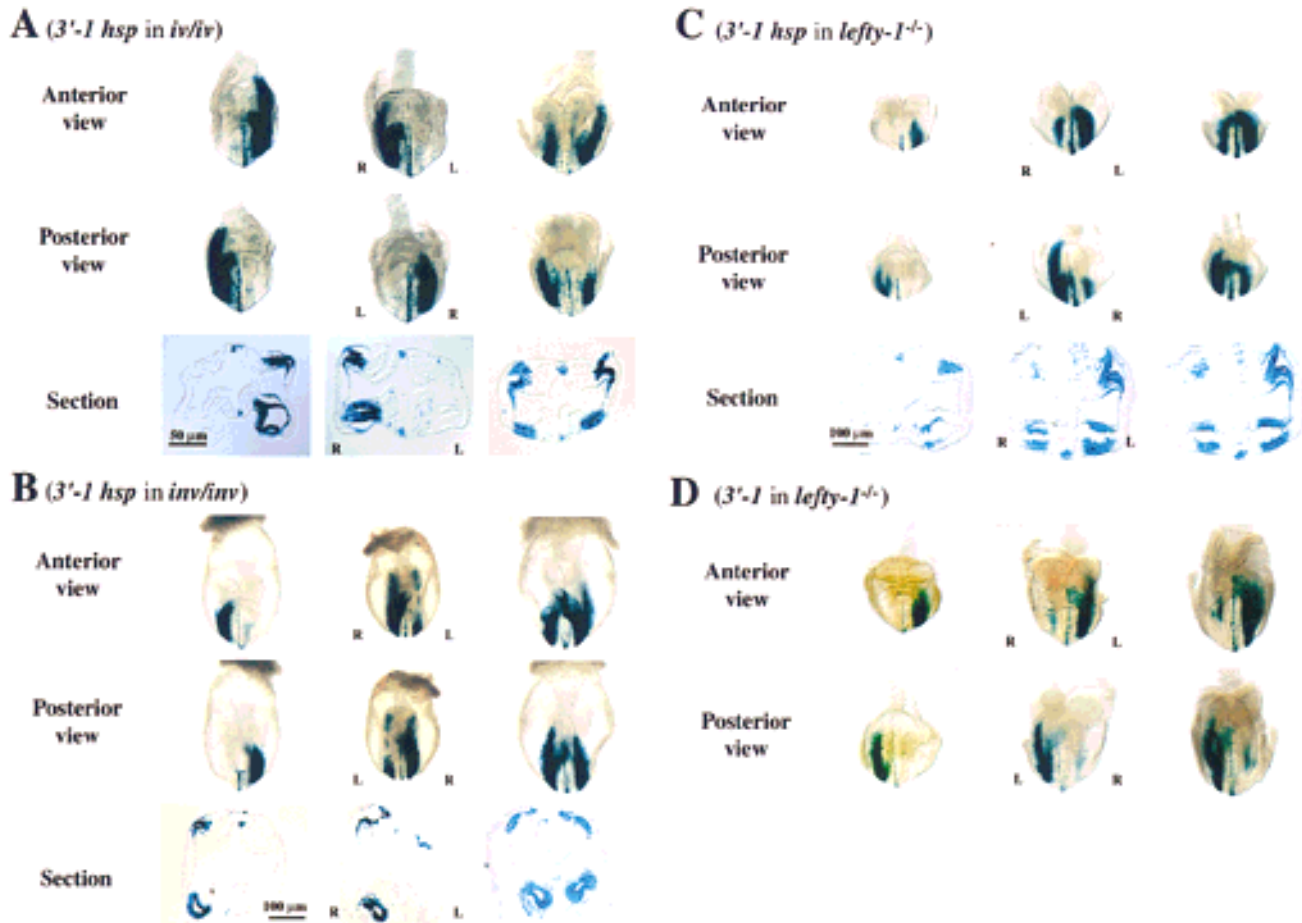


Figure 7. Response of the *3'-1hsp* construct to *iv*, *inv*, and *lefty-1* mutations. Expression of the *3'-1hsp* transgene was examined in *iv/iv* (A), *inv/inv* (B), and *lefty-1*^{-/-} (C) mutant embryos. Anterior and posterior views, as well as a transverse section, are shown for each transgenic embryo. In *iv/iv* embryos (A), the staining in the LPM and PFP was either left-sided, right-sided or bilateral. In *inv/inv* embryos (B), the staining in the LPM and PFP was right-sided (embryo at *left*) or bilateral (embryos at *middle* and *right*). In *lefty-1*^{-/-} embryos, the staining in the LPM and PFP was initially normal (embryo at *left*). At later stages (embryos at *middle* and *right*), however, the staining appeared on the right LPM. The staining in the PFP, increased and became bilateral (C). In *lefty-1*^{-/-} embryos harboring *3'-1* transgene (D), X-gal staining in the PFP, which was not detected in the wild-type embryos (Fig. 4A), became apparent. X-gal staining pattern in LPM was similar to that in C. *lacZ* expression in the PFP always preceded that in the right LPM.

parent throughout the epiblast at E5.5. At E6.5, *lacZ* expression was restricted to the posterior epiblast. The visceral endoderm also became transiently positive for X-gal staining at E6.5. The expression of *lacZ* was restricted to the posterior ectoderm, before appearing in left LPM and left PFP at E8.0. Embryos harboring the *3'-1* transgene showed a similar pattern of X-gal staining in the epiblast (with the exception that the staining was restricted to the proximal epiblast at E6.5). Again, the anterior visceral endoderm was positive for X-gal staining at E6.0 to E6.5. These results suggest that ASE is responsible not only for asymmetric expression of *nodal* at the early somite stage but also for the expression in the epiblast and visceral endoderm at earlier stages.

We also generated a permanent transgenic line (N1) containing the *5'-2* construct and examined the expression of the transgene in gastrulating embryos. At E5.5, marked X-gal staining was apparent in the proximal epiblast. The expression of *lacZ* became undetectable at

E6.5 (both the ectoderm and the endoderm were negative), but it appeared in the node at E7.5 and was maintained until the early somite stage (E8.0 to E8.2). At E8.0, weak X-gal staining was also detected in the left LPM [consistent with the observation by transient transgenic assay that construct *5'-1* gave rise to faint X-gal staining in left LPM (Fig. 1)]. The observation that *lacZ* expression was induced in the anterior visceral endoderm by *3'-1* and *3'-1hsp*, but not by *5'-2*, suggests that *nodal* expression in the visceral endoderm is regulated by the 3.5-kb intron region, most likely by ASE.

Sequence conservation between *lefty-2* ASE and *nodal* ASE

The *lefty-2* and *nodal* genes exhibit similar expression patterns; both are asymmetrically expressed in the left LPM at the same developmental stage (two- to five-somite pair stage). We recently showed that L-R asymmet-

ric expression of *lefty-2* is controlled by a left side-specific enhancer (Saijoh et al. 1999). Our present data suggest that, like that of *lefty-2*, the expression of *nodal* is also regulated by such an ASE element. We therefore compared the nucleotide sequence of the *nodal* ASE (340 bp) with that of the *lefty-2* ASE (350 bp). Several blocks of sequences were found to be conserved between the two enhancers (Fig. 8).

To identify the conserved sequence motifs required for activity of the *nodal* ASE, we dissected the 340-bp region further. Various deletion mutants were linked to the *hsp68* promoter and the expression of the resulting constructs was examined (Fig. 9). Deletion of the 340-bp region from the 3' end revealed that the *501/302hsp* construct was able to induce expression both in the left LPM and the PFP, although the extent of expression was less marked than that apparent with *501/301hsp*. The *501/303hsp* and *501/304hsp* constructs gave rise to expression in the LPM but not in the PFP. Construct *501/305hsp* exhibited no ASE activity. Deletion of the 340-bp region from the 5' end revealed that *502/301hsp* and *503/301hsp* retained the ability to induce expression in both left LPM and PFP, whereas *504/301hsp* had lost ASE activity.

These results suggest that a 10-bp conserved sequence, CAATCCACAT (shown in pink in Fig. 8), is essential for expression in the left LPM as well as in the PFP. Although two versions of this sequence are present in the 340-bp region, the 3' version appears more important for asymmetric expression than does the 5' version. This 10-bp sequence is located between the deletion points of *5'Δ1-20* (which was active) and *5'Δ1-12* (which was inactive) (Figs. 5 and 8). However, additional sequences are also necessary for maximal ASE activity, because activity gradually decreased on deletion of the 340-bp region from either end. These data also suggest that the 3' region of ASE is necessary for expression in the PFP. In particular, the difference in activity between *501/302hsp* and *501/303hsp* suggests that a 7-bp conserved sequence, CCCTGCC (shown in yellow in Fig. 8), may be essential for ASE activity in the PFP.

Discussion

Role of ASE in the asymmetric expression of *nodal*

Previously, we have shown that L-R asymmetric expression of *lefty-2* is attributable to ASE, whereas that of *lefty-1* is mediated by a combination of bilateral enhancers and a right side-specific silencer (Saijoh et al. 1999). We have now shown that expression of *nodal*, like that of *lefty-2*, is regulated by a left side-specific enhancer (Fig. 10). Similar results have been obtained by others (Norris and Robertson 1999).

In our transgenic assays, ASE appeared to be both essential and sufficient for the asymmetric expression of *nodal*. In general, transgenic or transfection assays often overestimate the role of a *cis*-regulatory element. However, targeted deletion of a 600-bp region spanning the *nodal* ASE almost abolished the left-sided expression of *nodal*, confirming the critical role of ASE in the asymmetric expression of this gene (Norris and Robertson 1999).

It is not absolutely clear whether ASE alone is responsible for the asymmetric expression of *nodal*. Although targeted deletion of ASE in embryonic stem cells largely prevented the asymmetric expression of *nodal*, weak left-sided expression was still apparent in the left LPM (Norris and Robertson 1999). Furthermore, in our transient transgenic assay, *5'-1*, a construct lacking ASE, repeatedly gave rise to faint X-gal staining in a few cells in the left LPM. The permanent line N1, harboring the *5'-2* transgene, also showed similar weak *lacZ* expression in the left LPM. Thus, although ASE appears to have the major role, an additional enhancer (LSE, for left side-specific enhancer) in the proximal promoter region (between -5 and 0 kb) likely also contributes to the asymmetric expression of *nodal*. Although ASE and LSE might each act independently as a left side-specific enhancer in our transgenic assays, they may function synergistically to establish asymmetric expression of *nodal* in vivo.

The expression of *nodal* in the PFP has not been described previously. However, the *nodal* ASE was able to

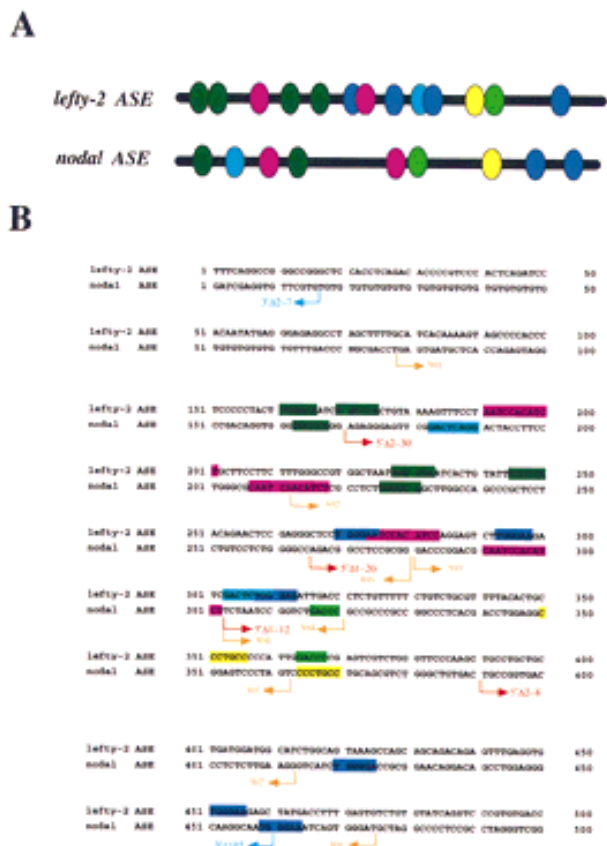


Figure 8. Comparison of the structures of *nodal* ASE and *lefty-2* ASE. (A) Structural organization of *nodal* ASE (340 bp) and *lefty-2* ASE (350 bp). Conserved sequence motifs are indicated by ovals of various colors. (B) Nucleotide sequences of *nodal* ASE and *lefty-2* ASE. The positions of *nodal* deletion mutants shown in Figs. 5 and 9 are indicated by arrows.

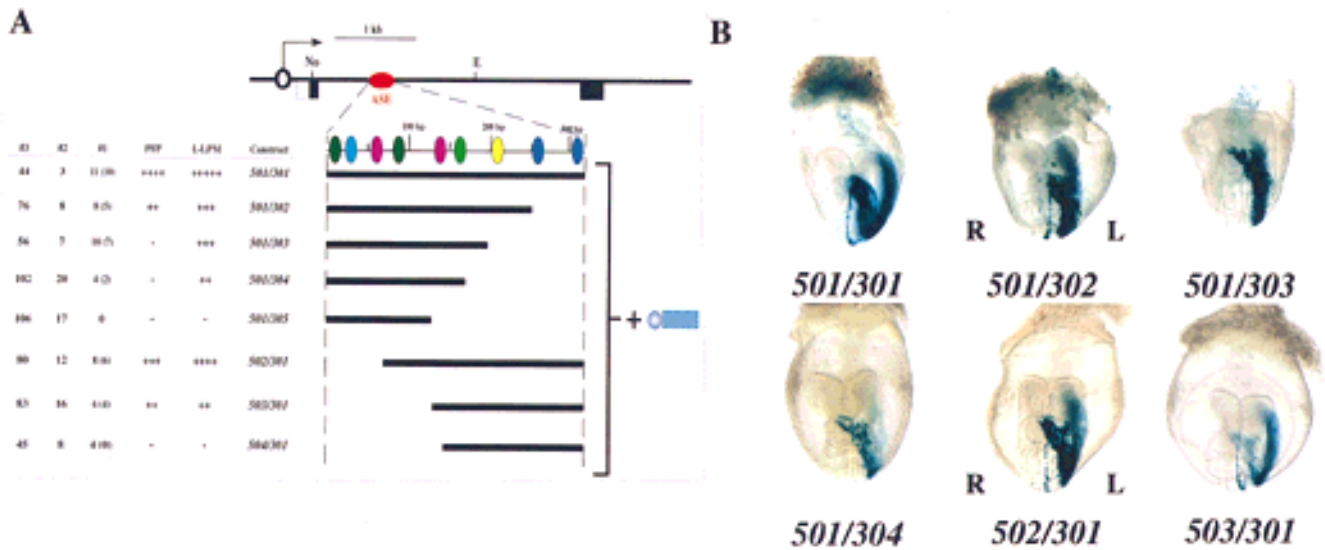


Figure 9. Dissection of the 340-bp ASE region. (A) Structures and activities of *501/301hsp*-based deletion mutants. Each *nodal* fragment was linked to the *hsp68* promoter and *lacZ*. (B) X-gal staining patterns of representative transgenic embryos.

direct left-sided expression of *lacZ* in the PFP. This effect was most apparent when the *nodal* ASE was linked to the *hsp68* promoter. For several reasons, we believe that the ASE-induced expression in the PFP is not an artifact of the transgenic assay, but that it rather reflects expression of *nodal* in the PFP in vivo: (1) X-gal staining in the PFP was repeatedly observed with *3'-1hsp* and its deletion mutants in transient as well as permanent transgenic embryos; (2) X-gal staining in the PFP showed an apparent L-R specificity, being observed predominantly in a few cells on the left side, similar to the expression patterns of *lefty-1* and *lefty-2*; and (3) X-gal staining in the PFP, like that in LPM, responded to *iv*, *inv*, and *lefty-1* mutations. We attempted to detect *nodal* mRNA in the PFP by whole-mount in situ hybridization; however, although weak signals were detected occasionally in the PFP, we were unable to obtain convincing results (data not shown). It is likely that the extent of *nodal*

expression in the PFP is not sufficient to be detected by this method. A similar reason may also explain why X-gal staining in the PFP was not obvious with *3'-1* (the *hsp68* promoter appeared more efficient than did the *nodal* promoter, given that the intensity of X-gal staining in the left LPM induced by *3'-1hsp* was greater than that induced by *3'-1*). An alternative possibility is that although ASE possesses an intrinsic ability to induce expression in the PFP, *nodal* expression is normally repressed in PFP by a negative regulatory element. *nodal* expression in the PFP may be negatively regulated by *Lefty-1* because *3'-1* transgene gave rise to X-gal staining in the absence of *lefty-1*.

The 3.5-kb intronic fragment containing ASE responded to *iv*, *inv*, and *lefty-1* mutations. Expression of the *3'-1hsp* (and *3'-1*) transgene was affected in a manner similar to that of *nodal* itself, as determined previously by in situ hybridization (Collignon et al. 1996; Lowe et al. 1996; Meno et al. 1998). It is therefore likely that signals derived from *iv*, *inv*, and *lefty-1* converge within the ASE region of *nodal*.

Our data suggest that the expression of *nodal* in the node is regulated by a separate enhancer (NDE) located in the far-upstream region. Although *nodal* expression in the node exhibits subtle L-R specificity (Collignon et al. 1996), constructs *5'-2* and *SN5.0*, as well as deletion mutants derived from *SN5.0*, failed to show L-R specificity of expression in the node. Thus, NDE itself does not appear to possess L-R specificity. Such asymmetric expression of *nodal* in the node may be achieved by a combination of NDE and an additional *cis*-regulatory element such as ASE.

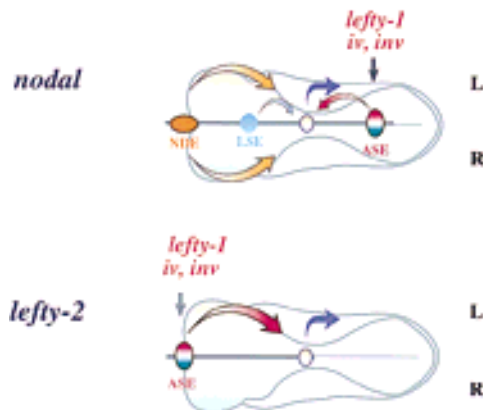


Figure 10. Models for transcriptional regulation of *nodal* (top) and of *lefty-2* (bottom). The asymmetric expression of both *nodal* and *lefty-2* is determined by similar ASE elements. LSE, a putative weak left side-specific enhancer, is also shown. (See text for further details).

Similar transcriptional regulatory mechanisms underlying expression of nodal in the epiblast, endoderm, and left LPM

nodal is known to exhibit very dynamic expression pat-

terns during development; it is expressed throughout the epiblast at E5.5, in the visceral endoderm at E6.0 to E6.5, in the node between E7.5 and E8.0, and in the left LPM at E8.0 (Zhou et al. 1993; Collignon et al. 1996; Lowe et al. 1996; Varlet et al. 1997). Expression of *nodal* in the visceral endoderm is required for specification of the anterior axis during gastrulation (Varlet et al. 1997). In our transgenic assays, ASE was able to induce expression not only in the left LPM, but also in the epiblast and the visceral endoderm between E5.5 and E6.5. Targeted deletion of a 600-bp region that includes ASE also affected *nodal* expression in the epiblast, visceral endoderm, and left LPM (Norris and Robertson 1999). Therefore, *nodal* expression in the epiblast, visceral endoderm, and left LPM appears to be controlled by the same enhancer, ASE, suggesting that similar transcriptional regulatory mechanisms underlie *nodal* expression in these domains.

The 6.5-kb region including NDE induced expression not only in the node at the early somite stage (E8.0) but also in the proximal epiblast at E5.5 (Fig. 4A) (Norris and Robertson 1999). A similar proximodistal (P-D) graded expression in the epiblast was also observed at E6.5 in a permanent transgenic line harboring *3'-1*, consistent with the notion that the epiblast is already specified along with the P-D axis at this early stage (Beddington and Robertson 1999). Thus, the proximal epiblast, which moves posteriorly and later gives rise to the primitive streak, appears to express *nodal* at a higher level than does the distal epiblast, although previous *in situ* hybridization analysis failed to detect such a P-D expression gradient. A higher level of *nodal* expression may be required for the posterior movement of proximal epiblast cells.

Similarities between *nodal* ASE and *lefty-2* ASE

The ASEs of *lefty-2* and *nodal* exhibit several common features: (1) Both enhancers direct left-sided expression not only in the LPM and but also in the PFP; (2) they both respond to *iv*, *inv*, and *lefty-1* mutations (Fig. 7; Saijoh et al. 1999); and (3) with both enhancers, directed expression in the PFP can be partially separated from that in the LPM. One difference between the two enhancers is that whereas the *lefty-2* ASE (350-bp region) can be clearly divided into at least two subdomains (one for the anterior LPM, the other for the posterior LPM), no such subdivision was apparent for *nodal* ASE (340 bp region). Finally, several conserved sequence motifs are apparent in the nucleotide sequences of the two enhancers. Thus, the asymmetric expression of *nodal* and of *lefty-2* may be regulated by similar transcriptional mechanisms.

Dissection of the 340-bp region of the *nodal* ASE suggested that most (if not all) of the conserved motifs are required for maximal enhancer activity. However, a 7-bp conserved sequence (CCCTGCC) located in the 3' portion of this region may be particularly important for expression in the PFP. Moreover, a 10-bp conserved sequence (CAATCCACAT), which exist twice in *nodal* ASE and *lefty-2* ASE, appears essential for expression in

both left LPM and PFP. However, database searches (TRANSFAC) failed to identify any previously known transcription factor binding sites that corresponded to these sequences. Clarification of the mechanism by which signals derived from upstream genes, such as the *inv* and *iv* genes, induce the asymmetric expression of *nodal* will require identification of the transcription factors that bind to these conserved sequences.

Materials and methods

lacZ constructs

The *nodal* gene was isolated from a genomic library derived from 129/Sv mice. The 3' end of the *nodal* upstream fragment (*SalI*-*HindIII*, 5 kb) is located in the 5' untranslated region (+80 bp relative to the putative TATA box, -50 bp relative to the translation initiation codon). This upstream fragment (from -5 kb to +80 bp) was linked to the *lacZ* fragment (*HindIII*-*BamHI*, 4 kb) derived from pCH110 (Pharmacia) and was then subcloned in Bluescript or pGEM, yielding construct *5'-1*. To generate construct *5'-2*, we subcloned a 6.5-kb *SalI* fragment (-11.5 to -5 kb) into the *SalI* site of *5'-1*. The *NDEhsp* construct was created by subcloning the blunt-ended 6.5-kb *SalI* fragment (-11.5 to -5 kb) into the *SmaI* site of *hsp68-lacZpA* (Kothary et al. 1989). For generation of constructs *3'-1* to *3'-5*, the indicated fragments of *nodal* (Fig. 3) were subcloned into *5'-1* at the 3' end of *lacZ*. For generation of *3'-1hsp*, the 3.5-kb intron fragment was subcloned into the *SmaI* site of *hsp68-lacZpA* in either orientation. For the deletion mutants derived from *3'-1hsp*, deletion proceeded from the *SalI* site. For all constructs, *lacZ* fragments free of vector sequences were isolated by gel electrophoresis before microinjection.

Transient transgenic assay

Transient transgenic assays were performed essentially as described previously (Saijoh et al. 1999). Transgenic embryos were generated by injection of *lacZ* constructs (4 ng/1 μ l) into pronuclei of fertilized eggs obtained from crosses between (C57BL/6 \times C3H) F1 mice (Hogan et al. 1994; Sasaki and Hogan 1996). The injected embryos were transferred into pseudopregnant recipients and allowed to develop in utero until E8.2. The E8.2 embryos were examined for the presence of the transgene (PCR) and for *lacZ* expression (by X-gal staining) according to standard protocols. The amount of β -galactosidase activity was estimated from the extent of X-gal staining after incubation in the staining buffer for 2, 8, and 24 hr. When a given construct was active, most of X-gal-positive embryos showed the staining in restricted sites without ectopic *lacZ* expression, whereas some embryos had variable ectopic expression as well. This was the case for all of the active constructs used in this study except for *NDEhsp*, which always gave rise to X-gal staining in ectopic sites as well as in the node. For each construct, a representative embryo showing a typical staining pattern is presented in the figures. Primers used to amplify the *lacZ* sequence were 5'-CTCAAACCTGGCAGATGCACGGT-3' and 5'-CGTTGCAC-CACAGATGAAACGC-3'.

Permanent transgenic lines

For some *lacZ* constructs, the transgenic embryos were allowed to develop to term and permanent transgenic lines were established. The presence of the transgene was examined by Southern blot analysis with the *lacZ* fragment as a probe. The *lacZ*

transgenes were introduced into the background of *iv/iv* or *inv/+* by first mating transgenic mice with *iv/iv* or *inv/+* mice, respectively. The genotype of the resulting offspring was determined by PCR or Southern blot analysis. [*iv/iv*, *lacZ*] or [*inv/+*, *lacZ*] mice thus obtained were mated, and transgene expression was examined at E8.2. The *iv* alleles were genotyped by PCR with primers 5'-GCCAGCAATGAACGAGTGGCCCTCAAA-CCT-3' and 5'-AGCTCTGAAACCGTGGCTGGTGTGGC-TGT-3', followed by *TaqI* digestion (the wild-type allele is sensitive to *TaqI*, yielding 50-bp fragments; the mutant allele is resistant to *TaqI*, yielding a 100-bp fragment) (Supp et al. 1997).

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