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# **Quantifying Negative Feedback Regulation by micro-RNAs**

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# **Abstract**

Micro-RNAs (miRNAs) play a crucial role in post-transcriptional gene regulation by pairing with target mRNAs to repress protein production. It has been shown that over one-third of human genes are targeted by miRNA. Although hundreds of miRNAs have been identified in mammalian genomes, the function of miRNA-based repression in the context of gene regulation networks still remains unclear. In this study, we explore the functional roles of feedback regulation by miRNAs. In a model where repression of translation occurs by sequestration of mRNA by miRNA, we find that miRNA and mRNA levels are anti-correlated, resulting in larger fluctuation in protein levels than theoretically expected assuming no correlation between miRNA and mRNA levels. If miRNA repression is due to a catalytic suppression of translation rates, we analytically show that the protein fluctuations can be strongly repressed with miRNA regulation. We also discuss how either of these modes may be relevant for cell function.

### **Keywords**

microRNA; negative feedback regulation; sequestration model; mean field theory; kinetic suppression model; linear noise approximation

# **1 Introduction**

miRNAs are short (on average of 22 nucleotides long), non-coding RNA molecules that act as post-transcriptional regulators [1]. miRNAs regulate gene expression by base-pairing to target mRNA molecules at conserved sites in the 3′untranslated regions of mRNAs, ultimately leading to a reduction in the levels of protein encoded by the target mRNA [2]. Extensive evidence suggests that this suppression can occur by either the repression of translation or induction of mRNA degradation. In the former, miRNAs act as catalytic factors, preventing the initiation of translation, suppressing the production of proteins. In the latter, miRNAs act in a non-catalytic fashion, leading to the degradation of the target mRNA and the miRNA itself. Through either mechanism, miRNAs can keep gene products at extremely low copy numbers. Although thousands of mammalian genes are potentially targeted by miRNAs [2] and miRNAs have been identified as the primary negative regulators of gene expression, the functions of miRNAs in the context of gene networks are still not well understood [3, 4, 5, 6].

Of particular relevance is the accumulating evidence that small non-coding RNAs combine with trancsriptional activators and repressors to regulate key developmental events [4, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16]. Bioinformatic analysis have identified an abundance of

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negative feedback motifs involving miRNAs and transcriptional activators and repressors that control differentiation [3, 4, 6, 17]. These observations imply the existence of considerable crosstalk between the miRNA-mediated posttranscription layer, and the transcriptional regulation layer, whose dominant players, the transcription factors (TFs), regulate the production of protein-coding mRNAs. Analysis of transcription factor mediated feedback loops suggests that they serve to maintain protein expression at a fixed level. In this way, negative feedback loops buffer against fluctuations arising from environmental variations as well as intrinsic stochasticity of biochemical reactions, imparting precision and robustness to regulation of gene expression. However, it is not clear whether miRNAmediated negative feedback regulation similarly acts to suppress fluctuations in TF numbers. Moreover, it is not known how the feedback regulation of TF levels by miRNAs impact the activation of other genes regulated by the same TFs.

To address these questions, we study the dynamics of a negative feedback circuit consisting of a TF that activates the production of a miRNA, which in turn acts as a translational repressor of the transcription factor. This model circuit is motivated by a recent study suggesting that transcription factor, *pitx3* and the microRNA, miR-133b, form a negative feedback circuit in midbrain dopamine neurons [4]. *Pitx3* is a transcription factor for genes that mark the differentiation of precursor cells into dopaminergic neurons in the mammalian midbrain. These neurons release dopamine, an important neuromodulator involved in motivated behavior, learning and memory, and the loss of these neurons results in Parkinson's disease. miR-133 suppresses the translation of *pitx3* mRNA while *pitx3* induces transcription of miR-133b. Thus, the control of *pitx3* levels by this feedback circuit may play a vital role in the maintenance and survival of dopaminergic neurons.

We propose two simplified models which implement the non-catalytic and catalytic mode of translational repression by miRNA: which we term the sequestration model and the kinetic suppression model respectively. We show that these arise as limiting cases of a more complete model of miRNA based repression. We characterize and compare the steady-state behavior and noise properties of the two different modes of action of miRNA in this circuit. Specifically, we ask 1) how is the intrinsic noise of a gene network influenced by miRNA regulation and 2) whether miRNA: mRNA degradation and degradation-independent translational repression have a similar effect on the noise properties of the network. Finally, we show that these two modes of translational repression have distinct effects on genes controlled by the common transcription factor.

## **2 Results**

miRNAs are transcribed from independent miRNA genes or are portions of introns of protein-coding RNA polymerase II transcripts as precursor RNAs that are processed by the enzymes, Dicer and Drosha. The processed miRNA is assembled into a characteristic stem loop structure, cleaved into single strands and loaded onto specialized proteins of the Argonaute (Ago) family, forming an RNA-induced silencing complex (RISC). The RISC complex can then bind to its target mRNA at complementary sequences (7–8 nucleotides long) in the untranslated 3' region of the target. This binding leads to suppression of translation in a number of different ways [18, 19]. Perfect or almost perfect complementary leads to the cleavage of miRNA-mRNA duplex [1, 20]. However, this mechanism is relatively rare in animals [1, 21]. Instead, miRNAs tend to destabilize mRNAs by deadenlylation, leading to marked reduction in their abundance, and a consequent decrease in protein levels. The most prevalent mechanism of miRNA action is to repress translation by blocking steps in translation initiation or elongation [1, 19]. The repressed mRNAs accumulate into specialized protein aggregates called P-bodies, where they are either degraded or stored. Importantly, accumulation of miRNA/mRNA complexes into these P-

bodies is correlated with fewer translating ribosomes, leading to lowered translational output for that mRNA. In all cases, mRNA and miRNA can pair with each other in a stoichiometric fashion and move to a translationally incompetent pool.

Extensive work has shown that the complex machinery of gene expression can be described by a coarse-grained model that treats transcription of mRNA and translation of the mRNA message into proteins as discrete events, lumping together many of the component steps into single processes. We assume that the gene encoding the transcription factor (TF) is transcribed at a rate *αm*, possibly specified by upstream environmental factors and translated from the mRNA at a constant rate  $k_p$ . The TF is degraded at a constant rate  $\gamma_p$ . The transcription factor in turn activates miRNA synthesis. Thus, the rate of miRNA synthesis,  $a_{\mu}$  is a function of the number of transcription factors modeled as a Hill function:  $\alpha_u = \sigma p^n / (p^n + k_d^n)$ , where  $\sigma$  is the constant transcriptional rate with sufficient TFs,  $k_d$  is the dissociation constant of transcription factor complex from the promoter region of miRNA gene, and *n* is the Hill coefficient. A coefficient of one indicates TFs bind to the gene regulatory region independently of each other and coefficients greater than one indicate positive cooperativity between TFs. As many TFs dimerize and activate the transcription of the miRNA (i.e., fos/fos, fos/jun, creb, etc.), we choose a Hill exponent of 2. Thus, the

transcriptional rate of miRNA can be written as  $\alpha_u = g(p) = \sigma p^2 / (p^2 + k_d^2)$ . This implicitly assumes that the dimerization of the transcription factors and their binding to the miRNA gene promoter is rapid relative to other timescales in the system. However, we note that relaxing the dimerization assumption does not qualitatively alter our results below. Note that here we have assumed that the promoters of the TF and miRNA genes are always active with no "bursting" due to remodeling of the chromatin environment [22, 23, 24]. Both mRNA and miRNA are degraded at constant rates *γm* and *γμ* respectively. Figure 1 shows a schematic diagram of the generic miRNA based feedback network. The component processes of translational regulation by miRNA have been described by Levine et al. and can be generalized to include the negative feedback (Supplementary Information). A key parameter in this model the probability with which miRNA is co-degraded with the mRNA in the processed state. Considering the limit where miRNA and mRNA interact as an irreversible second-order process that forms a RISC complex at a constant rate *κ*, yields the "sequestration model ". Importantly, we note that the suppression of translation following miRNA/mRNA interaction is relatively rapid [18], justifying our assumption that the mRNA/RISC complex is effectively incapable of translation. On the other hand, assuming that the miRNA is released and available for reuse leads to a model where miRNAs act catalytically to suppress translation leads to a second model, which we term the "kinetic suppression model". Below, we analyze the steady-state and noise properties of the miRNAbased feedback network in the two limiting cases and postpone the discussion of the more complete model to a later publication.

### **2.1 Sequestration model**

A large body of evidence suggests that gene expression is inherently stochastic in nature [22, 23, 25, 26, 27, 28, 29, 30, 31], with both intrinsic fluctuations generated by the noisy timing of individual chemical reactions and extrinsic fluctuations due to environmental and other cell-extrinsic factors. Assuming that the intermediate states of the miRNA-mRNA complex are at steady-state, the phase space of the network is characterized by the following three variables, the mRNA number,  $m$ , the miRNA number,  $\mu$  and the protein number,  $p$ . The probability of having *m* mRNA, *μ* miRNA and *p* protein molecules at time *t* thus satisfies the following master equation:

$$
\frac{d}{dt}(m,\mu, p)=\alpha_m P(m-1,\mu, p)+(m+1)\gamma_m P(m+1,\mu, p) \n+g(p)P(m,\mu-1, p)+(\mu+1)\gamma_\mu P(m,\mu+1, p) \n+mk_p P(m,\mu, p-1)+(p+1)\gamma_p P(m,\mu, p+1) \n+ (m+1)(\mu+1)\kappa P(m+1,\mu+1, p) \n-(\alpha_m+m\gamma_m+g(p)+\mu\gamma_\mu+m\kappa_p+p\gamma_p+m\mu\kappa)P(m,\mu, p)
$$
\n(1)

where *P* (*m*,  $\mu$ , *p*) is the joint probability for mRNA, miRNA and protein numbers to be  $m,\mu$ and *p* respectively. The steady state joint distribution,  $P(m, \mu, p)$  cannot be solved analytically. However, experimentally accessible variables are often not the entire distribution, but the mean molecule numbers and their variance. A generating function approach can be generally used to derive these moments, but the presence of the nonlinear term, *κmμ*, means that the moment equations do not close. However, some progress can be made by multiplying this master equation in turn by *m*, *μ* and *p*, and summing over all possible  $m$ ,  $\mu$  and  $p$ , to obtain the familiar mass action equations:

$$
\frac{d\langle m\rangle}{\frac{d\langle \mu \rangle}{dt}} = \alpha_m - \gamma_m \langle m \rangle - \kappa \langle m\mu \rangle
$$
  

$$
\frac{d\langle \mu \rangle}{dt} = \alpha_\mu - \gamma_\mu \langle \mu \rangle - \kappa \langle m\mu \rangle
$$
  

$$
\frac{d\langle p \rangle}{dt} = k_p \langle m \rangle - \gamma_p \langle p \rangle.
$$

The angle brackets represent the average values of a large ensemble of different realization of these stochastic processes. At steady-state, the average value over large population equals the mean value over the time, showing correspondence between the mass-action and the mean-field models.

**2.1.1 miRNA-based feedback introduces an expression threshold—**These mass action equations cannot be solved analytically. In the following, we will explore the general steady-state properties and the nature of intrinsic fluctuations within this negative feedback circuit. For concreteness, we fixed some of the parameters based on experimental observations [32, 33, 34, 35]. Specifically *γm*, *γp* have been measured in eukaryotic cells. Across the population, typically  $\frac{\gamma_m}{\gamma_p} \sim 10$ , i.e., protein life times are significantly longer than their mRNA. Since the rates of degradation of miRNA have not been extensively measured, we assumed  $\gamma_m = \gamma_\mu$ . In order to make analytic progress, we derive a mean-field model by assuming that the miRNA and mRNA numbers are uncorrelated. Then, the nonlinear term in Eq. 13 factorizes to yield  $\langle m\mu \rangle = \langle m\rangle \langle \mu \rangle$ . This allows us to simplify the equations and obtain steady state solutions for the mean-field equations. Under this approximation, mRNA production can be treated as a birth-death process, with a birth rate *α* and an effective degradation rate  $\gamma_m^* = \gamma_m + \kappa \langle \mu \rangle$ . Since miRNA-mediated suppression involves an intermediary species, another natural control parameter is the rate of mRNA synthesis itself. As such, this serves as a proxy for upstream control factors, such as environmental signals, developmental events etc., that engage transcriptional machinery to initiate synthesis of the TF. As shown in Figure 2, the mean mRNA number and the mean TF number exhibit a threshold-linear behavior as a function of the mRNA transcription rate *αm* in this negative feedback loop. This has been previously shown for the case when miRNA serves to repress translation in feed-forward fashion [36] and also appears to be qualitatively operant in a similar fashion even when the miRNA acts in a feedback mode. Thus, in either case, miRNAs serve to impose an expression threshold allowing cells to buffer against environmental fluctuations.

A second natural control parameter is the peak transcriptional rate of miRNA, *σ*. When it is very small relative to mRNA production ( $\alpha$ ), (as in Figure 2 for  $\sigma$ =0.01), the miRNA production is very small, almost negligible. Thus, the system can be treated as the simplest

*Phys Biol*. Author manuscript; available in PMC 2012 October 1.

(2)

Wang and Raghavachari **Page 5** Page 5

case with the property of linear relationship between gene product and  $\alpha_m$ :  $\langle m \rangle = \alpha_m / \gamma_m$ ,  $\langle p \rangle$  $= \alpha_m * k_p / (\gamma_m \gamma_n)$ .. For larger  $\sigma$ , depending on the value of transcription rate of  $\alpha_m$  compared to the peak transcriptional rate of miRNA,  $\sigma$ , the system can be classified into three regimes: the repressed regime ( $\alpha_m \ll \sigma$ ), the crossover regime ( $\alpha_m \approx \sigma$ ) and the expressing regime ( $\alpha_m$  $\gg$  *σ*). While in the repressed regime, mRNA synthesis is strongly repressed by miRNA, keeping overall TF levels very low. This threshold ensures that only strong enough signals, which can drive the  $a_m$  value to the expressing regime can trigger the synthesis of gene products.

Increasing the strength of the negative feedback, *κ*, leads to a sharper crossover between the repressed regime and the expressing regime until it reaches a saturating value as shown in Figure 3. Beyond the threshold ( $\alpha \approx \sigma$ ), i.e., in the expressing regime, there is a linear relationship between the number of mRNA (*m*), TFs (*p*) and  $a_m$  and the slope of the  $\langle m \rangle$ - $a_m$ curve represents the sensitivity of the system in response to external signals. Both *m* and *p* are proportional to  $\alpha_m: \frac{d(m)}{d\alpha_m} = 1/\gamma_m$ ,  $\frac{d(p)}{d\alpha_m} = k_p/(\gamma_m \gamma_p)$ . The linearized relationship between  $\langle p \rangle$ and  $\alpha_m$  is plotted as black line in Figure 3, denoted by  $\langle p \rangle = \frac{k_p}{\gamma_m \gamma_p} (\alpha_m - \sigma)$ . While the mRNA and protein abundance show a threshold-linear behavior, the miRNA levels within this feedback circuit exhibit a non-monotonic behavior (Figure 2B): at low mRNA synthesis rate, there is very little synthesis of the TF and consequently, the synthesis of the miRNA is low. At high synthesis rates, most of the miRNAs stoichiometrically combine with mRNAs and accumulate in the translationally inactive pool. Since the mRNA is in excess, all the miRNAs are consumed, leaving a large number of translationally competent mRNAs that can engage in synthesis of the TF protein. Moreover, since this pool does not feel the effect of miRNA based repression, the effective degradation rate of this excess pool of mRNAs is the native degradation rate of the mRNA,  $\gamma_m$ . We note that the non-monotonicity of mean miRNA number and the threshold linear behavior of the mean protein levels are observed for a wide variety of parameter combinations.

### **2.1.2 miRNA based negative feedback amplifies noise in the sequestration**

**model—**A conventional interpretation of negative feedback motifs in genetic circuits is that they generally serve to decrease expression noise, suppressing fluctuations while maintaining near constant mean levels of the components. However, depending on the timescales of the various component process (RNA polymerase binding, repressor multimerization and binding etc.), noise levels can moderately increase relative to unregulated systems with increasing negative feedback strength [37, 38, 39, 40, 41]. These insights have been derived from examining the behavior of genetic circuits that involve genes that code for repressor proteins that block their own transcription by binding to promoter (or promoter-proximal) regions of their own genes. In order to assess the impact of miRNA based negative feedback, we next examined the intrinsic noise properties of our network using the Fano factor as a measure of the fluctuations. The Fano factor [42] is typically independent of system volume and measures how much the size of internal fluctuations deviates from what is expected from Poisson statistics, for which the Fano factor equals one.

We first consider how noise properties depend on environmental control signals that are encoded in the parameter  $\alpha_m$ , the synthesis rate of the target mRNA. The mean field model states that steady state mRNA number should reach a Poisson distribution with Fano factor,

(the ratio of the mRNA number variance and mean)  $\frac{\delta m^2}{\delta m} = 1$ . The Fano factor of the TF can be readily calculated to be

Wang and Raghavachari **Page 6** and Page 6 and Page 7 and

$$
\frac{\delta p^2}{\langle p \rangle} = 1 + \frac{k_p}{\gamma_p + \gamma_m + \kappa \langle \mu \rangle}.
$$
\n(3)

As expected, the Fano factor for protein numbers is larger than 1, because each mRNA leads to the synthesis of a burst of proteins before degradation, with a "burst" size of

 $k_p/(\gamma_p(1+\gamma_m))$  [38]. In the mean-field model, the effect of the miRNA is to increase the degradation rate of mRNA, leading to a smaller burst size and lower variability. In order to validate our assumptions, we used the Gillespie algorithm to perform stochastic simulations of the full model. We find that the mRNA and miRNA levels are strongly anti-correlated (Figure 4), with periods of high mRNA levels corresponding to low miRNA levels and vice versa, as has been widely noted in experiment [43]. This can be understood as follows: increases in mRNA levels lead to the synthesis of the TF, which then leads to the transcription of the miRNA. These miRNA molecules can now bind to the mRNA, and move them to the translationally inactive pool, resulting in a net loss of both mRNA and miRNA. However, if the mRNA levels are high to begin with, most of the miRNA molecules are saturated, with only an excess of mRNA levels remaining. On the other hand, a large fluctuation in miRNA levels reduces mRNA numbers stoichiometrically, leaving excess miRNA free. These observations imply that our assumption that the nonlinear term can be factorized is invalid and that the effective degradation rate  $\gamma_m^*$  must include the effects of this correlation between mRNA and miRNA levels. Moreover, since the correlation is negative, we would expect that the effective degradation rate be smaller than when there is no miRNA-based translational repression. Consequently, we should see an increase in the effective burst size, and hence a larger Fano factor for protein fluctuations than without miRNA-mediated repression.

To verify our intuitive observations, we conducted large scale simulations to study the noise properties of the network as a function of key control parameters. Surprisingly, the Fano factors of all three components showed non-monotonic behavior as *αm* was increased, with peak Fano factors well in excess of what is predicted by the mean field model as well as the case of the unregulated gene (Figure 5). Furthermore, the non-monotonicity is obtained over a wide range of values for the negative feedback strength *κ*, peak miRNA transcription rate,  $\sigma$  and protein synthesis rates  $k_p$  (data not shown). Moreover, we find that the mean field model is only applicable in certain limiting regimes. If the mRNA synthesis rate, *αm* is much smaller or much larger than  $\sigma$ , the mean field model can capture the noise properties of the system. In the case where  $a_m$  is low and  $m \ll \mu$ , the mRNA is strongly repressed by miRNA. Because of the excess miRNA,  $\langle \mu \rangle$ , the denominator is large in Eq. 3 is large and thus the Fano factors of mRNA and TF are small. On the other hand, when  $m \gg \mu$ , the miRNA number is strongly repressed due to the binding of mRNA and miRNA, leaving the excess mRNA translationally active. Thus, the effect of miRNA regulation diminishes and can be neglected. In this case, the Fano factor of both mRNA and protein number asymptotically tends to the value where there is effectively no miRNA-mediated repression, i.e.,

, which is plotted as the asymptotic line in Figure 5. This is also in accordance with Eq. 3 while  $\kappa\mu \to 0$ . Interestingly, when  $a_m \simeq \sigma$ , i.e., the synthesis rates of mRNA and miRNA are comparable, the Fano factors of mRNA and TF numbers are much larger than the mean field prediction as can be seen in Figure 5. This amplification is due to the anti-correlation between the mRNA and miRNA. Noise in stoichiometrically coupled systems such as miRNA-based gene regulation has been studied earlier [44, 45, 46, 47]. These studies suggest the existence of a crossover regime characterized by enhanced stochastic fluctuations. This *near-critical* behavior is reminiscent of the critical fluctuations near phase transitions [48]. Accordingly, we find that the TF number distribution shows a

long tail (Figure 5D), which suggests that while negative feedback by miRNA keeps the mean TF number low, there can be large temporal or population variation. This variation naturally arises because the effect of miRNA is to reduce the overall number of mRNA. Thus any surviving mRNA has to rapidly engage in a burst of translation before it is consumed either by mRNA decay or binding to a miRNA-loaded RISC complex. We will

examine the effect of this variation in a gene cascade in a later section. Moreover, we note that such large fluctuations in the protein levels can be obtained even without transcriptional bursting, i.e. for promoters that are continuously active.

We next examined the noise properties as a function of the strength of negative feedback, in this case represented by the bimolecular association rate, *κ*. We focused on the region where the noise properties of the network are amplified relative to no miRNA based feedback. Thus, we fixed  $\alpha = 1.2$ , a value around which the Fano factor of TF levels peaks (Figure 5C), to see how the Fano factor varies with the strength of negative feedback. While  $\kappa = 0$ , there is no negative feedback, the noise in the system is at a minimum as shown in Figure 6, which is close to that of an unregulated system. As *κ* increases, the interaction between mRNA and miRNA is strengthened and the noise in TF numbers become larger. Then finally, when *κ* is very large, the Fano factor saturates to an asymptotic value, much larger than that for an unregulated gene. The limiting values of the Fano factor with increasing feedback strength are distinct from the case of negative feedback mediated by a protein repressor, where increasing the strength of negative feedback (i.e. the affinity of the repressor to the gene promoter) for a fixed transcription rate increases the Fano factor over what would be expected for an unrepressed gene while tending to a lower value for weak and intermediate feedback strengths [37, 39, 40, 41]

However, we note that the origins of the increased fluctuations are similar. For protein-based repression with a given transcriptional rate, the high affinity of the repressor implies that most of the time, the gene is inactive with few mRNAs being transcribed. Upon brief dissociations of the repressor from the gene, transcription can commence and result in bursts of synthesis of both mRNA and the repressor protein. Thus, the effective timescale of these bursts is determined by the dissociation rate of the repressor. For repressors with weak affinity to the promoter, this additional noise source vanishes as the repressor-gene interaction approaches steady-state and the Fano factor tends to an asymptotic value

, the burst size,  $b = k_p / (\gamma_m + \gamma_p)$  is the average burst size and  $\eta = \gamma_p / \gamma_m$ . On the other hand, in miRNA mediated feedback repression, increasing the miRNA/mRNA association rate lowers the overall mRNA levels, leading to rare bursts of synthesis, while reducing it approximates a situation with no feedback regulation. In summary, in the sequestration model, miRNA-based negative feedback in physiologically relevant regimes actually amplifies the noise relative to what would be expected either in case of a proteinrepressor mediated feedback [38] or the case where there is no feedback.

#### **2.2 Kinetic suppression model**

Given the abundance of miRNA and the diversity of targets for a single miRNA, under some conditions, translational regulation by miRNA can be considered to act catalytically, i.e., miRNAs bind to mRNA at the regulating sites and repress translation initiation or elongation with the number of miRNA itself being unchanged. This scenario is valid under conditions of relatively weak miRNA/mRNA binding and large miRNA concentrations. We represent this catalytic mode of action by assuming that the miRNAs act in a Michaelis-Menten fashion to repress translation (see Supplementary Information). The transcription of mRNA and miRNA as well as the degradation of mRNA, miRNA and TF protein have the same form as in the previous (sequestration) model. We model the translational repression by taking translation rates to be decreasing Hill functions of the number of miRNA regulatory

molecules. i.e.,  $k_p^* = f(m,\mu) = \frac{mkp}{\mu^2}$ . In the circuit analyzed here, we denote  $\beta$  as the strength of negative feedback representing the effect of the control of TF synthesis by the miRNA. Given the abundance of the components, we model the post-transcriptional regulation through miRNAs using mass action equations with three molecular species: the number of miRNA molecules  $\mu$ , the number of target mRNA molecules  $m$ , and the number of regulated TF molecules, *p* [36, 46, 47, 49, 50, 51].

The effect of intrinsic noise is included by Langevin terms,  $\eta_m$ ,  $\eta_\mu$  and  $\eta_p$  denoting the intrinsic fluctuations of the mRNA, miRNA and protein respectively, that describe the statistical fluctuations in the underlying biochemical reactions [52]. The dynamics of these processes can then be described by the following Langevin equations:

$$
\begin{array}{ll}\n\frac{dm}{dt} & \alpha_m - m\gamma_m + \eta_m \\
\frac{d\mu}{dt} & g(p) - \mu \gamma_\mu + \eta_\mu \\
\frac{d\mu}{dt} & f(m,\mu) - p\gamma_p + \eta_p.\n\end{array} \tag{4}
$$

The Langevin terms  $\eta_i$  model intrinsic noise by treating the birth and death of the different species as independent Poisson processes, representing the stochastic creation and destruction of mRNA, miRNA and TF. We have dropped the cross-term  $\eta_{m,\mu}$  since we assume that miRNAs act catalytically, where these two levels are uncorrelated.

The Langevin terms are characterized within the linear noise approximation [52] by twopoint time correlation functions:

$$
\begin{cases}\n\langle \eta_m(t)\eta_m(t')\rangle &=(\alpha_m+m\gamma_m)\delta(t-t')\\ \langle \eta_\mu(t)\eta_\mu(t')\rangle &=(g(p)+\mu\gamma_\mu)\delta(t-t')\\ \langle \eta_p(t)\eta_p(t')\rangle &=(f(m,\mu)+p\gamma_p)\delta(t-t').\n\end{cases}\n\tag{5}
$$

The linear-noise approximation is a good approximation even for nonlinear systems with small fluctuations. This is confirmed by the simulation results which use the exact Gillespie algorithm (see Figure 7 and Supplementary Figure 13). In order to obtain expressions for the noise properties of the different species, we find the steady state solution of the model and then linearize around this to compute the response of the variables *m*, *μ* and *p* to the Langevin forcing terms  $\eta_m$ ,  $\eta_\mu$  and  $\eta_p$ . In the linear approximation, the steady state is also the mean value. So  $m = < m > +\delta_m$ ,  $\mu = < \mu > +\delta_\mu$  and  $p = < p > +\delta_p$ . Linearizing the Langevin equations around their steady states, we obtain:

$$
\frac{d}{dt}\begin{pmatrix}\n\delta_m \\
\delta_\mu \\
\delta_p\n\end{pmatrix} = \begin{pmatrix}\n-\gamma_m & 0 & 0 \\
0 & -\gamma_\mu & \frac{\partial g}{\partial p} \\
\frac{\partial f}{\partial m} & \frac{\partial f}{\partial \mu} & -\gamma_p\n\end{pmatrix} \begin{pmatrix}\n\delta_m \\
\delta_\mu \\
\delta_p\n\end{pmatrix} + \begin{pmatrix}\n\eta_m \\
\eta_\mu \\
\eta_p\n\end{pmatrix}
$$
\n(6)

We now transform these linearized equations into Fourier space, with  $\delta_i(\omega)$  and  $\hat{\eta_i}(\omega)$ corresponding to the temporal variables  $\delta_i(t)$  and  $\eta_i(t)$  where *i* equals to  $m,\mu$  or  $p$  in the spectral domain. Thus,

$$
\begin{pmatrix}\n\delta_m(\omega) \\
\widehat{\delta}_{\mu}(\omega) \\
\widehat{\delta}_p(\omega)\n\end{pmatrix} = M^{-1} \begin{pmatrix}\n\widehat{\eta}_m(\omega) \\
\widehat{\eta}_\mu(\omega) \\
\widehat{\eta}_p(\omega)\n\end{pmatrix}
$$
\n(7)

where

$$
M = \begin{pmatrix} \gamma_m + i\omega & 0 & 0 \\ 0 & \gamma_\mu + i\omega & -\frac{\partial g}{\partial p} \\ -\frac{\partial f}{\partial m} & -\frac{\partial f}{\partial \mu} & \gamma_p + i\omega \end{pmatrix}
$$
 (8)

Using the Wiener-Khinchin theorem, the spectral density  $|\widehat{\eta_i}(\omega)|^2 = 2\pi \int_{-\infty}^{\infty} \langle \eta_i(t) \eta_i^*(t-\tau) \rangle e^{-i\omega t} d\tau$ , we can get:

$$
\begin{aligned}\n\widehat{\eta_m}(\omega) \Big|^2 &= 2\pi(\alpha_m + m\gamma_m) \\
\widehat{\eta_\mu}(\omega) \Big|^2 &= 2\pi(g(p) + \mu\gamma_\mu) \\
\widehat{\eta_p}(\omega) \Big|^2 &= 2\pi(f(m, \mu) + p\gamma_p)\n\end{aligned} \tag{9}
$$

Based on these expressions, we can obtain  $\left|\widehat{\delta_m}(\omega)\right|^2$ ,  $\left|\widehat{\delta_\mu}(\omega)\right|^2$  and  $\left|\widehat{\delta_\rho}(\omega)\right|^2$  using Eq. 7. Next,

using the relation  $\langle f(t)^2 \rangle = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} |f(\omega)| d\omega$  to inverse transform back to the time domain, we can get the exact solution of the variance  $\langle \delta m^2 \rangle$ ,  $\langle \delta \mu^2 \rangle$  and  $\langle \delta p^2 \rangle$ . (see Supplementary Information) These expressions are somewhat lengthy and we have omitted them for brevity.

# **2.2.1 Negative feedback represses noise in kinetic suppression model—**We now analyze the properties of the number fluctuations of different species in the network.

Because in the kinetic suppression model, miRNAs act catalytically to repress the translation of TF, mRNAs are always Poisson distributed. We now focus on the fluctuations in the number of TFs which can be quite different depending on the negative feedback and promoter strengths (Figure 7). When the negative feedback strength *β*, equals zero, the Fano

factor of TF numbers equals  $1 = \frac{k_p}{\gamma_p + \gamma_m}$ . With sufficient production of mRNA ( $\alpha_m$  not too small), the Fano factor slightly increases from the zero-feedback value for small values of  $\beta$ , i.e. weak feedback strengths. For increasing *β*, the Fano factor decreases very rapidly to a small value over a long range of *β*. Note the correspondence between the expressions derived from the linear noise approximation and the full model using stochastic simulations. For very large values of *β*, denoting large negative feedback, the analytic approximation breaks down since the mean TF levels are small and the relative fluctuations are high. In this case the Fano factor asymptotes to a value above what would be expected for the no repression case.

We next study the effect of varying the strength of negative feedback on the TF number fluctuations as a function of the promoter strength *αm* (Figure 8). We find that for weak promoters, the effect of negative feedback is to continuously decrease the variability. This is in part due to the fact that weak mRNA production implies an even weaker synthesis of the miRNA itself. However, as the promoter strength is increased, we find the emergence of a peak in the protein number fluctuations at very weak feedback strength, subsequent suppression and then increasing fluctuations for very strong feedback. This latter increase is due to the fact that the fluctuations are large and the small noise approximation breaks down. A substantial amount of experimental evidence suggests that miRNA based translational repression usually serves to reduce mean target protein levels in a modest fashion (2–4 fold reduction) [53]. Thus, we anticipate that the large negative feedback regime considered here is more for the sake of completeness and not meant to represent any physiological situation in general.

We next compare the noise properties of the feedback network depending on the mode of action of the miRNA. In sequestration model, where miRNA and mRNA pair stoichiometrically and are rendered translationally incompetent, the relevant parameter that determines the extent of negative feedback is the affinity of the bimolecular interaction, *κ*. On the other hand, in the kinetic suppression model, the strength of mRNA translation is modulated by the parameter,  $\beta$ . In order to compare the noise properties of these two cases, we set all the other parameters the same for these two models and then chose the feedback strength  $\beta$  and  $\kappa$  so that they result in similar mean value of TF but different noise terms (Figure 9A). As shown in Figure 9, the two genetic circuits produce almost the same mean value of the TF, but the population distributions of the TF in the two cases are quite different. In the kinetic model, TF numbers are tightly restricted near the mean value, but distribution of TF in the sequestration model is more broad and is characterized by long tail which indicates that there can be large bursts in the number of TF.

### **2.3 The impact of transcription factor fluctuations in gene networks**

Considering that the miRNA-regulated protein is a TF, the qualitatively different distributions of TF obtained under different modes of feedback regulation by miRNA can affect the transcription of genes that are also regulated by the same TF. Concretely, we envision the genes downstream of the transcription factor *pitx3*, would exhibit different patterns of gene expression depending on population levels of *pitx3*. Here, we examine how qualitatively different modes of miRNA based negative feedback affect the transcription of such downstream genes using a simple regulatory cascade as shown in (Figure 10). We

assume the transcription rate of downstream genes is a generic Hill function:  $\alpha_{m2} = \frac{\varepsilon T F^n}{T F^n + K_0 n}$  as shown in Figure 11A. In this study, we choose  $n = 10$  because with large Hill coefficient, the promoter essentially acts like a switch. qualitatively similar results obtain for smaller *n*. We assume that the downstream protein  $(p2)$  is synthesized at a rate  $k_{p2}$  and degraded at a rate  $\gamma_{p2}$ , its mRNA is degraded at a rate  $\gamma_{m2}$ . We assume that three representative target genes with different dissociation constants, that denote varying promoter strengths, to see how the variability of the TF levels affect the downstream protein distribution (Figure 11A).

For a highly-sensitive downstream gene, (small disassociation constant), the mean TF number is in the saturation regime. Thus, TFs that are either stoichiometrically or catalytically suppressed are equally effective in driving the expression of the downstream gene (Figure 12A) with similar mean values. However, owing to the switch-like behavior of  $p2$  promoter, the population distribution of  $p2$  is broader when driven by the stoichiometrically suppressed TF as compared to the catalytically suppressed one. In particular, we note the presence of a long tail of low p2 expressors. On the other hand, for a downstream gene with a weaker promoter, i.e. dissociation constant such that the mean TF levels lies in the linear regime of *αm*2(*T F*) (the dashed curve in Figure 11A), expression of p2 is reduced. However, the p2 distribution is broad and skewed towards high expressors for the case when the TF is stoichiometrically regulated (Figure 12B). For the extreme case where the dissociation constant of the downstream gene promoter is much higher than the mean TF levels, TFs that are stoichiometrically regulated by miRNA are able to drive considerable expression (Figure 12C) while TFs that are catalytically regulated cannot.

### **3 Discussion**

The post-transcriptional control of protein expression in animal cells by micro-RNAs plays an important role in almost every cellular process and changes in their expression may underlie developmental disorders and diseases such as cancer. miRNAs base-pair with seed sequences in the 3′ UTRs of their target mRNA and block steps in the initiation of transcription, sequestering mRNAs into sites of repression or by accelerating mRNA decay

[18]. As a result, miRNAs reduce mRNA and protein abundance, often modestly and sometimes sharply [53, 54]. Genome-wide studies have shown that miRNAs target many transcription factors, which in some cases regulate their own transcription. Given the importance of miRNAs in cellular function an analysis of the impact of miRNA-mediated regulation on the mean levels and fluctuations of genetic circuits is vital. Here, we have shown that depending on the mode of miRNA action, negative feedback by miRNA can have differential impact on the noise levels of protein expression. In particular, if miRNAs act in a stoichiometric fashion, whereby both the target mRNA and miRNA are removed from the population into an inactive pool (Figure 1), then negative feedback regulation by miRNA largely amplifies the intrinsic noise in the system, leading to long-tailed distributions of transcriptional factor numbers. Our simulations show that this enhancement of protein number fluctuations is sensitive to environmental factors as seen in Figures 5 and Figure 6. However, if miRNAs act catalytically to repress protein synthesis, the net effect is to reduce variability in protein levels, as would be conventionally expected for a negative feedback circuits.

A number of experimental observations justify the distinction of the modes of miRNA action made in our models. Early studies of miRNA effects seemed to reveal that mRNA degradation was minimal but protein expression was reduced consistent with a catalytic mode of regulation [54]. This could result from imperfect seed sequence complementarity between the miRNA and mRNA, the presence of multiple miRNA targets, weak and reversible association of the target mRNA with the RISC machinery, rapid accumulation of the RISC/mRNA complex into P-bodies or accumulation in stress granules accompanied by the release of the miRNA. More recent studies have shown that mRNA degradation is significant [54]. These could arise from a higher degree of complementarity of miRNA seed sequence in the mRNA 3′ UTR, multiple pairing locations, post-translational modifications of the RISC machinery that enhance binding of miRNA/mRNA and subsequent translational repression and P-body accumulation. These latter effects are best represented mathematically by a sequestration model where both miRNA and mRNA are stoichiometrically degraded. In order to keep the models relatively simple and to gain intuition, we have abstracted many of the intermediate steps, modeling component processes as first and second-order reactions. Such coarse-grained representations have been quite successful in elucidating many aspects of deterministic and stochastic gene networks [38, 55, 56, 57]. We have neglected additional aspects of miRNA biogenesis and function, such as multiple miRNA seed sequences on the same target mRNA, delays in processing mature miRNA from precursor transcripts etc. However, we expect that consideration of these steps would not qualitatively change our results.

Our studies expand the repertoire of miRNA action in gene circuits that govern cell fate specification and commitment during development, processes where miRNA function was first highlighted. The commitment of cells to specific lineages derives from the coordinated expression of different patterns of genes within a relatively uniform population of cells. These expression patterns are then crystallized by downstream gene networks to result in stable expression of lineage specific genes that is maintained throughout the individual's life. Cell fate choices are often under the control of restricted subsets of upstream transcription factors. How population diversity is achieved from cells that possess identical genomes is a fundamental question of developmental biology. It is well known that genetic circuits with extensive feedback loops, both negative and positive, play an important role in cell fate choices. In particular, feedback imparts a network with multiple steady states, which can denote the multiple cell fates controlled by the network. Moreover, the steady states of feedback circuits are well separated, preventing spontaneous transitions, imparting robustness to the gene circuits controlling cell fates. The role of miRNAs in animal development has been examined in these contexts. Most studies to date have focused on the

However, miRNAs translational repression also shapes the intrinsic variability within developmental gene networks. Noisy gene expression in developmental circuits has the potential to be harmful leading either to arrested development, aberrant positional expression of tissue specific genes or over-representation of specific cell types. miRNAs are thought to tune the fluctuations of protein expression within developmental networks, buffering them against environmental fluctuations. The imposition of an expression threshold by miRNAs renders the network insensitive to small sub-threshold variations, preventing stochastic transitions between steady states. This has been directly demonstrated in Drosophila, where the miRNA, miR-7, is required to maintain normal gene expression and sensory organ fate determination under fluctuating temperature conditions [15] by buffering the levels of its downstream target, the transcriptional repressor, *yan*.

Our modeling studies suggest a new role for miRNA-based feedback regulation, namely, by modulating the levels of TFs at the level of translational repression, miRNAs can drive large fluctuations in TF levels across the population. In turn, these fluctuations can drive the expression of different constellations of genes across the population, thereby allowing the expression of multiple cellular phenotypes in a uniform precursor population. Given the extensive complexity of the component processes, cells may be able to tune the manner of miRNA-based feedback, from stoichiometric repression to catalytic repression to tune the level of protein number fluctuations in gene circuits and consequently drive stochastic cell fate choices. A number of recent studies suggest that such tuning may be operant in cells. RISC protein phosphorylation can control the loading of miRNAs [58]. Alternately, the seed site for miRNA binding on the target mRNA may be made more accessible [59]. Thus, cells may control expression noise in miRNA-based negative feedback circuits to determine cell fates in different contexts. In general, cell fate decisions during developmental are robust, in order to generate reproducible body plans. However, in certain cases, cell fate decisions are made at random, generating cell fate diversity. Diversified cell fates in a homogeneous progenitor population increases the spectrum of responses to environmental stimuli. One example is the choice of Rhodopsin type during photoreceptor differentiation in the Drosophila eye [60]. Thus, miRNA based translational repression may serve as an important mechanism that controls fluctuations of protein number promoting cell fate diversity.

### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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Schematic illustration of the miRNA-mediated negative feedback loop.



**Figure 2. miRNA-based feedback introduces an expression threshold in sequestration model** The mean value of mRNA (A), miRNA (B) and protein (C) are shown as a function of *α<sup>m</sup>* for four different values of the peak miRNA transcriptional rate  $(\sigma)$ . When  $\sigma$  is extremely small, *m* and *p* are proportional to *αm* because there is almost no miRNA synthesis. For larger *σ*, the miRNA-based feedback introduces a threshold at  $a_m \approx σ$ . All the asymptotic lines are parallel to each other.  $\gamma_m = \gamma_\mu = 0.01$ ,  $\gamma_p = 0.002$ ,  $\kappa = 1.0$ ,  $k_p = 0.1$  and  $k_d = 200.0$ .



**Figure 3. Increasing miRNA-mediated feedback strength sharpens the expression threshold** Mean protein numbers as a function of upstream transcription rate. The different curves show how threshold expression depends on the strength of miRNA/mRNA association rate. Mean protein expression shows a crossover regime from low expression to linear expression with increasing transcription rate. As the strength of miRNA/mRNA association, *κ*, increases, the threshold becomes sharper. The solid curve is a perfect threshold-linear behavior. The parameters are set as  $\gamma_m = \gamma_\mu = 0.01$ ,  $\gamma_p = 0.002$ ,  $\sigma = 0.5$ ,  $k_p = 0.1$ ,  $k_d = 200.0$ .





Temporal evolution of mRNA (black) and miRNA (gray) in a Monte-Carlo simulation of the sequestration model using the Gillespie algorithm. The parameters are set as  $\alpha_m = 1$ ,  $\gamma_m = \gamma_\mu$  $= 0.01, γ_p = 0.001, κ = 1.0, σ = 1.0, k_d = 200.0, k_p = 0.1$ . The anti-correlation suggests that assuming  $\langle m\mu \rangle = \langle m \rangle \langle \mu \rangle$  is not valid.



**Figure 5. Negative feedback amplifies expression noise in the sequestration model** (A)–(C). Fano factor of mRNA, miRNA and protein are plotted versus *αm*. Solid lines represent the simulation results and dashed lines represent the analytical calculation from the mean field model. The mean field model cannot be used to describe the system around the threshold, where the mRNA and miRNA levels are comparable. The solid straight line in (C) represents the asymptote for protein Fano factor value for large *αm*. (D). Histogram

protein numbers at steady state for  $a_m = 1.0$ : the mean values are  $\langle p \rangle = 600$ ,  $\frac{\delta p^2}{\langle n \rangle} = 43.2$ . The protein distribution shows a long tail, which suggests that while mean values may be kept low, protein numbers can exhibit large values across the population allowing the transcription factor to act at promoters with widely different sensitivities.





Fano factor of TF numbers is plotted versus the strength of bimolecular miRNA-mRNA association rate,  $\kappa$  for sequestration model. The transcription rate,  $\alpha_m = 1.2$ , at the cross-over point in Figure 3. Other parameters were set at  $\gamma_m = \gamma_\mu = 0.01$ ,  $\gamma_p = 0.001$ ,  $\sigma = 1.0$ ,  $k_p = 0.1$ ,  $k_d$  = 100.0. For  $\kappa$  =0, there is no miRNA-mediated translational repression, and Fano factor is the lowest. The Fano factor increases with  $\kappa$ , until it reaches a saturating value.



### **Figure 7. Negative feedback in the catalytic suppression model shows reduced expression variability over a large range of feedback strength**

The Fano factor of TF is plotted versus *β* for kinetic suppression model. The solid line is the analytical solution from the linear noise approximation method, dots represent results of Gillespie-algorithm simulation. Other parameters are set as:  $a_m = 1.2$ ,  $\gamma_m = \gamma_\mu = 0.01$ ,  $\gamma_p =$ 0.001,  $\sigma$  = 1.0,  $k_p$  = 0.1,  $k_d$  = 100.0. Although there is a temporary increase of the noise for low feedback strengths, it decreases very rapidly over a long range. For large values of *β*, linear noise approximation breaks down and single molecule effects dominate. Note that the variability is lower than in the sequestration model.



### **Figure 8. Negative feedback strength in the catalytic suppression model affects expression variability for different promoter strength**

The relationship between the Fano factor of TF and *β* with four different values of *αm*. *γm* = *γμ* = 0.01, *γp* = 0.002, *σ* = 0.5, *kp* = 0.1, *kd* = 200.0.



**Figure 9. Expression variability depends on the mode of translational repression by miRNA** Comparison of the different effects on the noise of the two negative feedback schemes. A. Time evolution of the mean TF values for sequestration (black curves) and kinetic suppression model (gray). B. Histogram of the steady state TF distribution for the sequestration model (black) and for the kinetic suppression model (gray). The parameters are *αm* = 1.1, *γm* = *γμ* = 0.01, *γp* = 0.001, *σ* = 1.0, *kp* = 0.1, *kd* = 100.0, *κ* = 1.0, *β* = 0.00087. *κ* and  $\beta$  are chosen so that their mean TF values are almost the same in both models. In the

sequestration model,  $\langle p \rangle = 1174.6$ ,  $\frac{\delta p^2}{\langle p \rangle} = 57.34$ , However, in the kinetic suppression model,  $\langle p \rangle$  $p \geq 1176.2$ ,  $\frac{\delta p^2}{\langle p \rangle} = 5.41$ . Thus, in K model, both signal and noise are suppressed; while in S model, signal is suppressed, however, noise are amplified.



### **Figure 10.**

Scheme of transcriptional cascade involving the feedback-regulated TF and a downstream gene.









Different noise level effect on downstream gene for different dissociation constants. When *KD* is small, the downstream gene of both models are triggered. The mean value of downstream proteins in the K model is larger than in the S model. However, while *K<sup>D</sup>* increases, although both mean values of downstream proteins decreases, The mean value of downstream proteins in the K model will be smaller than in the S model while  $K_D$  is over some value because of the long tail noise of S model. (A)  $K_D = 800$ , (B)  $K_D = 1400$ , (C)  $K_D$ = 1700. *α* = 1.1, *γm* = *γμ* = 0.01, *γp* = 0.001, *kd* = 100.0, *kp* = 0.1, *κ* = 1.0, *β* = 0.00087, *σ* = 1.0,  $\varepsilon = 0.1$ ,  $\gamma_{m2} = 0.01$ ,  $k_{p2} = 0.1$ ,  $\gamma_{p2} = 0.001$ .