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## Isolated quadriceps training increases maximal exercise capacity in chronic heart failure: The role of skeletal muscle convective and diffusive oxygen transport

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### Abstract

**Objectives**—This study sought to elucidate the mechanisms responsible for the benefits of small muscle mass exercise training in patients with chronic heart failure (CHF).

**Background**—How central cardiorespiratory and/or peripheral skeletal muscle factors are altered with small muscle mass training in CHF is unknown.

**Methods**—We studied muscle structure and oxygen (O<sub>2</sub>) transport and metabolism at maximal cycle (whole body) and knee-extensor exercise (KE) (small muscle mass) in 6 healthy controls and 6 patients with CHF who then performed 8 weeks of KE training (both legs, separately) and repeated these assessments.

**Results**—Pre-training cycling and KE peak leg O<sub>2</sub> uptake (VO<sub>2peak</sub>) were ~17% and ~15% lower, respectively, in the patients compared to controls. Structurally, KE training increased quadriceps muscle capillarity and mitochondrial density by ~21 and ~25%, respectively. Functionally, despite not altering maximal cardiac output, KE training increased maximal O<sub>2</sub> delivery (~54%), arterial-venous O<sub>2</sub> (a–v O<sub>2</sub>) difference (~10%), and muscle O<sub>2</sub> diffusive conductance (D<sub>M</sub>O<sub>2</sub>) (~39%) (assessed during KE), thereby increasing single leg VO<sub>2peak</sub> by ~53%, to a level exceeding that of the untrained controls. Post-training, during maximal cycling, O<sub>2</sub> delivery (~40%), a–v O<sub>2</sub> difference (~15%), and D<sub>M</sub>O<sub>2</sub> (~52%) all increased, yielding an increase in VO<sub>2peak</sub> of ~40%, matching the controls.

**Conclusions**—In the face of continued central limitations, clear improvements in muscle structure, peripheral convective and diffusive O<sub>2</sub> transport, and subsequently O<sub>2</sub> utilization

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support the efficacy of local skeletal muscle training as a powerful approach to combat exercise intolerance in CHF.

## Keywords

oxygen supply; oxygen utilization; cardiac output; blood flow; skeletal muscle; hyperoxia

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## Introduction

Diminished maximal exercise capacity is a defining symptom of chronic heart failure (CHF), limiting physical activity and impairing quality of life (1). Regular exercise in patients with CHF improves quality of life and reduces symptoms, hospitalization, disability, and even perhaps mortality (2–4). Traditionally, this attenuated exercise capacity has been attributed predominantly to the “central” hemodynamic limitations associated with the failing cardiac pump, but it is now evident that “peripheral” factors also contribute to this exercise limitation, as first highlighted by the work of Poole and Musch in a rat model of CHF (5,6) and later confirmed in humans (7). However, due to the multi-factorial determinants of maximal exercise and its associated  $O_2$  consumption,  $VO_{2peak}$ , the mechanisms responsible for the beneficial effects of exercise training, and therefore exercise prescription, in patients with CHF are not yet completely understood.

Traditional cardiac rehabilitation has employed whole body exercise which challenges a large muscle mass and therefore taxes the central circulation. This approach has consistently yielded significant improvements in exercise capacity in patients with CHF (3,8). However, as whole body exercise induces a complex interaction between central hemodynamic and peripheral responses, such an observation leaves doubt as to the role of central and peripheral adaptations in response to exercise training. In an attempt to address this uncertainty, several studies have employed small muscle mass training, unlikely to stimulate central hemodynamic adaptations, and then challenged the patients with whole body exercise (9–11). Although this innovative approach has revealed that muscle specific training can indeed improve whole body exercise capacity in patients with CHF (9–11), the indirect physiological assessments used in these studies mean that the mechanisms responsible for this positive outcome have yet to be determined.

Consequently, utilizing direct intramuscular and intravascular measurements, this study sought to determine the physiologic mechanisms responsible for the anticipated improvement in whole body exercise capacity following 8 weeks of isolated KE training in patients with CHF. Specifically, it was hypothesized that small muscle mass training will 1) stimulate intramuscular structural changes that are conducive to  $O_2$  transport and oxidative metabolism (e.g. increased capillarity and mitochondrial density), 2) significantly improve muscle  $O_2$  diffusional conductance, 3) significantly enhance skeletal muscle  $O_2$  delivery, and subsequently 4) improve  $VO_{2peak}$ , assessed both locally (across the muscle) and centrally (across the lungs), despite only a minimal central hemodynamic challenge and therefore nominal central adaptation. We further hypothesized that improvement in exercise capacity would be evident not only during KE but also in whole body (cycling) exercise. If these hypotheses are supported by experimental data, they will provide direct evidence of the important role that skeletal muscle convective and diffusive  $O_2$  transport play in limiting exercise capacity in patients with CHF and provide direction for future pharmacologic and rehabilitative interventions in this population.

## Methods

### Subjects

Six male clinically stable patients with CHF (NYHA class II–III, Weber  $VO_{2max}$  Class C/B (12)) and 6 healthy male controls volunteered and gave written informed consent to participate in this study, which had been approved by the University of California, San Diego Human Subjects Protection Program. Mean left ventricular ejection fraction in the CHF patients was  $25 \pm 3\%$ . Other than  $\beta$ -blockers, that were withheld for 48 hours prior to the studies, patient medications were not altered throughout the study. Particular care was taken to match patients with CHF and controls in terms of age, sex, and, especially, physical activity by questionnaire and interview (table 1).

### Catheter placement, experimental and training protocol

Upon arrival at the laboratory, following a series of familiarization sessions, radial arterial and common femoral venous catheters were placed. In addition, a thermocouple sensor was placed in the common femoral vein, as previously described (13). Following these procedures, all subjects undertook four exercise tests in a balanced design, each separated by at least 1.5 hours for recovery (Cycle and KE in both normoxia and hyperoxia (100%  $O_2$ )). In each trial, exercise intensity was incremented progressively every 2 minutes to exhaustion. On a separate day, a percutaneous biopsy of vastus lateralis muscle was obtained (Bergstrom needle) from the CHF patients and controls, as previously described (14). These catheter-based and biopsy studies were then repeated in the CHF patients following 8 weeks of supervised KE training (3 times/week, varied intensity - with overall intensity progressively increased based upon biweekly assessments, 50 min/session/leg), as previously described (15–17). Exercise training compliance was evaluated as a % of training sessions attended. Control subjects did not undergo training and thus were studied only once.

### Exercise modalities

Cycle exercise was performed on an electromagnetically-braked cycle ergometer (Lode Excalibur Sport, Quinton Instruments Inc. Groningen, The Netherlands). During KE, the subject was seated on an adjustable chair with the ankle of one leg attached by a rigid bar to a cycle ergometer (Monark), as previously described ((18);Figure 1).

### Measurements and Calculations

Mixed expired  $O_2$  and  $CO_2$ , expiratory air flow and ECG were continuously recorded and digitized (Parvo Medics, Salt Lake City, UT). Radial arterial and common femoral venous blood samples were collected at rest and during the third minute of each incremental work rate. At each level of work, the following variables were measured from these samples:  $PO_2$ ,  $PCO_2$ , pH (IL model 1302, pH/blood gas analyzer, Instrumentation Laboratories, Milan, Italy), oxyhemoglobin saturation, hemoglobin concentration ([Hb]) (IL 482 co-oximeter), and lysed whole-blood lactate concentrations [La] (YSI 23L blood lactate analyzer; Yellow Springs Instruments, Yellow Springs, OH). Blood gas values were corrected to the temperature measured in the common femoral vein. In addition, common femoral venous blood flow (thermodilution) and arterial and venous pressures were measured (18). Muscle  $O_2$  diffusional conductance ( $D_{M}O_2$ ) and mean capillary  $PO_2$  ( $P_{cap}O_2$ ) were calculated as described previously (19,20). Briefly, a numerical integration procedure is used to determine that value of  $D_{M}O_2$ , assumed constant along the capillary, that produces the measured femoral venous  $PO_2$ , given the measured arterial  $PO_2$ . Additional explicit assumptions of this calculation are: 1) mitochondrial  $PO_2$  is negligibly small at  $VO_{2max}$  and 2) the only explanation of  $O_2$  remaining in the femoral venous blood is diffusion limitation of  $O_2$  efflux

from the muscle microcirculation.  $P_{\text{capO}_2}$  is the numerical average of all  $\text{PO}_2$  values computed, equally spaced in time, along the capillary from the arterial to the venous end. Further technical aspects of these measurements and subsequent calculations have been previously provided in detail (19,20).

### Cardiac output

Cardiac output was measured in duplicate at rest and during exercise using an open-circuit acetylene ( $\text{C}_2\text{H}_2$ ) uptake technique, as described previously (21).

### Catecholamines

Epinephrine and norepinephrine (Ne) were extracted from plasma using a cis-diol-specific affinity gel, acylated, derivatized enzymatically and then assayed by competitive ELISA using the microtiter plate format, as previously described (22). The rate of Ne spillover was determined as described previously (23) using the following equation:

$$\text{Ne spillover} = [(C_v - c_a) + C_a(E_e)] \cdot \text{LPF}$$

where  $C_v$  and  $C_a$  are plasma Ne concentrations in the common femoral vein and radial artery, respectively.  $E_e$  is the fractional extraction of epinephrine, and LPF is the leg plasma flow, determined from leg blood flow and hematocrit.

### Muscle mass

With thigh length, circumferences, and skin-fold measurements, thigh volume was calculated to allow an estimate of quadriceps muscle mass, as utilized previously (18,24). During cycle exercise, the amount of working muscle mass was estimated based on the reported ratio of quadriceps muscle mass to other leg muscles, in a similar fashion as we have previously (25).

### Muscle biopsy handling

The percutaneous biopsy samples from the vastus lateralis (~ 80–100 mg) were equally divided for histochemistry and microscopy, with the former being oriented for future sectioning and then frozen while the latter were glutaraldehyde-fixed.

### Histochemistry

Eight mm-thick transverse sections were cut at  $-24^\circ\text{C}$  on a cryostat (Jung-Reichert Cryocut 1800) and kept at  $-20^\circ\text{C}$  until histochemical processing, which was performed within a week of sectioning. After 5 min fixation in a Guth and Samaha fixative at room temperature, sections were incubated at  $37^\circ\text{C}$  for 1 hr in lead (Pb)-ATPase staining medium to simultaneously stain for fiber types I and II and capillaries (26).

### Tissue preparation for microscopy

The glutaraldehyde-fixed samples were completely cut into thin longitudinal strips and processed for electron microscopy as described previously (27). Electron micrographs for morphometry were taken on 70 mm films with a Zeiss 10 electron microscope.

### Morphometry

The relative cross-sectional area and number of type I and type II fibers was estimated under a light microscope ( $250\times$ ) on histochemical sections by point-counting using an eyepiece square grid test A100 (28). Capillary density (i.e. capillary number per fiber cross-sectional area), capillary-to-fiber ratio (i.e. capillary number per fiber number), capillary number

around a fiber and fiber cross-sectional area were measured by point-counting on 1 $\mu$ m-thick sections examined at a magnification of 400 $\times$  with a light microscope. The volume density of mitochondria per volume of muscle fiber was estimated by point-counting using electron microscopy at a final magnification of 49,000 $\times$  on ultrathin transverse sections.

### Statistical Analysis

Data were analysed using parametric statistics, following mathematical confirmation of normal distribution using Shapiro-Wilk tests. Within-group subject assessments (CHF) were achieved using paired sample *t*-tests or ANOVA for repeated measurements, while CHF patient and control data were compared using unpaired *t*-tests or ANOVA, where appropriate. Following a significant main effect and interaction with an ANOVA, *t*-tests were employed to make *post-hoc* comparisons. Due to the limited sample size and large number of measurements, *post-hoc* comparisons were not corrected for multiple comparisons and this is a recognized limitation of the statistical analyses employed. The relationship between selected variables was identified using a Pearson Product Moment Correlation. Statistical significance was set at  $P < 0.05$ . Data are expressed as means  $\pm$  standard error (SE).

## RESULTS

The Shapiro-Wilk tests revealed  $P$  values  $> 0.05$ ; thus, the null hypothesis that the data were normally distributed was not rejected and parametric statistics were employed.

### Subject Characteristics

Prior to exercise training, the only statistically significant different anthropometric characteristic between the patients and controls was body mass, which was higher in patients. Post-training, body mass was unchanged while quadriceps muscle mass was 15% greater (Table 1). As a consequence of the KE training-induced increase in cycle  $VO_{2max}$  (Table 2), the patients who were, pre-training, predominantly categorized as Weber  $VO_{2max}$  Class C (12) were now predominantly Class A and B.

### Exercise Training Compliance

The individualized approach to training with supervision in the laboratory resulted in a 98% compliance to the prescribed exercise regimen. A single patient did not complete the post training tests due to sickness and so was excluded from all analyses.

### Maximal KE exercise pre- and post-KE training

Maximum work rate during single leg KE increased substantially in the first two weeks of training and continued to rise over the next four weeks, with little measurable increase in the final two weeks of training (Figure 1), on average almost doubling from 19 to 37 watts to equal that of the (untrained) control subjects. Pre-training, cardiac output attained during maximal KE in the patients with CHF was not significantly different than in controls and was not altered by KE training (Table 2). Pre-training, maximum leg  $O_2$  delivery was significantly lower than in controls, but post-training patient maximum leg  $O_2$  delivery increased to equal that of the controls, in both cases driven by differences in blood flow and not  $O_2$  content (Table 2). Leg vascular resistance at maximal exercise was significantly attenuated after exercise training, however, the assessment of  $Ne$  spillover across the muscle bed did not implicate a reduction in muscle sympathetic nerve activity (Table 3). Pre-training,  $D_M O_2$  at maximal KE was significantly lower in CHF patients than controls. Post-training,  $D_M O_2$ , although tending to be higher, was not significantly different from controls ( $P = 0.1$ ) (Table 2, Figures 2 and 3). Pre-training, KE leg  $VO_{2peak}$  was significantly lower in

patients compared to controls. However, post-training, patient leg  $\text{VO}_{2\text{peak}}$  increased and was significantly greater than in controls (Table 2, Figures 1 and 2).

### Maximal cycle exercise pre- and post-KE extensor training

Pre-training, cardiac output attained during maximal cycle exercise in the patients was significantly lower than in the controls and was unaffected by training (Table 2). Pre-training, maximum leg cycle  $\text{O}_2$  delivery in the patients was also significantly lower than in controls, but post-training, maximum leg  $\text{O}_2$  delivery increased to equal that of the controls, in both cases driven by differences in blood flow and not  $\text{O}_2$  content (Table 2). Leg vascular resistance at maximal exercise was significantly attenuated after exercise training, however, as with KE exercise, Ne spillover across the muscle bed did not suggest a reduction in muscle sympathetic nerve activity (Table 3). Pre-training,  $\text{D}_{\text{M}}\text{O}_2$  at maximal cycle exercise in the patients with CHF was significantly lower than in controls. Post-training,  $\text{D}_{\text{M}}\text{O}_2$ , although tending to be higher, was not statistically different from controls ( $P = 0.1$ ) (Table 2, Figures 2 and 3). Both pulmonary and leg  $\text{VO}_{2\text{peak}}$  were significantly lower in the patients compared to controls during maximal cycle exercise pre-training, however, after training, both cycling pulmonary  $\text{VO}_{2\text{peak}}$  and leg  $\text{VO}_{2\text{peak}}$  increased to equal that of controls (Table 2 and Figures 1 and 2).

### Catecholamines

Resting arterial norepinephrine, an index of CHF severity, was significantly higher in the patients both before ( $4.7 \pm 2.9$  nM) and after training ( $4.2 \pm 2.6$  nM) compared to controls ( $3.2 \pm 0.5$  nM) and was not significantly reduced by the exercise training. During cycling, the assessment of arterial and venous catecholamines at maximal exercise revealed a tendency for greater arterial and venous epinephrine and Ne and calculated Ne spillover across the quadriceps in the patients with CHF. However, likely due to large individual variations, this effect only achieved significance for arterial and venous Ne on the cycle ergometer, both before and after training. During KE, the catecholamine data were more similar between patients and controls and there was no apparent impact of training (Table 3).

### Muscle Structure

Prior to training, the muscle characteristics for both patients with CHF and controls were very similar, with no significant difference in fiber cross sectional area, % area of type I and II fibers, capillary density, capillary-to-fiber ratio or number of capillaries around a fiber between patients and controls. However, mitochondrial volume density was significantly lower in the patients with CHF. As a consequence of training, the patients exhibited a significant increase in fiber cross-sectional area, capillary-to-fiber ratio, number of capillaries around a fiber, and mitochondrial volume density. This increase in mitochondrial volume density was such that, post training, there was no longer a difference between patients and controls, as was the case with all other structural variables measured (Table 4).

### 100% $\text{O}_2$ breathing

At both peak cycle exercise and KE prior to and following training, hyperoxic breathing in the patients with CHF increased  $\text{CaO}_2$  by 8–10% ( $P < 0.05$ ), with no significant effect on leg blood flow. Therefore, leg  $\text{O}_2$  delivery also increased by 5–0% ( $P < 0.05$ ), but leg  $\text{VO}_{2\text{peak}}$  was unaffected by this increased  $\text{O}_2$  availability in each scenario.

### Correlative analyses

With the inclusion of all normoxic scenarios studied (i.e. CHF patients and controls on the cycle and KE and patients again on these two modalities after training) there was a wide range of values of  $\text{D}_{\text{M}}\text{O}_2$  and leg  $\text{VO}_{2\text{peak}}$  data. A Pearson's Correlation analysis revealed

that there was a strong and significant relationship ( $r=0.85$ ) between  $D_{M}O_2$  and leg  $VO_{2peak}$  across all these paradigms (Figure 3). An additional correlation, of note, was the relationship between mitochondrial volume and number of capillaries around a fiber. Specifically, the combination of both pre and post KE training data for the CHF patients revealed a significant correlation between these two physiologically important variables (Figure 4).

## DISCUSSION

Here, with the intent to elucidate the mechanisms responsible for improvements in whole body exercise capacity when exercise training of a small muscle mass is employed in patients with CHF, we studied the determinants of  $VO_{2peak}$  during both KE and cycle exercise before and after 8 weeks of KE training. As anticipated, this intervention had no effect upon maximal cardiac output, as this exercise training paradigm only minimally stresses the heart and was therefore unlikely to stimulate central hemodynamic adaptations. In contrast there were a multitude of significant peripheral structural and functional adaptations that contributed to improved patient exercise capacity, both during maximal small muscle mass and whole body exercise. Specifically, in addition to significant training-induced muscle morphometric changes, both convective and diffusive  $O_2$  transport were increased at maximal KE and cycle exercise, yielding a significant increase in  $VO_{2peak}$  in each scenario. These peripheral structural and  $O_2$  transport improvements, without a change in cardiac output, provides evidence of significant peripheral vascular and metabolic plasticity in this population that can be developed in isolation with small muscle mass training and then harnessed to the benefit of whole body exercise capacity. Additionally, these findings highlight the importance of skeletal muscle specific adaptations in patients with CHF allowing the contributions of these peripheral factors to be partitioned, perhaps guiding clinical interventions in the future.

### Small muscle mass exercise training-induced changes in $O_2$ transport

Previously (7), building upon the initial work of Poole and Musch in a rat model of CHF (5,6), we have documented the contributions of both the convective (bulk delivery of  $O_2$ ) and diffusive (movement of  $O_2$  from hemoglobin to mitochondria) elements in determining  $VO_{2peak}$  in CHF patients and contrasted these findings with age and activity matched healthy controls. In the current study we have the opportunity to elucidate the effect of small muscle mass training, which did not alter maximal cardiac output, in patients with CHF, on the convective and diffusive components of  $O_2$  transport that ultimately determine  $VO_{2peak}$ , again with age and activity matched controls as a reference (tables 1 and 2). Here, the main findings are that deficits in  $O_2$  delivery and  $D_{M}O_2$  that result in an attenuated  $VO_{2peak}$  are evident equally at maximal exercise, whether performed on a cycle or KE ergometer, and can be restored to, or even exceed (i.e. KE  $VO_{2peak}$ ), levels of normal but untrained controls by 8 weeks of KE training (figures 2, 3, and 5).

One method to better understand these interactions is by the representation of both the diffusive component of  $O_2$  transport, that is defined by Fick's law of diffusion ( $VO_2 = D_{M}O_2 * K * PvO_2$ , where K is a constant that takes into account the proportionality between mean capillary  $PO_2$  and  $PvO_2$  and the assumption that intracellular  $PO_2$  is very close to zero (13), and the convective component, that is defined by the Fick Principle ( $VO_2 = \text{blood flow} * (CaO_2 - CvO_2)$ ), in a model that links  $VO_{2peak}$  and effluent muscle  $PO_2$  ( $PvO_2$ ) to explain limitations to maximal exercise capacity (Figure 4)(29). At this point, it is important to recognize that based upon our previous work (7) and the current findings, the same schematic can be used to illustrate the results of both KE and cycle exercise studies. This is because, despite the fact that maximal cycle exercise undoubtedly completely taxed cardiac output capacity and KE had a cardiac reserve (7), prior to exercise training both the convective and diffusive components of  $O_2$  transport of the patients were attenuated,

regardless of exercise modality (Figures 2 and 5) and then were restored in both modalities in a remarkably similar fashion as a consequence of KE training.

With this model of the determinants of  $VO_{2peak}$ , it can be seen (Figure 5) that if before KE training the only difference between the controls and the CHF patients were the reduced convective component of  $O_2$  transport (Table 2), then  $VO_{2peak}$  would have fallen from A to B as a result of this pathology (6). However, in addition to the lower convective component of  $O_2$  transport, the patients with CHF also revealed a significantly attenuated  $D_M O_2$  (diffusive component) (Figures 2, 3, and 5) which actually resulted in an even greater fall in peak  $VO_{2peak}$  from A to C (Figure 5). Likewise, this graphical illustration of the current data reveals that the consequence of exercise training was the result of not only a blood flow driven increase in convective  $O_2$  transport (C to D) or the restoration of  $D_M O_2$  to that of controls (C to B) or higher, but a combination of these phenomenon (C to E) that resulted in the large increase in  $VO_{2peak}$  (Figures 1, 2, 3, and 5). These findings have several important implications. First, that patients with CHF exhibit significant plasticity in terms of their ability to respond to exercise training both in terms of skeletal muscle blood flow changes and diffusional  $O_2$  transport. Second, both of these KE training-induced adaptations (augmented peripheral convective and diffusional  $O_2$  transport) translate into improvements in whole body exercise capacity (cycle) without a requisite improvement in cardiac function.

### Catecholamines and $O_2$ delivery

Neurohumoral activation, including increased sympathetic nervous system activity (SNA), is a hallmark of advanced CHF and those patients with the greatest SNA have the poorest chance of survival (30). So strong is this link, virtually every pharmacologic therapy proven to increase survival in CHF interrupts this increase in SNA (31). Exercise training, on the other hand, has clear beneficial effects, but data regarding the effect of exercise training on SNA in CHF have been equivocal. For example direct SNA measurements with microneurography revealed a clear reduction in resting SNA in patients with CHF following exercise training (32), while a study, more similar to the current work, evaluated patients with CHF before and after 8 weeks of two-legged KE training. This study yielded many apparent benefits, but training did not alter resting Ne levels (33). The current study supports the latter findings, with resting arterial norepinephrine levels being significantly higher than the healthy controls before KE training and this difference was maintained following the exercise intervention, although it should be noted that there was a tendency for levels to decrease. Additionally, during exercise there was evidence of relatively elevated Ne levels in the patients with CHF and a tendency for greater vascular resistance, attenuated blood flows, and consequently significantly attenuated  $O_2$  delivery prior to exercise training, which was reversed by KE training despite unremarkable changes in Ne levels (Tables 2 and 4). Thus, although somewhat indirect, the current data fail to support an obligatory association between the benefits of exercise training and a reduction in SNA in patients with CHF. It is, of course, plausible that other exercise training-induced vascular adaptations, such as improved vascular endothelial function, were responsible for the reduction in vascular resistance in the patients with CHF, but this is beyond the scope of the current study. Also in terms of all the catecholamine data, it is interesting to note the much more similar findings in the patients and controls during KE exercise compared to cycle exercise, which is likely a consequence of the far less globally taxing nature of KE exercise.

### Skeletal muscle structural plasticity

A significant strength of the current study was the potential to not only perform the functional assessment  $VO_{2peak}$  and dissect changes in the determining factors of this important variable, but also to directly examine concomitant changes in muscle structure (Table 4). Other studies of skeletal muscle structure and function within and between



species have revealed design features that are uniform throughout muscles of widely varying metabolic demand. Such studies have revealed that the size of the capillary-to-fiber interface is matched to mitochondrial volume/fiber length in response to stimuli such as chronic hypoxia (34), electrical stimulation (35), and physical activity (36). These observations suggest another regulated design feature in skeletal muscle is the matching of structural capacity for O<sub>2</sub> flux to fiber metabolic demand, as we have previously reported (36). The current data (Figure 4), in which mitochondrial volume and capillarity and the changes in these variables due to KE training appear to be well related, again reveals a positive and physiologically expected relationship in patients with CHF. Thus, not only does this study document a degree of normalcy in these patients in terms of the components of O<sub>2</sub> supply and demand, but it also emphasizes the fact that they retain the plasticity to respond to an exercise training stimulus (Figure 4).

### **Skeletal muscle metabolic reserve and exercise training**

Previously, we have documented that by switching from a large (cycle) to a small muscle mass (KE) exercise modality a cardiac reserve was available to both patients with CHF and controls (7) and this was again the case in these subjects (Table 2). This central reserve, with the appropriate normalization to muscle mass involved in the exercise (25), translated into a clear improvement in muscle blood flow and metabolic capacity in patients with CHF and controls that was accessible during KE (Table 2). This observation is of specific interest in light of the many previous studies that have suggested CHF-induced skeletal muscle exhibits a shift in fiber type distribution, reduced oxidative capacity, reduced mitochondrial based enzymes, decreased mitochondrial volume density, all as a consequence of either muscle atrophy or myopathy, or both (1,37–39). Therefore, in this population, it is a significant observation that there still appears to be a metabolic reserve at maximal exercise, apparent when skeletal muscle is freed from the restraints imposed by the failing heart and that KE training can augment this reserve.

By increasing O<sub>2</sub> availability, breathing 100% O<sub>2</sub>, we have previously also documented that patients with CHF can exhibit a skeletal muscle metabolic reserve (7). That is, when breathing hyperoxia which resulted in an elevated arterial O<sub>2</sub> content, these patients were able to achieve a 5% greater cycle work rate and a 7% increase in VO<sub>2peak</sub> and interestingly this hyperoxic benefit was not achieved during KE. The current patients contrast with this previous report, responding more in line with the healthy controls, who failed to increase VO<sub>2peak</sub> above that attained in normoxia when breathing hyperoxia in both modalities (7). This failure to improve exercise capacity when provided with greater O<sub>2</sub> availability (40,41), suggests that in these patients ambient O<sub>2</sub> was either perfectly matched or in excess of basal muscle metabolic capacity. This sets the stage for a reassessment following an exercise-induced increase in metabolic capacity. Somewhat disappointingly, 8 weeks of KE training and subsequent testing in hyperoxia did not significantly elevate VO<sub>2peak</sub> above the level achieved in normoxia either during KE or cycle exercise, despite clear increases in mitochondrial volume (Tables 2 and 4). A potential explanation for this conundrum may be related to the actual delivery of this increased O<sub>2</sub> in the microcirculation and the subsequent matching of O<sub>2</sub> supply and metabolic demand in this population, but this hypothesis is not addressed in the current study and will therefore require further investigation.

### **Experimental consideration**

Although  $\beta$ -blockers were withheld from the patients for 48 hours prior to testing, to allow an unrestrained heart response to maximal exercise, other patient medications were not altered throughout the study. As documented in Table 1, the medication regimen of these patients was considerable, many with direct vascular consequences. Therefore, although unavoidable for ethical reasons, the potential impact of these medications and possible

interaction with the KE training cannot be disregarded. This is a significant limitation of both the current study and the majority of work performed in this population. It should also be noted that, as with the majority of studies in patients with CHF, this work focused upon patients with a NYHA Classification of II and III and not the most impacted patients (Class IV). This was not due to concern regarding exercise testing, but rather a consequence of subject availability due to the more common use of left ventricular assist devices and early heart transplantation in the most severely affected patients. This may have skewed the data in terms of the potential impact of CHF on exercise capacity and exercise training-induced plasticity, however, due to the aforementioned interventions NYHA Class II and III CHF patients are now the predominant category in this population.

## CONCLUSIONS

In conclusion, despite continued central hemodynamic limitations, clear improvements in muscle structure, peripheral convective and diffusive O<sub>2</sub> transport, and subsequently O<sub>2</sub> utilization, both reveal the mechanisms of, and provide support for, the efficacy of local skeletal muscle training as a powerful approach to decrease exercise intolerance in patients with CHF. These mechanistic findings may have important practical consequences in terms of guiding future pharmacologic and rehabilitative interventions in this population.

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## Abbreviations

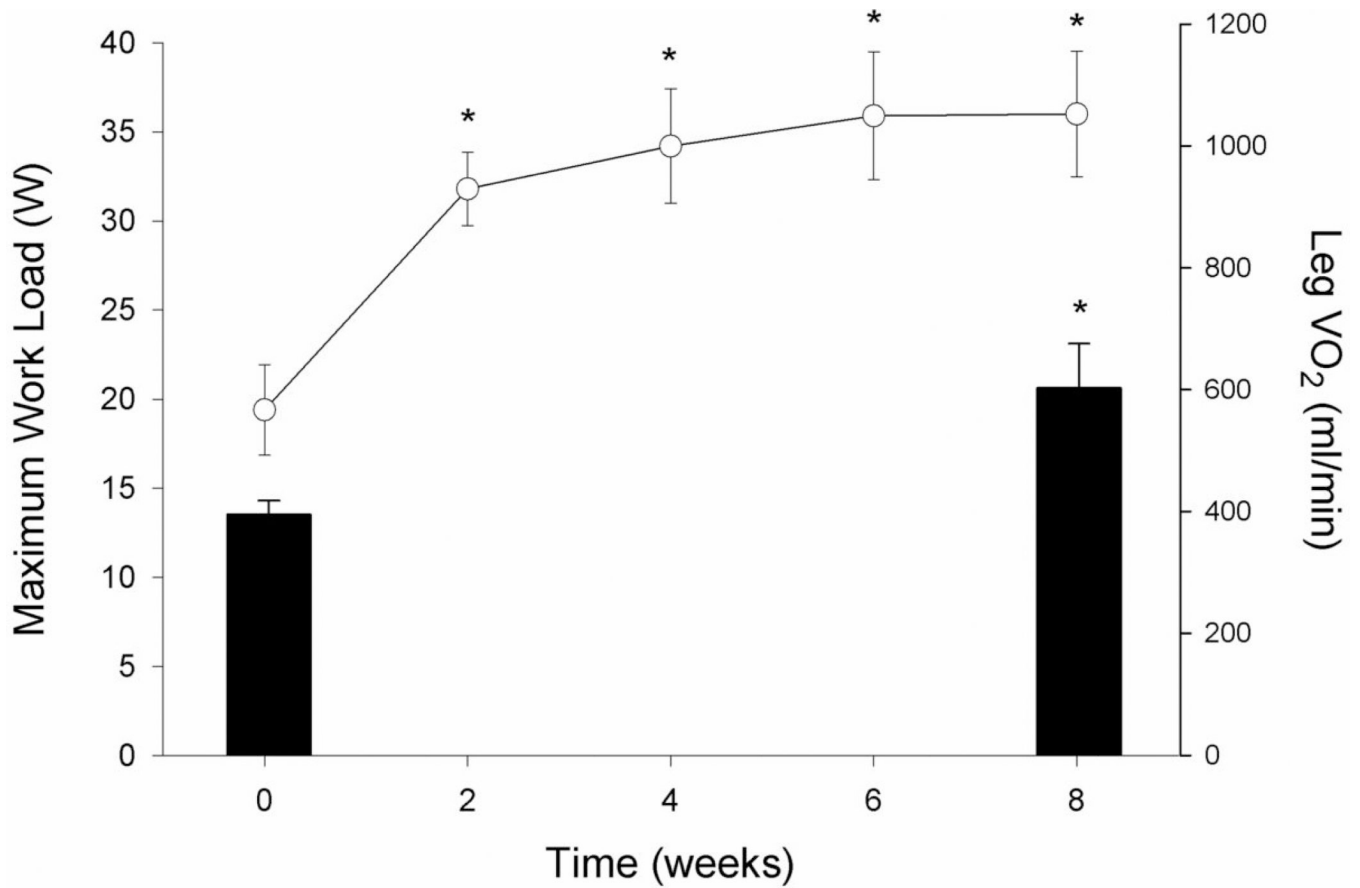
<b>CHF</b>	chronic heart failure
<b>VO<sub>2</sub></b>	oxygen uptake
<b>KE</b>	knee-extensor exercise
<b>C<sub>a</sub>O<sub>2</sub></b>	arterial O <sub>2</sub> concentration
<b>DMO<sub>2</sub></b>	O <sub>2</sub> conductance
<b>Ne</b>	norepinephrine
<b>QO<sub>2</sub></b>	oxygen delivery

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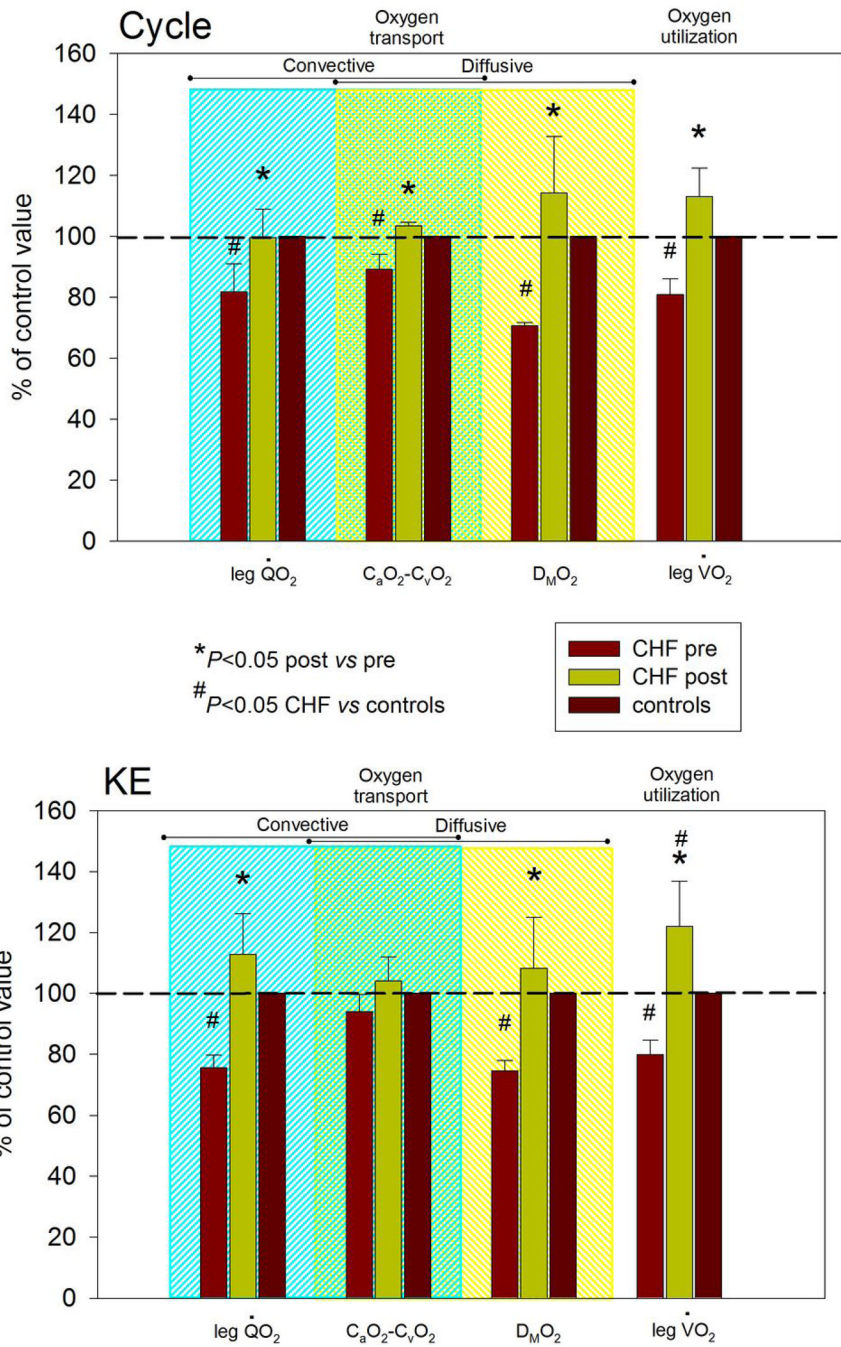
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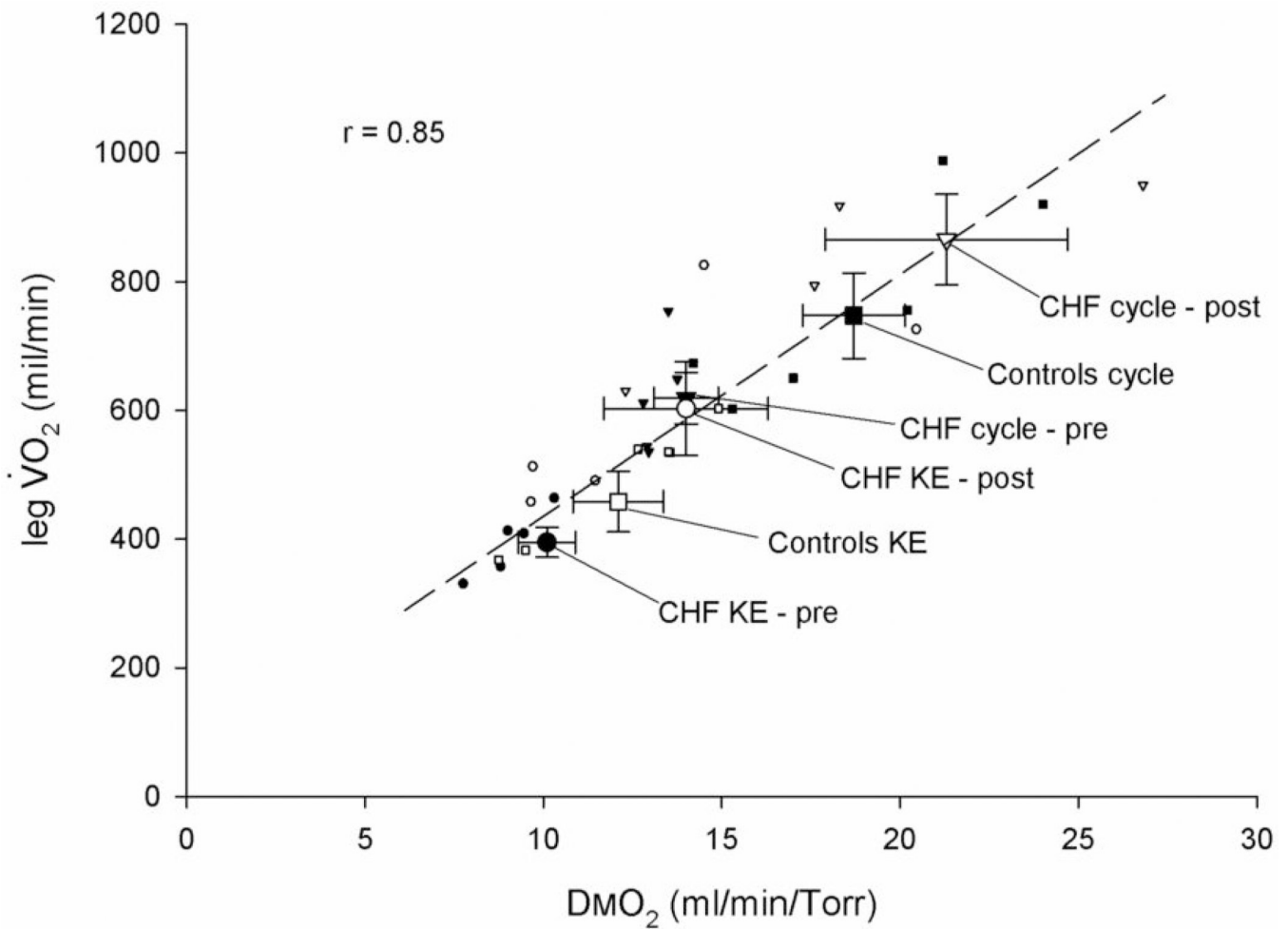


**Figure 1. The time course for maximal knee-extensor (KE) work rate improvement over 8 weeks of KE training and pre and post training muscle VO<sub>2peak</sub> in patients with CHF**

Line plot represents maximum work load assessed every 2 weeks (left y axis) and bars represent leg VO<sub>2</sub> assessed before and after exercise training (right y axis). \* $P < 0.05$  vs pre training condition.

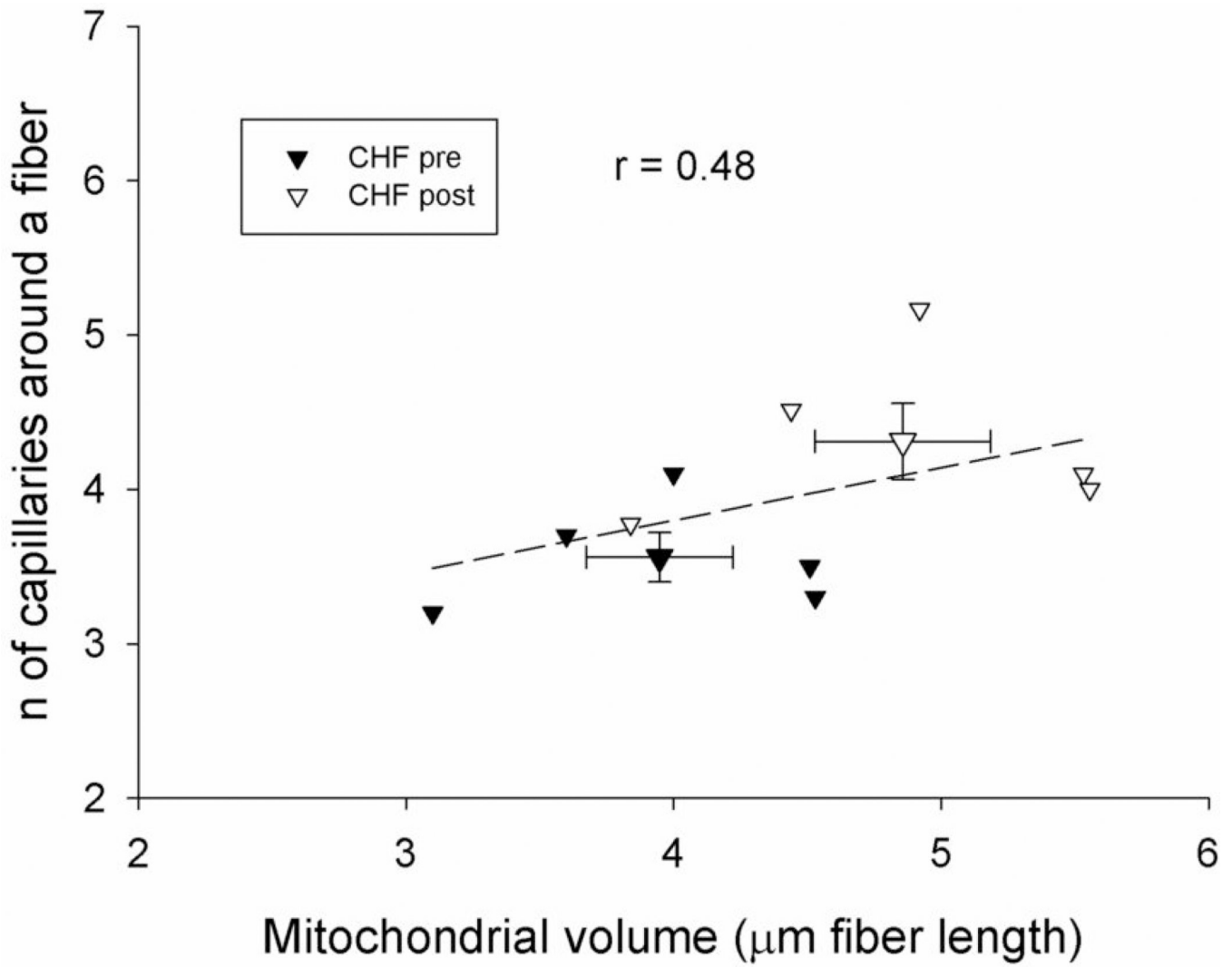


**Figure 2. A comparison of oxygen transport and utilization parameters assessed at maximal cycle (upper panel) and knee-extensor exercise (KE) (lower panel) both before and after KE training in patients with chronic heart failure (CHF) (n=5) normalized to values from healthy controls (n=8)**  
 $\text{leg } \dot{V}O_2$ , one-leg  $O_2$  uptake;  $\dot{Q}O_2$ , one-leg  $O_2$  delivery;  $C_aO_2 - C_vO_2$ , arterial-venous  $O_2$  content difference.



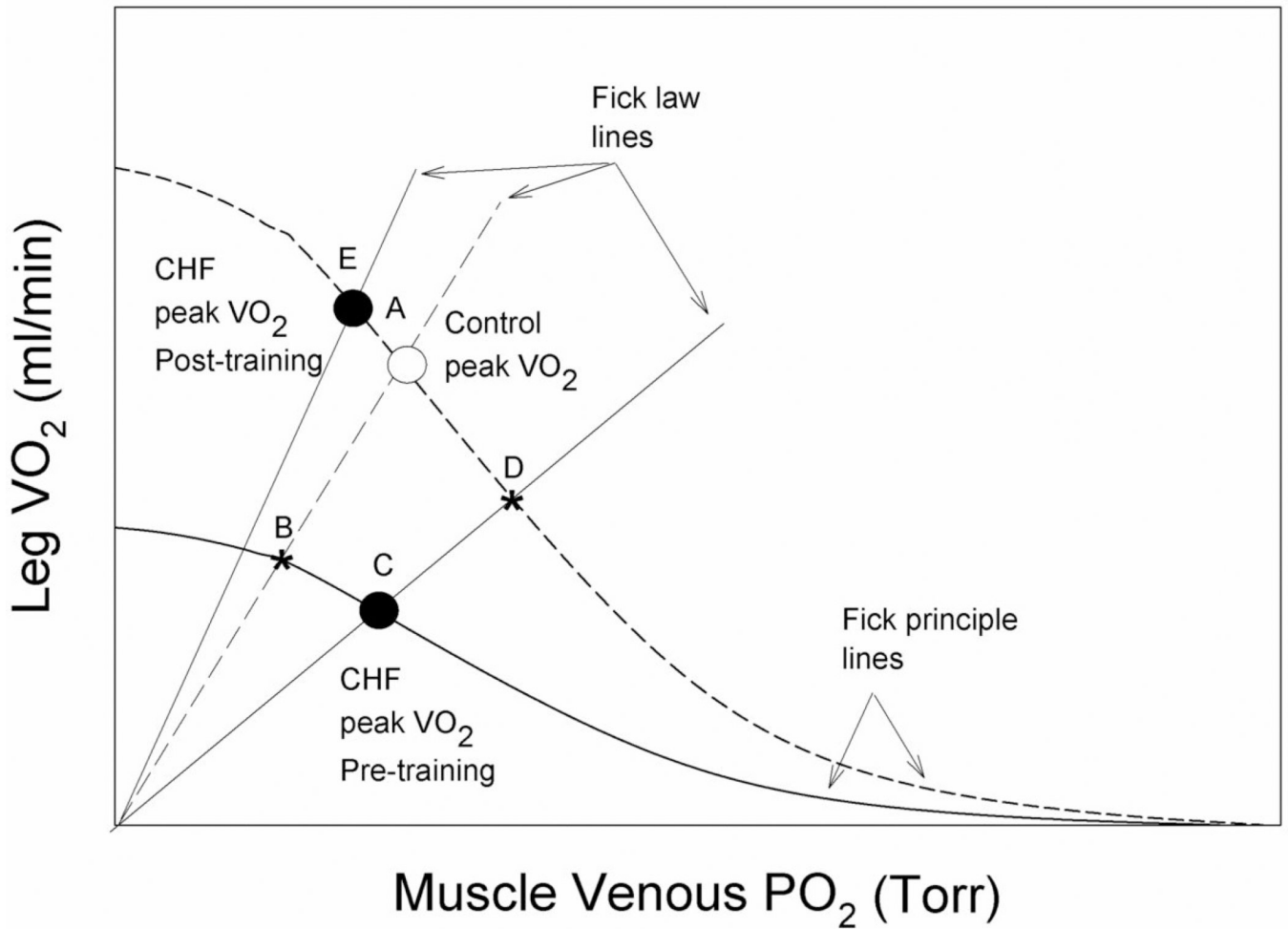
**Figure 3.** The improvement in skeletal muscle diffusional conductance ( $DMO_2$ ) in relation to leg  $\dot{V}O_{2\text{peak}}$  during maximal bike and knee-extensor exercise (KE) afforded by 8 weeks of KE training in patients with CHF, compared with healthy controls

Note the correlation coefficient only represents the relationship between the individual data.



**Figure 4. Correlation between the number of capillaries around a fiber and mitochondrial volume in patients with CHF before and after 8 weeks of knee-extensor training**  
Note the correlation coefficient only represents the relationship between the individual data.





**Figure 5. A schematic illustration of the convective and diffusive components that interact to determine peak oxygen uptake ( $VO_{2peak}$ ) in both chronic heart failure and control subjects during cycle (large muscle mass) and knee-extensor exercise (KE, small muscle mass) and the subsequent changes as a consequence KE training**

Dotted lines represent Fick law and Principle lines for the controls (intersecting at A; control  $VO_{2peak}$ ), while the solid lines represent the patients with CHF. Following training both groups share the dotted Fick Principle line. In both exercise modalities, prior to training, the patients with CHF exhibited attenuated convective and diffusive oxygen transport as evidenced by their  $VO_{2peak}$  being defined by the intercept of lower Fick law and principle lines (C). With B indicating the less severe reduction in  $VO_{2peak}$  had the patients only revealed a reduction in convective  $O_2$  transport. KE training corrected both of these deficits, without increasing cardiac output, restoring both skeletal muscle convective and diffusive  $O_2$  transport and therefore allowing  $VO_{2peak}$  to equal or exceed (KE) that of the healthy controls (E). Letter D represents the consequence of exercise training if the increase in  $VO_{2peak}$  had only been driven by an increase in convective  $O_2$  transport (C to D).

**Table 1**

Characteristics of patients with CHF and controls.

	CHF pre	CHF post	Controls
Age (yrs)	54 ± 14	54 ± 14	51 ± 8
Height (cm)	182 ± 6	182 ± 6	179 ± 7
Body Mass (kg)	100 ± 4 <sup>#</sup>	101 ± 4 <sup>#</sup>	90 ± 11
Knee extensor (one leg) Muscle Mass (kg)	2.6 ± 0.3	3.0 ± 0.4 <sup>*#</sup>	2.4 ± 0.4
NYHA class	II–III	II–III	-
<b>Medications</b>	<b>% using</b>	<b>% using</b>	<b>% using</b>
Digoxin	100%	100%	0%
Diuretics	100%	100%	0%
Long-acting nitrates	80%	80%	0%
Statins	60%	60%	0%
Aspirin	80%	80%	0%
β-blockers	100%	100%	0%
Warfarin	40%	40%	0%
ACE inhibitors	80%	80%	0%
Ca <sup>++</sup> Channel blockers	40%	40%	0%

NYHA, New York Heart Association; Results are expressed as mean ±SD.

\*  $P < 0.05$  (post vs pre);<sup>#</sup>  $P < 0.05$  (CHF vs controls).

Cardiorespiratory and metabolic responses to maximal normoxic cycle and kneeextensor exercise (KE) in patients with chronic heart failure and controls.

**Table 2**

	CYCLE			KE		
	CHF pre	CHF post	Controls	CHF pre	CHF post	Controls
Work load (watts)	115 ± 13 <sup>#</sup>	141 ± 16 <sup>*</sup>	148 ± 8	19 ± 2 <sup>#</sup>	37 ± 5 <sup>*</sup>	35 ± 4
Pulm VO <sub>2</sub> (L·min <sup>-1</sup> )	1.63 ± 0.09 <sup>#</sup>	2.02 ± 0.15 <sup>*</sup>	2.14 ± 0.10	0.76 ± 0.08 <sup>#</sup>	1.08 ± 0.05 <sup>*</sup>	1.12 ± 0.09
Pulm VO <sub>2</sub> (ml·kg <sup>-1</sup> ·min <sup>-1</sup> )	15.3 ± 1.2 <sup>#</sup>	18.6 ± 1.6 <sup>**</sup>	24.1 ± 1.1	7.0 ± 0.4 <sup>#</sup>	9.9 ± 0.7 <sup>*</sup>	12.7 ± 1.2
RER	1.21 ± 0.02 <sup>#</sup>	1.08 ± 0.06	1.05 ± 0.02	1.11 ± 0.12 <sup>#</sup>	1.06 ± 0.06 <sup>#</sup>	0.86 ± 0.03
Cardiac Output (L·min <sup>-1</sup> )	13.6 ± 1.2 <sup>#</sup>	14.3 ± 1.4 <sup>#</sup>	16.8 ± 0.8	9.0 ± 1.2	9.4 ± 1.1	10.9 ± 1.2
HR (b·min <sup>-1</sup> )	155 ± 5	149 ± 9	156 ± 9	101 ± 6 <sup>#</sup>	107 ± 7	116 ± 6
Leg blood flow (L·min <sup>-1</sup> )	4.62 ± 0.46	5.49 ± 0.52 <sup>*</sup>	5.19 ± 0.37	3.09 ± 0.24	4.27 ± 0.46 <sup>**</sup>	3.6 ± 0.24
Leg O <sub>2</sub> delivery (L·min <sup>-1</sup> )	0.86 ± 0.10 <sup>#</sup>	1.05 ± 0.09 <sup>*</sup>	1.06 ± 0.07	0.53 ± 0.03 <sup>#</sup>	0.79 ± 0.09 <sup>*</sup>	0.7 ± 0.04
Leg VO <sub>2</sub> (L·min <sup>-1</sup> )	0.62 ± 0.04 <sup>#</sup>	0.87 ± 0.07 <sup>*</sup>	0.77 ± 0.06	0.39 ± 0.02 <sup>#</sup>	0.60 ± 0.07 <sup>**</sup>	0.49 ± 0.03
DMO <sub>2</sub> (ml·min <sup>-1</sup> ·mmHg <sup>-1</sup> )	14.0 ± 0.9 <sup>#</sup>	21.3 ± 3.4 <sup>*</sup>	18.7 ± 1.3	10.1 ± 0.8 <sup>#</sup>	14.0 ± 2.3 <sup>*</sup>	12.1 ± 0.9
Fem arterial pressure (mmHg)	123 ± 15	118 ± 8	132 ± 14	113 ± 3 <sup>#</sup>	123 ± 7 <sup>#</sup>	149 ± 9
Fem venous pressure (mmHg)	11 ± 1 <sup>#</sup>	16 ± 2 <sup>*</sup>	19 ± 3	16 ± 2	18 ± 2	16 ± 4
Leg Vasc Res (mmHg ml sec)	1.50 ± 0.22	1.14 ± 0.11 <sup>*</sup>	1.48 ± 0.25	1.89 ± 0.20 <sup>#</sup>	1.58 ± 0.23 <sup>**</sup>	2.31 ± 0.24
CaO <sub>2</sub> (ml·100ml <sup>-1</sup> )	18.8 ± 1.0 <sup>#</sup>	19.2 ± 0.5 <sup>#</sup>	20.4 ± 0.2	17.4 ± 1.0 <sup>#</sup>	18.6 ± 0.9	19.6 ± 0.3
CaO <sub>2</sub> -CvO <sub>2</sub> (ml·100ml <sup>-1</sup> )	13.7 ± 0.8 <sup>#</sup>	15.8 ± 0.2 <sup>*</sup>	15.3 ± 0.8	13.0 ± 0.8	14.3 ± 1.1 <sup>*</sup>	13.8 ± 0.5
[La] <sub>a</sub> (mM)	6.3 ± 0.9	6.8 ± 1.4	6.4 ± 0.8	2.7 ± 0.3	3.4 ± 0.5	3.2 ± 0.3
P <sub>cap</sub> O <sub>2</sub> (mmHg)	42.4 ± 1.1	41.2 ± 2.8	41.3 ± 2.0	38.5 ± 0.8 <sup>#</sup>	43.6 ± 2.4 <sup>*</sup>	41.3 ± 0.8

Pulm VO<sub>2</sub>, pulmonary VO<sub>2</sub>; RER, respiratory exchange ratio; HR, heart rate; leg VO<sub>2</sub>, one-leg O<sub>2</sub> uptake; DMO<sub>2</sub>, muscle O<sub>2</sub> diffusional conductance; Leg Vasc Res, one-leg vascular resistance; CaO<sub>2</sub>, arterial O<sub>2</sub> content; CaO<sub>2</sub>-CvO<sub>2</sub>, arterial - venous O<sub>2</sub> content difference; [La]<sub>a</sub>, arterial lactate concentration; P<sub>cap</sub>O<sub>2</sub>, calculated partial pressure of O<sub>2</sub> in the capillaries of the exercising muscle. Results are expressed as mean ± SE.

\* *P*<0.05 (post vs pre);

# *P*<0.05 (CHF vs controls).

**Table 3**

Arterial and venous epinephrine, norepinephrine (Ne), and calculated Ne spillover from the muscle during maximal cycle and knee-extensor exercise (KE) in controls and patients with chronic heart failure.

	CYCLE				KE		
	CHF pre	CHF post	Controls	CHF pre	CHF post	Controls	
[e] <sub>la</sub> (nM)	2.2 ± 0.5	3.6 ± 1.5	1.0 ± 0.12	0.9 ± 0.3	1.3 ± 0.5	0.61 ± 0.1	
[e] <sub>lv</sub> (nM)	1.8 ± 0.48	3.4 ± 1.5	0.75 ± 0.12	0.8 ± 0.3	1.1 ± 0.42	0.49 ± 0.1	
[Ne] <sub>la</sub> (nM)	27.2 ± 6.1 <sup>#</sup>	30.1 ± 5.5 <sup>#</sup>	18.3 ± 3.5	6.0 ± 0.9	8.0 ± 0.8	8.6 ± 2.2	
[Ne] <sub>lv</sub> (nM)	24.0 ± 4.3 <sup>#</sup>	32.1 ± 4.4 <sup>#</sup>	17.6 ± 5.2	6.9 ± 1.1	8.5 ± 1.2	9.3 ± 2.1	
Ne Spillover (nM·min <sup>-1</sup> )	10.7 ± 3.1	10.2 ± 3.7	7.9 ± 2.3	4.3 ± 2.4	5.0 ± 2.7	4.9 ± 1.7	

[e]<sub>la</sub>, arterial epinephrine concentration; [e]<sub>lv</sub>, femoral venous epinephrine concentration; [Ne]<sub>la</sub>, arterial norepinephrine concentration; [Ne]<sub>lv</sub>, femoral venous norepinephrine concentration; Ne spillover, norepinephrine spillover in one leg. Results are expressed as mean ±SE.

<sup>#</sup> = P < 0.05 (CHF vs. controls).

**Table 4**

## Vastus lateralis muscle characteristics

	CHF pre	CHF post	Controls
Fiber cross-sectional area ( $\mu\text{m}^2$ )	3458 $\pm$ 204	4074 $\pm$ 215*	3853 $\pm$ 606
% area of type I fibers	34 $\pm$ 4	41 $\pm$ 3*	41 $\pm$ 4
% area of type II fibers	66 $\pm$ 4	59 $\pm$ 3*	59 $\pm$ 4
Capillary density (capillaries $\cdot\text{mm}^{-2}$ )	498 $\pm$ 23	484 $\pm$ 23	415 $\pm$ 46
Capillary-to-fiber ratio	1.6 $\pm$ 0.1	1.8 $\pm$ 0.1*	1.5 $\pm$ 0.10
Number of capillaries around a fiber	3.6 $\pm$ 0.2	4.3 $\pm$ 0*	3.8 $\pm$ 0.1
Mitochondrial volume density (%)	3.8 $\pm$ 0.3 <sup>#</sup>	4.9 $\pm$ 0.4*	4.4 $\pm$ 0.4

\*  $P < 0.05$  (post vs pre);

<sup>#</sup>  $P < 0.05$  (CHF vs controls)