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Clinical and Prognostic Implications of Plasma Insulin-Like Growth Factor-1 and Vascular Endothelial Growth Factor in Patients With Hepatocellular Carcinoma

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A B S T R A C T

Purpose

Cirrhosis and hepatocellular carcinoma (HCC) together form a two-disease state that affects survival of patients with HCC and dictates treatment decisions and prognostic stratification of patients in clinical trials. The study objective was to improve prognostic stratification of patients with HCC.

Patients and Methods

We prospectively collected plasma samples and baseline clinicopathologic features from 288 new patients with HCC, and plasma insulin-like growth factor-1 (IGF-1) and vascular endothelial growth factor (VEGF) levels were tested. We applied Cox regression and log-rank tests to assess association of IGF-1 and VEGF with overall survival (OS), Kaplan-Meier curves to estimate OS, and recursive partitioning to determine optimal cutoff points for IGF-1 and VEGF. Prognostic ability of conventional and molecular Barcelona Clinic Liver Cancer classifications was compared using the c-index.

Results

Lower plasma IGF-1 and higher plasma VEGF levels significantly correlated with advanced clinicopathologic parameters and poor OS, with optimal cut points of 26 ng/mL and 450 pg/mL, respectively. The combination of low IGF-1 and high VEGF predicted median OS of 2.7 months compared with 19 months for patients with high IGF-1 and low VEGF (P < .001), further refining the prognostic ability of conventional HCC staging (P < .001).

Conclusion

Baseline levels of plasma IGF-1 and VEGF correlated significantly with survival in patients with HCC. Integrating IGF-1 and VEGF into HCC staging significantly enhanced prognostic stratification of patients. If validated, these results may prove to be useful in designing strategies to personalize management approaches among these patients.

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INTRODUCTION

Hepatocellular carcinoma (HCC) is the sixth most common malignancy in the world and third most common cause of cancer mortality.¹ In the United States, incidence of HCC has approximately doubled in the past three decades.^{2,3} HCC prognosis has remained poor, mainly because of: one, advanced tumor stage, accompanied by chronic liver disease (CLD) at diagnosis, which precludes curative treatment options, and two, lack of a universal HCC prognostic staging system. The key roles of prognostic HCC staging are to accurately predict patient survival, guide therapy decisions, and stratify patients in clinical trials. Therefore, development of better HCC prognostic stratification systems governing therapy decisions is critically needed to improve outcome in patients with HCC. Several classification systems for HCC have been developed based on multiple prognostic factors related to tumor stage and CLD status parameters.⁴⁻⁹ However, there is a noted heterogeneity among patients within the same HCC stage in all HCC staging systems, especially nonsurgical patients who are the focus of the clinical trials. Therefore, molecular approaches to stratifying patients with HCC, through integration of biomarkers into staging systems parameters, are expected to better predict patient survival and refine their prognostic stratification.¹⁰

The Barcelona Clinic Liver Cancer (BCLC) staging system⁷ and Cancer of the Liver Italian Program (CLIP) score⁶ are among the most commonly

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Fig 1. Barcelona Clinic Liver Cancer staging. CLT, cadaveric liver transplantation; HCC, hepatocellular carcinoma; LDLT, living-donor liver transplantation; PEI, percutaneous ethanol injection; PST, performance status; RF, radio-frequency ablation; TACE, trans-arterial chemoembolization; ttc, treatment.

used HCC prognostic systems to guide therapy decisions and stratify patients in HCC clinical trials. Recent reports have indicated better prognostic ability of CLIP score compared with BCLC staging.^{11,12} However, the systems are conceptually different. Furthermore, BCLC staging is endorsed by the American Association for the Study of Liver Diseases and European Association for the Study of Liver Diseases clinical practice guidelines¹³⁻¹⁵ and is commonly used to guide therapy decisions in clinical practice (Fig 1). Thus, patients with unresectable HCC classified under BCLC stage C have emerged as the standard patient population to be included in HCC systemic therapy trials.¹⁵ However, there is a significant degree of heterogeneity within this group. Moreover, the Child-Pugh system-the only tool for assessing underlying liver condition under BCLC-is itself relatively quantitative and uses five empirically selected variables, including hepatic encephalopathy and ascites, which are clinically difficult to grade and may vary in severity according to medical management of patients.¹⁶

Circulating levels of insulin-like growth factor-1 (IGF-1) decrease sharply in patients with CLDs such as steatosis, chronic hepatitis C, cirrhosis, nonalcoholic steatohepatitis, and HCC,¹⁷⁻²⁴ because the liver is responsible for synthesis of most of the circulating levels of IGF-1.^{25,26} Furthermore, HCC is a highly vascular tumor, and angiogenesis, mediated through VEGF, is thought to play a major role in development, progression, and prognosis of this cancer.²⁷⁻³⁰ Our most recent studies introduced the V-CLIP and I-CLIP scores,^{31,32} an integration of plasma VEGF and IGF-1 into CLIP score parameters, and showed significant improvement in prediction of survival and patient stratification.

Collectively, these data suggest that circulating levels of IGF-1 and VEGF may reflect the synthetic function of the liver and the aggressiveness of HCC tumors, respectively, and hence correlate with survival of patients with HCC and improve their prognostic stratification. This could potentially lead to more accurate classification under the BCLC system and may ultimately change treatment decisions. Therefore, our central hypothesis was that the combination of baseline plasma levels of IGF-1 and VEGF would correlate with clinicopathologic features and survival of patients with HCC and hence refine prognostic stratification of patients when added to BCLC staging parameters.

PATIENTS AND METHODS

Patients

We prospectively enrolled patients, collected their blood samples and clinical data, and retrospectively analyzed samples for plasma biomarkers. The current study is part of an ongoing HCC case-control study at The University of Texas MD Anderson Cancer Center, under an independent specific aim to study biomarkers correlating with survival and their role in refining HCC prognostic stratification. We obtained approval of the institutional review board of MDACC for this study and informed consent of patients. The study inclusion criteria were pathologically confirmed HCC and US residency. The exclusion criterion was concurrent presence of another primary liver cancer (such as fibrolamellar HCC or cholangiocarcinoma) or other types of cancers.

Baseline Plasma IGF-1 and VEGF Assay

Peripheral venous blood samples (3 to 5 mL of whole blood) were collected, anticoagulated by ethylenediaminetetraacetic acid and centrifuged at 4°C for 15 minutes (3,000 rpm). Plasma samples were removed, aliquoted, and snap frozen at -20° C until used. IGF-1 and VEGF levels were tested by enzyme-linked immunosorbent assay (ELISA) (Quantikine Human IGF-1 and VEGF ELISA Kits; R&D Systems, Minneapolis, MN). IGF-1 and VEGF were determined from a standard curve generated for each set of samples assayed, after duplicate measurements were made.

	Pat (n =		
Characteristic	No.	%	95% CI
Age, years			
< 60 ≥ 60	111 177	38.5 61.5	32.9 to 44.4 55.6 to 67.1
Sex	80	30.9	25.6 to 36.1
Male	199	69.1	63.4 to 74.4
Kace White	199	69.1	63.4 to 74.4
African American	29	10.1	6.8 to 14.
Hispanic	37	12.8	9.2 to 17.3
Asian	23	8	5.1 to 11.
Educational level	407		00.0 / 50
≤ High school	127	44.1	38.3 to 50
	95	22.9	27.6 to 38
Hepatitis infection status	00	00	27.0 10 00.
HBV	38	13.2	9.5 to 17.
HCV	60	20.8	16.3 to 25.
HBV and HCV	27	9.4	6.3 to 13.
None	163	56.6	50.7 to 62.
Alcohol consumption, mL ethanol/d	00	22.2	26.0 to 20
None	93 131	32.3 45.5	26.9 to 38. 39.6 to 51
= 00 > 60	64	22.2	17.6 to 27.
Cigarette smoking, packs per year			
None	91	31.6	26.2 to 37.
≤ 20	76	26.4	21.4 to 31.
> 20	121	42	36.2 to 47.
First-degree history of liver cancer	074		01.0+- 07
NO Ves	274	95.1 4 9	91.9 to 97. 2 7 to 8
History of diabetes, years before HCC diagnosis	14	4.0	2.7 10 0
None	191	66.3	60.5 to 71.
≤ 1	13	4.5	2.4 to 7.6
> 1	84	29.2	23.9 to 34.
Serum AFP level, ng/mL	100	00.1	00 4 += 74
< 400	199	69.1 29.9	03.4 to 74.
≥ 400 Missing	3	29.9	0.2 to 3.3
Tumor differentiation	-		
Well	112	38.9	33.2 to 44.
Moderate	95	33	27.6 to 38.
Poor	50	17.4	13.2 to 22.
Unknown	31	10.8	7.4 to 14.
<pre>rumor size, % of liver < 50</pre>	101	66.2	60 5 to 71
> 50	97	33.7	28.2 to 39
Vascular invasion	07	00.7	20.2 (0 00.
Yes	53	18.4	14.1 to 23.
No	235	81.6	76.6 to 85.
Distant metastasis			
Yes	60	20.8	16.3 to 25.
NO Tumor podularity	228	/9.2	74 to 83.
Uninodular	105	36.5	30.9 to 12
Multinodular	183	63.5	57.7 to 69
(continued in	next colum	in)	

Table 1. Patient Demographics and Clinical Characteristics (continued)						
	Pati (n =					
Characteristic	No.	%	95% CI			
Lymph node involvement						
Yes	122	42.4	36.6 to 48.3			
No	166	57.6	51.7 to 63.4			
Cirrhosis						
Yes	173	60.1	54.2 to 65.8			
No	115	39.9	34.2 to 45.8			
Child-Pugh class						
A	206	71.5	65.9 to 76.7			
В	76	26.4	21.4 to 31.9			
С	6	2.1	0.7 to 4.4			
TNM stage						
I	45	15.6	11.6 to 20.3			
II	32	11.1	7.7 to 15.3			
III	157	54.5	48.6 to 60.4			
IV	54	18.8	14.4 to 23.7			
Treatment exposure						
None	39	13.5	9.8 to 18			
Chemotherapy alone	97	33.7	28.2 to 39.5			
Chemotherapy and others	34	11.8	8.3 to 16.1			
Surgery alone	35	12.2	8.6 to 16.5			
Surgery and others	54	18.7	14.4 to 23.7			
Local therapies	29	10.1	6.8 to 14.1			

Abbreviations: AFP, $\alpha\mbox{-fetoprotein};$ HBV, hepatitis B virus; HCV, hepatitis C virus.

Statistical Analysis

To study the correlation between baseline plasma IGF-1 and VEGF levels and various clinical characteristics and staging systems, we used Wilcoxon rank sum tests. We used a univariate Cox regression model to assess factors associated with overall survival (OS). To identify optimal IGF-1 and VEGF cutoff points, we split the data randomly into two sets: a training set (containing two thirds of data) and validation (test) set (one third of data). We applied recursive partitioning to the training set to find the optimal cutoff point maximizing the difference in OS between the groups with low and high levels. We then validated that cutoff point by fitting a Cox regression model to the test data with IGF-1 and VEGF dichotomized at optimal cutoff points. We repeated this methodology using different random training/validation splits.

We next applied the log-rank test and Kaplan-Meier analyses to multivariate Cox regression models including IGF-1 and VEGF, dichotomized at the optimal cutoff point for each, as well as the variables in the BCLC system, to evaluate whether IGF-1 and VEGF were independent prognostic factors after adjusting for other factors. Finally, we computed median OS for patients in each BCLC, I-BCLC, V-BCLC, and IV-BCLC group and compared the groups using log-rank tests to assess relative performance of the four systems. The sign test was used to assess whether groups with low IGF-1 tended to have shorter median OS than those with high IGF-1 and whether groups with high VEGF had shorter median OS than those with low VEGF within the BCLC, I-BCLC, V-BCLC, and IV-BCLC systems. We used a c-index test to compare prognostic ability of the four systems, reflecting stratification capability of each system.

RESULTS

Patient Characteristics

From January 2000 until March 2008, we enrolled 394 eligible patients; baseline plasma samples were available for 288 (73%); remaining patients did not return for blood draw. Patient characteristics

are listed in Table 1. Notably, no significant differences were found between enrolled patients with and without plasma samples in demographics or clinical features. However, patients without plasma samples had a tendency to have smaller tumors (involving < 50% of liver), multinodular tumors, higher baseline α -fetoprotein (AFP) levels, and portal vein thrombosis (data not shown). For all patients, estimated median OS was 13.6 months (95% CI, 11.7 to 17.7; Kaplan-Meier curve shown in Figure 2A). Approximately two thirds of the patients (189; 66%) were classified under BCLC stage C (advanced). Using univariate Cox regression models, we found that the following were all significant predictors of poor survival in HCC: poor tumor differentiation, multinodularity, vascular invasion, distant metastasis, high serum AFP level, ALT, AST, bilirubin, and cirrhosis (Appendix Table A1, online only).

Baseline Plasma VEGF and IGF-1 Levels As Independent Prognostic Factors

As shown in Appendix Table A1 (online only), hazard ratios (HRs) and 95% CIs estimated from Cox regression models indicated that plasma IGF-1 (HR, 2.06; 95% CI, 1.5 to 2.81) and VEGF (HR, 1.74; 95% CI, 1.26 to 2.43) were strongly associated with OS (P < .001 for both). Table 2 describes the correlations between plasma IGF-1 and VEGF levels and patient characteristics by the Wilcoxon rank sum test. IGF-1 level was most significantly associated with Child-Pugh



Fig 2. Kaplan-Meier estimates of overall survival in (A) all patients (n = 288; reprinted with permission³¹) and (B) patients split by combination of insulin-like growth factor-1 (IGF-1) and vascular endothelial growth factor (VEGF) levels. HH, high VEGF, high IGF-1; HL, high VEGF, low IGF-1; LH, low VEGF, high IGF-1; LL, low VEGF, low IGF-1.

score, bilirubin, AST levels, tumor size and nodularity, and vascular invasion; however, the strongest association was with AST level (P < .001). VEGF level was significantly associated with tumor size, lymph node involvement, and distant metastasis; however, the strongest association was with tumor size (P < .001).

Identifying Optimal Cut Points of Plasma IGF-1 and VEGF

The recursive partitioning test identified optimal cut points for IGF-1 and VEGF as 26 ng/mL and 450 pg/mL, respectively. The combination of low IGF-1 and high VEGF predicted median OS of 2.7 months compared with 19 months for patients with high IGF-1 and low VEGF (P < .001; Kaplan-Meier estimates shown in Fig 2B; logrank test on OS for combination of VEGF and IGF-1 levels listed in Table 3). When high VEGF and low IGF-1 were considered in a univariate Cox regression model fit to the entire data set, this effect was highly significant for both VEGF (P < .001; HR, 1.89; 95% CI, 1.36 to 2.65) and IGF-1 (P < .001; HR, 2.06; 95% CI, 1.50 to 2.81).

Validation of BCLC Staging System and Construction of New Molecular Staging Systems by Integrating Plasma IGF-1 and VEGF Levels

We applied the BCLC system to our patient population, computed median OS duration for patients in each group, and compared groups using a log-rank test (Appendix Table A2, online only). Given the correlation that we found of low IGF-1 and high VEGF with shorter OS and with worse liver and tumor parameters, we predicted that integrating these plasma biomarkers into parameters of the BCLC system would improve patient stratification and enhance its prognostic ability. To test this, we divided patients within each BCLC stage according to whether they had low or high IGF-1 (Appendix Table A3, online only), low or high VEGF (Appendix Table A4, online only), or the combination of different IGF-1 and VEGF levels (Table 4). At each of the five BCLC stages, estimated median OS was shorter for patients with low IGF-1 and/or high VEGF than for those with high IGF-1 and/or low VEGF. Additionally, when we applied multivariate Cox regression tests of BCLC parameters after integrating VEGF, IGF-1, and both (Appendix Tables A5 to A7, online only), we found that IGF-1 was an independent strong predictor of survival using these models (P < .001; HR, 2.19; 95% CI, 1.6 to 3.0), whereas VEGF had a trend only (P = .16; HR, 1.28; 95% CI, 0.91 to 1.82).

IV-BCLC Seems to Provide More Accurate Prediction of OS and Better Stratification Than BCLC, I-BCLC, or V-BCLC Alone

The molecular systems were constructed as follows: IGF-1 score (0 if IGF-1 > 26 ng/mL; 1 if IGF-1 \leq 26 ng/mL) and VEGF score (0 if VEGF \leq 450 pg/mL; 1 if VEGF > 450 pg/mL). From a c-index analysis,³² we found that the IV-BCLC system was more accurate at predicting OS and provided better stratification than BCLC (*P* < .001), I-BCLC (*P* = .002), or V-BCLC (*P* < .001) alone. C-index for each staging system was as follows: BCLC, 0.65; V-BCLC, 0.66; I-BCLC, 0.68; and IV-BCLC, 0.68.

Notably, our analyses indicated that a majority of our patients with HCC were categorized under BCLC stage C (n = 189 [66% of all patients] median OS, 11.1 months; P < .001; Appendix Table A2, online only). We found significant differences in median OS by the

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Table 2. Correlations Between Plasma VEGF and IGF-1 Levels and Patient Characteristics by Wilcoxon Rank-Sum Test								
	Patients (n = 288)		Plasma VEGF Level (pg/mL)			Plasma IGF-1 Level (ng/mL)		
Characteristic	No.	%	Mean	SE	Р	Mean	SE	Р
Age, years					.69			.07
< 60	111	38.5	284.77	390.29		59.37	45.35	
≥ 60	177	61.5	290.44	399.55		50.95	35.57	
Race					.82			.84
Nonwhite	89	30.9	294.34	355.23		53.51	42.82	
VVhite	199	69.1	285.53	412.83	0.1	49.55	35.42	
Sex	00	20.0	000 54	400.40	.31	50.10	40.07	.14
Female	89	30.9	303.54	426.43		59.16	42.87	
	199	69.1	281.42	381.53	27	51.97	35.17	047
	20	12.2	275 54	179 00	.27	56.07	10.16	.047
	50	20.9	204 21	2/1 22		50.07	43.10	
HBV + HCV	27	20.8	204.21	318 27		35.77	22.27	
None	163	56.6	220.54	/03.96		57 50	38.07	
Serum AEP level ng/ml	100	30.0	200.04	400.00	15	57.50	00.07	08
< 400	199	69 1	270.31	411 94	.10	56.46	36.03	.00
≥ 400	86	29.9	333 39	358 85		49.62	41.84	
Unknown	3	1.0	184.19	138.13				
Tumor differentiation	_				.19			.63
Well	112	38.9	290.83	477.27		55.23	36.47	
Moderate	95	33.0	280.92	336.95		56.63	38.19	
Poor	50	17.4	268.85	355.72		48.29	38.48	
Unknown	31	10.8	332.67	295.05		52.51	40.83	
Tumor nodularity					.25			.002
Uninodular	105	36.5	261.32	433.68		63.25	42.01	
Multinodular	183	63.5	303.71	371.91		49.00	34.19	
Tumor size, % of liver					< .001			< .001
≤ 50	191	66.3	218.60	288.27		60.11	41.33	
> 50	97	33.7	425.41	523.55		42.54	26.10	
Vascular invasion					.94			.016
No	235	81.6	287.43	397.77		56.73	39.40	
Yes	53	18.4	291.88	388.02		42.94	27.19	
Lymph node involvement					.04			.83
No	166	57.6	277.76	422.76		53.79	33.58	
Yes	122	42.4	302.53	355.84	0.4	54.75	49.19	05
Distant metastasis	000	70.0	070.00	000.00	.01	54.40	00.00	.85
No	228	79.2	273.06	399.39		54.40	38.09	
Pilirubia loval ma/dl	60	20.8	345.90	377.10	E4	53.39	30.93	< 001
	260	00.2	200.02	409.00	.04	56.94	20 02	< .001
> 1.6	200	90.3	290.02	406.00		20.64	30.03 24.73	
Child-Pugh class	20	5.7	271.07	200.22	05	23.05	24.75	0021
	206	71 5	288.35	399 99	.00	59.05	38.62	.0021
B	76	26.4	269.45	388 11		42 49	32.60	
C	6	2.1	523.16	282.89		35.77	37.38	
ALT level, U/L	-				.27			.02
≤ 40	134	46.5	284.62	359.07		59.71	43.40	
> 40	153	53.1	286.13	421.82		49.39	31.59	
Unknown	1	0.4	1,099.61	NA				
AST level, U/L			,		.16			< .001
≤ 45	88	30.6	288.88	479.80		68.54	42.18	
> 45	179	62.2	276.28	346.12		47.26	33.68	
Unknown	21	7.3	387.63	404.26		53.20	36.31	

NOTE. Reprinted with permission.^{31,32} Abbreviations: AFP, α-fetoprotein; HBV, hepatitis B virus; HCV, hepatitis C virus; IGF-1, insulin-like growth factor-1; NA, not applicable; VEGF, vascular endothelial growth factor.

Table 3. Log-Rank Test on OS for Combination of VEGF and IGF-1 Levels						
Combination	Patients (No.)	Deaths (No.)	Median OS (months)	95% CI	Р	
Low/high (VEGF \leq 450 pg/mL; IGF-1 $>$ 26 ng/mL)	191	135	19.00	13.64 to 23.90	< .001	
High/high (VEGF $>$ 450 pg/mL; IGF-1 $>$ 26 ng/mL)	37	29	13.22	9.60 to 26.79	< .001	
Low/low (VEGF \leq 450 pg/mL; IGF-1 \leq 26 ng/mL)	41	36	9.83	5.85 to 16.47	< .001	
High/low (VEGF $>$ 450 pg/mL; IGF-1 \leq 26 ng/mL)	19	17	2.70	2.27 to 4.04	< .001	
Abbreviations: IGF-1, insulin-like growth factor-1; OS, overall survival; VEGF, vascular endothelial growth factor.						

log-rank test between BCLC stage C patients who were further stratified into four prognostic groups based on a combination of VEGF and IGF-1 levels, with estimated OS ranging between 14.8 and 3.5 months (P < .001; Table 4).

DISCUSSION

Notably, previous studies of plasma IGF-1 levels in patients with different types of cancers³³⁻³⁶ have suggested that high IGF-1 levels are

Table 4. Log-Rank Test on OS for BCLC Stages Split by VEGF and IGF-1 Combination						
BCLC Stage	Patients (No.)	Events (No.)	Median OS (months)	95% CI	Р	
0 All patients VEGF-IGF-1 LH	21 17	7 6	49.08 49.08	33.99 to NA 33.99 to NA	.8015	
A All patients VEGF-IGF-1 HH HL LH	28 3 1 21 3	12 1 0 9 2	NA 40.67 NA NA 48.2 18 51	9.60 to NA 9.60 to NA NA to NA 33.70 to NA	.8592	
B All patients VEGF-IGF-1 HH LH LL	29 5 18 6	23 5 12 6	22.68 13.22 27.39 16.88	16.14 to 28.64 12.69 to NA 19.00 to NA 14.89 to NA	.1586	
C All patients VEGF-IGF-1 HH HL LH LL	189 25 12 127 25	156 20 11 101 24	11.15 14.83 3.55 12.43 6.18	8.58 to 13.61 9.11 to 36.3 2.50 to NA 10.13 to 18.97 4.47 to 14.27	< .001	
D All patients VEGF-IGF-1 HH HL LH LL	21 4 6 8 3	19 3 6 7 3	2.93 13.68 1.86 6.44 2.93	2.14 to 9.14 2.14 to NA 0.62 to NA 1.25 to NA 0.53 to NA	.0495	

Abbreviations: BCLC, Barcelona Clinic Liver Cancer; HH, high/high (VEGF > 450 pg/mL; IGF-1 > 26 ng/mL); HL, high/low (VEGF > 450 pg/mL; IGF-1 \leq 26 ng/mL); IGF-1, insulin-like growth factor-1; LH, low/high (VEGF \leq 450 pg/mL; IGF-1 > 26 ng/mL); LL, low/low (VEGF \leq 450 pg/mL; IGF-1 \geq 26 ng/mL); NA, not applicable; OS, overall survival; VEGF, vascular endothelial growth factor.

associated with increased risk of cancer, secondary to activation of the downstream cascade of the IGF axis. However, the focus of our current study was to assess level of IGF-1 as an indicator of synthetic function of the liver, which reflects CLD status. Therefore, we did not assess other circulating factors related to the IGF axis, such as IGF-2 and the main IGF-1 binding protein plasma carrier, IGFBP-3. However, similar to IGF-1 data, IGFBP-3 level is also expected to be low in patients with CLD and HCC, because it is also predominantly produced by the liver. Furthermore, our results were consistent with prior reports of significantly decreased expression of IGF-1 in patients with CLD and HCC.¹⁷⁻²⁴ The occurrence of trends that were not statistically significant in certain BCLC groups was not surprising, given the low power for detecting such differences because of the small number of patients with low IGF-1 and high VEGF within these BCLC stages. Interestingly, IGF-1 was found to be an independent predictor of survival in univariate and multivariate models (Appendix Tables A1, A5, and A7, online only), whereas VEGF was only significant in univariate models and showed only a trend in multivariate models (Appendix Tables A1, A6, and A7, online only), even in the largest BCLC group C (Appendix Table A4, online only). This may be the result of the presence of well-represented tumor parameters, involving number and size, within BCLC staging, although it lacks strong tools for assessment of CLD status. However, the combination of IGF-1 and VEGF further refined patient stratification, as shown in Table 4. Using a panel of noninvasive plasma biomarkers from the same sample would decrease the morbidity and mortality associated with liver sampling in the setting of cirrhosis and coagulopathy and reduce potential sampling errors and variability in assessing the degree of liver cirrhosis. Notably, although median OS of BCLC stage C patients was 11.1 months (P < .001; Appendix Table A2, online only), the integration of plasma IGF-1 and VEGF led to a significant improvement in prognostic stratification of BCLC stage C patients and separated them into four prognostic groups (Table 4). Patients with low VEGF and high IGF-1 had median OS of 12.4 months (95% CI, 10.1 to 18.9 months), and those with high VEGF and low IGF-1 had median OS of 3.5 months (P < .001; Table 4). After independent validation, this approach would lead to better prognostic stratification and more accurate interpretation of HCC systemic therapy clinical trials, because BCLC stage C patients are the standard population of these studies. Therefore, our results represent a promising step toward development of a personalized and simple prognostic stratification system for HCC.

Our study had some limitations. First, this was a singleinstitution study and therefore will need to be externally validated. Second, although 394 patients with HCC signed the consent form to participate in the study, baseline plasma samples were available for 288 patients only. The reason for the missed samples was mainly related to insufficient time to obtain blood samples during initial assessment in clinic. However, our analysis indicated that there were no significant differences between these two groups in terms of their epidemiologic data, and patients without blood samples tended to have more advanced tumor parameters and higher AFP levels. Therefore, even though our study population may have had better OS than patients without blood samples, our panel of biomarkers still showed statistically significant correlation with OS of our patients with HCC. Third, the study lacked a representative sampling of all BCLC stages, because a majority of our patients were categorized under BCLC stage C. However, improving prognostic stratification of patients with advanced HCC (BCLC stage C) is clinically relevant, because this patient population is the focus of HCC systemic therapy trials. Additionally, clearly a change in apparent BCLC stage from A or B to C, or from C to D, would have a substantial effect on disease management, probably leading to the selection of totally distinct treatment modalities or even symptom management (in case of stage D), whereas a change from stage 0 to stage A would have little effect, because curative treatments would be the likely option in either case. Thus, our observations could have a substantial effect on management of patients with HCC if validated. Therefore, our approach would benefit from independent validation in a more diverse patient population with HCC, not only to confirm the results but also to assess their utility in early BCLC stages. Finally, our biomarker cutoff points were based on the recursive partitioning test, which identified the best cutoff point that correlated with study patient population survival. Thus, IGF-1 and VEGF cutoff points may differ in other patient populations. However, in general, selecting circulating biomarker optimal cutoff points remains challenging because of potential daily variations, in addition to possible variations in patient genetics, sex, age, and other demographics. However, our results indicated independent prognostic information obtained from IGF-1 and VEGF cutoff points, which were consistent with previous studies and complementary to other clinically relevant prognostic indicators in our patients, including CLD status, tumor parameters, and BCLC variables. Furthermore, our results clearly showed no significant differences in mean values between patients based on age, sex, or ethnicity (Table 2). Additionally, although the commercial ELISA kits we used to measure biomarkers levels are

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standardized and reproducible, future independent biomarker studies in Clinical Laboratory Improvement Amendments–certified laboratories are needed before recommending their clinical use to guide management decisions.

In conclusion, our results suggest that plasma IGF-1 may serve as a new tool for assessing liver reserve in patients with HCC and that baseline plasma assessment of both IGF-1 and VEGF significantly improves prediction of OS and prognostic stratification of patients with HCC according to BCLC staging.

If the results of forthcoming large collaborative studies confirm our results, this simple noninvasive approach may prove beneficial in prognostic stratification of patients with HCC in clinical trials, guiding therapy decisions and ultimately improving HCC outcome. However, randomized biomarker trials are needed to determine whether this molecular staging strategy can improve HCC management compared with the conventional staging approach before wide acceptance by the scientific community.

AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

The author(s) indicated no potential conflicts of interest.

AUTHOR CONTRIBUTIONS

Conception and design: Ahmed O. Kaseb, Sunil Krishnan Financial support: Ahmed O. Kaseb, Jeffrey S. Morris, James L. Abbruzzese Administrative support: Ahmed O. Kaseb, Jeffrey S. Morris, Sunil Krishnan, James L. Abbruzzese Provision of study materials or patients: Ahmed O. Kaseb, Jeffrey S. Morris, Lianchun Xiao, Eddie K. Abdalla, Jean-Nicolas Vauthey Collection and assembly of data: Ahmed O. Kaseb, Jeffrey S. Morris, Manal M. Hassan, Sunil Krishnan Data analysis and interpretation: Ahmed O. Kaseb, Jeffrey S. Morris, Adnan M. Siddiqui, E Lin, Lianchun Xiao, Eddie K. Abdalla, Jean-Nicolas Vauthey, Thomas A. Aloia, Sunil Krishnan, James L. Abbruzzese

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