

# Energy-dependent reversal of the cytochrome oxidase reaction

(respiration/energy conservation/oxidative phosphorylation)

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**ABSTRACT** Energization of isolated rat liver mitochondria with ATP under conditions in which cytochrome *c* is poised in a highly oxidized state shifts the state of cytochrome oxidase (cytochrome *c* oxidase; ferrocyanide *c*:oxygen oxidoreductase, EC 1.9.3.1) from fully oxidized to two new spectroscopically distinguishable states depending on the applied phosphorylation potential and redox potential at cytochrome *c*. Both new states are spectrally similar or identical to two previously described intermediates in the reaction between reduced enzyme and O<sub>2</sub>. The data suggest that the energy-dependent transitions are due to reversed electron transfer from water to ferricytochrome *c* linked to accumulation of intermediates of O<sub>2</sub> reduction at the catalytic heme *a*<sub>3</sub>/copper center. Titrations with redox potential indicate that each transition is a one-electron step, a finding that would identify the second observed compound as enzyme-bound peroxide or its equivalent. This is consistent with this compound being spectrally identical to "Compound C," previously described as the reaction product between half-reduced oxidase (two electrons) and O<sub>2</sub>. On the basis of these data a catalytic scheme of O<sub>2</sub> reduction is proposed for the heme *a*<sub>3</sub>/copper center of cytochrome oxidase.

Cytochrome oxidase (EC 1.9.3.1) catalyzes electron transfer from cytochrome *c* to O<sub>2</sub>, with consequent reduction of O<sub>2</sub> to water. Electron transfer is linked to proton translocation across the mitochondrial membrane (1). The minimal functional unit of cytochrome oxidase contains two hemes (*a* and *a*<sub>3</sub>) and two coppers (Cu<sub>A</sub> and Cu<sub>B</sub>). Heme *a*<sub>3</sub> and Cu<sub>B</sub> form a binuclear center of O<sub>2</sub> reduction, but cytochrome *a* and Cu<sub>A</sub> are usually thought merely to aid electron transfer between cytochrome *c* and this center (2-6).

The introduction of the "triple-trapping" low-temperature technique by Chance *et al.* (7) rendered the mechanism of O<sub>2</sub> reduction experimentally testable. However, of observed intermediates only the first, namely the "oxy" species Fe<sup>II</sup>-O<sub>2</sub> (or compound A), has been unambiguously identified. The structures of subsequent intermediates and the catalytic mechanism of O<sub>2</sub> reduction are still obscure (see refs. 7-11). It has even been suggested that some of the observed intermediates may be artefacts due to the use of CO in the triple-trapping technique (12).

In this contribution it will be demonstrated that the reduction of O<sub>2</sub> to water can be partially reversed by energization of isolated mitochondria with ATP under favorable conditions. These observations provide a simple explanation for the ATP-linked shifts in the oxidized enzyme described previously (1, 13) and an independent basis for identification of intermediates in the oxygen reaction. On the basis of these data, as well as earlier reports in the literature, a catalytic scheme of O<sub>2</sub> reduction by cytochrome oxidase is proposed.

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## METHODS AND MATERIALS

Rat liver mitochondria were isolated as described (14). The concentration of mitochondria is reported as concentration of cytochrome *aa*<sub>3</sub>. This was determined from the reduced minus oxidized (reduction by dithionite) absorption difference at the wavelength couple 605-630 nm, using a millimolar extinction coefficient of 27 cm<sup>-1</sup> (15).

Split-beam and dual-wavelength spectrophotometry was performed at room temperature with DBS-1 (Johnson Research Foundation Workshops, University of Pennsylvania) and Aminco DW2 spectrophotometers. Cuvettes with a 1-cm light path were used throughout. Some spectra were also recorded at 77K (data not shown), using the low-temperature attachment of the DW2 spectrophotometer.

Experimental conditions are described in the legends to the figures. All reagents used, except carbonylcyanide *p*-trifluoromethoxyphenylhydrazone (FCCP), were commercial products of the highest purity available. The FCCP was kindly provided by P. G. Heytler.

## RESULTS AND DISCUSSION

**Experimental Rationale.** When mitochondria are poised in a highly oxidized state by the action of ferricyanide through cytochrome *c*, the addition of ATP induces a large spectral change in ferricytochrome *a*<sub>3</sub> (13). The Soret band is shifted toward the red, and a broad increase of absorption is centered near 580 nm (see Fig. 1). The extent of change is a function of the [ATP]/[ADP][P<sub>i</sub>] ratio, and the effect is prevented and abolished by uncoupling agents, by oligomycin, and also by cyanide (13, 16, 17). This energy-dependent spectral shift has been attributed to generation of a "high-energy" state of cytochrome *a*<sub>3</sub> that may be directly involved in primary energy conservation (13, 18), or more specifically, in proton translocation (1, 19, 20).

It has not been excluded, however, that this effect may be a consequence of energy-linked reversed electron transfer (see ref. 21). Although O<sub>2</sub> generation from water has not been demonstrated despite numerous attempts (see, e.g., ref. 22), a partial reversal of the O<sub>2</sub> reaction remains possible. In such a case transfer of less than four electrons from water to cytochrome *c* may be expected to generate states of the *a*<sub>3</sub>/Cu<sub>B</sub> center that are identical with "oxygen intermediates" in the forward reaction between the reduced center and O<sub>2</sub>. If so, large spectral shifts in cytochrome *a*<sub>3</sub> are indeed to be expected.

The phenomenon of reversed electron transfer may be described by the relationship (see, e.g., ref. 23)

$$E_2 - E_1 = \frac{\Delta G_p}{nF}, \quad [1]$$

Abbreviation: FCCP, carbonylcyanide *p*-trifluoromethoxyphenylhydrazone.

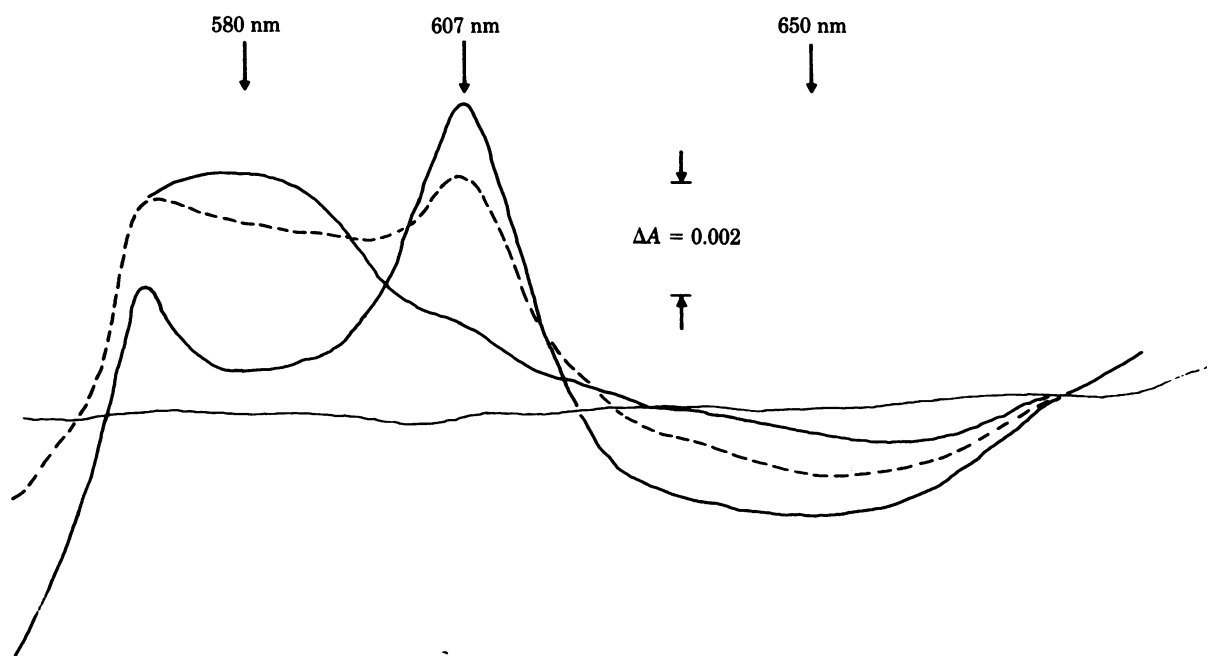


FIG. 1. ATP-linked spectral shift in ferric  $aa_3$  at high pH in rat liver mitochondria. The mitochondria were suspended at  $0.86 \mu\text{M}$   $aa_3$  in  $0.2 \text{ M}$  sucrose/ $20 \text{ mM}$  KCl/ $30 \text{ mM}$  HEPES-Tris buffer (pH 7.8), supplemented with  $2 \mu\text{M}$  rotenone,  $0.2 \text{ mM}$  EDTA, and  $1 \text{ mM}$  potassium ferricyanide. A baseline (thin continuous line) was recorded by split-beam spectrophotometry. Then  $2 \text{ mM}$  of Tris ATP was added to the sample cuvette and the difference spectrum was recorded immediately from  $500$  to  $700 \text{ nm}$  at a speed of  $5 \text{ nm/sec}$  (solid line with  $607\text{-nm}$  peak). This was immediately followed by two further sequential scans (broken line, and solid line peaking at  $580 \text{ nm}$ , respectively). Upward deflection corresponds to an increase of absorption in the sample, relative to the reference.

in which  $E_1$  and  $E_2$  are the respective redox potentials on the low- and high-potential sides of the energy-conservation "site,"  $\Delta G_p$  is the phosphorylation potential (equivalent to the Gibbs free energy change in ATP synthesis from ADP and  $P_i$ ),  $F$  is the Faraday constant, and  $n$  is the number of electrons that need to be transferred from  $E_1$  to  $E_2$  for synthesis of one ATP molecule. This relationship is strictly valid only at equilibrium. However, due to the high degree of coupling between respiration and phosphorylation (see, e.g., ref. 24), it may still be a good approximation for near-equilibrium states such as those under the experimental conditions reported here (see ref. 25). In these conditions there is no detectable net electron transfer between cytochrome  $c$  and the  $\text{O}_2/\text{H}_2\text{O}$  couple.

According to Eq. 1 an increase in  $\Delta G_p$  yields an increase in  $E_2$  when  $E_1$  is held constant (by a ferri-/ferrocyanide redox "buffer"). The increased  $E_2$  may then be sufficient to shift redox equilibria in the sequence of  $\text{O}_2$  reduction to water. If the observed effect is indeed due to reversed electron transfer it should be enhanced independently by a rise in  $E_1$  at constant  $\Delta G_p$ .

**Properties of the Energy-Linked Spectral Changes.** In Figs. 1 and 2 it is shown that the ATP-induced  $580\text{-nm}$  species (see ref. 13 and above) is replaced by a compound with intense and sharp absorption near  $607 \text{ nm}$  ( $\epsilon_{\text{max}} = 10\text{--}12 \text{ mM}^{-1} \text{ cm}^{-1}$  on an  $aa_3$  basis relative to the state prior to ATP) when the pH of the medium is raised. There was no accompanying dramatic change in the Soret band (not shown), which remained red-shifted relative to the state prior to ATP addition. Fig. 1 shows that the  $607\text{-nm}$  state decays spontaneously in about  $80 \text{ sec}$  into the  $580\text{-nm}$  state (and further to the state prior to ATP). This is due to slow ATP hydrolysis and reduction of ferricyanide by endogenous substrates. Both states were generated (depending on pH) to a maximal extent in  $1\text{--}2 \text{ sec}$ , either on addition of ATP (Figs. 1 and 2) or on changing pH by addition of alkali in the presence of ATP (not shown). Generation of both states was completely blocked by cyanide, uncoupling agents, and oligomycin (cf. ref. 13). Antimycin had no effect. In contrast, a spectral change seen

near  $560\text{--}570 \text{ nm}$  (Fig. 1) was insensitive to cyanide and was modified by antimycin. The latter effect is thus not due to cytochrome  $a_3$  but presumably arises from cytochrome  $b$ . Ercińska *et al.* (13) reported that the  $580\text{-nm}$  state also forms anaerobically. This was confirmed and extended to include also the  $607\text{-nm}$  compound. However, all experiments shown in this paper were done aerobically.

A rise in pH, particularly above pH 7, enhances  $\Delta G_p$  (see ref. 26) and should therefore favor reversed electron transfer (Eq. 1). If this is the effect of pH here, the data suggest that formation of the  $607\text{-nm}$  compound may require a higher  $\Delta G_p$  than does formation of the  $580\text{-nm}$  compound. This interpretation may be confirmed by studying the absorption changes at  $580$  and  $607 \text{ nm}$  as a function of  $[\text{ATP}]/[\text{ADP}][\text{P}_i]$  at constant pH (results to be published elsewhere; see also refs. 16, 17, and 19). It was indeed found that formation of the  $607\text{-nm}$  compound required a  $\Delta G_p$  about  $2.2 \text{ kcal}$  ( $9.2 \text{ kJ}$ )/mol higher than formation of the  $580\text{-nm}$  compound at constant pH and ferri-/ferrocyanide ratio.

If, as suggested above, the spectral changes are indeed the result of reversed electron transfer, their extents should be increased by raising the ferri-/ferrocyanide ratio at constant  $\Delta G_p$  (*Experimental Rationale*). Moreover, formation of the  $607\text{-nm}$  state should require a higher ferri-/ferrocyanide ratio than required to form the  $580\text{-nm}$  state. Data pertinent to these predictions are shown in Fig. 3. The extent of both spectral changes is a function of redox potential, as anticipated (note that the  $607\text{-nm}$  state was titrated at a higher pH to permit comparison at similar redox potentials). The slopes of the lines fitted through the points are each close to unity, suggesting that each transition corresponds to a one-electron transfer process. Note that the  $607\text{-nm}$  transition, as plotted in Fig. 3, measures predominantly the conversion from the  $580\text{-}$  to the  $607\text{-nm}$  state, because the contribution of the original fully oxidized state is negligible in the titration range. This is due to the comparatively large difference in "driving force" required to generate the two states.

Taken together, these data indicate that the oxygen reaction may be reversed, starting from the fully oxidized heme  $a_3/\text{Cu}_B$

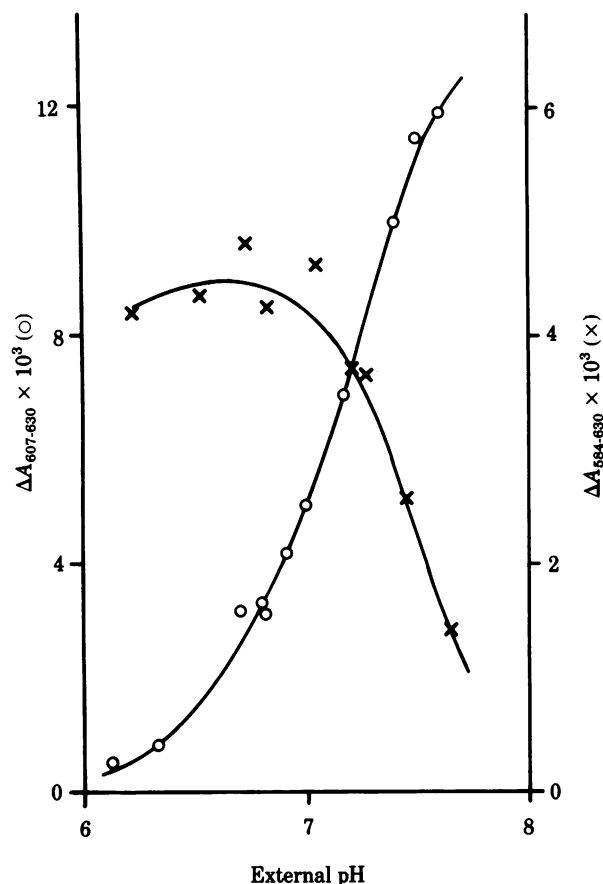


FIG. 2. pH dependence of the extent of absorption changes at 580 and 607 nm. Experimental conditions were similar to those for Fig. 1, except that the  $aa_3$  content was  $1 \mu\text{M}$  and the ATP-induced changes were measured immediately after 1 sec of stirring by dual-wavelength spectrophotometry at the indicated wavelength pairs. The pH was adjusted by addition of small portions of HCl and KOH prior to addition of the mitochondria and was checked before and after the addition of ATP.

center plus water, by two sequential one-electron steps. The electrons are apparently transferred in an energy-dependent fashion from water, via cytochrome  $c$ , to the redox buffer. The final product of water oxidation may hence be peroxide that remains tightly bound to the  $a_3/\text{Cu}_B$  center. No further reversal appears possible, at least with ferricyanide as oxidant, and with the phosphorylation potentials achieved by addition of ATP.

**Possible Structures of "Oxygen Intermediates".** "Compound C" was previously described as the main product in the reaction between the half-reduced enzyme ( $a_3$  and  $\text{Cu}_B$  reduced) and  $\text{O}_2$ . Formation of compound C is associated with a quite unusual spectral change, namely a large increase in absorption near 605–610 nm even though oxidation of the enzyme is expected (7, 8, 10, 12, 27). The spectral properties of the 607-nm compound are very similar, if not identical, to those of compound C. Difference spectra of the former at 77 K (not shown) are consistent with this identity. The increase in absorption at 607 nm in our conditions is also most unusual, as it is more enhanced the more oxidizing the conditions. Formation of the 607-nm compound by (stepwise) two-electron oxidation of the "oxidized"  $a_3/\text{Cu}_B$  center plus water provides further strong support for the identity of this species with compound C.

Preferential generation of compound C at highly oxidizing conditions (Fig. 3) is inconsistent with the idea that it contains ferrous heme  $a_3$  (8, 10, 11). The lack of a sizable Soret band (see ref. 8) also contradicts this possibility. The lack of the 655-nm band typical of the oxidized enzyme (see Fig. 1) is a weak ar-

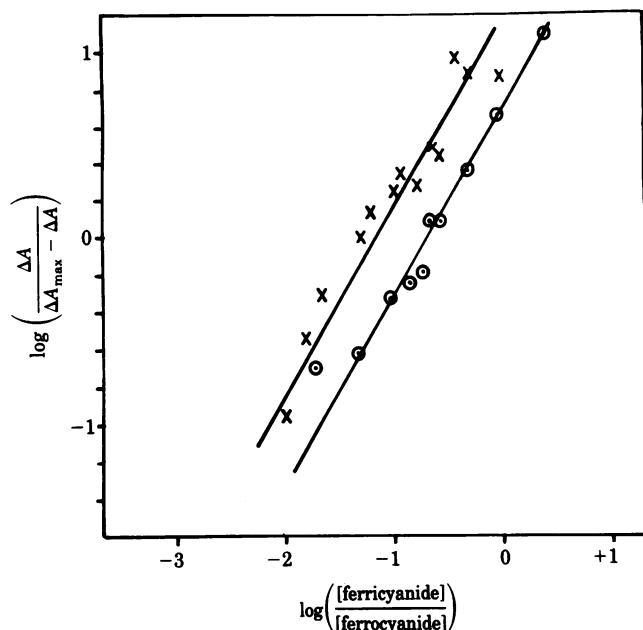


FIG. 3. Redox potential dependence of absorption changes. Conditions as described for Fig. 1, but measurements were done at 584–620 (X) and 607–630 (O) nm at pH 7.2 and 7.7, respectively.  $\Delta A$  refers to the extent of the ATP-induced change in absorption at the indicated ferri-/ferrocyanide ratio.  $\Delta A_{\text{max}}$  is the corresponding change in the presence of ferricyanide (3 mM) alone.

gument for ferrous  $a_3$ , because this band is also absent under some conditions in which  $a_3$  is unambiguously in the ferric state (28). The possibility that compound C is a  $\mu$ -peroxy species with ferric  $a_3$  and  $\text{Cu}_B^{\text{II}}$  (ref. 29; see structure 1 in Fig. 4) is supported by the likelihood that reduction of  $\text{O}_2$  to peroxide occurs by concerted two-electron transfer. The reasons for this are energetic (29) and structural (4, 6), as well as functional (5). Alternatively, the "peroxy" structure may be one with heme iron in the ferryl ( $\text{Fe}^{\text{IV}}$ ) state and copper as  $\text{Cu}_B^{\text{I}}$  (30, 31).

If the "peroxy" state is indeed an important intermediate in the reduction of dioxygen, it should also be observed in the reaction between the fully reduced enzyme and  $\text{O}_2$ . We ascribe the intermediate designated "II" by Clore *et al.* (9) to this state. However, II is transient (9), because its formation is quickly followed by electron transfer from both heme  $a$  and  $\text{Cu}_A$  (30, 31).

"Oxygen intermediates" with spectral properties similar to those of the 580-nm species have been described by Orii and King (ref. 32; their "Compound II") and by Shaw *et al.* (33). In agreement with our data, this species indeed appears to be a "later" intermediate than compound C in the forward reaction. Our results suggest that this may be a one-electron oxidation product of the oxidized  $a_3/\text{Cu}_B$  center plus water. Because it may be considered unlikely that the reactive  $\text{HO}^\cdot$  radical is formed in the catalytic sequence, it is proposed that this species may have the structure shown as no. 2 (Fig. 4). As shown, this would permit a mechanism in which the  $\text{O}_2$  is reduced to water in two concerted two-electron steps, but in which the heme  $a_3/\text{Cu}_B$  center nevertheless receives electrons from cytochrome  $a$  in discrete one-electron steps.

The intermediate described by Shaw *et al.* (33) exhibits unique EPR resonances at  $g = 5, 1.78,$  and  $1.68$ , which suggests a straightforward test of the proposed identity with our 580-nm compound. Meanwhile, it may be tentatively proposed that intermediate 2 (Fig. 4) could give rise to such a spectrum, due to antiferromagnetic coupling between  $\text{Fe}^{\text{IV}}$  ( $S = 1$  or  $2$ ) and  $\text{Cu}^{\text{II}}$  ( $S = 1/2$ ), and a resultant binuclear  $S = 3/2$  center.

In the model of Fig. 4 the "oxy" species (compound A) is

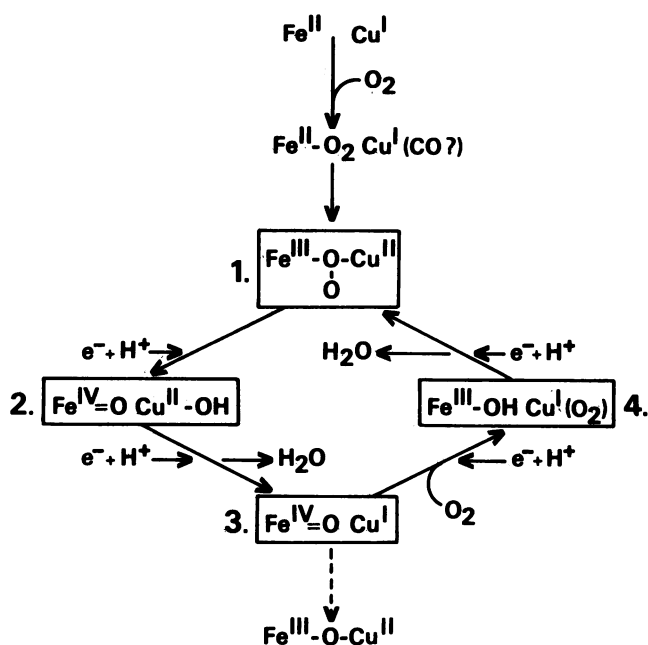


FIG. 4. Proposed catalytic scheme of  $\text{O}_2$  reduction to water by the heme  $a_3/\text{Cu}_B$  center of cytochrome oxidase.  $\text{Fe}^{\text{II}} \text{Cu}^{\text{I}}$  and  $\text{Fe}^{\text{III}}-\text{O}-\text{Cu}^{\text{II}}$  represent the fully reduced and "oxidized" states of the heme  $a_3/\text{Cu}_B$  center, respectively. For discussion of structures 1–4 and possible alternatives, see the text.

considered to have very low probability in the aerobic steady state (cf. ref. 29). Accumulation of this compound at low temperatures after photodissociation of  $\text{Fe}^{\text{II}}-\text{CO}$  in the presence of  $\text{O}_2$  (7) might be due to binding of the CO to  $\text{Cu}_B^{\text{I}}$ , preventing concerted two-electron transfer. Recent infrared data showing CO binding to copper at low temperatures (34) support this possibility, and also that  $\text{Cu}_B^{\text{I}}$  may be the primary  $\text{O}_2$ -binding site. Fig. 4 also shows two possible isoelectronic structures of the fully oxidized heme  $a_3/\text{Cu}_B$  center [state 3 and the  $\mu$ -oxo compound (6), respectively]. More states than those shown may be required in the sequence between nos. 3 and 1. State 4 with high-spin heme iron might account for the rhombic  $g = 6$  EPR signal observed in aerobic steady states (35).

It is concluded that the energy-dependent spectral shifts in ferric heme  $a_3$  find a rational explanation from a partial reversal of catalytic steps of  $\text{O}_2$  reduction, without implicating a direct role of cytochrome  $a_3$  in energy conservation. The reason why  $\text{O}_2$  reduction is not fully reversible may be thermodynamic irreversibility of the two-electron step that generates the "peroxy" intermediate. Together with previous considerations (see ref. 1) our data favor the possibility that cytochrome  $a$  rather than  $a_3$  may be intimately involved in proton translocation. Thus transfer of each electron from cytochrome  $c$  to the heme  $a_3/\text{Cu}_B$  center via heme  $a$  is suggested to be linked to translocation of  $1 \text{ H}^+$  across the mitochondrial membrane. In addition,  $1 \text{ H}^+/\text{e}^-$  is taken up from the mitochondrial matrix phase in the reduction of  $\text{O}_2$  to water, as shown in Fig. 4 (1). More direct evidence for the role of cytochrome  $a$  as redox element of the proton pump in cytochrome oxidase will be given elsewhere (30, 31).

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