



Clinical Findings and Demographic Factors Associated With ICU Admission in Utah Due to Novel 2009 Influenza A(H1N1) Infection

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Background: Novel 2009 influenza A(H1N1) infection has significantly affected ICUs. We sought to characterize our region's clinical findings and demographic associations with ICU admission due to novel A(H1N1).

Methods: We conducted an observational study from May 19, 2009, to June 30, 2009, of descriptive clinical course, inpatient mortality, financial data, and demographic characteristics of an ICU cohort. A case-control study was used to compare the ICU cohort to Salt Lake County residents.

Results: The ICU cohort of 47 influenza patients had a median age of 34 years, Acute Physiology and Chronic Health Evaluation II score of 21, and BMI of 35 kg/m². Mortality was 17% (8/47). All eight deaths occurred among the 64% of patients (n = 30) with ARDS, 26 (87%) of whom also developed multiorgan failure. Compared with the Salt Lake County population, patients with novel A(H1N1) were more likely to be obese (22% vs 74%; $P < .001$), medically uninsured (14% vs 45%; $P < .001$), and Hispanic (13% vs 23%; $P < .01$) or Pacific Islander (1% vs 26%; $P < .001$). Observed ICU admissions were 15-fold greater than expected for those with BMI ≥ 40 kg/m² (standardized morbidity ratio 15.8, 95% CI, 8.3-23.4) and 1.5-fold greater than expected among those with BMI of 30 to 39 kg/m² for age-adjusted and sex-adjusted rates for Salt Lake County.

Conclusions: Severe ARDS with multiorgan dysfunction in the absence of bacterial infection was a common clinical presentation. In this cohort, young nonwhites without medical insurance were disproportionately likely to require ICU care. Obese patients were particularly susceptible to critical illness due to novel A(H1N1) infection. *CHEST 2010; 137(4):752-758*

Abbreviations: ALI = acute lung injury; APACHE II = Acute Physiology and Chronic Health Evaluation II; CDC = Centers for Disease Control and Prevention; IQR = interquartile range; novel A(H1N1) = novel 2009 influenza A(H1N1); OR = odds ratio; SMR = standardized morbidity ratio; SOFA = Sequential Organ Failure Assessment

An outbreak of novel respiratory infection was identified in Mexico in late March 2009. On April 15, 2009, novel 2009 influenza A(H1N1) [novel A(H1N1)] was confirmed in a patient from California.^{1,2} An international pandemic was declared by the World

Health Organization on June 11, 2009. As of August 18, 2009, there were 7,511 hospitalized cases of novel

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A(H1N1) in the United States and its territories, of which 300 hospitalized cases and 17 deaths occurred in Utah.³

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Seven (39%) of 18 initial cases of confirmed novel A(H1N1) requiring hospital admission in Mexico City⁴ progressed to ARDS. Global reports have subsequently provided insight into some features of severe confirmed, probable, or suspected A(H1N1) infection in the ICU, with 14% to 41% mortality and obesity prevalent in 18% to 36% of ICU patients.⁵⁻⁸

Salt Lake County experienced a concentrated number of severe novel A(H1N1) infections. We report the clinical presentation, ICU course, and demographic characteristics of the first 47 cases of confirmed novel A(H1N1) infection requiring ICU admission in Salt Lake County.

MATERIALS AND METHODS

Individuals with confirmed novel A(H1N1) who were older than 15 years and treated in four ICUs at three academic hospitals in Salt Lake County, Utah, from May 19, 2009, to June 30, 2009, were included in this report. We identified patients concurrently and reviewed medical charts, radiologic and laboratory findings, hospital billing charges, length of stay, and in-hospital survival. The report was declared exempt by the institutional review boards of Intermountain Healthcare and the University of Utah.

Testing

Initially, screening for novel A(H1N1) was performed with the Binax NOW Influenza A+B screen (Inverness Medical Innovations, Inc.; Waltham, MA). As more cases were identified, we noted a low sensitivity of this test for the novel strain (31%, or 12 of 39 samples positive). This prompted physicians to use other testing methodologies such as direct fluorescent antibody or multiplex polymerase chain reaction as an initial tool for hospitalized patients. All patients were confirmed by strain-specific polymerase chain reaction⁹ performed at the Salt Lake Valley Health Department or the Centers for Disease Control and Prevention (CDC).

Definitions

Organ failure or dysfunction was assessed daily during ICU stay according to the Sequential Organ Failure Assessment (SOFA) score¹⁰ and at ICU admission using Acute Physiology and Chronic Health Evaluation II (APACHE II).¹¹ Respiratory failure was further characterized as either ARDS or acute lung injury (ALI) according to consensus definitions,¹² with ARDS and ALI cutoffs for PaO₂/FIO₂ ratios of 170 and 255, respectively, adjusted for Salt Lake County's average elevation (1,400 m). Obesity was defined as BMI 30 to 39 kg/m² and morbid obesity as BMI \geq 40 kg/m². We classified medical insurance as one of three mutually exclusive groups at hospital admission: public (Medicare, Medicaid, or Children's Health Insurance Program), private (any form of nongovernmental insurance), or none.

Statistical Analysis

Central tendencies were compared among patient groups using Fisher exact test, Student *t* test, or Wilcoxon rank-sum test, as appropriate. Univariate logistic regression was used to determine odds ratios (OR) and 95% CIs for death or development of ARDS. We performed linear regression of ICU and hospital length of stay by BMI. R software, version 2.9.1 (R Foundation for Statistical Computing; Vienna, Austria), was used for statistical analyses of clinical data.

Salt Lake County demographics were obtained from the US Census Bureau, 2007. Categories of BMI (<30, 30-39, and

\geq 40 kg/m²) were obtained from the Utah Behavioral Risk Factor Surveillance System¹³ using SAS-callable SUDAAN, version 10.0.0 (SAS Institute; Cary, NC). Analyses comparing age, sex, BMI, and race/ethnicity of the study cohort with Salt Lake County were performed using Fisher exact tests in SAS version 9.2 (SAS Institute).

A standardized morbidity ratio (SMR)¹⁴ with 95% CI was calculated as the number of observed ICU cases in each BMI group divided by the expected number, given Salt Lake County population's distribution of age, sex, and BMI (see the online supplement). *P* values for all statistical analyses were two sided with *P* < .05 considered significant.

RESULTS

Clinical Data

Forty-seven patients with novel A(H1N1) infection were admitted to one of four adult ICUs among three academic hospitals between May 19, 2009, and June 30, 2009. The median age of patients was 34 years (range 15-62 years) (Table 1). Twenty (43%) were men. Most had typical influenza symptoms on illness presentation, including fever (100%), cough (89%), and shortness of breath (66%). Sixty-two percent had one or more risk factors for influenza-related complications as defined by the CDC.¹⁵ The most common factors included asthma (*n* = 14) and diabetes (*n* = 8). Four patients were pregnant or immediately *post partum*. Fourteen patients were smokers, including four without established risk factors.

On admission, cohort patients were critically ill (Tables 1, 2). The median APACHE II score was 21 (interquartile range [IQR] 14-26). All had gas exchange abnormalities on admission. ARDS was diagnosed in 64% and ALI (without ARDS) in an additional 15%. Seven presented with exacerbations of underlying chronic medical conditions (eg, COPD, heart failure).

Organ dysfunctions or failures were common in the early ICU course (Table 1), as reflected by high median SOFA scores on day 1 and day 2. Common organ failures included respiratory (91%), brain (49%), and cardiovascular (34%) failure. Six patients (13%) developed renal failure in the first 48 h. Four of the six had a creatine kinase >5,000 units/L. An additional 13 patients developed renal failure after the first 48 h of ICU stay, of whom seven received continuous renal replacement therapy.

Clinical evidence of bacterial infection on ICU admission was present in six of 47 patients (13%). Of the six patients with concomitant bacterial infection, the following clinical microbiology was noted: (1) one previously healthy patient with a blood culture and a tracheal aspirate positive for group A *Streptococcus*; (2) one patient with known chronic heart and lung disease as well as diabetes with blood BAL cultures positive for methicillin-resistant *Staphylococcus aureus*; (3) one patient with a sputum culture positive

Table 1—Demographic and Medical Characteristics for 47 Patients With Novel A(H1N1) Virus Admitted to Four ICUs in Salt Lake County

Characteristics	A(H1N1) Cohort (N = 47)
Admission characteristic	
Median age, y (range)	34 (15-62)
Female sex, No. (%)	27 (57)
White, non-Hispanic, No. (%)	22 (47)
Median APACHE II score (IQR)	21 (14-26)
Median SOFA score (IQR)	
Day 1	7 (3-8)
Day 2 ^a	6 (2-8)
Median leukocyte count, cells/ μ L (IQR)	6,600 (5,100-9,600)
Median absolute lymphocyte count, cells/ μ L (IQR)	600 (400-900)
ICU course, first 48 h	
Respiratory failure, ^b No. (%)	37 (79)
ARDS, No. (%)	30 (64)
Median lowest PaO ₂ /FIO ₂ ratio (IQR)	61 (52-100)
ALI, No. (%)	7 (15)
Median lowest PaO ₂ /FIO ₂ ratio (IQR)	201 (177-235)
No severe respiratory disease, No. (%)	10 (21)
Median lowest PaO ₂ /FIO ₂ ratio (IQR)	254 (190-323)
Brain failure, ^c No. (%)	23 (49)
Cardiovascular failure, ^c No. (%)	16 (34)
Hematologic failure, ^c No. (%)	7 (15)
Renal failure, ^c No. (%)	6 (13)
Liver failure, ^c No. (%)	5 (11)

ALI = acute lung injury; APACHE II = Acute Physiology and Chronic Health Evaluation II; IQR = interquartile range; novel A(H1N1) = novel 2009 influenza A(H1N1); SOFA = Sequential Organ Failure Assessment.

^aNine patients were excluded because they were discharged from the ICU within 24 h.

^bARDS and ALI, as defined by the American-European Consensus Conference.¹² Almost all patients were on supplemental oxygen when these values were obtained. Only one patient with no severe respiratory disease had a missing arterial blood gas for determination of the lowest PaO₂/FIO₂ ratio.

^cDefined as SOFA score \geq 2 for each component organ failure.

for *Pseudomonas aeruginosa* (mucoid); this patient had known bronchiectasis and prior pseudomonal colonization; (4) one patient (a known smoker with hypertension) with a tracheal aspirate positive for *Haemophilus influenzae*; (5) one previously healthy pregnant female with tracheal aspirate positive for methicillin-sensitive *Staphylococcus aureus*; and (6) one patient with known asthma and illicit drug abuse with methicillin-sensitive *Staphylococcus aureus* bacteremia, without echocardiographic or other clinical evidence of endocarditis. Only the patient with group A *Streptococcus* sepsis died during hospitalization; death occurred within 6 h of ICU admission. All other patients with known bacterial infections (five of six) survived to hospital discharge.

ARDS Cohort

Sixty-four percent (n = 30) of the cohort developed ARDS with a median APACHE II score of

Table 2—Radiographic Results on Admission and Within 48 H of ICU Admission Among 47 Patients With Novel A(H1N1) Admitted to Four ICUs in Salt Lake County

Radiograph Results	A(H1N1) Cohort (N = 47)
Admission chest radiograph	
Infiltrate(s), No. (%)	42 (89)
Bilateral	39 (93)
Unilateral	3 (9)
Chest radiographs within first 48 h	
Infiltrate(s), No. (%)	43 (91)
Bilateral	41 (95)
Unilateral	2 (5)

For all chest radiographs, the corresponding official radiologist's interpretation was used to determine the presence and location (ie, unilateral or bilateral, if present) of pulmonary infiltrates. See Table 1 for expansion of abbreviation.

25 (IQR 21-35). Eight ARDS patients died. Severe gas exchange abnormalities were documented by low ratio of arterial oxygen pressure to fraction of inspired oxygen (n = 30) (Table 1), decreased dynamic thoracic compliance (n = 25, median 22, IQR 21-34 mL/cm H₂O), and high positive end-expiratory pressure (n = 25, median 22, IQR 11-25 cm H₂O) in the first 48 h. Fourteen patients (47%) with ARDS had positive end-expiratory pressure \geq 20 cm H₂O, all with FIO₂ of 100%. Twenty-six of 30 patients with ARDS (87%) developed at least one other organ dysfunction, with median SOFA score of 8 (IQR 7-13) on day 1 (n = 30) and 8 (IQR 5-13) on day 2 (n = 29, excluding one patient who died < 24 h after ICU admission); 23% had four or more organ failures.

ARDS survivors required mechanical ventilation for a median of 7.6 (IQR 1.6-16.0) days. Thirteen ARDS patients initially received noninvasive positive pressure ventilation, but 11 of these were endotracheally intubated within a median of 7.9 (IQR 2.8-20.8) h. Fifty-five percent of ARDS patients received steroids for shock (n = 5), asthma (n = 4), fetal lung development (n = 1), postextubation stridor (n = 1), and profound hypoxemia (n = 1). No patient received "rescue therapy" for ARDS, such as inhaled nitric oxide, extracorporeal membrane oxygenation, prone positioning, inhaled epoprostenol, or high-frequency oscillatory ventilation. However, 47% (14 of 30) received paralysis for \geq 2 h. Intubated patients initially received either pressure-support ventilation or a targeted tidal volume of 6 mL/kg with pressure-regulated volume control or assist-control ventilation.

ARDS survivors had longer ICU (median 8.8, IQR 5.0-19.8 vs median 1.1, IQR 0.7-1.8) and hospital (median 13.9, IQR 9.6-25.0 vs median 3.5, IQR 2.9-4.9) stays than non-ARDS patients (both $P < .001$). In univariate analyses, APACHE II score on ICU admission (OR 1.3; $P < .01$) and non-Pacific Islander race (OR 10.1; $P < .01$) were associated with ARDS.

Survival

Thirty-nine (83%) patients survived to hospital discharge. A higher APACHE II score was associated with mortality ($P < .05$). Patients first admitted to an outside ICU ($n = 9$) accounted for six of eight deaths. Transferred patients were more likely to die than nontransferred patients ($n = 38$, $P < .001$) and had higher APACHE II scores ($P = .08$).

All eight patients who died had ARDS, and all were obese; two were morbidly obese. Two had known cirrhosis; one died of unremitting shock and hypoxemia not of bacterial origin; three had severe hypoxic or anoxic brain injury diagnosed on admission to the ICU by clinical examination, brain imaging, and/or electroencephalography; one died of group A streptococcal septicemia within 6 h of ICU admission; and one patient > 60 years old had support withdrawn because she directed no ventilation beyond 7 days.

Antimicrobial Treatment

No patient received oseltamivir prior to hospital admission. All but two patients received oseltamivir within 24 h of admission. Median time to oseltamivir therapy from onset of fever was 3 days (IQR 1-4). Ninety-four percent overall, and all ARDS/ALI patients, received broad-spectrum antibiotics on hospital admission, continued for a median of 6 days (IQR 4-8).

Demographic Comparison with County Population

Demographics of the study population are compared with Salt Lake County residents ≥ 15 years old in Table 3.¹⁶ The study patients' median age was similar to the county residents' median age, 34 years and 30 years, respectively ($P > .05$). However, when evaluated by age group, the ICU patients had a higher proportion of 15- to 24-year olds (32% vs 20%, respectively, $P < .001$, Table 3). The cohort also had proportionally more Pacific Islanders (26% vs 1%, $P < .001$) and white Hispanics (23% vs 13%, $P < .01$). An examination by zip code demonstrated that our cohort resided in geographically divergent parts of the county.

In striking contrast to the general county population, critically ill patients with novel A(H1N1) were more likely to be uninsured than the general county population (unpublished data, 2008 Utah Healthcare Access Survey) (45% vs 14%; $P < .001$). Patients in our cohort were also more likely to have public insurance (21% vs 9%; $P < .01$) and less likely to have private insurance (34% vs 78%; $P < .001$) than the county population.

BMI Comparison

Our cohort had significantly higher odds of obesity and morbid obesity than the Salt Lake County popu-

lation (Table 3). Observed ICU admissions were 1.4-fold higher than expected based on age- and sex-adjusted rates for the Salt Lake County population with BMI of 30 to 39 kg/m² (SMR 2.4, 95% CI 1.3-3.5). Observed ICU admissions were 14.8-fold greater than expected based on age- and sex-adjusted rates for BMI ≥ 40 kg/m² (SMR 15.8, 95% CI 8.3-23.4). There was no difference in ICU length of stay or hospital length of stay by BMI. This is in contrast to the findings of McCallister et al¹⁷ and Gong et al¹⁸ for obese patients with ARDS not due to novel A(H1N1) infection. Because all patients who died were obese or morbidly obese, statistical analysis of obesity as a predictor of mortality was precluded. To control for potential confounding between race/ethnicity and obesity, we reconducted analyses in a population restricted by separately excluding Pacific Islanders, Hispanics, patients < 18 years old, and nonresidents of Salt Lake County; these exclusions did not alter statistical inferences.

DISCUSSION

This case series reveals the spectrum of critical illness among a young cohort with severe ARDS and multiorgan dysfunction solely attributable to novel A(H1N1) infection seen during a 6-week period in Salt Lake County. We also report demographic features in our ICU cohort (race/ethnicity, BMI, and medical insurance) compared with the Salt Lake County population.

Severe infection from influenza typically occurs in the elderly, the very young, and those with comorbid diseases.¹⁹ Consistent with findings reported elsewhere,⁵⁻⁸ patients with critical illness in our cohort were adults younger than expected for seasonal influenza.²⁰ Novel A(H1N1) infection resulted in severe hypoxemia and multiorgan dysfunction without concomitant bacterial infection in our ICU patients, similar to findings from other reports on novel A(H1N1)⁵⁻⁸ but contradicting what is known of the 1918-1919 pandemic.²⁰

Importantly, severity of illness and mortality in our cohort are similar to those demonstrated previously with novel A(H1N1) despite not using "rescue therapies" for severe hypoxemia. Compared with the Australia and New Zealand novel A(H1N1) experience,²¹ where hospital mortality among ARDS patients selected to receive extracorporeal membrane oxygenation was 23%, hospital mortality among similarly ill ARDS patients in our cohort who received usual care for ARDS (ie, low tidal volume, low pressure ventilation) was 27%. One-half of our mortality occurred in patients who would not have been offered extracorporeal membrane oxygenation or other "rescue therapies": one

Table 3—Demographics for the ICU A(H1N1) Cohort Compared With 2007 Salt Lake County Census Data¹⁶ for Patients ≥ 15 Years Old

Characteristic	A(H1N1) Cohort (N = 47)	Salt Lake County (N = 767,683)	Odds Ratio	P Value
Age, No. (%)				
≥ 45 y	14 (30)	307,266 (40)	Referent	
35-44 y	8 (17)	139,349 (18)	1.3	.65
25-34 y	10 (21)	165,315 (22)	1.3	.52
15-24 y	15 (32)	155,753 (20)	2.1	.05
Sex, No. (%)				
Male	20 (43)	389,096 (51)	Referent	
Female	27 (57)	378,587 (49)	1.4	.31
Race/ethnicity, No. (%)				
White, non-Hispanic	22 (47)	605,533 (79)	Referent	
White, Hispanic	11 (23)	106,801 (13)	2.8	.01
Asian	1 (2)	26,402 (3)	1.0	.38
Pacific Islander/Native Hawaiian	12 (26)	11,055 (1)	29.9	< .001
Black	1 (2)	10,104 (1)	2.7	.32
American Indian/Alaskan Native	0 (0)	7,788 (1)	NA	NA
BMI, No. (%)				
< 30 kg/m ²	12 (26)	605,702 (79)	Referent	
30-39 kg/m ²	18 (38)	142,021 (19)	6.4	< .001
≥ 40 kg/m ²	17 (36)	19,960 (3)	43.0	< .001

All statistical test results reflect Fisher exact tests with two-sided *P* values. NA = not applicable. See Table 1 for expansion of other abbreviation.

because of demise from group A streptococcal sepsis within 6 h postadmission, and three because of severe hypoxic brain injury at ICU admission. Therefore, mortality in our cohort compared favorably with centers where “rescue therapies” were used.⁵⁻⁸

Unexpectedly, our novel A(H1N1) cohort was more likely to be Pacific Islander or Hispanic compared with the Salt Lake County population. Several hypotheses should be considered. First, obesity may contribute to severe disease and may be more common in identified racial/ethnic groups in our community. Exclusion of patients of these races/ethnicities, however, did not alter the statistically significant relationship between obesity and ICU admission. Second, ethnic communities may have had an epidemic spread of novel A(H1N1). Two patients presenting to our facilities were from a common community group, in which several members developed influenza-like illness. Third, Hispanics or Pacific Islanders may have a genetic predisposition for a more severe manifestation of A(H1N1) infection. Finally, race/ethnicity, lack of medical insurance, and younger age may be related to less access to care, such that socioeconomic factors, rather than genetic predisposition, may be responsible for severe disease due to novel A(H1N1) infection. Additional, targeted investigations are required to determine the influence of socioeconomic factors on our results.

Obese patients in our cohort were more likely to be admitted to the ICU with novel A(H1N1) infection than expected among the general population, similar to the observations from Australia and New Zealand.²² Additionally, we noted that the morbidly obese were

at marked increased risk of critical illness. Obesity was associated with ICU admission even after adjustment for other demographic variables. Interestingly, 13 of 18 patients (72%) in our cohort without comorbid factors as described by the CDC were obese or morbidly obese.

An early 2009 metaanalysis indicated that obesity was not associated with increased ICU mortality. A recent, large cohort study by Gong et al,¹⁸ prior to the novel A(H1N1) infection, noted an association of obesity with ARDS but not with mortality. The Canadian novel A(H1N1) experience likewise suggests that BMI did not differ between survivors and nonsurvivors.⁷ Because all patients who died in our cohort were obese or morbidly obese, statistical analysis of obesity by survival was precluded.

Our novel A(H1N1) cohort was more likely to be medically uninsured than the county population. Costly care for the uninsured with novel A(H1N1) will burden hospitals financially. Critically ill patients with novel A(H1N1) infection will create substantial hospital charges, exceeding \$4 million in our cohort. The high percentage of uninsured individuals in this critically ill cohort has important implications for future waves of A(H1N1) infection. We recommend strategies to make antiviral medications available to the uninsured who qualify for treatment, and the promotion of vaccination among the obese.

Several limitations of our investigation make our epidemiologic findings suggestive rather than definitive. First, all patients came from one Utah county. Although we enrolled almost all (N = 47) adult patients critically ill with novel A(H1N1) infection

in the county during the period (N = 51, unpublished report, Utah Department of Health), our sample size was too small for multivariable analyses. Second, we had no ideal control group for statistical comparison of cases. Because we were in the midst of an epidemic, all persons in the county were susceptible although few were being tested. Selection of another control, such as hospitalized patients²³ or outpatients, was impeded by inconsistent testing; the denominator would have included both confirmed novel A(H1N1) cases and those of other, undiagnosed influenza-like illness. Third, the Behavioral Risk Factor Surveillance Study supporting the determination of obesity in the county relies on self-reported height and weight from drivers' licenses. However, assuming up to a 15% underestimation of actual BMI (due either to overreporting of height or underreporting of weight) our finding of increased risk associated with obesity remained statistically consistent.

CONCLUSIONS

We report a critically ill cohort with unexpected numbers of nonwhite, obese, medically uninsured patients with novel A(H1N1) infection, most with severe lung injury and multiorgan dysfunction due to influenza without concomitant bacterial infection. Our findings suggest that demographic factors and obesity are associated with critical illness due to novel A(H1N1) infection.

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