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## Increased Cerebral Oxygen Metabolism and Ischemic Stress in Subjects with Metabolic Syndrome-Associated Risk Factors: Preliminary Observations

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## Abstract

Hypertension, diabetes, obesity, and dyslipidemia are risk factors that characterize metabolic syndrome (MetS), which increases the risk for stroke by 40%. In a preliminary study, our aim was to evaluate cerebrovascular reactivity and oxygen metabolism in subjects free of vascular disease but with one or more of these risk factors. Volunteers ( $n=15$ )  $59\pm 15$  (mean $\pm$ SD) years of age clear of cerebrovascular disease by magnetic resonance angiography but with one or more risk factors were studied by quantitative positron emission tomography for measurement of cerebral blood flow, oxygen consumption, oxygen extraction fraction (OEF), and acetazolamide cerebrovascular reactivity. Eight of ten subjects with MetS risk factors had OEF  $>50\%$ . None of the five without risk factors had OEF  $>50\%$ . The presence of MetS risk factors was highly correlated with OEF  $>50\%$  by Fisher's exact test ( $p<0.007$ ). The increase in OEF was significantly ( $P<0.001$ ) correlated with cerebral metabolic rate for oxygen. Increased OEF was not associated with compromised acetazolamide cerebrovascular reactivity. Subjects with one or more MetS risk factors are characterized by increased cerebral oxygen consumption and ischemic stress, which may be related to increased risk of cerebrovascular disease and stroke.

## Keywords

Metabolic syndrome; Stroke; Positron emission tomography; Cerebral oxygen metabolism; Stroke risk

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## Background

Metabolic syndrome (MetS) describes a constellation of vascular risk factors including central obesity, hypertension, dyslipidemia, and insulin resistance [1, 2]. It increases the risk of vascular disease, including stroke and ischemic heart disease [3–5]. The prevalence of MetS in patients with a history of stroke is 44% compared to 23% without stroke [4]. MetS increases risk of asymptomatic infarcts and leukoaraiosis on magnetic resonance imaging (MRI) [6–8]. MetS and risk of vascular disease and stroke are believed to be a consequence of a multifaceted consequence of hypertension and microvascular injury and dysfunction leading to insulin insensitivity [9–11] combined with dyslipidemia [12–14] resulting in atherogenesis and the prothrombotic state, but the early physiological mechanisms responsible for these changes leading to increased risk of stroke are unknown.

We studied a group of volunteers who served as controls for a study on cerebral atherosclerotic occlusive vascular disease and recurrent stroke, and most of whom although clear of cerebrovascular disease had one or more of the risk factors associated with MetS. We report evidence of ischemic stress on the basis of high oxygen extraction fraction (OEF) significantly related to high cerebral metabolic rate for oxygen (CMRO<sub>2</sub>).

## Methods

### Subject Recruitment

Fifteen volunteers aged 59±5 (mean±SD) and verified free of cerebrovascular disease by magnetic resonance angiography were studied under protocol number 0505101 approved by the Institutional Review Board of the University of Pittsburgh and IND #71,894 for the use of <sup>15</sup>O<sub>2</sub> gas and H<sub>2</sub>O<sup>15</sup> water for use in the correlation with recurrent stroke. A waiver of informed consent for the screening of the subject's medical records for inclusion–exclusion criteria for the study was obtained. In this study, the risk factors of hypertension, diabetes mellitus type 2, and dyslipidemia were assigned and were subject self-report.

### Inclusion Criteria

- Age within the selected age range of the patient population up to the point of entry into the study;
- Gender that matches the gender distribution of the patient population up to the point of entry into the study;
- Healthy, active lifestyle with no major health problems including cardiovascular, pulmonary, and other major organ problems;
- Free of cerebral occlusive vascular disease as determined by no-contrast MRI angiography and perfusion MRI;
- No claustrophobia.

### Exclusion Criteria

- Claustrophobia;
- Chronic medication that would impact the cerebrovascular response to acetazolamide or cerebral metabolism;
- Pregnancy;
- Occlusive vascular disease by magnetic resonance angiography;
- Recent radiation exposure that would disqualify the subject for positron emission tomography (PET) scans;
- Respiratory or other major organ disease;
- Metal prosthesis that would exclude from MRI scans;
- Neurologic or cerebrovascular disease;
- Did not agree to follow up;
- Volunteer is breast-feeding an infant;
- Volunteer is on quinidine and amphetamines or high dose aspirin (>625 mg/day);
- Volunteer has a history of medical problems including liver disease, renal disease, adrenal cortical insufficiency, hyperchloremic acidosis, hypokalemia, hyponatremia, or other electrolyte imbalances.

### Magnetic Resonance Imaging (MRI)

MRI scans were performed on either a 3.0 Tesla (Siemens Medical Solutions, Malvern, PA, USA) or 1.5 Tesla whole body MRI scanner (General Electric Medical Systems, Milwaukee, WI, USA), equipped with echo planar imaging (EPI) capabilities and operating under

version VH3 of the scanning software. The scanner has peak gradient strength of 4 G/cm and peak slew rate of 15,000 G/cm/s. The standard radiofrequency (RF) birdcage coil was used for scanning the subjects. The imaging protocol consisted of standard imaging sequences used for the evaluation of anatomical detail and magnetic resonance angiography and diffusion tensor imaging. A single-shot EPI spin echo sequence modified to include diffusion-sensitizing gradients in arbitrary directions was used to acquire the diffusion tensor imaging data. A minimum of 18 slices with a thickness of 5 mm and an inter-slice gap of 1.5 mm was acquired for each subject. Axial 3-mm T1-weighted images, FLAIR, T2, proton density, diffusion-weighted imaging, apparent diffusion coefficient images of the brain, and time-of-flight angiography of cervical and intracranial arteries images were acquired and reviewed by a certified neuroradiologist to exclude subjects with subclinical cerebrovascular disease, atherosclerotic stenosis, and asymptomatic infarcts and to review for unanticipated findings. The MRI data were transferred to the PET Facility over an electronic network and registered with the PET data on a SPARC station with software routinely used for this purpose.

The registered MRI was used as an individualized anatomic map for analysis by (1) parametric three-dimensional threshold, and (2) middle cerebral artery territories regions of interest (ROI) averaged over each level for hemispheric analysis (Fig. 1).

### Positron Emission Tomography (PET)

A Siemens/CTI HR+, high-resolution tomograph was performed with  $^{15}\text{O}$ -water to measure cerebral blood flow (CBF) and  $^{15}\text{O}$ -oxygen to measure cerebral metabolic rate of oxygen ( $\text{CMRO}_2$ ) as previously described [15, 16]. The paradigm for the PET studies was  $^{15}\text{O}$ -oxygen/ $^{15}\text{O}$ -water/ acetazolamide 15 mg/kg i.v./ $^{15}\text{O}$ -water/ $^{15}\text{O}$ -oxygen.  $^{15}\text{O}$ -oxygen measurements began 25 to 30 min after acetazolamide with 3 min of data acquisition and within 45 min maximal vasodilatory effect of acetazolamide [17]. OEF was calculated after measurement before and after acetazolamide (Diamox) challenge given 15 mg/kg, i.v OEF threshold was set at 50% based on previous studies [15, 16].

The procedures in the PET scans were as follows: The PET facility nurse inserted a venous catheter, and a physician co-investigator inserted an arterial catheter. An arterial blood sample was obtained for measurement of arterial blood gas analysis (PaO<sub>2</sub>, PaCO<sub>2</sub>, pH, Na<sup>+</sup>, K<sup>+</sup>, Ca<sup>+</sup>, glucose, HbO<sub>2</sub> saturation, total O<sub>2</sub> content, and base excess), and the study commenced after the chemistry profile and arterial blood gas analyses were verified within normal limits by a physician co-investigator who remained present during administration of acetazolamide.

Briefly, the PET scan procedures were as follows: The subjects were comfortably placed on the PET scanning table with molded padding placed under the knees and small of the back and a thermoplastic mask molded to the contours of the head and face. This mask has precut eye, ear, and mouth holes and is well tolerated while greatly reducing head movement. The subject undergoes a 10-min transmission scan and two [ $^{15}\text{O}$ ]-tracer scan sessions 15 min apart. The first measured baseline  $\text{CMRO}_2$ ; and the second, baseline CBF. Acetazolamide 15 mg/kg, i.v., was administered over 3 and 10 min after the first CBF measurement. A repeat CBF [ $^{15}\text{O}$ ] water measurement was made 10 min after acetazolamide infusion. A [ $^{15}\text{O}$ ]-oxygen  $\text{CMRO}_2$  measurement was repeated 15 min after the [ $^{15}\text{O}$ ] water CBF measurement.

Indirect blood pressure via automatic sphygmomanometry was used to monitor blood pressure recorded during the PET scan. In addition to the blood sample obtained before initiation of the scan, arterial blood gas samples were obtained between the first [ $^{15}\text{O}$ ] oxygen and [ $^{15}\text{O}$ ] water measurements and again after the second measurements post-

acetazolamide for measurement of arterial oxygen content by co-oximetry for CMRO<sub>2</sub> calculations.

*CBF measurement* CBF measurements began with 75 mCi [<sup>15</sup>O] water in 5–7 cm<sup>3</sup> saline injected as an intravenous bolus. Continuous arterial sampling at 6 ml/min upon injection was by a Master flex peristalsis pump (model #7550-90) through a Siemens Liquid Activity Counter. Blood withdrawal continued for 30 s after the end of the PET scan for a total withdrawal time of about 210 s or a total of blood volume of about 20 ml per CBF measurement. Blood activity data was automatically accumulated in a Sun SPARC station for later processing. All PET images of CBF and CMRO<sub>2</sub> as well as the MRI images of a given patient were registered and resliced to a reference PET image set, typically the first PET water scan obtained on each patient which was centered.

Calculation of CBF was done using a two-compartment model approach using the operational equation [18]:

$$A^*(T) = K_1^W \cdot \int_0^T C_a^*(t) \cdot e^{-k_2^W \cdot (T-t)} \cdot dt + v_0 \cdot C_a^*(T)$$

where  $A^*(T)$  is the radioactivity of the ROI at  $T$ ,  $C_a^*(t)$  the radioactivity in blood at the brain capillary,  $K_1^W$  the unidirectional blood–brain clearance rate constant of water (CBF),  $k_2^W$ , the fractional brain–blood clearance rate constant of water, and  $v_0$  the correction constant for the intravascular radioactivity. In order to obtain  $C_a^*(t)$ , the measured radioactivity in arterial blood was corrected for external dispersion and temporal displacement [19].

CMRO<sub>2</sub> measurements were initiated with arterial blood sampling as previously described. As before, we had permission to perform one extra 100-mCi injection of [<sup>15</sup>O] oxygen and 75 mCi [<sup>15</sup>O] water before and after acetazolamide in the event of problems with the delivery and/or data acquisition. Calculation of CMRO<sub>2</sub> was performed using a two-compartment model approach [20, 21]. Using estimates of  $K_1^O$  (unit: ml/g/min), rCMRO<sub>2</sub> (unit: μmol/100 g/min) will be calculated as  $CMRO_2 = K_1^O \cdot CaO_2 \times 100$ , where  $CaO_2$  is the arterial oxygen content (unit: mls O<sub>2</sub>/ml). In addition, voxel-by-voxel images of CMRO<sub>2</sub> were constructed by the WILT method [22].

*Calculation of OEF* OEF was calculated as the  $K_1^O - K_1^W$  ratio for individual regions. In addition, voxel-by-voxel images of OEF was reconstructed by dividing  $K_1^O$  parametric images by  $K_1^W$  images, after the images of two variables were aligned to each other. Voxels outside the brain, including the ventricles, will be given zeros.

*Calculation of CVR* Cerebrovascular reserve (CVR) was calculated as the percentage increase in CBF after acetazolamide as defined by

$$CVR (\%) = \frac{CBF_{diamox} - CBF_{bsln}}{CBF_{bsln}} \times 100$$

Statistical analyses were done using Fisher's exact test for comparisons of risk factors, and linear regression was used for linear regression analysis. A  $P$  value of <0.05 was considered statistically significant.

## Results

The risk factor distribution among the 15 subjects shows that subjects with OEF >50% had 12 of the MetS-associated risk factors, and the group with OEF <50% had four of the MetS-associated risk factors (Table 1).

The increased OEF was significantly associated with the presence of stroke risk factors as determined by Fischer's exact test (Table 2). However, the study was too underpowered to associate increased OEF with any one risk factor over another.

A plot of baseline OEF (BOEF) versus CMRO<sub>2</sub> in the 15 subjects studied (Fig. 1) shows that increased OEF indicative of increased ischemic stress was significantly ( $P<0.01$ ) associated with increased CMRO<sub>2</sub> described by a linear regression equation:

$$\text{BOEF (\%)} = \text{CMRO}_2 \text{ (ml/100 g/min)} \times 14.53 + 15.14 \quad (N=15, P<0.001)$$

Every one of the subjects with one or more of the risk factors associated with the MetS had a high OEF.

The increase in OEF associated with increased CMRO<sub>2</sub> was not associated with compromised acetazolamide cerebrovascular reactivity and, in fact, appeared to be associated with an exaggerated CVR, which was, however, not significant (Table 3).

## Discussion

The subjects enrolled in this study with OEF >50% did not meet at least three of the five criteria to qualify as MetS as defined by the National Cholesterol Education Program ATP III [23]. However, the absence of high OEF in those without these risk factors supports the notion that these risk factors may be linked to increased OEF secondary to an increase in CMRO<sub>2</sub>. This preliminary observation needs confirmation in a larger cohort of subjects that would allow identification of the components of MetS that are specifically associated with increased CMRO<sub>2</sub> and OEF.

The increased ischemic stress (high OEF) in these individuals is associated with increased CMRO<sub>2</sub> but with normal or even exaggerated CVR, which provides some insight into the mechanism of increased OEF in the face of increased CMRO<sub>2</sub>. This suggests that the mechanism of ischemic stress is likely not related to atherosclerosis, vascular stenosis, or compromised collateral circulation but rather, an active vasoconstriction. Leptin stimulates brain metabolic rate [24, 25] and induces activation of the pituitary adrenal sympathetic system and the rennin-angiotensin system [26–30]. Increased plasma norepinephrine and angiotensin II causes vasoconstriction through NADPH oxidase [31]. Which also increases oxygen demand through activation of uncoupling of mitochondrial oxidative phosphorylation by uncoupling proteins [32, 33] activated by free fatty acids [34]. This sequence of events through NADPH oxidase could lead to injury-induced neointimal proliferation [35].

Since our study is a cross-sectional observation at one time point, the meaning of the high OEF in this population needs to be assessed in a cohort study with follow-up stroke event as endpoint. High OEF has associated with higher stroke risk in a cohort of patients with carotid artery occlusion [36]. This finding associating MetS risk factors and with high OEF also needs to be confirmed in a larger sample of subjects, with further identification of association with particular risk factors or metabolism syndrome criteria. If MetS is found to be associated with non-atherosclerotic mechanism in increasing vascular disease risk,



intervention in the specific hormonal and pathophysiological mechanisms may be important in reducing complications. The finding would also apply in identifying high-risk population within those with risk factors.

In summary, this is the first observation of increased ischemic stress in cerebrovascular disease-free subjects with MetS and stroke-associated risk factors which may provide insight into the evolution of the pathogenesis of the disease and a means by which the patients at high risk for stroke may be identified beyond population-wide risk factor evaluation. A larger study verifying these observations and the ability to predict stroke risk at an early stage is warranted.

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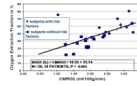
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**Fig. 1.**

Linear regression analysis of the relationship between hemispheric baseline oxygen extraction fraction (BOEF) in percent versus cerebral metabolic rate for oxygen (CMRO<sub>2</sub>) in 14 subjects ( $N=24$  hemispheres) with (*triangles*) and without (*squares*) metabolic syndrome-associated risk factors. One subject with metabolic syndrome risk factors not included had CMRO<sub>2</sub> of 8–9 ml/100 g/min and OEF of 56% and 62% and not included in the plot

**Table 1**

Risk factor distribution with oxygen extraction fraction

	OEF >50% (elevated)	OEF ≤50% (normal)
Hypertension	4	2
Diabetes mellitus type 2	2	0
Dyslipidemia	4	1
Obesity	2	1

**Table 2**

Subjects with elevated oxygen extraction fraction

	<b>Risk factor present</b>	<b>Risk factor absent</b>	<b>Fisher's exact test</b>
Hypertension	4/6 (66%)	4/9 (44%)	0.61
Diabetes mellitus	2/2 (100%)	6/13 (46%)	0.47
Hyperlipidemia	4/5 (80%)	4/10 (40%)	0.28
Obesity	0/1 (0%)	8/14 (57%)	0.47
Any risk factor	7/9 (78%)	1/6 (17%)	0.04

**Table 3**

Comparison of cerebrovascular reserve (CVR) in subjects with oxygen extraction fraction (OEF) greater or less than 50%

	OEF >50% (elevated)	OEF <50% (normal)	P value
Age (years)	60±14	57±17	0.64
CVR (%)	85±79	52±36	0.185
OEF (%)	63±9	38±9	0.001