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Journal Allergy & Clinical Immunology Perspective: Lung imaging in Asthma: The picture is clearer

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Abstract

Imaging of the lungs in patients with asthma has evolved dramatically over the last decade with sophisticated techniques, such as computed tomography (CT), magnetic resonance imaging (MRI), positron emission tomography (PET) and single photon emission computed tomography (SPECT). New insights into current and future modalities for imaging in asthma and their application are discussed to potentially shed a clearer picture of the underlying pathophysiology of asthma, especially severe asthma, and the proposed clinical utility of imaging in this common disease.

Keywords

asthma; imaging; CT chest; MRI

Introduction

Imaging of the lungs in patients with asthma has evolved dramatically over the last decade with sophisticated techniques, such as computed tomography (CT), magnetic resonance imaging (MRI), positron emission tomography (PET) and single photon emission computed tomography (SPECT). Previous reports in asthma have been primarily limited to gross anatomic abnormalities, such as the presence of bronchiectasis, atelectasis or bronchial wall thickening, noted in asthma, especially in severe disease, compared to normal individuals.¹⁻³

To best understand differences between the lungs of normal subjects vs. those with asthma and to further understand the differences between severe and non-severe asthma, newer imaging techniques are playing an increased role as we seek to assess airway anatomy, regional lung mechanics and associated lung function (gas exchange). The respiratory system has both active and passive mechanisms to effectively match the regional flow of fresh gas to the regional flow of mixed venous blood in normal, healthy individuals. These mechanisms result in a system that is complex, involving interactions between the inherent and acquired structural heterogeneity of the bronchial and vascular trees, with feedback systems designed to impose homeostasis, optimizing regional gas exchange. To understand such complexity and its alterations in asthmatics, the system ultimately must be examined in the intact, dynamic state. Such an examination requires both the spatial and temporal

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resolution necessary to evaluate details of anatomy (airways and parenchyma) and function (regional delivery of gas or blood along with changes in regional lung morphometry or parenchymal density). Furthermore, new imaging modalities are emerging to help us understand molecular and cellular aspects of lung health and disease.

As these new imaging techniques emerge, clinicians are challenged with understanding the potential clinical benefits these modalities will have for their patients with asthma as well as the associated risks. In addition, clinical researchers are finding that perhaps imaging can provide a quantitative endpoint that can be utilized in intervention studies in asthma. These new insights into current and future modalities for imaging in asthma and their application are discussed to potentially shed a clearer picture of the underlying pathophysiology of asthma, especially severe asthma, and the proposed clinical utility of imaging in this common disease.

Structure and functional imaging via x-ray CT in asthma

X-ray CT has emerged as the modality of choice for a comprehensive assessment of the lung, by allowing for both detailed assessment of the airway tree, vascular tree, parenchyma, pulmonary blood volume⁴ and regional ventilation.⁵⁻¹² Multi-slice or multidetector-row (MDCT) scanner technology involves using multiple detector rings to acquire multiple cross-sectional slices of patient data with acquisition of the entire lung volume with submillimeter spatial resolution in as little as 0.6 sec. Faster scan times significantly impact functional imaging protocols where the rate of delivery of a contrast agent (iodine for perfusion or xenon for ventilation) is measured over time. These faster scan times also minimize the breath hold times which is critical when imaging patients with respiratory disease. In fact, with a scan time for the whole lung, it is possible to obtain clinically useful image data without a breath hold if necessary.¹³⁻²¹

Computer-based quantitation of lung CT images—One problem with CT assessment is that the reproducibility of highly trained readers is at very best around 77% when visual assessment is strictly based upon gross pathologic derangement.²² When the task is defined in terms of what is desired rather than what the human observer can deliver, the inter- and intra- observer agreements are 30-50%. With the goal of using imaging in asthma to identify homogeneous subphenotypes that can be targeted by new interventions, objective robust computer based methods for quantitative assessment of the images has evolved for the lungs, lobes, airways and vasculature.²³

Central Airways CT imaging—There has been extensive use of 2D CT methodologies to evaluate basic airway physiology.^{19, 24-28} To aid in the three-dimensional (3D) analysis of airway tree structures thinner image slices are desirable but typically at the cost of higher radiation dose. In practice, one can increase nominal slice thickness from 0.6 to 0.75 mm and reconstruct the slices with slight overlap to achieve adequate signal-to-noise while keeping dose in an acceptable range.^{20, 29} With thinner slice imaging, workers have demonstrated the ability to extract up to 10 generations of the airway tree and quantitation is achievable out to approximately the 6th generation when slice thickness and in plane pixel dimensions are minimized.³⁰⁻³² Furthermore, by identifying the centerlines of the airway tree which then define the branch points, it is possible for the computer to automatically identify the airway segments and provide standardized labeling. This process facilitates the comparison of an individual airway segment across lung volumes and over time and allows for clinical research in asthma to be performed with comparison of similar airway tree is demonstrated in **Figure 1**. Using these methodologies, in asthma patients it has been shown that the

strongest correlation with airflow limitation is found through airway wall measurements of the more distal airways $(4^{th} - 6^{th} \text{ generation})$.³³⁻³⁵

Parenchymal CT imaging

Density-based analysis: Computer-based methods for objective quantitation of CT data sets to compare normal and diseased lung are increasingly being used. Early studies demonstrated that CT density (Hounsfield Units (HU)) is proportional to regional air to tissue ratios.³⁶ Thus set fixed thresholds of HU can be used to assess regional hyperdistension, emphysema or air trapping, depending upon the lung volume used during scanning. Methods have ranged from the simplest form which counts the number of voxels below a cut-off (-900 HU)³⁷⁻⁴⁸ to those which make use of measures derived from the histogram including skewness and kurtosis.⁴⁹ Critical to the use of lung density to infer presence and progression of disease is the standardization of the volume at which one images the lung. It is well recognized that lung density measurements change with lung inflation volume. Emphysema-like lung is assessed by coaching the patient to inspire to total lung capacity (TLC). To assess regional air trapping, imaging is accomplished with the patient holding their breath at either functional residual capacity (FRC) or residual volume (RV). Empirically it has been accepted that voxels falling below approximately -850 HU are considered to represent trapped lung regions.⁵⁰⁻⁵²Figure 2 demonstrates the difference between air-trapped regions in a patient with severe and non-severe asthma. Figure 3 demonstrates the 3D combination of both quantitative MDCT airway and parenchymal measurements in the same individual.

Ventilation-based analysis: The measurement of lung ventilation, lung volume, and tidal volume has traditionally been made for the entire lung, despite the fact that lung function in both health and disease is inhomogeneous distributed throughout the lung. 53-62 Xenonenhanced CT (Xe-CT) is a method for the non-invasive measurement of regional pulmonary ventilation, determined from the wash-in and wash-out rates of the radiodense nonradioactive gas xenon as measured in serial CT scans. Xenon gas is anesthetic at concentrations over 30%. Thus for wash-in studies in humans, one does not go over this concentration.^{63, 64} In utilizing Xe-CT selection of normalization factors is important in applying physiological interpretations to the data.⁶⁵⁻⁶⁹ Ventilation, for instance, can be normalized to regional air content, tissue content or simply as a mean normalized value. One can achieve approximately 2.5 HU contrast enhancement per percent xenon gas, thus the xenon signal amounts to approximately 50 or 60 HU. This can be enhanced by adding krypton to the gas mixture.⁶⁸ Krypton is more inert than xenon but has less radiopacity than xenon gas. Thus, it is not suitable for use on its own, but can be used to enhance the xenon signal above that which is achievable when one is limited to a 30% concentration of xenon.70-74

Perfusion-based analysis: Dynamic imaging methods such as CT have provided access to temporal signals from the vasculature of the lungs that have been used to estimate arterial, venous, and capillary transit times and capillary flow distributions.⁷⁵⁻⁷⁸ While imaging a patient during a breath hold, a sharp bolus of iodinated contrast agent is injected and images are acquired, gated to the ECG. Temporal sequences provide the timing of the passage of the iodine through the lung parenchyma. By comparing the peak of the parenchymal curve to the area under the arterial curve one achieves a quantitative measure of parenchymal perfusion. As application of imaging modalities to the study of lung disease has progressed, it has become clear that one must begin to evaluate regional lung function and not just an anatomic road map if one is to best use imaging to understand the basis for observed patterns of pathology.^{79, 80}

Functional MRI imaging in asthma

Studies of Ventilation Heterogeneity—MRI with hyperpolarized helium (HP He) provides a tool to visualize functional changes occurring in the distal small airways and lung parenchyma of patients with asthma. A specific isotope of helium (³He) can be brought into magnetic alignment outside of the MR scanner, or "hyperpolarized", by interaction with circularly polarized laser light using either spin exchange optical pumping (SEOP)^{81, 82} or metastability exchange.⁸³ The HP He gas can then be inhaled and allowed to physically distribute throughout the available airspace of the lungs, providing high contrast images on MRI despite the low physical density of the gas. Regions of slow or obstructed ventilation will appear as signal voids, referred to as "ventilation defects."

The method is safe, requires no ionizing radiation dose, and can be repeatedly inhaled facilitating longitudinal,^{84, 85} interventional,⁸⁶ and pediatric⁸⁷ exams. The polarized signal decays away to thermal equilibrium in 1-2 minutes⁸⁸ and washes out of the lung airspaces in a similar time frame.⁸⁹ There is now extensive experience using HP He MRI in asthma and only mild adverse events have been reported in less than 10% of subjects.⁹⁰⁻⁹² The primary safety concern is that the anoxic He-N₂ gas mixture displaces the air in the lungs, but even for extended breath-holds of 10-20 sec the hemoglobin saturation rarely falls below 90%, and recovers to normal within a few seconds.⁹² One important limitation of MRI is the paucity of anatomic detail available via conventional proton MRI leading to a general inability to obtain anatomic correlates to the HP He image (**Figure 4a**). Consequently, it is generally difficult to ascribe cause and effect regarding the functional information provided by HP He. To resolve this limitation and provide structure-function assessment, recent work has registered quantitative CT with HP He MRI images of ventilation to demonstrate that airway wall thickness increases proximal to ventilation defects on HP He MRI.⁹³

Although CT, MRI, and PET have documented surprisingly large sub-segmental and even segmental ventilation defects in patients with asthma,⁹⁴⁻⁹⁶ HP He MRI is distinguished by its ability to provide images of ventilation with whole lung coverage at relatively high spatial resolution depicting the regional distribution of focal defects in asthma.⁹⁷ These defects are identified as regions with no signal or reduced signal because the airspaces distal to obstruction do not fill relative to surrounding areas (**Figure 4c**). The resulting pattern of spatial heterogeneity is now recognized as a characteristic of asthma.⁹⁸ Nonetheless, the high level of heterogeneity revealed by HP He MRI in asthma is surprising, especially given that defects are observed even in asymptomatic patients and appear to involve the larger central airways (**Figure 4h**). Thus He MRI provides support to the concept that asthma is heterogeneous in its manifestation within a given individual^{73, 97, 99} and within the population of severe asthma.¹⁰⁰⁻¹⁰²

Imaging of lung function using HP He-MRI has discovered local areas of airway obstruction in asthma that are heterogeneous and occur more frequently in severe asthma.^{94, 103} Moreover, ventilation defects in healthy normal subjects (**Figure 4d**) are relatively common although these defects are typically small (<3 cm) and confined to the peripheral regions of the lungs.^{103, 104} Consequently, there is substantial overlap between normal volunteers and asthma patients with respect to the number of ventilation defects although on average the lungs of asthma patients have more numerous and larger defects that become more pronounced as disease severity increases.¹⁰³ While it remains possible that some of these normal subjects have early-onset disease,¹⁰⁵ further study of the reproducibility and sensitivity of ventilation defect measures is required before this can be claimed definitively.

Interestingly, greater than half of defects in asthma subjects have been found to persist over several days to over a year.⁸⁴ A more systematic study found that 75% of defects were reproducible day-to-day and that a similar number did not change in size,⁸⁵ challenging the

common perception of asthma as a dynamic disease with highly reversible sites of airway obstruction. In these studies the persistence of ventilation defects was found to be independent of asthma severity and medication use suggesting that these defects are refractory to therapy. These results suggest that a more progressive structural remodeling of the large and small airways at specific regions of the lungs may reflect local airway injury and progressive loss of lung function.

Control of lung volume at breath-hold in HP He MRI is important for standardizing and quantifying ventilation defect measures. In recent studies the volume of He/N₂ mixture was adjusted to the subject's TLC to normalize the inflation volume across subjects.^{94, 106} To further investigate effects of inflation volume, respiratory maneuvers, such as forced expirations¹⁰⁷ and deep inspirations,⁸⁶ can be performed in conjunction with the interventions such as exercise challenge (**Cover Figure**).

Respiratory maneuvers during fast MRI can depict the kinetics of airway obstruction. Dynamic images of inspiration and forced exhalation (**Figure 5**) have verified spatial heterogeneity and demonstrated temporal heterogeneity in the uptake and the washout of the HP He in asthma.^{107, 108} Regions of gas trapping in asthma were shown to reflect independent measures of lung function with plethsmography (i.e. residual volume) and spirometry (i.e. FEV₁ and FEV₁/FVC), and matched well with low density regions on MDCT images acquired at FRC. Emerging dynamic imaging techniques show promise for depiction of respiratory dynamics in asthma patients with whole lung coverage and robustness even in circumstances of loss of patient breath-hold.

Quantification of ventilation images—The development of objective quantitative measures of these ventilation defects is critical to the advance of HP He MRI. The most common metric used previously was the mean number of ventilation defects per slice (VDS). While this and similar scores are simple and well suited to consensus evaluation in blinded studies,¹⁰³ they typically condense the defect pattern into a single whole lung metric that does not effectively capture regional information. Quantitative regional measurements normalize defect volume to total lung volume and lung lobe accounting for both defect size and distribution,^{94, 109} and in cases of repeated studies, normalize to baseline signal values to calculate fractional ventilated volume.⁸⁶ Alternatively, a spatial coefficient of variation can be used to measure signal heterogeneity regionally.⁸⁶ This has been used effectively to show persistence of heterogeneity after deep inspiration in subjects with asthma compared to normal volunteers after methacholine challenge.⁸⁶

There are several emerging techniques using diffusion weighted HP He MRI that are sensitive to physical diffusion of the gas within the airway and alveolar structures. The apparent diffusion coefficient (ADC) provides a quantitative measure of the size of the airspaces, and has been shown to be highly reproducible^{110, 111} in normal volunteers and COPD¹¹²⁻¹¹⁵ and sensitive to early disease and changes due to aging.^{114, 115} Although the ADC measure applied to alveolar structures has so far shown limited application to the study of asthma, recent work investigating larger scale structures have measured significant differences between asthma patients and normal subjects¹¹⁶ suggesting large airway or collateral ventilation abnormalities.¹¹⁷ Specific models of lung structure¹¹⁸ may also help to

Picture for the cover. Montage of structural images – airways and lung using MDCT, functional images using ³He MRI and molecular images using FDG PET images in asthma. Quantitative CT of the airways (upper left) and lung parenchyma (lower right) demonstrating persistent air trapping in patient with severe asthma (lower) compared to non-severe asthma patient (upper). The hyperpolarized He-3 MR image (upper right) of an asthmatic before (left) and after (right) exercise challenge; Lower: Hyperpolarized He-3 MR image post-forced exhalation from a dynamic series demonstrating regional gas trapping in the left lower lobe of an asthmatic. The Fluorodeoxyglucose positron emission tomography (FDG-PET) images (lower left) of the chest and segmented lung volume are used for quantification of FDG uptake in the lung as a measure of regional lung inflammation.

quantify the dimensions of the lung microstructures in asthma with HP He MRI, allowing changes in airway structure to be tracked longitudinally for evaluating response to therapy.

Xenon-129 (¹²⁹Xe) is another noble gas that can be polarized using the SEOP method.¹¹⁹ The fractional solubility of ¹²⁹Xe in the blood stream (~17%)¹²⁰ has implications for measuring parameters related to alveolar ventilation-perfusion ratio (V_A/Q) which may be important in asthma in order to provide an assessment of an individual's ability to adapt to persistent obstruction and/or exacerbation due to heterogeneity in ventilation. The application of HP ¹²⁹Xe MRI has lagged behind HP He methods largely because ¹²⁹Xe is more challenging to polarize,⁸² has a lower gyromagnetic ratio than ³He (11.8 vs 32.4 MHz/T) and protocols for its application are not as fully developed. The recent shortage of He-3 has led to more serious development of ¹²⁹Xe MRI, and with technical advances in parallel MRI and improvements in ¹²⁹Xe polarization,¹²¹ several encouraging pre-clinical studies¹²²⁻¹²⁴ and promising pilot studies in human subjects have been reported.^{125, 126}

As with many functional imaging methods, there remain significant challenges to translating these methods to the clinic. However, the unique ability to visualize local airway obstruction and explore mechanisms and progression of airway obstruction longitudinally is an important strength of hyperpolarized gas MRI, especially as it pertains to the study of children with asthma without requiring ionizing radiation in this sensitive population. There is an increasing recognition that different phenotypes of asthma exist¹⁰¹ and that these clusters of phenotypes may have differential response to therapy. Moreover as therapies become more diverse and patient-specific, functional imaging will likely be one of the key ways to verify response and efficacy to new therapies and this will require a safe longitudinal non-invasive method to assess the airway response before and after treatment. However, current functional imaging techniques need to become more quantitative, sensitive and accessible to justify their current cost and complexity.

Molecular imaging in asthma

Molecular imaging has been broadly defined as the use of imaging methods which "directly or indirectly monitor and record the spatiotemporal distribution of molecular or cellular processes for biochemical, biologic, diagnostic, or therapeutic applications."¹²⁷ Radiotracer imaging using nuclear medicine techniques is the modality that has been most frequently applied, although MR spectroscopy and imaging, ultrasound, and optical imaging also have served as molecular imaging modalities. Molecular imaging techniques are still largely under development, and their application to the study of asthma has been very limited. Those that have been identified as having potential applications in asthma include positron emission tomography (PET) and single photon emission computed tomography (SPECT).^{128, 129} Combination PET-CT systems allow improved correlation of PET signal abnormalities to specific anatomic structures (**Figure 6**).

Molecular imaging with PET requires coupling a positron-emitting radioisotope with a short half-life, such as ¹⁸F, ¹¹C, or ¹⁵O, to a molecule that functions within a known metabolic pathway. The most commonly used molecule in PET imaging is ¹⁸F-fluorodeoxyglucose (FDG), a radiolabeled glucose analog. When injected intravenously, FDG is transported into metabolically active cells and phosphorylated by the enzyme hexokinase in the same manner as glucose. However, the FDG-6-phosphate is not metabolized further, and becomes trapped intracellularly, resulting in an increase in signal from the metabolically active cells.

FDG-PET is most commonly used in oncologic imaging due to its ability to depict the increased glucose metabolism present in most malignant neoplasms. However, FDG-PET also has shown promise as an imaging biomarker of lung inflammation. The accumulated evidence suggests that primed and activated neutrophils are the primary (though may not be

the only) source of increased FDG signal in the lung.¹³⁰ In animal models, increased FDG uptake has been demonstrated in acute lung injury^{131, 132} and after inhalation of cigarette smoke.¹³³ In humans, increases in FDG uptake have been found in several conditions characterized by inflammation, such as respiratory tract infections (**Figure 6**) including pneumonia,¹³⁴ cystic fibrosis,¹³⁵ sarcoidosis,^{136, 137} and COPD.¹³⁸

The ability to quantify a component of the inflammatory response with FDG-PET suggests that it may also be useful for learning more about the pathogenesis of asthma, phenotypic differences, and responses to anti-inflammatory therapies. One study¹³⁹ demonstrated increased regional FDG signal in five patients with atopic asthma after bronchoscopic allergen challenge, though there was no increase in signal with inhaled allergen challenge. Another study¹³⁸ showed no difference in FDG uptake between six subjects with asthma and five normal control subjects. However, this latter study also performed PET imaging with an agent that binds to macrophage receptors, ¹¹C-labeled PK11195, and found that uptake was markedly higher in three of five asthma patients compared to the normal controls.^{134, 140-142}

Nuclear medicine imaging methods, such as SPECT using leukocytes labeled with ¹¹¹In or ⁹⁹Tc, may identify the presence of an inflammatory response.^{130, 143-161} The radiopharmaceutical ^{99m}Tc-exemetazime [Technetium^{99m}-hexamethylpropylene amine oxime (99mTc-HMPAO)] has been recognized as a potential imaging agent for quantification of the lung reduction-oxidation potential. In its lipophilic form, 99mTc-HMPAO diffuses into the cell, where it is reduced to its hydrophilic form in the presence of GSH and remains trapped.^{162 99m}Tc-HMPAO uptake is negligible in normal lungs, but is significantly increased in lungs with oxidative stress and inflammation. For example, active smokers have increased uptake in lung that is proportional to the amount of cigarettes smoked.^{163, 164} Furthermore, irradiation injury to the lung, which is directly related to ROS and RNS levels, is associated with markedly increased uptake of ^{99m}Tc-HMPAO by the lung.^{163, 164} Although the spatial resolution of clinical SPECT is relatively poor (~15 mm) in comparison to other modalities such as PET, it appears sufficient for quantitative assessment of regional airway redox and inflammation. Taken together, HMPAO-SPECT-CT may be an innovative imaging modality for the airway inflammation of asthma, as it is in cigarette smoke-related lung disease.

Alternative imaging strategies in asthma

Nonradiographic imaging techniques may also be useful for imaging remodeling in asthma. Optical coherence tomography (OCT) is a new imaging method that combines near-infrared light with bronchoscopy to produce a 2D image of the airway wall. Using a fiberoptic catheter, OCT directs half of the infrared light to the tissue surface and the other half to a moving mirror – the reflected light is captured by a detector.⁷⁹ The technique allows the sampling of different layers of tissue with a spatial resolution of 3-16 microns and depth penetration of 2 mm. Coxson et al. found that there was a correlation between CT and OCT measurements of lumen and wall area (WA) in former and current smokers.⁸⁰ Furthermore, they found a strong correlation between FEV₁% predicted and OCT measurements of WA at the 5th generation airways but not proximally. This new imaging technique can potentially provide both a microscopic view of airway WT and the subepithelial matrix and alveolar attachments. However, standards for this technique have not yet been formulated; it is subject to respiratory cycle movements and has not been studied in other diseases including asthma.

Two reports^{165, 166} describe the promising application of endobronchial ultrasound (EBUS) in assessment of airway wall thickness. EBUS is performed via fiberoptic bronchoscopy using an ultrasound probe within a balloon sheath inserted through the working channel.

Airways of internal diameter as small as ~4 mm can be accessed by EBUS. EBUS enables visualization of multiple layers of the airway wall including the mucosa, submucosa, smooth muscle, and the outer layers of cartilage. Studies employing EBUS imaging in asthma revealed that the inner mucosa and secondary layer of submucosa and smooth muscle of the airway wall were thicker than in healthy controls.^{165, 166} Moreover, the total airway wall thickness determined by EBUS in this study was in good agreement with measures made by HRCT, and the procedure of EBUS was well tolerated. Advantages of EBUS include the ability to determine regional airway wall remodeling serially for repeated measurements without radiation exposure.

Application of CT imaging in asthma

The vast majority of the studies of imaging in asthma have utilized CT. CT imaging is the only modality widely available and has been studied in a wide range of asthma patients and evaluated in the context of phenotype, traditional physiology, pathology and treatment responses. Two general CT approaches have been utilized to evaluate and compare structural remodeling changes in the lung which occur in asthma with clinical and pathophysiologic parameters. These two approaches have focused on lung density measures, primarily as a measure of emphysema and/or air trapping, and airway wall measures.

Lung density measures—Different parameters have been utilized for density measures depending on whether the focus is emphysema or air trapping in severe asthma. In earlier asthma studies, the scans are generally done at TLC utilizing a threshold density of \leq -910 HU to define hyperinflated portions of the lung.^{167, 168} Using this approach, decreased lung density at TLC was associated with greater airflow limitation. A dynamic component to these changes in lung density in asthma has also been suggested in studies stimulating bronchoconstriction with methacholine or exposure to cat dander. Following 6 hrs of exposure to cat dander there was a significant increase in lung attenuation consistent with air trapping. This was accompanied by a significant fall in FEF^{25-75} % consistent with small airway closure.¹⁶⁹ Similarly, decreased density was shown to be greater in a subject with an exacerbation of asthma which partially reversed with treatment of the exacerbation. In more recent studies, lung density has been evaluated at partial and full expiration (FRC and RV). Unlike the scans at TLC, these scans may better reflect the degree of air trapping, with one study suggesting that the ratio of lung attenuation area <-960 HU at full inspiration, as compared to RV, was negatively associated with FEF₂₅₋₇₅% and positively correlated with RV/TLC, all consistent with air trapping and small airway disease.¹⁷⁰

In studies from the Severe Asthma Research Program (SARP), the degree of air trapping as measured by % of lung <-850 HU at partial expiration (FRC) was shown to be associated with increasing severity of disease, specifically an increased likelihood of very severe exacerbations (**Figure 7**).⁵² That study went on to address risk factors for this "air trapping" phenotype. In the 117 subjects evaluated, atopy, increased neutrophilic inflammation, duration of disease and history of pneumonia were all found to be independent predictors of air trapping by CT. Whether specific neutrophil-related processes in these patients with severe asthma such as matrix modification by elastases or proteinases is leading to this air trapping will require further study. Although prospective studies are necessary, this study suggests that quantitative CT imaging may be useful in identifying asthma patients with very high risk disease.

All CT density studies to date have focused on global air trapping or hyperinflation. However, recent software improvements can now measure regional (lobar) differences in lung density to more precisely define the anatomic changes in relation to disease (**Figure 2**).

Whether these general or regional differences in lung lucency portend different clinical scenarios, pathobiologies and treatment options remains to be determined.

Airway wall thickening—In addition to lung density data, MDCT imaging can precisely measure airway WT. While quantitative CT imaging allows precise measurement of airway WT throughout the tracheobronchial tree, for ease of analysis, many early studies focused on a single airway to the right upper lobe (e.g. RB1). However, measurements from this single airway likely do not represent the full spectrum of airway changes given the substantial segmental variability noted in patients with severe asthma.^{171, 172}

Airway wall measurements have included wall area percent (WA%), WA/body surface area, and thickness to diameter ratios. Studies have long reported increased wall thickening in relationship to more severe disease, and even near fatal asthma.^{171, 173, 174} As part of SARP, the average WT% and WA% was increased in subjects with severe asthma, although no specific segmental airway could be identified as most involved, and, in fact, there was considerable heterogeneity among the airways.¹⁷² However, in contrast to some studies, this general increase in airway WT% and WA% was found to be correlated with lung function, including FEV₁ and bronchodilator response. Interestingly, at least one study suggests that although increased wall thickening may be associated with more "severe" asthma, as measured by FEV₁, airway wall thickening may have protective effects on bronchial hyperreactivity.¹⁷⁵ While the clinical implications of this finding remain unclear, this study highlights the many possible studies which can be done with CT imaging to better understand structure-function relationships.

Relationship to inflammation/remodeling—Several studies have attempted to link cellular and proteolytic properties to airway WT, as measured by CT. One of the first studies to address the relationship of pathologic changes to CT structural changes suggested that subepithelial basement membrane thickening measured histologically was highly correlated with both airway WA% and WT% in a group of asthmatic and control subjects.¹⁷⁶ Similarly, the recent SARP study also correlated pathologic structural changes with CT airway measurements, suggesting moderate correlations between epithelial layer thickness and WA% and WT%.¹⁷² Thus, quantitative CT scans may be a surrogate non-invasive measure of remodeling of the airways.

Additional studies relating inflammatory changes found in sputum, bronchoalveolar lavage or airway tissue to CT structure are needed. Two small studies have been published, with the first showing the lower the ratio of matrix metalloproteinase-9/tissue inhibitor of metalloproteinase-1 in sputum, the greater the airway WT as measured by WA%.¹⁷⁷ A second study reported correlations between airway wall thickness (as measured by the slope of WA/Lumen area change by generation across all visible segments) and subepithelial basement membrane thickening and number of inflammatory cells infiltrating the bronchial mucusa.³⁴ However, this is likely only the beginning of potential links of imaging in asthma to its underlying pathobiology.

Evaluating therapies—Use of quantitative imaging is particularly desirable for long-term studies and treatment trials of asthma where repeated bronchoscopies may not be desirable. In fact, this may be one of the most important clinical research applications of CT imaging. CT imaging has been used to evaluate the impact of inhaled corticosteroids, leukotriene receptor antagonists and even new biologic agents, like antibodies to IL-5, looking at lung density, as well as airway wall measurements.

Lung density measures (looking at change in lung attenuation from baseline to post treatment) have been reported to show rather substantial effect of inhaled corticosteroids to

decrease lung attenuation and improve air trapping over a 3 month period of time in mild asthma. However, there were no differences observed between a fine particle aerosol vs a more traditional particle size.¹⁷⁸ Of note, physiologic measures were not as able to pick up changes post treatment as the CT changes. Another study which addressed the impact of a systemic medication on lung attenuation noted similar improvements in air trapping.¹⁷⁹ While there were only modest improvements in FEV₁% predicted observed to go along with this increase, significant decreases in lung attenuation were seen following treatment with montelukast.

WA% has also been reported to decrease in response to inhaled corticosteroid therapy. A small, but significant decrease in WA%, as measured by quantitative CT was reported following treatment with a moderate dose of inhaled corticosteroids (beclomethasone 800 mcg/day for 12 weeks).¹⁸⁰ This decrease was correlated with the fall in serum eosinophilic cationic protein, suggesting that the CT changes were related to the effect of corticosteroids on eosinophilic inflammation. An effect through eosinophils was supported by a recent study of patients with severe eosinophilic asthma treated with mepolizumab, an IL 5 monoclonal antibody, which also demonstrated a significant reduction in RB1 WA%/body surface area in comparison to placebo.¹⁸¹ Furthermore, a study of bronchial thermoplasty, a bronchoscopic procedure in which controlled thermal energy is applied to the airway wall to decrease smooth muscle, in patients with severe persistent asthma performed qualitative CT in a subset of participants (100 treated with thermoplasty, 50 received sham bronchoscopy). Qualitative analysis of these CT scans demonstrated no evidence of airway or parenchymal injury related to bronchial thermoplasty and an increase in bronchial wall thickening in those receiving sham bronchoscopy at one year.¹⁸² These studies demonstrate the potential use of quantitative imaging in asthma as a surrogate endpoint for airway remodeling in response to promising therapies or interventions.

Need for standardization—Current lung imaging modalities in practice lack methods of standardization with use of various scanners, software versions, and image acquisition protocols to ensure reproducibility across sites. It is unclear if regular water and air calibration can prevent these inconsistencies especially with longitudinal exams where drift over time may mimic disease progression.¹⁸³ To overcome these limitations in clinical trials, networks (including the SARP) have started to use common CT phantoms to ensure reliability across centers and time. Care must be taken to ensure that the phantom contains differing objects of varying densities and consistency to capture the breadth of potential imaging modalities. However, standardization of other quantitative imaging measures (e.g. ADC, ventilation defects) using modalities such as MRI or PET is needed.

In addition to use of phantoms, use of specific imaging protocols are needed to optimize standardization in defining disease status or for making lung density or airway measurements. For example, in the SARP, subjects are coached to TLC in a standard fashion across sites. Alternatively, confirmation of lung volumes can be confirmed using spirometric measurements at the time of image acquisition. A recent study suggests that sensitivity with repeated CT is improved when volumetric correction is applied using individual patient data.¹⁸⁴ Lastly, establishing normal airway and lung density measurements in normal populations is needed to help researchers better define deviations from the normal expected variation.

Radiation exposure—The expansion of CT use in medicine has led to concern about the potential risk of excess cancers that is equally important in the consideration of research imaging. Chest CT exams (3-6 mSv) deliver 60-120 times the radiation dose of a normal posterior anterior chest radiograph (0.05 mSv).¹⁸⁵ Furthermore, Smith-Bindman et al. recently demonstrated that due to lack of standardization in clinical practice, radiation dose

varies widely (mean 13-fold variation) within and across institutions for the same type of study.¹⁸⁶ The radiation dose associated with clinical CT exams performed in 2007 in the U.S. accounted for a projected 29,000 excess cancers with chest exams accounting for a quarter of these.¹⁸⁷ In addition, this increased risk is greatest for women and younger patients.^{187, 188} Therefore, CT chest exams should be programmed with techniques that conform to the ALARA (As Low As Reasonably Achievable) principal yet provide adequate image quality. Recent improvements in reconstruction algorithms, x-ray tube current modulation techniques and use of breast and thyroid shields have helped minimize radiation dose especially to radiosensitive areas.¹⁸⁵

With the consideration of risks associated with research CT imaging in asthma, care should be taken to minimize the dose consistent with the ALARA principal and to adequately inform adult participants of the risk associated with the research exam. Given the concern of increased radiation sensitivity in children, research CT in children with asthma should be avoided unless there is adequate justification of substantial benefit. One should balance the value of longitudinal CT examinations with the risk of increased radiation exposure over time. Furthermore, recent methods to quantify radiation dose exposure, such as dose length product (DLP), should be employed so that serial radiologic examinations can calculate the cumulative radiation dose exposure over the course of the study.¹⁸⁵ Techniques to minimize this risk such as low dose CT, scanning at one lung volume and utilizing dose reduction algorithms should be employed.

Conclusions

Imaging of the lungs in patients with asthma using standardized protocols can provide useful data leading to an improved understanding of the pathophysiology of asthma. These newer imaging modalities in asthma will provide us with new phenotypes of asthma as we begin to understand the structural and functional differences present in asthma, especially in those with severe disease. As we develop better methods of standardization for quantitative CT and hyperpolarized gas MRI, we may be able to study earlier stages of diseases as well as utilize these measurements as endpoints for clinical trials. Recent studies¹⁸⁰⁻¹⁸² suggest that these imaging endpoints may be useful as targets for intervention. However, additional studies are needed to evaluate these methods in well-characterized cohorts over time.

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Abbreviations used

2D/3D	two/three dimensional
ADC	apparent diffusion coefficient
СТ	computed tomography
DSR	dynamic spatial reconstructor
EBCT	electron beam computed tomography
FEV ₁	forced expiratory flow in one second
FEF ₂₅₋₇₅ %	forced expiratory fraction at 25-75% flow
FEV ₁ /FVC	forced expiratory flow in one second/forced vital capacity

FDG	fluorodeoxyglucose
FRC	functional residual capacity
Не	helium
HP He	hyperpolarized helium
IL 5	interleukin 5
Kv	kilovoltages
mA	milliampere
msec	milliseconds
MDCT	multidetector-row computed tomography
MRI	magnetic resonance imaging
PET	positron emission tomography
ROS	reactive oxygen species
RNS	reactive nitrogen species
SEOP	spin exchange optical pumping
SPECT	single photon emission computed tomography
^{99m} Tc-HMPAO	Technetium ^{99m} -hexamethylpropylene amine oxime
TLC	total lung capacity
VDS	ventilation defects per slice
WA%	wall area percent
WT%	wall thickness percent
Xe	xenon

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Figure 1. Quantitative CT of airways in asthma

MDCT analysis was performed using the Pulmonary Workstation software (VIDA) and a screen capture of the cross-sectional MDCT image is demonstrated across three segmental pathways (in blue) in the right and left lung.



Figure 2. Quantitative CT of lungs in asthma

Quantitative CT allows accurate assessment of air trapping in asthma: non-severe (upper row) and severe asthma (lower row). Trapped air defined as voxels within the lung field falling below -856 HU are demonstrated by sphericals proportional to area of air trapping (volume rendered view) in the right panels. Each lobe is color-coded. Corresponding CT sagittal views are shown in the left panels. For non-severe asthmatic (upper row) the percent air trapping values (below -856 HU) are: RUL 3%, RML 17%, RLL 0.5% and LUL 6%, LLL 8%. For severe asthmatic (lower row) the percent air trapping (below -856 HU) is: RUL 28%, RML 60%, RLL 29% and LUL 25%, LLL 27%.



Figure 3. Three-dimensional and cross-sectional quantitative CT of the airway and lungs in asthma

Quantitative rendering of airway tree in 3D with RB1 segmental pathway highlighted with numerical quantitative airway dimensions (upper image), and in cross-section with airway boundaries outlined in red (lower image).



Figure 4. MR of lungs in health and asthma

Examples of conventional proton MR images paired with corresponding slices from HP He MR images in a healthy normal subject without ventilation defects (**a** and **b**) and a healthy normal subject with ventilation defects (**c** and **d**, arrows). HP He MR images in a patient with mild-moderate persistent asthma (**e**) and severe asthma (**f**). Note the greater central extent of the defects more typical of severe asthma (**f**, arrowheads).



Figure 5. Functional MR in asthma

Three dimensional dynamic HP He MRI showing gas wash-in (**a**), breath-hold (**b**), and forced expiration (**c** and **d**) at a 0.5 second frame rate for a patient with moderate persistent asthma demonstrating hetereogeneous gas distribution (**b**) with gas trapping in the lower right and, most prominently, in the lower left lung (**c** and **d**, arrows). (**e**) Kinetics of wash-in and wash-out derived from regions of interest in the right upper lobe (dotted) and left upper lobe (solid) can be used to quantify regional spirometry.



Figure 6. PET imaging of the lungs

Fluorodeoxyglucose (FDG) PET/CT images depicting standardized uptake value (SUV) parametric maps overlain on CT images for a subject with mild persistent asthma during a respiratory tract infection. Areas of uptake on both (**a**) sagittal and (**b**) axial slices indicate mediastinal lymph node inflammation (black arrowheads), and selected peripheral areas of localized airway inflammation (white arrows in b).



Figure 7. Air trapping and severe exacerbations

The presence of air trapping is associated with severe asthma and severe exacerbations of asthma (Reproduced with permission from Ref.⁵²).