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Genotype and cardiovascular phenotype correlations with *TBX1* in 1,022 velo-cardio- facial/DiGeorge/22q11.2 deletion syndrome patients

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Abstract

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Haploinsufficiency of *TBX1*, encoding a T-box transcription factor, is largely responsible for the physical malformations in velo-cardio-facial/DiGeorge/22q11.2 deletion syndrome (22q11DS) patients. Cardiovascular malformations in these patients are highly variable, raising the question as to whether DNA variations in the *TBX1* locus on the remaining allele of 22q11.2, could be responsible. To test this, a large sample size is needed. The *TBX1* gene was sequenced in 360 consecutive 22q11DS patients. Rare and common variations were identified. We did not detect enrichment in rare SNP number in those with or without a congenital heart defect. One exception was that there was increased number of very rare SNPs between those with normal heart anatomy compared to those with right-sided aortic arch or persistent truncus arteriosus, suggesting potentially protective roles in the SNPs for these phenotype enrichment groups. Nine common SNPs (MAF >0.05) were chosen and used to genotype the entire cohort of 1,022 22q11DS subjects. We did not find a correlation between common SNPs or haplotypes and cardiovascular phenotype. This work demonstrates that common DNA variations in *TBX1* do not explain variable cardiovascular expression in 22q11DS patients, implicating existence of modifiers in other genes on 22q11.2 or elsewhere in the genome.

Keywords

22q11.2 deletion syndrome; *TBX1* sequencing; cardiovascular defects; genomic disorder

Introduction

Velo-cardio-facial syndrome/DiGeorge syndrome, also known as 22q11.2 deletion syndrome (22q11DS; MIM#s 192430, 188400), is a congenital malformation disorder characterized by craniofacial anomalies, immune deficiency, neonatal hypocalcemia and cardiac outflow tract (conotruncal) defects. It occurs in approximately 1/4,000 live births (Burn and Goodship, 1996). Most affected individuals have a hemizygous 3 million base pair (Mb) *de novo* deletion on chromosome 22q11.2 caused by *de novo* meiotic non-allelic homologous recombination events. These events take place between flanking segmental duplications termed LCR22 (low copy repeats on 22q11.2) (Edelmann, et al., 1999). To help positionally clone the causative gene(s) on 22q11.2, early goals were set on identifying rare patients with smaller, nested deletions or translocations with subsets of phenotypes (Budarf, et al., 1995; Fibison, et al., 1990; Lindsay, et al., 1995; Lu, et al., 2001; Scambler, et al., 1991). The proximal 1.5 Mb region, flanked by LCR22s, was identified as the critical region of the syndrome (Lindsay, et al., 1995; Morrow, et al., 1995). Because the 1.5 Mb deletion size was large, containing many genes, it was not possible to narrow the region to a smaller interval. Mouse models containing nested deletions (Kimber, et al., 1999; Lindsay, et al., 1999) were generated to find candidate genes. Bacterial artificial chromosome (BAC) transgenic mice were created to confer genetic rescue and narrow the number of candidate genes to a few (Lindsay, et al., 2001; Merscher, et al., 2001). A single gene, *Tbx1* was identified as the strongest candidate for physical malformations (Lindsay, et al., 2001; Merscher, et al., 2001) and inactivation by gene targeting approaches demonstrated that it is largely responsible (Jerome and Papaioannou, 2001). While *Tbx1* heterozygous mice showed mild anomalies with reduced penetrance, homozygous null mutants died at birth with a cleft palate, absent thymus and parathyroid glands as well as a common cardiac outflow tract, termed a persistent truncus arteriosus.

Support this gene's importance in humans came from rare non-deleted patients with a velo-cardio-facial or DiGeorge syndrome phenotype that had mutations in *TBX1* (MIM# 602054), some of which alter function (Conti, et al., 2003; Gong, et al., 2001; Griffin, et al., 2010; Stoller and Epstein, 2005; Torres-Juan, et al., 2007; Yagi, et al., 2003; Zweier, et al., 2007). This indicates that haploinsufficiency of *TBX1* in most, or mutation of *TBX1* in a small

minority of patients with related phenotypes, is responsible for the main physical malformations occurring in patients with the syndrome.

One of the most characteristic features of 22q11DS is that it occurs with variable phenotypic severity. With respect to structural malformations, some patients are mildly affected, for example, with a characteristic facies and hypernasal speech, while others are severely affected with absent thymus, cleft palate, severe hypocalcemia and/or tetralogy of Fallot. Environmental exposures, stochastic factors or genetic modifiers are thought to be responsible for variable expressivity. One hypothesis is that alteration of DNA sequence in a gene on the remaining allele of 22q11.2, possibly *TBX1* is the cause of this phenotypic variability.

We have amassed a cohort of 1,022 22q11DS patients, 65% of which have a congenital heart disease (intracardiac defects and/or aortic arch defects; CHD) while 35% have a normal heart and aortic arch anatomy. The majority of those with a CHD had more than one cardiovascular anomaly. The goal of the project was to determine if there are phenotype-phenotype correlations or phenotype-genotype correlations. Unfortunately, our cohort has too few individuals per group to consider each anomaly on its own. As a compromise, we created phenotype enrichment groups.

In this study we performed genotype-phenotype correlations with *TBX1*. We found no correlation between common SNPs or haplotypes and the CHD phenotype suggesting that there is not a predominant common variant of *TBX1* serving as a genetic modifier of cardiovascular anomalies. This work suggests that variations elsewhere on 22q11.2 or in the genome serve as cardiovascular modifiers. Alternatively, DNA variations in *TBX1* might explain variable expressivity for other malformations seen in association with the syndrome.

Material and Methods

Human subjects and phenotype data

Blood or saliva samples were obtained from 22q11DS subjects, with their informed consent (Internal Review Board, 1999-201; 07-005352_CR2 CHOP IRB). A commercial fluorescence *in situ* hybridization test for the 22q11.2 deletion, MLPA testing (Multiplex ligation-dependent probe amplification, SALSA MLPA kit P250 DiGeorge; MRC Holland, The Netherlands) or microsatellite marker analysis was part of the work up of individuals with the syndrome for mutation analysis. *TBX1* locus sequence analysis was performed on DNAs available for 372 subjects obtained consecutively that had existing cardiac phenotype data. Consistency of features is based upon comparison discussions with clinicians as to clinical definitions (Bassett, et al., 2011). Half the DNA samples were obtained from Montefiore Medical Center/Upstate Medical University (RJS) and half were obtained from the Children's Hospital of Philadelphia. Some of the DNAs failed to provide any sequence data. A total of 360 DNA samples were sequenced completely. An International 22q11.2 Consortium was formed and DNA samples were obtained from additional subjects (de-identified) resulting in a total of 1,022 DNA samples from unrelated probands with the 22q11DS. A total of 106 DNAs were obtained from Canada, 91 DNAs from Belgium, 38 DNAs from Italy, 13 DNAs from the Netherlands, 30 DNAs from France, 10 DNAs from Atlanta, 9 DNAs from California, 18 DNAs from Montefiore, 16 DNAs from a VCFSEF conference (Utah), 276 DNAs from CHOP, 398 DNAs from Upstate Medical University and 11 from elsewhere. The cohort used for analysis was self reported as being of Caucasian ethnicity. We obtained phenotype information on intracardiac and aortic arch anatomy from echocardiogram and cardiology summary reports. The entire set of DNAs were plated and used for genotype analysis.

TBX1 resequencing

There are three isoforms of human *TBX1* protein, isoform A (NM_080646), B (NM_005992) and C (NM_080647), where there are alternative terminal exons. A total of 12 exons that encode the 3 transcripts of *TBX1* were sequenced in 360 consecutive 22q11.2 patients (Supp. Figure S1). All exon-intron boundaries extending >100 bp into each intron were included. The first exon containing the 5' UTR (untranslated region) and an additional 2,500 bp of sequence that included the putative promoter region were also sequenced. The 3' UTR was also included in the analysis (Supp. Figure S1). Transcription start site prediction programs suggest there are three alternative start sites in the *TBX1* gene (UCSC Genome Browser; First exon prediction track). The CpG islands corresponding to the three putative transcription start sites in the *TBX1* locus were sequenced. Short blocks of a few hundred base pairs of DNA retained among vertebrates such as identified by the 28-Way or 17-Way Most Conserved track (UCSC genome browser) with a LOD score >50, as chosen arbitrarily, were also sequenced because they may contain regulatory elements. All the evolutionary conserved non-coding regions within 5 kb of the gene body containing exons, from 18,122,096-18,152,117 (hg18; <http://www.genome.ucsc.edu/>) were sequenced (Supp. Figure S1). The human orthologous region to a previously defined enhancer for pharyngeal apparatus expression of mouse *Tbx1* (18,115,184-18,115,529; (Garg, et al., 2001) was sequenced as well. None of the other blocks of evolutionary conserved sequences upstream or downstream of the *TBX1* gene were sequenced because their functional roles are not known.

All primers are listed in Supp. Table S1. The PCR products were sequenced using the Big Dye Terminator Sequencing Kit (Applied Biosystems, Carlsbad, CA) on an automated DNA capillary sequencer (model 3730; Applied Biosystems, Carlsbad, CA). Resequencing services were provided by the J. Craig Venter Institute under U.S. Federal Government contract number N01-HV-48196 from the National Heart, Lung, and Blood Institute. Six duplicated samples were used as quality control (QC). Variants that passed the QC were used for final statistical analysis.

Genotype analysis

Common (minor allele frequency, MAF>0.05) and rare SNPs (MAF<0.05) in *TBX1* were identified from DNA sequence analysis. The SNPs were assigned to linkage disequilibrium (LD) blocks by creating an LD matrix in Haploview software (Barrett, et al., 2005) using *TBX1* resequencing data from the 360 patients. Based upon the LD structure of the locus, 9 of the 17 common SNPs identified were then used for genotyping the entire cohort of 1,022 22q11DS subjects. We used the Sequenom iPLEX system for genotype analysis. Reagents and protocols for multiplex PCR, single base primer extension (SBE) and generation of mass spectra, were followed as per the manufacturer's instructions (for complete details see iPLEX Application Note, Sequenom Inc., San Diego, CA, PMC14630). A multiplexed assay containing 31 SNPs (9 from *TBX1*; the rest from chromosomes X and Y to check for correct gender) was designed using MassARRAY Assay Design 3.1 (Sequenom Inc., San Diego, CA). Briefly, initial multiplexed PCR was performed in 5 μ l reactions on 384-well plates containing 10 ng of genomic DNA. Reactions contained 0.5 U FastStart Taq DNA Polymerase (Roche, Nutley, NJ), 100 nM primers, 1 \times PCR buffer, 2 mM MgCl₂, and 500 μ M dNTPs. Following enzyme activation at 94°C for 4 min, the DNA was amplified with 45 cycles of 94°C \times 20 sec, 56°C \times 30 sec, 72°C \times 1 min, followed by a 3 minute extension at 72°C. Unincorporated dNTPs were removed using shrimp alkaline phosphatase (0.3 U, Sequenom Inc, San Diego, CA). Single-base extension was carried out by addition of SBE primers at concentrations from 0.625 μ M (low MW primers) to 1.25 μ M (high MW primers) using iPLEX enzyme and buffers (Sequenom Inc., San Diego, CA) in 9 μ l reactions. Reactions were desalted and SBE products measured using the MassARRAY Compact

system, and mass spectra were analyzed using TYPER 3.4 software (Sequenom, San Diego), in order to generate genotype calls and allele frequencies. Primer sequences are available on request.

Statistical analysis

Phenotype-phenotype correlations—The intracardiac as well as aortic arch phenotypes were extracted from echocardiogram and cardiology summary reports and were entered into an Oracle-based clinical database. The individual intracardiac and aortic arch defects that were scored include persistent truncus arteriosus (PTA), atrial septal defects (ASD), tetralogy of Fallot (TOF), ventricular septal defects (VSD), interrupted aortic arch type B (IAAB) and right sided aortic arch (RAA) and Pulmonary atresia *or* stenosis (PS). Each individual conotruncal heart defect (CTD) or atrial septal defect, was coded to a dichotomous variable for phenotype (0=absent, 1=present). VSD without other intracardiac defects was termed VSD2. Contingency tables were created for the relationship between having one individual defect and having another defect. Chi-square tests on each contingency table were performed for pair-wise correlation between individual cardiovascular defects. Cramer's phi was used as the correlation coefficient to measure the effect size (Cramér, 1946).

Common SNPs and cardiovascular defects—Nine common SNPs, MAF >0.05, were genotyped in 1,022 DNA samples from the 22q11DS cohort as described above. Chi-square (χ^2) test or Fisher's exact test was used to test associations between the "genotype" (allele) and individual heart defects. Power calculations for CHD associations were performed using the CaTS Genetic Power Calculator (<http://www.sph.umich.edu/csg/abecasis/CaTS/index.html>) (Skol, et al., 2006).

Haplotype analysis—Since the *TBX1* gene is located within the 22q11.2 deletion region, and all proband DNAs have one copy of the gene, their individual haplotypes were directly observed. We applied an X-chromosome type of model in Haploview (Barrett, et al., 2005) to evaluate the haplotype block structure of the *TBX1* gene using 17 common SNPs (MAF >0.05) from *TBX1* resequencing data for analysis as a guide. Specifically, we used the same procedure used to analyze the non-pseudoautosomal regions of the X chromosome in males.

Very rare variant enrichment analysis—Variants with minor allele frequency (MAF) less than <0.01 were treated as a very rare variations. We selected 45 very rare variants that passed quality control for the analysis in 360 DNA samples. Different variants were collapsed and tested for overall frequency differences between patients with and without cardiovascular defects (Li and Leal, 2008). The very rare variant allele counts were collapsed by assigning each individual a carrier/non-carrier status. This was based on the presence or absence of very rare variant minor alleles. A simple contingency table was constructed to test for differences in rare variant minor allele frequency between cases and controls using the Chi-squared test (or Fisher's exact test where necessary).

Results

Phenotype enrichment groups

Clinical data from 1,022 DNA samples from patients with the 22q11.2 deletion were used for this study. In our cohort, 65% (664 patients) had a cardiovascular defect while 35% had normal intracardiac and aortic arch anatomy. Most of the subjects had more than one cardiovascular abnormality. To obtain enough patients in each phenotype category for the genetic study, we created phenotype enrichment groups for each cardiovascular malformation. We did not distinguish between individual subtypes of malformations.

Phenotype enrichment groups focused on one defect at a time, while not taking other malformations into consideration. We present the numbers of subjects in each group in Figure 1 and the correlation analysis in Table 1. Due to the co-occurrence of cardiovascular phenotypes, it was not possible to make specific phenotype-phenotype correlations with one exception (Table 1). There was a significant correlation between the ASD and VSD enrichment groups, suggesting that septal defects have a similar genetic basis in association with the syndrome.

***TBX1* gene resequencing**

We obtained unambiguous DNA sequence from 360 22q11DS subjects. A total of 84 DNA variations including SNPs (single nucleotide polymorphisms) and small indels (insertion-deletion polymorphisms) were detected in the sequence data from all the subjects. Of these 84, only 71 DNA variations provided consistent read calls and thus passed our criteria for quality control. Seventeen of these were common variations with a MAF >0.05 (0.07–0.44, Supp. Table S2), while 54 were rare variations with a MAF <0.05. A total of 45 of the 54 rare variants were considered, comprised very rare variations with a MAF <0.01.

Among the 71 SNPs, three were missense, non-synonymous SNPs (rs41298838; rs72646967, and rs4819522, Figure 2 and Supp. Table S1). The SNP rs41298838 resulted in a Glycine to Serine amino acid substitution at position 310. This variation occurred in one patient who had no cardiovascular abnormalities. The frequency of the G allele is 0.07 in the Asian population but this SNP is monomorphic for the A allele in Caucasian and African populations as noted from dbSNP (<http://www.ncbi.nlm.nih.gov/projects/SNP/>; submitted by Dr. Nickerson based on one population with different ethnicity). This patient is self reported as Asian. This variation was predicted to be “possibly damaging” in its effect on the protein, and had a score of 0.815 by the PolyPhen software (<http://genetics.bwh.harvard.edu/pph2/>) (Adzhubei, et al., 2010). The SNP rs72646967, is a common variation that occurred in 63 patients. It resulted in an Asparagine to Histidine amino acid substitution at position 397. The SNP rs4819522, which resulted in a Threonine to Methionine variation at position of 350 of *TBX1* isoform A, is another common SNP. Both were predicted to be “benign” in its effects on the protein with scores of 0.000 and 0.028, respectively as determined by PolyPhen software. Protein sequence conservation across species analysis was performed using the SIFT software (http://blocks.fhrc.org/sift/SIFT_seq_submit2.html) developed by Ng and Henikoff (Ng and Henikoff, 2001; Ng and Henikoff, 2002). The first two variations, above, were predicted to be “tolerated” with score 0.16 and 1 respectively, and the third variation was predicted to be “damaging” with score 0.02, but with lower confidence. Overall, there was not a significant increase or decrease in occurrence or frequency of missense SNPs in those with and without congenital heart defects.

Six synonymous SNPs (rs72646967, rs41298814, rs2301558, rs41298840, rs13054377 and rs72646968) were also found (Figure 2). The SNPs rs41298814, rs2301558, rs41298840 and rs72646967 appeared commonly in our population (MAF >0.05), while rs72646968 occurred in one patient having PS. Six SNPs were identified in the 3'-UTRs of each of the three isoforms (untranslated region). Four SNPs occurred in the 3' UTR of *TBX1* isoform C, two occurred in the 3' UTR of isoform A, while none occurred in the 3' UTR of isoform B (Figure 2). There was no enhancement of the synonymous SNPs in patients with congenital heart defects compared to those without in our cohort of 360.

We compared the frequency and regions where the SNPs occurred versus the same content in the emerging 1,000 Genomes Project data (Figure 3). Allele frequencies were similar, despite the fact that DNAs from different ethnicities were sequenced in the 1,000 Genomes Project. Figure 3). This suggests that there are no significant differences between allele

frequencies or map locations of the SNPs in our cohort (Figure 3). Only one novel variant was identified in our study that was not present in the 1,000 Genomes data or dbSNP build 131. This was a $-/TCGC$ indel (insertion/deletion) variant, referred to as (Novel 23) was identified. The variant occurred in the same DNA sample that had the minor allele of the non-synonymous SNP, rs41298838.

Very rare variation enrichment analysis

One important possibility is that cases with cardiovascular defects or controls, without, might show an enrichment of rare *TBX1* DNA variations (Supp. Table S2). These variants were combined and used for rare variation enrichment statistical analysis in 360 samples (Figure 1). We did not find any enrichment of the number of very rare DNA variations between 22q11DS cases with presence of congenital heart disease compared with 22q11DS controls, with normal anatomy ($p=0.44$).

Phenotype enrichment group analysis was performed to identify subtle differences. Controls showed enrichment of rare variants compared to two phenotype enrichment groups. Groups with RAA and PTA had fewer rare variants than the group with normal cardiovascular anatomy (Figure 4A). There were no rare variants in those with a RAA but no other malformation ($n=11$). Based upon this, is it possible that some very rare DNA variations might serve to protect against congenital heart disease in 22q11DS patients.

One way to detect the functional importance of rare variations is to determine whether the major allele shows evolutionary conservation. Figure 4B shows an evolutionary pile-up of the major/minor allele from 45 very rare variants identified in the *TBX1* locus. Thirty-five of the 45 SNPs (78%) SNPs showed evolutionary conservation among mammals, suggesting potential functional roles. Thirty of 35 major alleles and 5 of 35 minor alleles were conserved among different mammalian species. The minor alleles of five SNPs as well as the minor allele (TCGC), the only novel variation (novel 23) found, were conserved among primate species and some mammalian species.

Common SNPs and congenital heart defects

The next goal was to genotype the larger cohort of 22q11DS patients to test for association between common SNPs and phenotype. The common *TBX1* SNPs fell into three linkage disequilibrium (LD) blocks (Figure 5). The estimate of LD structure was consistent with data from the general population of Caucasians (CEU HapMap III; data not shown).

Based upon the LD structure, nine common SNPs were selected to represent the three LD blocks. They were used to genotype the remaining subjects, so that we had data on all 1,022 samples. We found that there was no significant difference between the allele frequencies of these SNPs in cases compared to controls with the 22q11.2 deletion ($p>0.05$) (Table 2). Analysis of haplotypes gave similar non-significant association results (data not shown). In order to control the bias of population stratification due to different ethnicities, the self reported Asian (5 subjects) and African American (10 subjects) and Moroccan (1 subject) were removed, however none of the common SNPs or haplotypes in these patients was associated with CHDs (data not shown). The analysis was also performed between each phenotype enrichment group versus the control group (no intracardiac and no aortic arch defects). None of the common SNPs showed association among these groups (Supp. Table S3)

Rare variants and haplotypes generated by common SNPs

The *TBX1* gene is hemizygotously deleted in 22q11DS individuals, making it possible to directly determine haplotypes from the genotype data. Existence of the DNA sequence and

genotyping data make it possible to further investigate the relationship between haplotype and rare variants directly (Cohen, et al., 2004; Frazer, et al., 2009; Kryukov, et al., 2007; Nejentsev, et al., 2009; Pritchard, 2001; Pritchard and Cox, 2002).

We investigated the relationship between common and rare haplotypes generated by 17 common SNPs and 54 rare SNPs using *TBX1* resequencing data. Taking this approach, 49 haplotypes, containing common and rare SNPs were generated from the 360 samples (Figure 6). As seen in the Figure, 21 of 49 haplotypes captured 54 rare variants. The first haplotype (Hap1: CAGGGTCTCAAACGCA) is a common haplotype (frequency 0.272). It captured nine rare variants with allele frequency of 0.3–0.6%. The only rare amino acid change (rs41298838; Figure 2) was captured by this common haplotype. The second haplotype (Hap2: CTCGGTCTCAAACGCA, frequency 0.189) was less common than Hap1, but it captured five rare variants including two variants with a minor allele frequency of 4.5%–4.8%. However, the common haplotypes had different frequency of capture of rare alleles than of rare haplotypes. Rare haplotypes including Hap11 (CTCGACCCCGGACCTCA, frequency 0.006), Hap15 (CTCGATTTCAAAACCTCG, frequency 0.003), Hap16 (CTCGGTTTCAAAACCTTG, frequency 0.003), Hap19 (CACGGTTTCAAAATTCG, frequency 0.003) and Hap20 (CAGGATTTCAAAACCTCG, frequency 0.003) captured 13 rare variants with the same frequency. The data suggest that rare SNP variations could be captured by common haplotypes.

However, the rare variants did not occur with equal frequency within common haplotypes. This suggests that it is possible to capture most but not all the rare SNPs within haplotype information. Sixteen of 54 rare variations could be captured by rare haplotypes generated by surrounding common variants, and 15 of 16 rare variants could be observed with equal frequencies with haplotypes. However, the ratio of the number of rare variants with equal frequencies with haplotypes to rare variants captured by rare haplotypes could be exaggerated because 14 of 16 rare variants only occurred in one patient.

Discussion

In this report, we present an analysis of *TBX1* DNA sequence variations in 1,022 22q11DS patients to determine whether they modify cardiovascular defects. We found that neither common DNA variations themselves nor common haplotypes in *TBX1* influence the presence or severity of significant cardiovascular phenotypes. There was no enrichment of very rare variations (MAF<0.01) in 22q11DS patients with cardiovascular defects (cases) versus patients with normal cardiovascular anatomy (controls). Collectively, these results suggest that common and rare variations elsewhere on 22q11.2 or in the genome influence expression of cardiovascular phenotypes. We did observe an enrichment of very rare variations in controls without cardiovascular malformations versus 22q11DS patients with RAA and PTA phenotypes that may be protective by altering expression or function of *TBX1*. This is supported by the fact that 67% of the SNPs show evolutionary conservation among mammals suggesting that they may be functionally relevant. Larger studies will be needed to understand the importance of rare SNP enrichment. In addition, functional analysis will be required to understand how each variation could influence phenotypic expression.

Common DNA variations

There have been previous studies performed with similar goals to find variations in *TBX1* influencing cardiovascular phenotypes. In one study, a total of 39 22q11DS patients were examined with 23 possessing a congenital heart defect while 16 had no defect (Voelckel, et al., 2004). Single stranded conformational analysis (SSCP) was performed on *TBX1* exons 2–9, followed by DNA sequencing of PCR products showing any possible variation. They

found polymorphisms occurring in healthy individuals, but did not find any missense variations, and suggested that mutations in *TBX1* do not alter cardiac phenotypes in 22q11DS patients (Voelckel, et al., 2004). In a second study, the entire 43,776 bp *TBX1* locus (hg18: 18,109,240-18,153,016) was sequenced in 29 22q11DS patients and 95 unrelated healthy controls (Heike, et al., 2010). They identified rare and common SNPs and haplotypes, but there were too few subjects to determine if any influenced expressivity. In the third study, an analysis was done of 174 22q11DS patients, where 123 had a cardiovascular defect and 51 had a normal heart (Rauch, et al., 2004). They also compared allele frequencies to that of 96 normal dizygous healthy individuals (Rauch et al., 2004) and did not detect a significant difference in the frequency of common recurrent SNPs (Rauch, et al., 2004). Although previous studies suggest that common *TBX1* variants are not modifiers of cardiovascular phenotypes, the studies were relatively small in size and thus, had relative low power to detect an association. Our study generated from over a thousand patients had more than 80% power to detect an association between CHD and *TBX1* SNPs with a genotype relative risk (GRR) of 1.2 in an additive genetic model.

Rare DNA variations

One of the greatest challenges in human genetics is to make genotype-phenotype correlations to find genetic modifiers. In order to evaluate rare DNA variations with significant power to draw conclusions, it was necessary to take a different approach. We determined whether the total number of rare DNA variations in *TBX1* was altered in frequency between 22q11DS cases with a cardiovascular phenotype and 22q11DS controls without. We found that the total number of rare variations was not enhanced in affected cases versus controls with the 22q11.2 deletion, overall. Normal intracardiac and aortic arch anatomy occurred in less than 1/3 of the 22q11DS cohort. It is possible that rare variants might be enriched in controls versus cases of specific sub-phenotypes. We found rare variations in *TBX1* occur more frequently in controls than those with two sub-phenotypes, RAA and PTA, both involving the aortic arch. None of the rare variations were deemed pathogenic mutations. We did not find an association between common or rare haplotypes and RAA or PTA.

Existence of rare pathogenic mutations on the remaining allele of *TBX1* might lead to neonatal lethality and would be missed in this type of cohort. Mutations in *TBX1*, albeit rare, have been uncovered in a small subset of individuals with conotruncal heart defects (Conti, et al., 2003; Gong, et al., 2001; Griffin, et al., 2010; Paylor, et al., 2006; Rauch, et al., 2010; Torres-Juan, et al., 2007; Yagi, et al., 2003; Zweier, et al., 2007). Based upon these studies in which mutations on one allele only occurred, it is likely that severe mutations on the single remaining allele of *TBX1* in 22q11DS patients would be lethal. Supporting this idea, mice that are homozygous for *Tbx1* null mutations die at birth with a PTA (Jerome and Papaioannou, 2001; Lindsay, et al., 2001; Merscher, et al., 2001). Thus, we do not expect to identify premature stop codons or highly pathogenic missense changes. We did detect two missense changes, one was a Glycine to Serine change in a single patient and the other was an Asparagine to Histidine change that occurred commonly, showing no association to cardiovascular phenotype.

To determine the potential functional consequences of rare variations as a whole, we determined whether rare variations comprised specific haplotypes, thereby serving to proxy rare variations among the entire cohort of 1,022 samples.

To determine the possible functional consequence of rare variants enrichment, we ascertained whether they alter nucleotides that were highly conserved among vertebrate species. Approximately 78% of the rare SNPs showed strong evolutionary conservation suggesting that they could influence expression levels of *TBX1*. In our case, it is possible

that rare variations serve to enhance *TBX1* expression levels. *TBX1* function in mice and humans is sensitive to both decreased and increased gene copy number.

TBX1 function in mice and humans is sensitive to both decreased and increased gene copy number. Increase or decrease of *Tbx1* dosage in mice results in related cardiovascular malformations as occurring in patients (Liao, et al., 2004; Zhang and Baldini, 2008). Humans with the 22q11.2 duplication have been described and are increasing in diagnosis due to application of microarray comparative genome hybridization technologies. The duplication is the reciprocal product of an inter-chromosomal non-allelic homologous recombination event in meiosis (Edelmann, et al., 1999). A developmental delay disorder with associated congenital malformations including related heart defects occurs as a result of the duplication, but with reduced penetrance (Ensenauer, et al., 2003; Ou, et al., 2008; Yobb, et al., 2005). It is possible that three copies of *TBX1* instead of two copies cause similar types of physical malformations. This is relevant to the results obtained in which 22q11DS patients with RAA or PTA have fewer rare *TBX1* DNA variations than those with no cardiovascular defects and suggests that these variants might alter *TBX1* levels or function and serve as protective roles. A few years ago, human *TBX1* missense mutations were found to cause gain of function resulting in 22q11DS related phenotypes (Zweier, et al., 2007). In the study by Zweier et al., they followed up the mutations in non-deleted patients by testing transcriptional activation of the mutants in cell culture and found enhanced activity (Zweier, et al., 2007). Overall, these results suggest that the *TBX1* gene is sensitive to altered dosage and variations that effect protein expression or function could alter phenotypes.

Other genes on 22q11.2 could influence expressivity

Studies in mice suggest that modifiers may exist because genetic background strongly influences phenotype. There may be many different causative modifiers in humans, some of which might be DNA variations in genes on the remaining allele of 22q11.2 as the other copy is no longer present. A recent release of the newest human genome assembly (hg19) has been annotated in the UCSC and Ensembl genome browsers. Currently, there are over 60 known and predicted genes in the 3 Mb region (Hg19, chr22:18,809,439-21,436,728; 2,627,290bp; <http://genome.ucsc.edu>) and 39 in the nested 1.5 Mb critical region (chr22:18,809,439-20,328,689; 1,519,251 bp). It is possible that DNA variations in other genes on the remaining allele influence expressivity of cardiovascular defects to a greater extent than *TBX1*. Among the genes in the 22q11.2 interval, *CRKL* (MIM# 602007), encoding a cytoplasmic adaptor to receptor tyrosine kinases, has been shown in mouse models to interact genetically with *TBX1* (Guris, et al., 2006). *Tbx1*^{+/-} mouse embryos had VSDs and mild aortic arch branching defects such as abnormal origin of the right subclavian artery at reduced penetrance (Jerome and Papaioannou, 2001; Lindsay, et al., 2001; Merscher, et al., 2001), whereas *Tbx1*^{-/-} mouse embryos had a PTA with obligate VSD (Jerome and Papaioannou, 2001; Lindsay, et al., 2001; Merscher, et al., 2001). Inactivation of both copies of *Crkl* in mice resulted in similar defects as in humans with the deletion or related mouse models that act in the *Tbx1* genetic pathway (Guris, et al., 2006). *Crkl*^{-/-} null mutants had a VSD, aortic arch anomalies (IAAB, abnormal origin of the right subclavian artery) and double outlet right ventricle. *Tbx1*^{+/-}; *Crkl*^{+/-} mice had the full spectrum of cardiovascular defects as seen in 22q11DS patients. One may suggest based upon mouse genetic data that DNA variations in *CRKL* might alter expressivity of cardiovascular malformations.

Another important gene on the remaining 22q11.2 allele to investigate is the Ubiquitin fusion degradation 1 homolog to yeast *Ufd1* gene (*UFD1L*; MIM# 601754). It is a component of a complex that facilitates degradation of polyubiquitin-tagged proteins (Meyer, et al., 2000; Ye, et al., 2001). A patient was identified with a hemizygous mutation in *UFD1L* that had similar cardiovascular malformations as in 22q11DS patients

(Yamagishi, et al., 1999), although its role as a candidate gene for non-deleted patients with a 22q11DS phenotype is not clear (Wadey, et al., 1999). In addition to *UFD1L*, another gene, histone cell cycle regulation defective homolog A (*HIRA*; MIM# 600237 [*S. cerevisiae*]); *TUPLE1*; TUP1-like enhancer of split protein 1), has been studied for its role in etiology of the main clinical features of 22q11DS (Halford, et al., 1993; Magnaghi, et al., 1998). Inactivation of one allele of *Hira* in mouse models did not result in a phenotype while inactivation of both copies resulted in gastrulation defects (Roberts, et al., 2002). Similar to *UFD1L*, *HIRA* has had limited follow up studies in comparison to that of *TBX1* and *CRKL*, which when inactivated in the mouse cause cardiac outflow tract defects. Overall, it would be useful to perform DNA sequencing of exons of all the genes on the remaining allele of chromosome 22q11.2 to identify common or rare variants that alter the phenotypic spectrum.

Genes elsewhere in the genome that can alter expressivity

Previous mouse genetic studies have suggested that it is not genes on the other allele of 22q11.2 that can influence expressivity but variants elsewhere in the genome. In a study of a mouse model containing a deletion of the region of synteny to 22q11.2, termed *Df1/+*, it was found that varying the background of the remaining allele had no alteration in phenotype (Taddei, et al., 2001). Genetic factors due to varying genetic background elsewhere, were hypothesized to influence expressivity (Taddei, et al., 2001).

To identify genetic modifiers, several candidate gene approaches were undertaken by using mouse models and intercrossing mutant mice with *Tbx1* mouse mutants. One important gene downstream of *Tbx1* is *Fgf8* (Fibroblast growth factor ligand 8). *Foxa2*, a forkhead transcription factor gene, might act upstream of *Tbx1*, which might regulate *Fgf8* protein levels (Hu, et al., 2004). Hypomorphic *Fgf8* mutant mouse embryos had similar malformations as homozygous *Tbx1* null mutant embryos suggesting a possible shared genetic pathway (Park, et al., 2006) (Ilagan, et al., 2006). Epistasis studies by intercrossing *Tbx1*^{+/-} mice with *Fgf8*^{+/-} mice showed a much more severe phenotype than either alone (Vitelli, et al., 2002). Of interest, there is also a genetic interaction in the mouse between *Crkl* and *Fgf8* (Park, et al., 2006); as well as one between all three genes, *Tbx1*, *Crkl* and *Fgf8* (Guris, et al., 2006), indicating the importance of the three genes for development of the outflow tract. In addition to these, a genetic interaction was identified between several additional genes including *Pitx2*, *Gbx2*, *Chd7* and *Hes1*, among others (Calmont, et al., 2009; Nowotschin, et al., 2006; Randall, et al., 2009) (van Bueren, et al., 2010). Mutations in *PITX2* causes Reiger syndrome (MIM# 180500), however cardiac malformations are not a hallmark feature of the disorder in humans (Semina, et al., 1996). Among the others, *Chd7* is particularly interesting because mutation or deletion of *CHD7* in humans causes CHARGE syndrome (MIM# 214800) with associated cardiac outflow tract defects such as VSD and TOF [(Vissers, et al., 2004) CHARGE, coloboma, heart defects, atresia choanae, retarded growth, genital abnormalities and ear defects]. A genetic interaction between the two genes indicates that the two diseases, 22q11DS and CHARGE syndrome share common pathways (Randall, et al., 2009)

Unbiased gene profiling approaches have been performed to identify new genes or pathways downstream of *Tbx1* (Ivins, et al., 2005; Liao, et al., 2008). From this, there are many genes to pursue in human DNAs by genotyping for common SNPs or sequencing to uncover rare variations. Nonetheless, truly unbiased genome wide approaches must be undertaken to identify common or rare variations influencing the expressivity of cardiovascular defects. One approach would be to perform genome wide association studies (GWAS) to identify loci harboring common SNP variations. This would involve genotyping many hundreds if not thousands of 22q11DS patients with high-density microarrays containing up to a million

or more SNPs. The goal would be to perform genotype-phenotype correlations in a similar manner as for *TBX1*.

Another outcome from performing a GWAS is the ability to also identify common and rare genomic deletions or duplications referred to as copy number variations (CNVs). Such CNVs might uncover second hit loci that interact with genes on the 22q11.2 region. Finally, whole exome sequencing analysis has the potential to uncover rare DNA variations that act as second hit mutations influencing expressivity. For exome sequence analysis, mutations will be found but the challenge will be to determine which are responsible for influencing expressivity.

Environmental exposures, stochastic factors as well as genetic modifiers are likely responsible for variable expressivity in 22q11DS. It is clear that both environmental (intra-uterine) and stochastic factors play a significant role in influencing expressivity. Exposure to high or low levels of retinoic acid (activated form of vitamin A) or alcohol during pregnancy results in similar malformations as those occurring in 22q11DS patients (Burd, et al., 2007; Ruckman, 1990). Further, evidence for non-DNA based etiologies derives from studies of monozygotic twins with the disorder. In a few studies of monozygotic twins with the syndrome it was found that most have discordant phenotypes (Lu, et al., 2001; Vincent, et al., 1999; Yamagishi, et al., 1998). One problem with the studies is that not many monozygotic twin pairs have been analyzed. Nonetheless, this indicates that environmental and stochastic factors influence expressivity.

In summary, we found that neither common SNPs nor haplotypes in *TBX1* could explain the basis of phenotypic expression variability of congenital heart disease in 1,022 patients with 22q11DS. In our cohort, 65% of 22q11DS patients with the 22q11.2 deletion have a congenital heart defect while 35% do not. The finding that rare variations were enriched in those with no cardiovascular defect as compared to those with RAA and PTA, and that a subset of those DNA variants were highly conserved among vertebrate species suggests possible functional roles. More work needs to be done examining common and rare DNA variations of additional genes on 22q11.2 and elsewhere to determine their role in altering expressivity of 22q11DS. It is also possible that although *TBX1* variations do not influence cardiovascular phenotypes, they may be important for craniofacial or other malformations associated with the syndrome.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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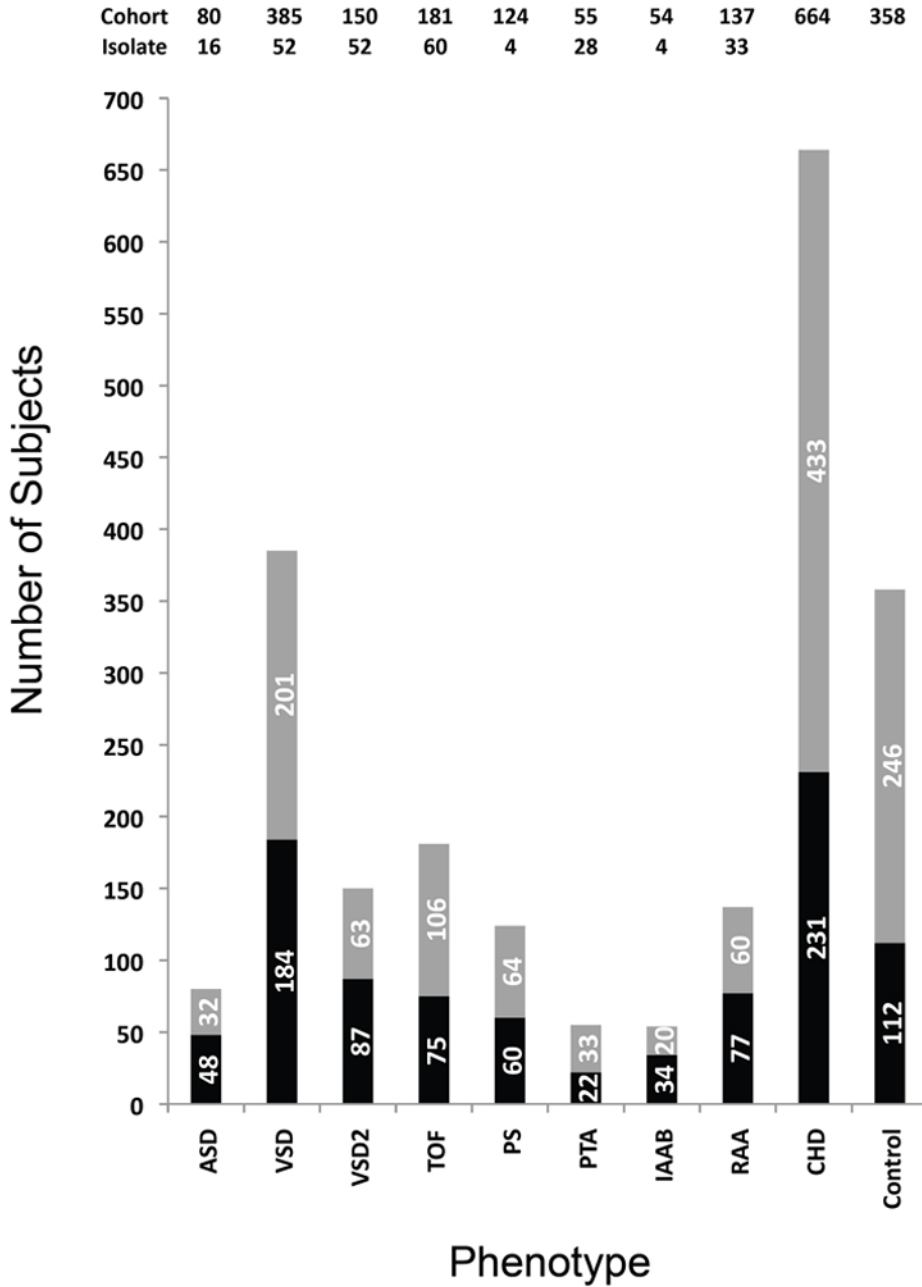


Figure 1. Cardiovascular phenotype enrichment groups. The stacked bar chart represents the total number of samples used for *TBX1* resequencing (Cohort). The number in each group is also indicated above the bar chart (Cohort). Those with a significant intracardiac or aortic arch malformation are referred to as having a congenital heart defect (CHD). Total samples of isolated individual malformations were shown on the top of the figure (Isolate). For example, the total number of patients with atrial septal defects (ASDs) is 80. Among the 80, 16 had an ASD but no other defects (not shown in the table). The rest had ASD as well as other cardiovascular defects. *TBX1* sequencing was performed on 48 ASD patients, while genotyping for common SNP variants was performed in all of them. The number 32 derives

from subtracting 48 from 80. There were 385, 22q11DS subjects with VSDs (ventricular septal defects; 52 samples with VSD only). VSD occurs as part of tetralogy of Fallot (TOF) and persistent truncus arteriosus (PTA). Among those in the VSD enrichment group, 150 had a VSD that was not associated with TOF or PTA (52 samples had VSD but no other intracardiac defect). A separate designation was made for those with a VSD but not in association with TOF or PTA (VSD2). There were 150 with VSD as the only intracardiac defect. A total of 181 patients had TOF; 75 were subjected to *TBX1* resequencing and all were genotyped. Sixty 22q11DS patients had TOF but no aortic arch defect or ASD (not shown in the table). Pulmonic stenosis (PS) occurs in association with TOF and it can co-occur with a VSD. In some of the echocardiogram summaries, PS and VSD were indicated but TOF wasn't. In those situations, we did not assume the patient had a TOF. A total of 124 comprised the PS enrichment group (pulmonic atresia or stenosis). Sixty were subjected to *TBX1* resequencing and all were genotyped. Among those with PS, only 4 had this malformation and no other intracardiac or aortic arch anomaly. Only 28 of 55 had a PTA but no other anomaly. Fifty-four patients had an interrupted aortic arch type B (IAAB); 34 were sequenced and all were genotyped. Only 4 total had an IAAB but no other intracardiac or aortic arch defect. A total of 137 had a right-sided aortic arch (RAA) and 77 were subjected to *TBX1* resequencing, while all were genotyped. Thirty-three patients had RAA as the only cardiovascular defect. In total, 664 patients had an intracardiac and/or aortic arch malformation (CHD; cases) and were genotyped while 231 were subjected to *TBX1* resequencing. A total of 358 patients had no detectable CHD (controls), and 112 were sequenced, while all were genotyped. Defects such as small patent foramen ovale or abnormal branching of the subclavian arteries were not always detected or noted and were not included in the analysis.

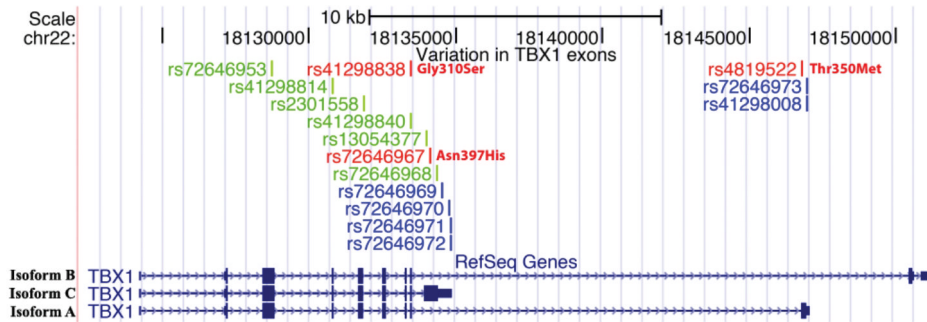


Figure 2.

DNA variations in *TBX1* exons. Three *TBX1* isoforms (*TBX1* isoform B, top, 18,124,226-18,151,112; *TBX1* isoform A, bottom, 18,124,226-18,147,068; *TBX1* isoform C, middle, 18,124,226-18,134,855 on human genome hg18) were shown on the “RefSeq Genes” track in the UCSC Genome Browser. Custom track “Variations in *TBX1* exons” was added to visualize the DNA variations found in the exons from DNA sequence analysis of 360 22q11DS patients. Each bar represents a variation, color coded as red, green and blue to represent non-synonymous, synonymous and UTR variations, respectively.

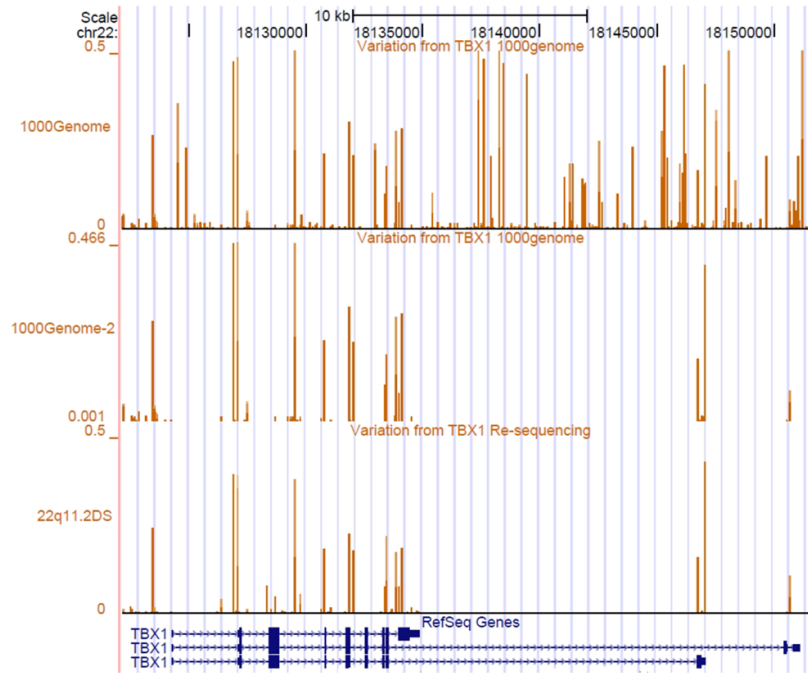


Figure 3.

Comparison of frequency SNPs to public databases. We performed a comparison of data on the MAF (Minor allele frequency) of SNPs between the 22q11DS study and 1,000 Genome Project (<http://www.1000genomes.org/>;) (Durbin, et al., 2010). Three custom tracks are shown in addition to the RefSeq track in a snapshot of the UCSC Genome Browser (hg18). The “1,000Genome” track shows the MAFs of all DNA variations identified in the *TBX1* locus. The data were extracted from pilot data (release 2010_07) from the 1,000 Genome Project. The next two tracks, entitled “1,000 Genome-2” and “22q11DS” track show the MAFs of DNA variants identified in the same regions of *TBX1* that were sequenced in our cohort. The height of the lines representing each variant depicts the MAF, which turn out to be similar in both datasets. Detailed information on DNA variations identified by *TBX1* resequencing is in Supp. Table S2.

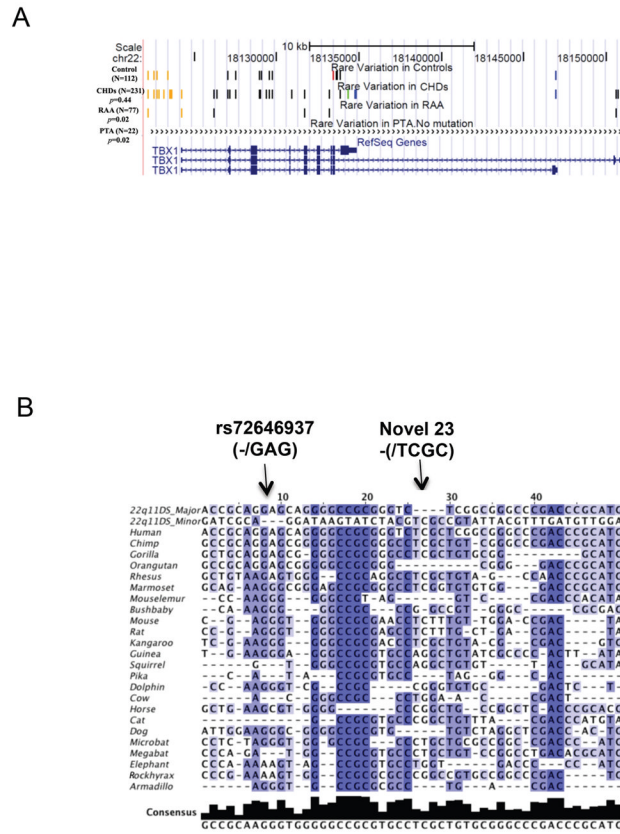


Figure 4. (A) Enrichment of very rare DNA variations. “Control”, “CHDs” (Congenital heart defects=CTDs+ASD), “RAA” and “PTA” custom tracks were added to the UCSC Genome Browser as shown. The custom tracks indicate the type of rare variations (MAF<0.01) identified by *TBX1* resequencing analysis in each phenotype enrichment group (total number of subjects and definition of each phenotype group is in Figure 1). Each line or bar represents a different rare SNP. The orange vertical lines represent variations from the putative promoter region, black represents intronic variants and blue are variants from the 3'-UTR. The red bar represents a nonsynonymous variant and a green bar denotes a synonymous variation. Detailed information of rare variations used for DNA enrichment analysis is shown in Supp. Table S2. (B) Multiple sequence alignment of 45 very rare *TBX1* variants (MAF<0.01). Multiple alignment data from 44 vertebrate species generated using multiz and other tools in the UCSC/Penn State Bioinformatics comparative genomics alignment pipeline were extracted using Galaxy (<http://main.g2.bx.psu.edu/>), a web-based genome analysis tool (Blankenberg, et al., 2010; Goecks, et al., 2010). Both Galaxy and then Jalview (Waterhouse, et al., 2009) were used to edit alignments. The species in the database with significant missing sequence were discarded and only results from 24 mammals are shown. The first two rows indicate the major or minor allele identified in the 22q11DS patient cohort. Each row below represents one of the 24 mammalian species. In general, each column represents a rare DNA variation except for the 8th variation that has a three base and 24th that has a four base indel. The most intense blue color corresponds to the most conserved SNPs. A total of 30 major alleles from the 22q11DS cohort show evolutionary conservation. Five minor alleles show conservation as indicated. The minor allele (TCGC), the only novel variation (novel 23) found in the sample, was conserved among most

mammalian species. Detailed information on the 45 rare variations is shown in Supp. Table S2.



Figure 5. Linkage disequilibrium (LD) plot of 17 common SNPs from the *TBX1* resequencing data. An LD matrix was generated from DNA sequence data, using the X-chromosome model in Haploview 4.2. Seventeen common SNPs with a MAF >0.05 were used to generate the plot. The SNPs highlighted in green are those selected to genotype in the whole cohort of 1,022 patients. Standard (D'/LOD) models were used to create the LD color scheme. The value in each pairwise box is the r^2 value. We found that there were three LD blocks in the *TBX1* locus.

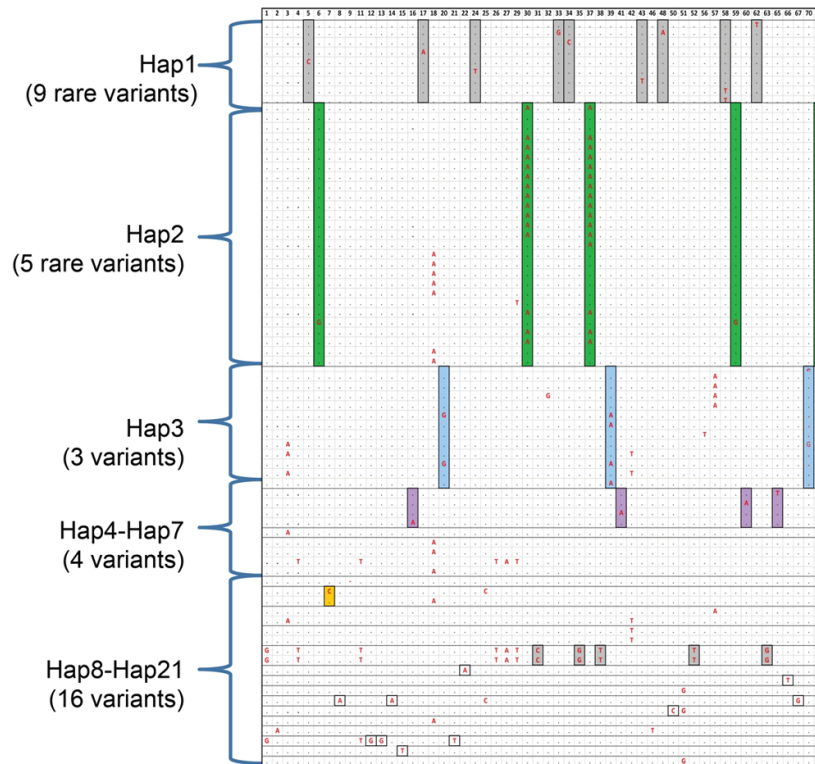


Figure 6.

Haplotypes of common SNPs (MAF>0.05) and 54 rare variants (MAF<0.05). A total of 54 rare variants (MAF<0.05) identified by *TBX1* resequencing were shown in each column (the order of variants is according to Supp. Table S2). Each row represents a patient that carries one or more of the 54 rare variants (the major allele is a black dot; the minor allele is indicted in bold red font). Each haplotype group is indicated as Hap1–21 (Hap22–49 which didn't occur in any patient with rare variations, is not shown.). They were determined by the allele pattern of the 17 common SNPs. Some patients shared common haplotypes. Twenty-one of 49 haplotypes captured all rare variations (MAF<0.05) in a total of 77 subjects. Hap1 (CAGGGTCTCAAACGCA), Hap2 (CTCGGTCTCAAACGCA) and Hap3 (TTCGACCCCGGACCTCG) were common haplotypes (frequency>0.05) generated by 17 common SNPs from *TBX1* resequencing data. Hap4 to Hap7 were less common haplotypes (0.01<frequency<0.05) and hap8 to hap21 were very rare haplotypes (frequency<0.01). For each variant, the boxes highlighted in different colors represent the minor allele of rare variants observed in the subjects with the given haplotypes indicated (Hap1–21).

Table 1

Phenotype-Phenotype correlation analysis

^a	^b	ASD	VSD	PS	PTA	IAAB	RAA	TOF
ASD			0.29	0.10	0.04	0.11	0.09	0.06
VSD	<0.0001			0.42	0.27	0.26	0.28	0.55
PS	0.0009	<0.0001			-0.009	-0.07	0.24	0.57
PTA	0.16	<0.0001	0.78			0.02	0.11	-0.11
IAAB	0.0004	<0.0001	0.02	0.50			-0.12	-0.11
RAA	0.004	<0.0001	<0.0001	0.0004	0.0001			0.30
TOF	0.08	<0.0001	<0.0001	0.0004	0.005	<0.0001		

^aThe left lower panel shows the Chi-square p value

^bthe right shows Cramer's phi correlation coefficient.

Table 2Association analysis for representative common SNPs in *TBX1*

Marker	Location (hg18)	A1/A2 ^a	Minor Allele Freq.	Chi-Squared P	CHDs		Controls	
					A1	A2	A1	A2
rs41260844	18123423	T/C	0.28	0.91	162	425	88	227
rs737868	18127081	G/C	0.38	0.81	209	337	107	179
rs41298814	18130772	C/T	0.21	0.50	117	465	68	241
rs2301558	18131828	T/C	0.24	0.84	140	455	76	239
rs41298826	18132006	C/T	0.20	0.41	108	465	65	242
rs72646967	18134090	C/A	0.18	0.89	92	425	48	228
rs4819522	18146781	T/C	0.16	0.50	95	490	45	265
rs5746826	18147050	T/G	0.45	0.59	254	313	142	162
rs13055776	18150706	T/C	0.10	0.08	50	540	39	284

^a A1 is the minor allele and A2 is the major allele.