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Cancer immunoediting of the NKG2D ligand H60a¹

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Abstract

Cancer immunoediting describes the process whereby highly immunogenic tumor cells are removed, or edited, from the primary tumor repertoire by the immune system. In immune deficient mice, the editing process is hampered, and “unedited” tumor cells can be recovered and studied. In this study we compared unedited and edited tumors for their expression of NKG2D ligands, a family of surface proteins expressed on tumor cells that can activate natural killer (NK) cell cytotoxic activity. We found that the expression of the NKG2D ligand H60a was more heterogeneous in groups of unedited compared to edited 3' methylcholanthrene (MCA) sarcoma cell lines, i.e., some unedited cell lines expressed very high levels of H60a, while other unedited and edited cell lines expressed very low levels. We also found that some highly immunogenic cell lines displayed a bimodal distribution consisting of H60a-hi and H60a-lo cells. In one of these cell lines, the H60a-hi cells could be removed by passaging the cells through recombinase activating gene-2 deficient (RAG2^{-/-}) mice, resulting in edited cell lines that were poor targets for NK cells and displayed progressive tumor growth. This editing of H60a-hi cells required NK cells and NKG2D. Our studies show that the expression of H60a on tumors cells can be actively modulated by the immune system, thereby implicating this NKG2D ligand in tumor immunosurveillance.

Keywords

Cancer immunoediting; NKG2D ligands; H60a; tumor surveillance

Introduction

Significant interactions between immune cells and tumor cells occur during tumor development and can lead to tumor promotion or tumor destruction (1). These interactions have been codified into a cancer immunoediting process that results in the generation of a tumor cell repertoire capable of surviving in immune competent hosts (2–4). The substrate for the editing process is thought to be a primary repertoire of nascently transformed immunogenic cells, so called “unedited” cells. In the most complex form of cancer

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immunoediting, the immune system acts on the unedited tumor cell repertoire by eliminating immunogenic tumor cells, leading to an equilibrium process (5) that eventually culminates in the escape and outgrowth of an edited repertoire of poorly immunogenic tumor cells.

We previously found that adaptive lymphocytes and the IFN system are required for effective tumor surveillance (2–4, 6, 7). When MCA is given to mice that lack adaptive immunity (RAG2^{-/-}) or responsiveness to type I interferon (IFNAR^{-/-}), the tumor incidence is higher compared to syngeneic WT mice. Given the requirement for RAG2 and IFNAR in tumor surveillance, we reasoned that tumors that arise in these mice would be unedited. Indeed, we found that tumors from RAG2^{-/-} or IFNAR^{-/-} mice displayed increased immunogenicity (3, 6). In these studies, the immunogenicity of a cell line was measured by transplanting it into wild-type (WT) and RAG2^{-/-} mice and measuring its growth. Cell lines that did not grow in WT but grew in RAG2^{-/-} mice were termed regressor cell lines (3, 8) and were considered highly immunogenic. Progressor cell lines grew in both WT and RAG2^{-/-} mice and are thought to be poorly immunogenic. We found that certain unedited tumor cell lines derived from immune deficient RAG2^{-/-} or IFNAR^{-/-} mice displayed a regressor growth phenotype while others displayed a progressor growth phenotype (3, 6). In contrast, all edited tumor cell lines derived from WT mice displayed progressor growth phenotypes. It is important to note that this assay of immunogenicity, involving tumor cell transplantation, is a functional assay that does not necessarily define a molecular target for cancer immunoediting. To date, a molecular assay has not been established that can reliably identify unedited versus edited tumor cell groups.

In this study, we compared the edited and unedited tumor cell repertoire by examining MCA sarcoma cell lines generated in carcinogen-treated syngeneic WT and immunodeficient mice (3, 6). We focused on evaluating the expression of ligands for the activating receptor Natural Killer Group 2D (NKG2D) (9) within the unedited tumor repertoire. NKG2D is a receptor expressed on NK cells, CD8⁺ T cells, $\gamma\delta$ -T cells, and NK-T cells that mediates the detection of stressed cells that are infected by viruses or undergoing transformation (10–14). It binds a ligand family that is generally not expressed at functional levels in normal tissues, but can be up-regulated by certain stimuli, including DNA damage and virus infection (10, 15). In mice deficient in NKG2D, there is increased development of prostate tumors and lymphomas compared to control mice, but the development of MCA sarcomas was not changed (12). In contrast, mice treated with blocking antibody to NKG2D developed more MCA sarcomas than control-treated mice (13), although it is not clear whether NK cell function was affected throughout the course of this study.

We have described that the NKG2D ligand H60a (16–19), but not other NKG2D ligands, is down-regulated by IFN γ in MCA sarcomas (19). Considering the important role of IFN γ and NKG2D in preventing tumor formation (6, 20–22), we wished to determine whether the IFN γ -regulated NKG2D ligand H60a could participate in the surveillance of developing tumors. Whereas H60a can mediate tumor rejection when expressed at very high levels (23), its endogenous role in tumor immunosurveillance is not known. Furthermore, the above-mentioned studies with NKG2D-deficient mice (12, 13) were performed on the C57BL/6 background, which does not express H60a, and therefore does not address the role of H60a in tumor editing. Interestingly, H60a can be induced within days of carcinogen exposure in mouse skin (24), but its expression in the unedited tumor cell repertoire has not been studied.

In this study, we examined the expression of NKG2D ligands in MCA sarcoma cell lines derived from mice with varying levels of immune activity. We show that the heterogeneity of NKG2D ligand levels is inversely correlated with the degree of cancer immunoediting. This heterogeneity can be detected by the level of H60a expression in groups of tumor cell

lines or can manifest in a single tumor cell line via bimodal distribution of H60a expression. When a cell line expressing bimodal levels of H60a was passaged through a RAG2^{-/-} mouse and subjected to innate immune pressure, the H60a expression was reduced and it showed a progressive growth phenotype in WT mice, indicating that H60a had been edited. When NK cells were depleted or NKG2D function was blocked during passaging, H60a levels were not reduced and these cell lines showed a rejection phenotype in WT mice, showing that NK cell recognition of H60a high cells through NKG2D drives this editing process. Additionally, overexpression of H60a into passaged tumor cells lines that displayed a progressive growth phenotype was sufficient to cause rejection in WT mice. We propose that cancer immunoediting acts in part by limiting the heterogeneity of a diverse primary repertoire of tumor cells. H60a may be a suitable substrate or a surrogate marker for the editing process.

Materials and Methods

All experiments involving mice were conducted under animal protocols approved by the Washington University Animal Studies Committee and the University of California, San Diego Institutional Animal Care and Use Committee (IACUC protocol #S06201) and were in accordance with their ethical guidelines.

Cell lines and mice

MCA sarcoma cell lines were generated as described (3) by injecting 129/Sv mice with 1–400 µg of 3'-methylcholanthrene (Sigma, St. Louis) dissolved in peanut oil, harvesting the tumor mass after it had reached >10 mm in average diameter, dissociating the mass via collagenase treatment, and culturing the cells in vitro. All experiments were done with cells passaged between 4 and 12 cycles. 129/Sv, RAG2^{-/-}, RAG2^{-/-}xSTAT1^{-/-} (RkSk), and STAT1^{-/-} mice used were described (3, 6). Cell lines were maintained in RPMI 1640 supplemented with 10% FCS, L-glutamine, NEAA, sodium pyruvate, sodium bicarbonate, pen/strep, and β-mercaptoethanol. The IFNAR^{-/-} MCA sarcoma cell line d100 was passaged through RAG2^{-/-} mice and cell lines were generated from harvested tumor masses. The passaged cell lines which were now progressors were transduced with a retrovirus expressing either green fluorescent protein (GFP), or GFP and H60a, and sorted as a >95% GFP+ population using a FACS Aria cell sorter.

Antibodies and FACS analysis

All cell stains were done with 50–80% confluent cells and were repeated at least twice. Cells were harvest with dPBS or HBSS supplemented with 2.5 mM EDTA. Trypsin was not used since it decreased NKG2D tetramer staining, presumably by cleaving the ligands. NKG2D tetramers were generated as described (25). Monoclonal antibodies to H60a, pan-RAE-1, and MULT1 were obtained from R&D (Minneapolis, MN). Secondary antibodies were obtained from Biolegend (San Diego, CA). Staining was conducted for 15–30 minutes at 4° in FACS tubes containing 0.5–2 million total cells, 0.5–1 µl of antibody, and 100 µl of FACs buffer (PBS+1% FCS+0.09% NaN₃, Sigma). All analyses were done on live cells identified by forward and side scatter properties. Significance of variance was determined between groups by using the F-test. The Levene statistic was calculated to determine homogeneity within all groups. The Welch's t test, which accommodates unequal variances, was used to determine differences between the means of two groups. The median fluorescence intensity was used to quantitate antibody binding.

Tumor transplantation

Subconfluent tumor cell lines were harvested by trypsinization, washed 3x with HBSS+Ca/Mg, and injected subcutaneously into syngeneic recipient WT or RAG^{-/-} mice as described

(6, 19, 26). RAG^{-/-} mice were injected i.p. with either PBS, anti-ASGM1, or anti-NKG2D on days -2 and 0 and every four days thereafter until tumor harvest. NK cell depletion was verified by FACS analysis of both spleen and tumor cell suspensions at the time of harvest. Mice were monitored for tumor growth by measurement of mean tumor diameter, defined as the average of the 2 maximum dimensions of the tumor mass. On various days post-transplantation, tumors were excised from mice, minced, and treated with 1 mg/mL type IA collagenase (Sigma) as described (26). Cells were vigorously resuspended, washed in FACS buffer and filtered before staining. Antibodies to CD45, CD122, DX5, NK1.1, CD69, MAC1, K^b, K^d, I-A/I-E, and Ly6G were from eBiosciences (San Diego, CA).

Chromium release assay

Splenocytes from RAG2^{-/-} mice were activated by culturing in media with 1000 U/ml human IL-2 (Chiron, Emeryville, CA). Day 7 IL-2-activated NK effector cells were used in a 4-hour ⁵¹Cr release assay using tumor target cells labeled with ⁵¹Cr as described (19) with either control IgG or anti-NKG2D. Bars depict standard error of triplicates. All experiments were done at least twice. ANOVA was used to assess statistical significance between parent and passaged cell lines.

Results

Unedited tumors display a wide range of NKG2D ligand expression

Previous carcinogenesis studies comparing primary tumor formation in WT versus NKG2D-deficient mice found no significant difference in MCA sarcoma formation (12). However, these experiments were performed using mice on a C57BL/6 background, where H60a is not expressed (18, 27). Therefore, we measured NKG2D ligand expression in MCA sarcoma cell lines generated in WT mice and mice with varying levels of immune deficiency (3, 6), all on a 129/Sv background, which display an intact H60a gene (28). Figure 1 shows NKG2D tetramer staining of 39 129/Sv-strain MCA sarcoma cell lines. We found that the range of NKG2D ligand expression was highest in the MCA sarcomas that developed in the most immune deficient mice (RAG2^{-/-}xSTAT1^{-/-}, denoted as RkSk, or STAT1^{-/-}). MCA sarcomas from RAG2^{-/-} mice displayed moderate heterogeneity of NKG2D ligand expression, whereas edited MCA sarcomas that developed in immunocompetent WT mice had tightly grouped levels of NKG2D ligand expression. Although the mean level of NKG2D ligand expression was similar between the groups, using the F test to compare variances, we found that there was significant difference in the variances of NKG2D ligand expression between WT and RkSk/STAT1^{-/-} groups (p=0.0079), WT and RAG2^{-/-} groups (p=0.043), but not between RAG2^{-/-} and RkSk/STAT1^{-/-} groups (p=0.166). We also calculated the Levene statistic to test the homogeneity of variances between all groups, and found significant heterogeneity in NKG2D ligand expression (p=0.007).

Regressor cell lines display a higher level of heterogeneous NKG2D ligand expression than matched progressor cell lines

Previously, we found that approximately 40% of unedited MCA sarcoma cell lines tested were rejected when transplanted into syngeneic, naïve WT mice, whereas 60% grew progressively (3, 6). The regressor and progressor phenotypes of these cell lines were reproducible and were only observed in tumor cell lines derived from immunodeficient mice (either RAG2^{-/-} or IFNAR^{-/-}). Edited tumor cell lines from WT mice all displayed progressor phenotypes. We determined whether the tumor growth phenotype was correlated with the expression of NKG2D ligands, by examining unedited MCA sarcomas from RAG2^{-/-} or IFNAR^{-/-} mice that had already been categorized into regressor and progressor phenotypes (3, 6). We found that the heterogeneity in NKG2D ligand levels was associated with the regressor phenotype of unedited cells (Figs. 2A–B). This difference in

heterogeneity was significant when the variances of the populations were compared in the group of tumors (F test, $p=0.0024$; Levene statistic $p=0.001$) from $RAG2^{-/-}$ but not $IFNAR^{-/-}$ mice.

H60a expression correlates with the heterogeneity in NKG2D tetramer staining seen in unedited tumors

We next examined whether the heterogeneous NKG2D tetramer staining could be explained by heterogeneous expression of a specific NKG2D ligand. We found that our MCA sarcoma cell lines did not express MULT1 (19), so we focused on examining the expression of H60a and RAE1 using monoclonal antibodies. We found that H60a expression was highly variable in the groups of unedited tumors (Fig. 3A). This mirrored our results with NKG2D tetramer staining. Interestingly, RAE1 expression, while lower than H60a expression, also displayed heterogeneity (Fig. 3B). For both H60a and RAE1, there were significant differences in variance between the RAG regressor and $RkSk/STAT1^{-/-}$ groups ($p=0.0079$ for H60a, $p=0.0014$ for RAE1) and between RAG regressor and RAG regressor groups ($p=0.0087$ for H60a, $p=0.001$ for RAE1). The Levene statistic indicated that RAE had a slightly higher level of heterogeneity than H60a ($p=0.048$ for RAE variance; $p=0.075$ for H60a variance). Although the heterogeneity in expression of H60a and RAE1 was found in similar groups of tumors, most regressor tumors expressed H60a while few expressed RAE1. Therefore, we focused on H60a.

H60a staining was bimodal in many cell lines

In examining the expression of H60a in our cell lines, we noticed that the staining of H60a in some MCA sarcoma cell lines did not display unimodal staining, as would be expected of a homogeneous population of cells. We have chosen nine representative regressor cell lines (Fig. 4A) to demonstrate that the pattern of H60a staining ranged from unimodal (d27m2, F510, h31m1), broad staining (F515, d30m4), to bimodal staining, with equal distribution (F535, d100) between the two cell populations or uneven, “shoulder”-type distribution (d38m2, d42m1), suggesting that within a cell line, there were populations of cells that expressed differing levels of H60a. This pattern of staining did not change even after three weeks of in vitro passaging for the bimodal d100 cell line (Suppl Fig. 1), indicating that it was a relatively stable characteristic of this cell line. RAE1 did not display this pattern of staining, even though in some regressors (d30m4, d27m2), it was highly expressed.

Cell lines with bimodal expression of H60a lose H60a expression after in vivo passage

We were struck by the fact that bimodal H60a, but not RAE1 expression could be seen in some cell lines (F535, d100) and wondered whether H60a high cells could be edited by components of the immune system in vivo. Since d100 and F535 are both regressor cell lines that reject in WT mice, we transplanted them into immune deficient mice in order to test if partial immune function could eliminate H60a-hi cells in vivo. We transplanted d100 (derived from an $IFNAR^{-/-}$ mouse) into $RAG2^{-/-}$ mice and F535 (derived from a $RAG2^{-/-}$ mouse) into $IFNAR^{-/-}$ mice. After in vivo growth for 20–30 days, we harvested the tumor mass and generated cell lines. Figure 5 shows that the passaged cell lines indeed had lower expression of H60a compared to the parental cell lines. Whereas 70% of parental d100 cells had high expression of H60a (as measured by NKG2D-tet), this was significantly reduced to 35% in the passaged cell lines (Fig. 5A and C, $p<0.001$). Similarly, two of the three passaged F535 cell lines completely lost the H60a-hi population of cells and became unimodally low in H60a expression, while the third passaged cell line had decreased levels of H60a-hi cells (Fig. 5B and D). The loss of H60a-hi cells seen after in vivo passage was not seen in the same cell line cultured in vitro for a similar period of time (Suppl Fig. 1), and therefore it is unlikely due to inherent differences in proliferation or cell cycle between H60a-hi and H60a-lo cells.

The regressor tumor d100 shows delayed growth in RAG2^{-/-} mice and increased NK cell infiltration

Next, we examined the cell types that could mediate the editing process that removed H60a-hi cells. We hypothesized NK cells would infiltrate and selectively destroy H60a-hi cells. Therefore, we transplanted d100 and a control progressor cell line F244 that expresses moderate levels of H60a (Suppl Fig. 2) into WT and RAG2^{-/-} mice. As shown previously (3, 29), d100 was rejected, but F244 grew progressively in WT mice (Fig. 6A). Interestingly, in RAG2^{-/-} mice, the progressor F244 developed a tumor mass greater than 5 mm (mean diameter) within 10 days of transplant (Fig. 6B) while the d100 tumor remained ≤ 3 mm for more than 2 weeks after tumor transplant. This delayed growth was associated with a preponderance of infiltrating NK cells in the tumor mass. When both tumors were harvested at the same size (roughly 13 mm), 10% of the infiltrating CD45⁺ cells in the regressor d100 tumor were NK cells (DX5⁺CD122⁺) compared to 3% in the progressor F244 tumor ($p < 0.001$) (Fig. 6C).

Passage of the d100 regressor tumor through RAG2^{-/-} mice leads to reduced NK cell recognition

Next, we examined whether the NK cell infiltration and delayed growth of d100 in RAG2^{-/-} mice led to a sculpted tumor repertoire that was resistant to NK cell cytotoxicity. We performed a standard chromium release assay using IL-2 activated NK cells as effectors and the parental or RAG2^{-/-}-passaged cell lines as targets. As predicted, we found that the passaged cell lines were two-fold less susceptible to NK cell killing compared to the parental cell line (Fig. 7, $p = 0.0216$).

Passage of the d100 regressor tumor through RAG2^{-/-} mice converts it into a progressor tumor through NK cell NKG2D editing of H60a-hi cells

Having shown that NK recognition was decreased in vitro in RAG2^{-/-}-passaged cell lines, we next determined whether RAG2^{-/-}-passaging of d100 in the presence or absence of NK cells or NKG2D activity had functional consequences on tumor growth in vivo. We transplanted d100 into RAG2^{-/-} mice that had received either i.p. injections of PBS, anti-ASGM1 (to deplete NK cells), or a blocking anti-NKG2D monoclonal antibody and monitored tumor growth in vivo. Depletion of NK cells with anti-ASGM1 showed a slight increase in tumor growth in RAG2^{-/-} mice compared to control PBS treatment, while there was no change in growth when NKG2D function was blocked with anti-NKG2D (Fig 8A top panel). When cell lines were generated from the tumors, we found that cells passaged in the presence of functional NK cells (PBS) showed a progressive growth phenotype in WT mice, while those passaged without NKG2D function or NK cells still retained their regressor phenotype (Fig 8A bottom panel). Moreover, daughter cell lines generated in mice treated with anti-ASGM1 or anti-NKG2D still maintained ~70% H60a-hi cells (Fig 8B, $p = 0.006, 0.03$, respectively, when compared to H60a expression in cell lines passaged through mice treated with PBS), suggesting that NK cell recognition of H60a-hi cells through NKG2D leads to their elimination during RAG2^{-/-}-passaging.

We tested NKG2D-dependent recognition by a standard chromium release assay using IL-2 activated NK cells as effectors and the parental d100 cell line as a target incubated with IgG or anti-NKG2D antibody. Targets incubated with anti-NKG2D antibody were lysed 5 times less than control IgG, indicating that NK cell recognition through NKG2D resulted in higher killing of the d100 parental cell line (Suppl. Fig. 3, $p = 0.0102$).

Editing of H60a by NK cells and NKG2D is required for conversion to a regressor phenotype

It is possible that H60a serves as a surrogate marker of an editing process and is not required for tumor rejection. To test this, we restored H60a expression in RAG2^{-/-}-passaged d100 cell lines that were now regressor tumors by transducing with a retrovirus expressing either green fluorescent protein (GFP), or both GFP and H60a. We restored H60a expression to the level that was originally on the parent cell line (Suppl. Fig. 4). Figure 8C shows that control GFP cell lines grew progressively, while those overexpressing H60a displayed delayed growth or a rejection phenotype *in vivo*, confirming that the reduction of H60a by NK cells and/or NKG2D is required for the conversion of a regressor into a regressor.

Discussion

Tumor heterogeneity has been appreciated as an inherent characteristic of genetically unstable neoplasms (30, 31). Various studies have shown that among the cancerous cells in a tumor mass, or even within a tumor cell line, there is heterogeneity in the phenotype (32, 33), capacity to metastasize (34, 35), response to chemotherapy (36), ability to initiate new tumors (32, 35, 37), and signalling through surface receptors (33, 38). Indeed, the cancer stem cell hypothesis (32, 37) is supported by the observation that a tumor mass is heterogeneous, and therefore made of cells that have different capacities to initiate new tumors after transplantation. In this study, we have applied the idea of tumor heterogeneity to the measurement of immunogenicity. We have hypothesized that the tumor cell repertoire is immunologically heterogeneous, *i.e.*, it consists of cells that have varying levels of immunogenicity due to diversity in antigens or innate ligands. We further hypothesized that cancer immunoediting acts by limiting the immunologic heterogeneity of the tumor cell population by removing cells of increased immunogenicity. In support of our hypotheses, we have found that the expression of H60a, as a potential surrogate marker of immunogenicity, is more heterogeneous in unedited compared to edited tumor cells. This heterogeneity in H60a expression is found in groups of cell lines (unedited versus edited) and even within single unedited cell lines. These data suggest that tumor heterogeneity may be detected and eliminated by the immune system via a cancer immunoediting process, and NKG2D ligands may serve as markers for this process.

We conclude that H60a is an edited molecule, and this editing process requires NK cells and NKG2D activity. A previous study (39) using NKG2D-deficient mice found that NKG2D is not involved in the surveillance of MCA sarcomas, whereas a study from another group showed increased MCA tumor formation in mice treated with blocking antibodies to NKG2D (13). Both of these studies were performed in C57BL/6 mice, which lack H60a, and therefore do not shed light on the mechanism of H60a editing in our model system. The finding that some unedited cell lines from mice deficient in STAT1 or γc display even higher levels of H60a points to NK cells as an effector of the editing process, since they are functionally defective in the absence of STAT1 (40) and are completely absent in mice lacking γc (41). We found increased levels of activated NK cells in the d100 regressor tumor as it is undergoing editing when transplanted into RAG2^{-/-} mice compared to a regressor tumor control. In addition, daughter cell lines generated in the presence of functional NK cells showed a progressive growth phenotype in WT mice, while those generated without NKG2D function or NK cells still retained their rejection phenotype, suggesting that NK cell killing through NKG2D is required for the editing of these tumors *in vivo*. Overexpression of H60a into edited tumors causes their rejection *in vivo*, showing that H60a can be a functional marker of immunoediting for certain tumors.

We found that H60a can be expressed at higher levels in regressor than regressor tumor cell lines. These results suggest that H60a is not sufficient or necessary for tumor rejection.

We envision that NKG2D ligands are only one component of the immunologic landscape of tumor cells, and tumors with very high antigenicity or innate ligands might not need NKG2D ligand expression to be rejected. On the other hand, tumor cells with moderate NKG2D ligand expression can escape immune detection if they decrease their antigenicity or increase inhibitory ligands or cytokines. In our model system using d100, the decrease in H60a expression is required for the conversion to a progressor. In contrast, although H60a is edited in F535 after passage through IFNAR^{-/-} mice, the IFNAR^{-/-}-passaged F535 daughter cell lines remain regressors, indicating that other immune targets can be sufficient to mediate rejection. It will be interesting to examine the expression of inhibitory molecules such as CD47 (42) and B7-H1 (43) on progressors with moderate H60a expression and innate ligands such as HMGB1 and advanced glycation end-products (44) on regressors with low levels of H60a, such as IFNAR^{-/-}-passaged F535 cells (Fig. 5B). It is also possible that F535 has very strong tumor antigens that mediate rejection independent of NKG2D ligand expression or NK cell priming of adaptive responses (23, 45).

We found that some regressor cell lines displayed bimodal H60a expression. This pattern was fairly stable over time, and therefore is unlike the bimodal H60c expression that is induced via “culture shock” in primary keratinocytes (46). Nevertheless, we cannot rule out that cultured cell lines induce H60a expression via a response to the stress of in vitro growth. We consider this possibility unlikely, since we have shown previously that H60a expression on tumor cells harvested ex vivo is comparable to levels of the same tumor cell grown in vitro (19). In addition, other groups have found that primary tumor cells also express high levels of NKG2D ligands (14, 47). Regardless of whether in vitro culture induces NKG2D ligand expression, we advocate that the level of expression is an inherent characteristic of the cell line and can reflect the level of editing that the cell line has undergone in vivo.

It should be noted that regressor cell lines that are bimodal in H60a expression (d100, F535) are completely rejected in WT mice, rather than undergoing an equilibrium phase that promotes the escape of H60a-lo clones. We speculate that even though the H60a expression is bimodal, the T cell antigens may be shared among the H60a-hi and H60a-lo cell clones. Since it is known that NK cell activation and killing can lead to T cell priming (23, 45), we envision that NK/NKG2D-dependent killing of H60a-hi cells releases antigens that are targeted in an adaptive immune response to H60a-lo cells, leading to complete tumor rejection. Future studies will address whether the H60a-hi cells can immunize against edited H60a-lo cells.

It is not known what regulatory mechanism allows for very high and very low NKG2D ligand levels in similarly treated cells. The fact that H60a expression is also heterogeneous within cell lines indicates that H60a expression could be controlled at the level of a single cell via intrinsic mechanisms. We envision that during carcinogenesis, some tumor cells suffer lesions that induce H60a expression, while others do not. These lesions could be quantitative in nature, and may loosely correlate with H60a expression. We believe these lesions could be in the *cis* elements that regulate H60a and also throughout the genome, to be sensed by *trans* factors that regulate H60a. Although DNA damage has been shown to regulate Rae-1, Mult-1, and MICA, we have not found similar regulation of H60a (JDB, unpublished observations). We have found that the transcript levels for H60a also displayed heterogeneity and is not always correlated with H60a protein levels, implying that post-transcriptional mechanisms of H60a regulation may exist. Indeed, we and others have found that microRNAs can regulate the human NKG2D ligand MICA (48, 49) and H60a (28).

Our cell lines are not cloned, and therefore, the heterogeneous expression of H60a is likely due to multiple cell clones expressing different levels of H60a. Interestingly, when we sorted H60a-lo cells from the bimodal parental d100 cell line, the H60a expression was unstable,

and the sorted H60a-lo cells gave rise to H60a-hi cells after several days of culture (data not shown). The sorted H60a-lo cells, when transplanted into WT mice as a bimodal population due to H60a instability, were still regressor cells. We could not obtain cell clones that stably expressed H60a at low levels, even after multiple limiting dilution cloning procedures. Thus, we still do not know whether the parent d100 is a mixture of cell lines or is a single "unstable" cell line that then gives rises to different daughter cells. Nevertheless, our observations are consistent with the concept that the substrate for editing is a mixture of various cell clones, each with differing immunogenicities. Tumors that are not edited would therefore be more heterogeneous in their expression of immunogenic molecules such as NKG2D ligands.

An alternative scenario could be that H60a expression is associated with intrinsic cellular physiology, and could be related to the proliferation state of the cell, as has been shown for MICA (50). Although cell starvation is not sufficient to affect H60a levels (19), cell cycle signals could collaborate with other signals to regulate H60a. It should be noted that the Rae-1 levels in our cell lines are fairly homogeneous, i.e., displays a unimodal, narrow peak in flow cytometric analysis, and thus, the mechanisms that underlie the observed heterogeneous expression of H60a must be specific for individual NKG2D ligands.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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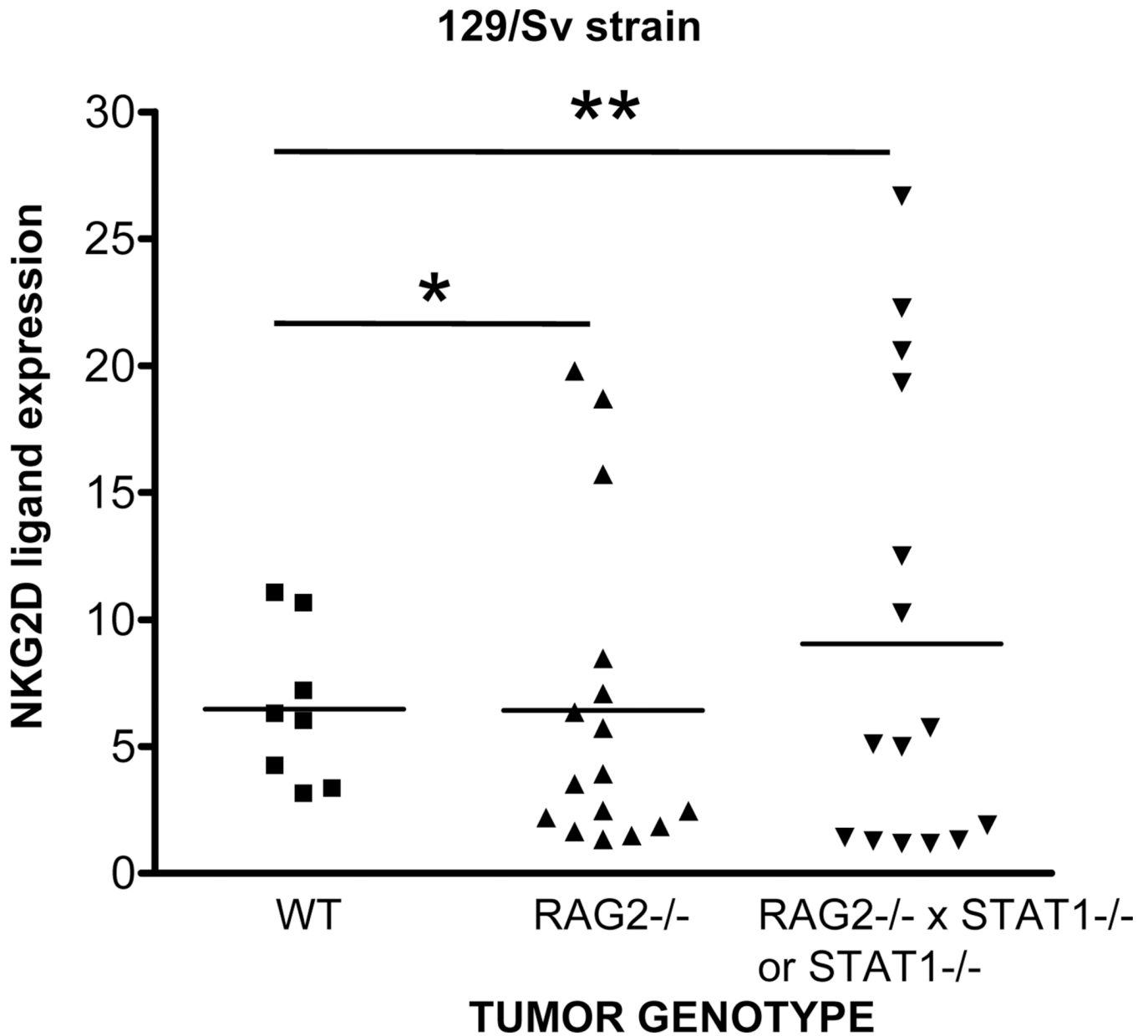


Figure 1. NKG2D ligand expression displays heterogeneous expression in unedited tumors
MCA sarcoma cell lines were generated in WT or immune deficient mice. Cell lines were stained with NKG2D tetramer to measure all NKG2D ligands. NKG2D ligand expression was calculated by dividing the median fluorescences of NKG2D tetramer staining and SA-PE control. Similar results were obtained when the median channel shift was calculated by subtracting the median fluorescences. Each symbol represents a different individual cell line. The F-test was used to measure significant differences in variances between groups. (* Indicates p value < 0.05, ** Indicates p value < 0.01).

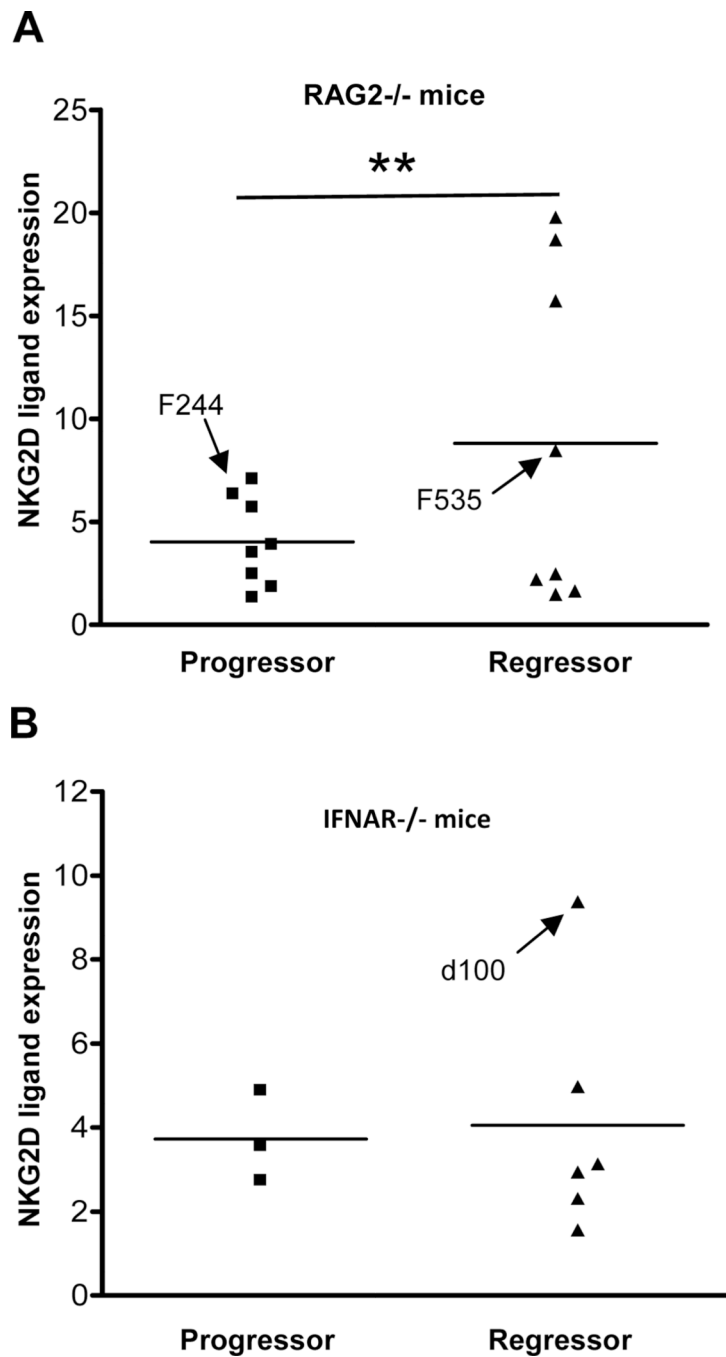


Figure 2. NKG2D ligand expression displays heterogeneous expression in regressor compared to progressor cell lines

MCA sarcoma cell lines derived from (A) 129/Sv RAG2^{-/-} and (B) 129/Sv IFNAR^{-/-} mice were categorized into progressor and regressor phenotypes, and NKG2D ligand expression was plotted similar to Figure 1. The F-test was used to measure significant differences in variances between groups. (** Indicates p value < 0.01). Several cell lines (F244, F535, d100) were chosen for later studies, and their data points are indicated in the bar graph for comparison.

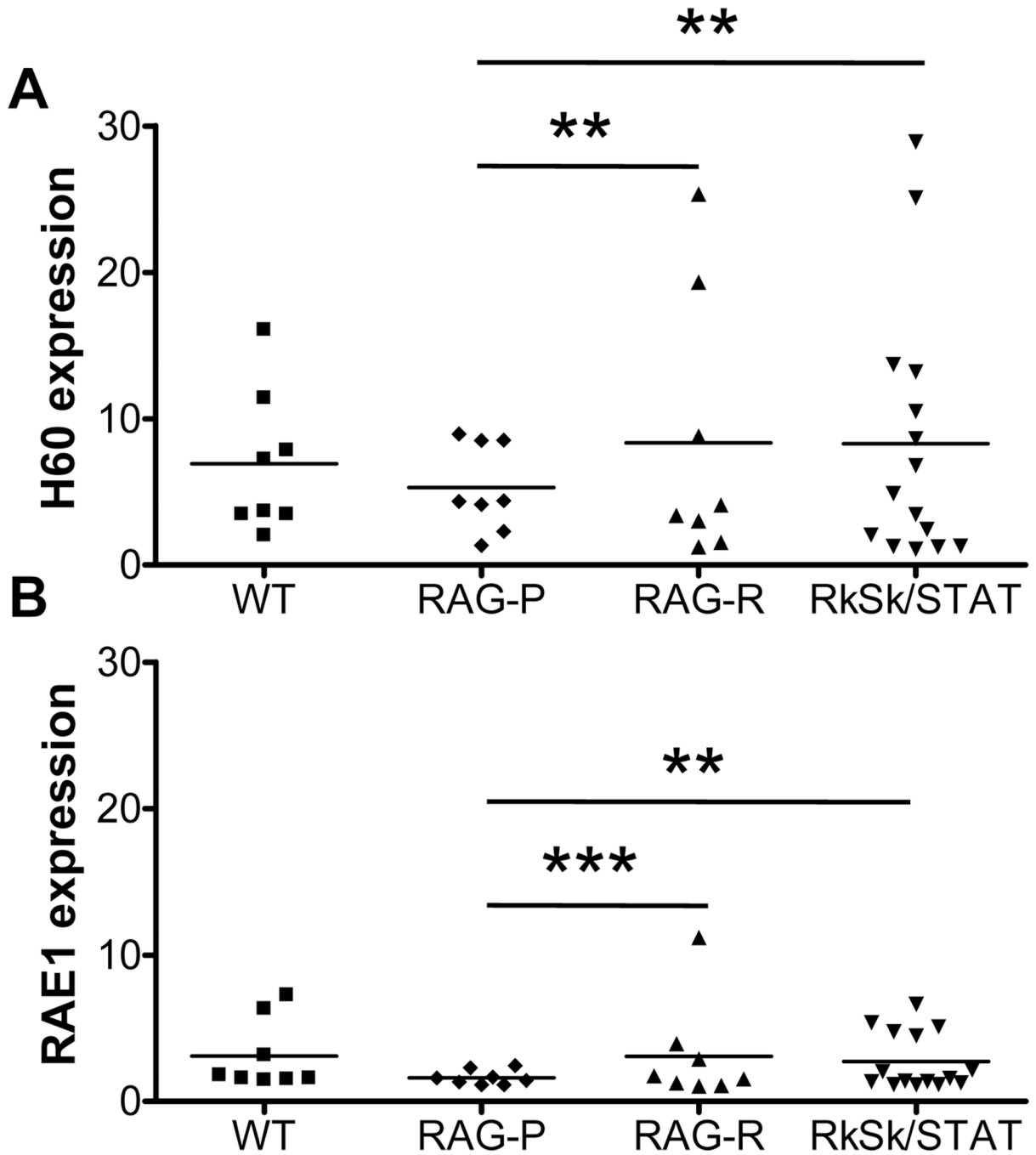


Figure 3. Heterogeneity in NKG2D ligand expression in 129/Sv strain tumors is seen in H60a but not RAE1 expression

H60a and RAE1 expression was determined in the indicated cell lines by flow cytometry using monoclonal antibodies. NKG2D ligand expression was calculated by dividing the median fluorescences of anti-H60a or anti-RAE-1 staining by isotype control. The F-test was used to measure significant differences in variances between groups. (** Indicates p value < 0.01, *** Indicates p value < 0.001).

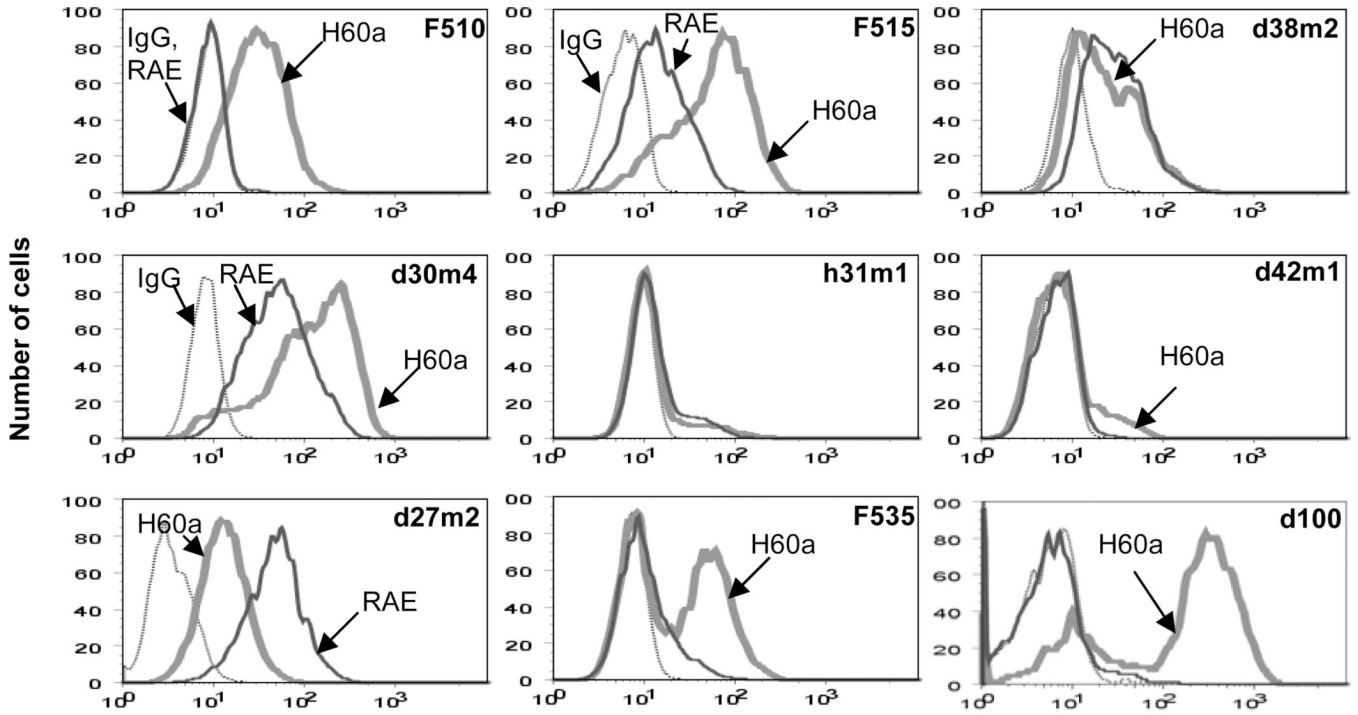


Figure 4. Heterogeneity in H60a expression can be seen even within a single cell line
 Nine regressor cell lines were stained with control isotype (dotted line), anti-RAE (solid line), or anti-H60a (thick line).

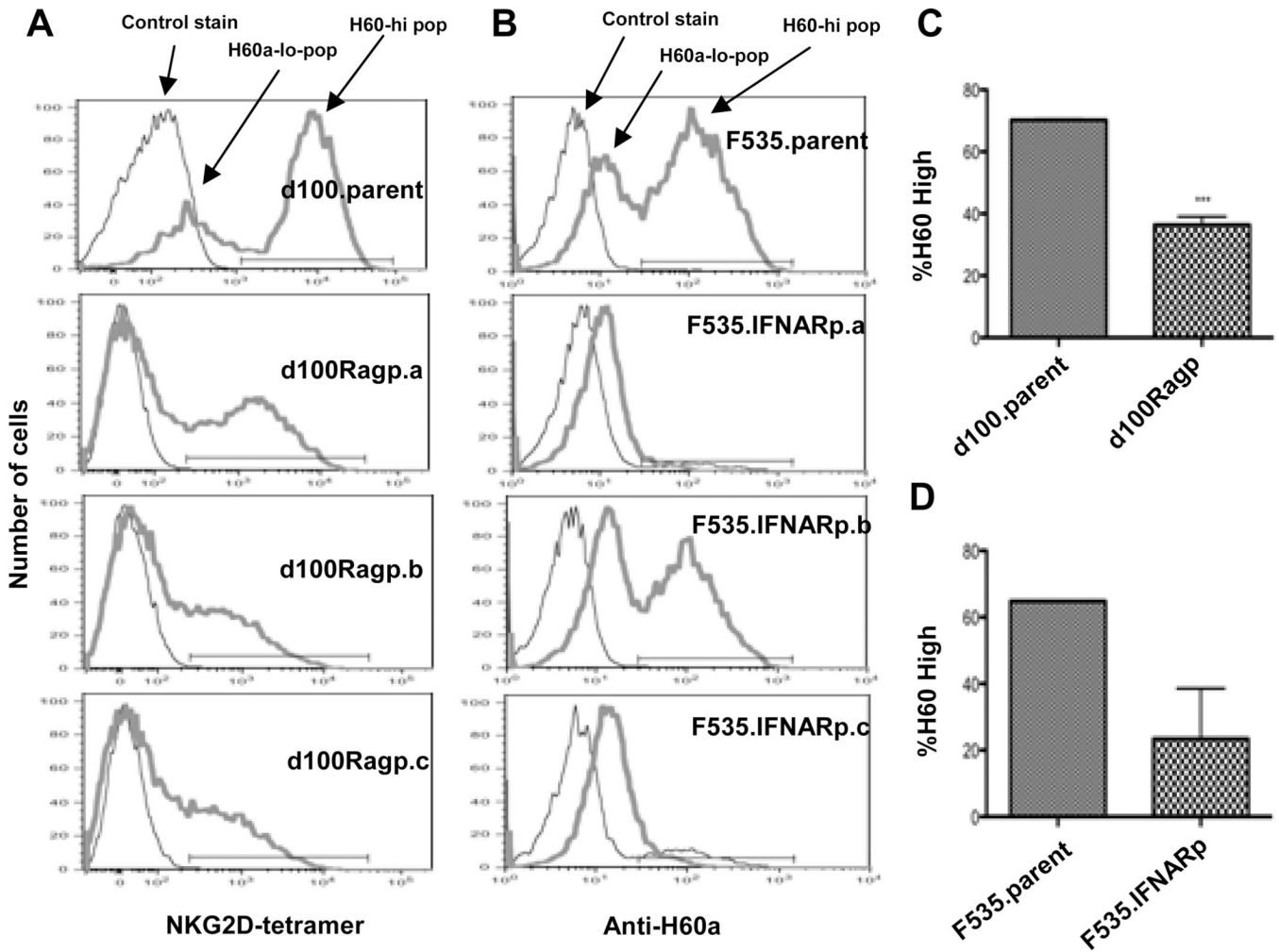


Figure 5. Editing of H60a-hi cells after in vivo passage

(A) The IFNAR^{-/-}-derived regressor tumor d100 was transplanted into three RAG2^{-/-} mice, and daughter cell lines were generated, designated with the suffix “Ragp” to indicated their passage through RAG2^{-/-} mice. Cell lines d100.Ragp.a, d100.Ragp.b, and d100.Ragp.c were obtained from three different RAG2^{-/-} mice, and H60a expression was detected by NKG2D-tetramer. (B) The RAG2^{-/-}-derived regressor tumor f535 was transplanted into three IFNAR^{-/-} mice, and daughter cell lines were generated, designated with the suffix IFNARp. Cell lines f535.IFNARp.a, f535.IFNARp.b, and f535.IFNARp.c were obtained from three different IFNAR^{-/-} mice. H60a expression was detected by flow cytometry. (***) Indicates p value < 0.001).

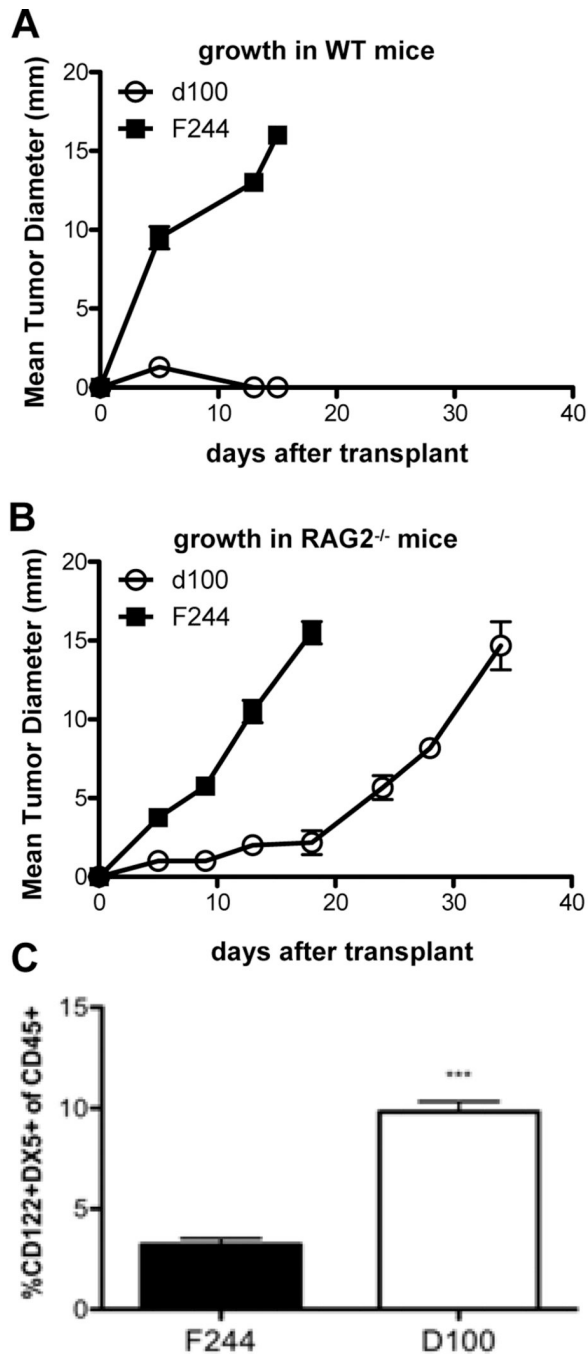


Figure 6. d100, a regressor tumor, shows delayed growth in RAG2^{-/-} mice, which is associated with NK cell infiltration

The d100 and F244 cell lines were injected into (A) WT and (B) RAG2^{-/-} mice, and tumor size was measured over time. (C) Tumor masses growing in RAG2^{-/-} mice were harvested at day 25, disaggregated, and analyzed as a single cell suspension by FACS analysis.

Infiltrating NK cells were identified as the DX5⁺CD122⁺ population from CD45⁺ events. Results were reproduced in an independent experiment. (***) Indicates p value < 0.001).

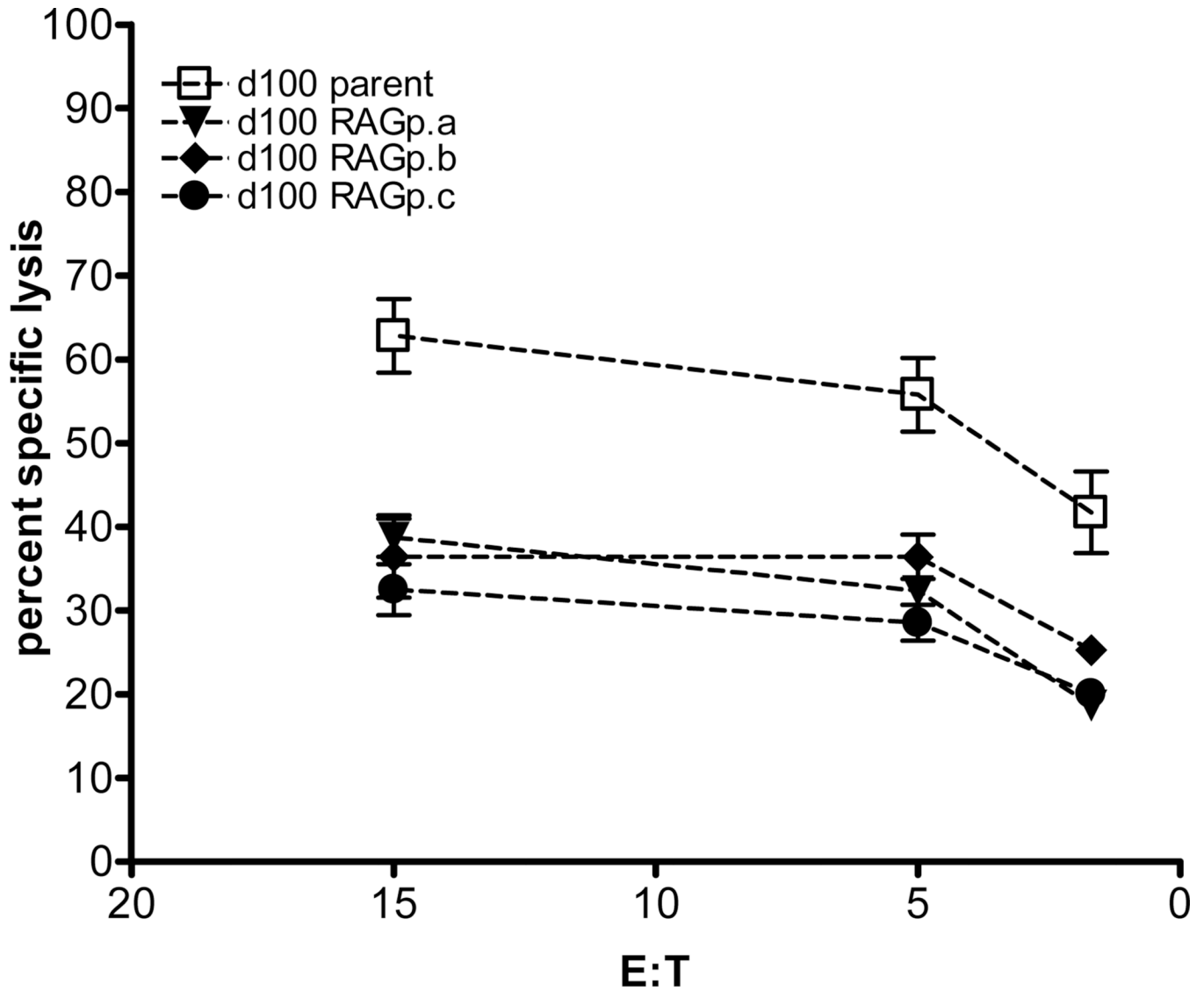


Figure 7. Passage of d100 in $RAG2^{-/-}$ mice leads to decrease in NK recognition

The parental unpassaged d100 or $RAG2^{-/-}$ -passaged cell lines were used as targets in a conventional cytotoxicity assay using IL-2 activated NK cells as effectors. Results were reproduced in an independent experiment. (* Indicates p value < 0.05)

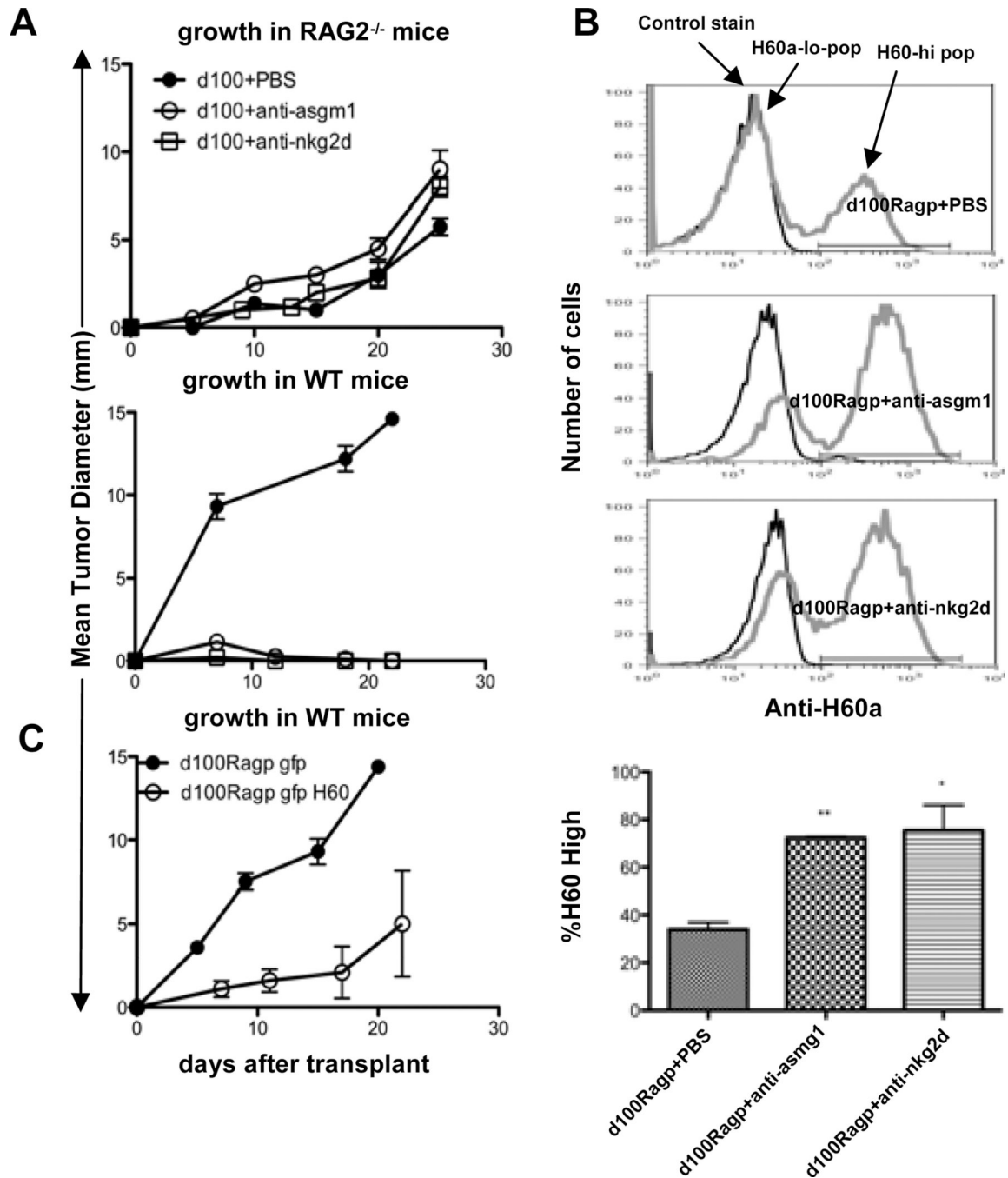


Figure 8. H60a is functionally edited by NK cell recognition through NKG2D

The IFNAR^{-/-}-derived regressor tumor d100 was injected into (A) Top-RAG2^{-/-} mice that received i.p injections of either PBS, anti-ASGM1, or anti-NKG2D, and tumor size was measured over time. Bottom-Tumor masses growing in RAG2^{-/-} mice were harvested at day 25, disaggregated, and daughter cell lines were generated and injected into WT mice. (B) H60a expression of daughter cell lines was detected by flow cytometry. (C) An edited, passaged, progressor d100 cell line was transduced either with retrovirus-expressing green fluorescent protein (GFP), or both GFP and H60a and injected into WT mice. (** Indicates p value < 0.01, * Indicates p value < 0.05).