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Cerebrovascular Disease Pathology and Parkinsonian Signs in Old Age

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Abstract

Background and Purpose—Mild motor symptoms including parkinsonian signs are common in old age but their underlying neuropathology is unclear. We tested the hypothesis that cerebrovascular pathologies are related to parkinsonian signs.

Methods—We studied brain autopsies from 418 deceased participants from the Religious Order Study, who underwent evaluation of parkinsonian signs with a modified version of the motor section of the Unified Parkinson's Disease Rating Scale (mUPDRS). Brains were evaluated for macroscopic and microinfarcts and the severity of arteriolosclerosis. Regression analyses were employed to examine the association of cerebrovascular pathologies with parkinsonian signs.

Results—More than 35% of cases (N=149) showed macroscopic infarcts. Almost 30% of cases without macroscopic infarcts, showed pathologies not detected by conventional brain imaging: microinfarcts (N=33, 7.9%); arteriolosclerosis (N=62, 14.8%) or both (N=24, 5.7%). Macroscopic infarcts, specifically multiple cortical and one or more subcortical macroscopic infarcts were related to higher global parkinsonian scores. The presence of multiple and cortical microinfarcts were associated with global parkinsonian score. Arteriolosclerosis was associated with global parkinsonian score but this effect was attenuated and no longer significant after accounting for infarcts. Each of the 3 pathologies were separately associated with parkinsonian gait [macroscopic infarcts (Estimate= 0.552; SE=0.210; p=0.009); microinfarcts (Estimate= 0.424; SE=0.213; p=0.047); arteriolosclerosis (Estimate= 0.191; SE=0.056; p<0.001)]. Further analyses showed that subcortical macroscopic and microinfarcts were specifically associated with the severity of parkinsonian gait.

Conclusion—Cerebrovascular pathologies, including macroscopic infarcts, microinfarcts and arteriolosclerosis, are common in older persons and may be unrecognized common etiologies of mild parkinsonian signs, especially parkinsonian gait, in old age.

Keywords

Microinfarcts; Arteriolosclerosis; Macroscopic Infarcts; Parkinsonian Signs

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INTRODUCTION

Mild parkinsonian signs including motor slowing (bradykinesia), posture and gait disturbances, rigidity and tremor are common in community-dwelling older persons without known neurologic disease and associated with significant morbidity and mortality.^{1–3} Community-based studies report that mild parkinsonian signs increase with age and may be present in up to 50% of older persons by the age of 85 years.⁴ Currently our knowledge about neuropathology of parkinsonian signs derives from studies of Parkinson's disease whose prevalence is estimated to be up to 5% by age 85.^{5, 6} Since Lewy bodies, the pathognomic feature of PD, are relatively uncommon in the aging brain, they cannot account for the full spectrum of mild parkinsonian signs reported in older persons. This suggests that additional neuropathologies and other factors are likely to contribute to the development of mild motor impairment such as parkinsonian signs in old age.

Cerebrovascular pathologies are commonly observed in the brains of older persons and clinical risk factors for vascular disease in older persons have been reported to be associated with an increased risk of having parkinsonian signs.⁷ Furthermore, brain imaging studies in older persons suggest a link between subclinical cerebrovascular disease and mild parkinsonian signs including gait dysfunction in older persons. $8-10$ While brain imaging is a sensitive tool for detecting macroscopic infarcts and white matter changes, microinfarcts and arteriolosclerosis cannot be directly visualized with routine imaging techniques and thus their link to parkinsonian signs in older persons is unknown. Post-mortem studies provide a mechanism to document a wide range of cerebrovascular pathologies and to examine their association with parkinsonian signs in older persons in ways that complement clinicalradiologic studies.

We used clinical and autopsy data from 418 community-dwelling older persons participating in the Rush Religious Order Study, a longitudinal clinical-pathologic study of aging, to examine whether cerebrovascular pathologies including macroscopic and microscopic infarcts and small vessel disease based on the severity of arteriolosclerosis are related to parkinsonian signs in older persons.

METHODS

Subjects

All subjects were older persons without known dementia at the time of enrollment participating in the Rush Religious Order Study.¹¹ Each subject signed an informed consent and an anatomic gift act for donation of brain at the time of death. The study was approved by the Institutional Review Board of Rush University Medical Center. More than 1100 persons without dementia agreed to participate and have completed their baseline clinical evaluation. The overall annual follow-up rate of survivors exceeds 95%, and the autopsy rate exceeds 90%. At the time of these analyses completed post-mortem data were available for the first 418 persons.

Clinical Evaluation and Diagnosis of Dementia

A uniform structured clinical evaluation was performed each year and includes medical history, neurologic examination, and neuropsychological performance tests.¹²At the time of death, all clinical data from all years were reviewed by a neurologist, blinded to all postmortem data, and a diagnostic opinion was rendered regarding dementia at the time of death.

Assessment of Parkinsonian Signs

Trained nurse clinicians administered a 26-item modified version of the motor portion of the United Parkinson's Disease Rating Scale (mUPDRS) (Supplemental Methods).13, 14 Four previously established parkinsonian sign scores were derived including parkinsonian gait, bradykinesia, rigidity and tremor. Each of the four scores was scaled from 0 to 100. A summary global parkinsonian sign score, was constructed by averaging the four individual parkinsonian sign scores.

Post-Mortem Evaluation

The average postmortem interval was 8.3 hours (SD = 8.24 hours). Brains were removed, weighed, and brain regions that were not designated for freezing were immersion fixed in 4% paraformaldehyde for a minimum of 72 hours. A uniform gross and microscopic neuropathologic examination was conducted as previously described.¹¹

Macroscopic Cerebral Infarcts—We reviewed 1 cm slabs and recorded the age, volume $(in \, mm³)$, side, and location of all cerebral infarcts visible to the naked eye as previously reported.11 Hemorrhagic infarcts were included in analyses. There was no minimum size required for macroscopic infarcts. All grossly visualized and suspected macroscopic infarcts were microscopically reviewed for histologic confirmation. Infarct age (acute, subacute and chronic) was estimated by gross and histologic features and degree of cavitation.

Microscopic Cerebral Infarcts—In all cases, the following regions were also dissected, processed and embedded for diagnostic review: middle frontal cortex middle temporal cortex, anterior cingulate cortex, inferior parietal cortex, entorhinal cortex, hippocampus, anterior basal ganglia, anterior thalamus, and hemisection of midbrain including substantia nigra. Hematoxylin and eosin stained 6 micron sections were used to identify microscopic infarcts as shown in Figure 1. Microscopic infarcts were defined as any infarct seen by microscopic examination but not identified by gross inspection. Microscopic infarcts ranged from cavitated to puckered to incomplete in appearance. All microscopic infarcts exhibited acellularity with varying degrees of gliosis and remaining macrophages. Any chronic microinfarct visualized microscopically by the neuropathologist was included in our analyses. Cases which were ambiguous were confirmed histologically and reviewed by a 2nd neuropathologist and a consensus was employed. Each microscopic infarct was recorded for age and location.¹⁵

Arteriolosclerosis—We used the term arteriolosclerosis, to describe the histologic changes commonly found in the small vessels of the brain in aging. Histologic changes include intimal deterioration, smooth muscle degeneration, and fibrohyalinotic thickening of arterioles, with consequent narrowing of the vascular lumen and are illustrated in Figure 2.¹⁶ Lipohyalinosis is sometimes used to describe this change but was originally used to describe vessels that had first undergone fibrinoid change. Because there are no standard guidelines to grade severity of arteriolosclerosis (or lipohyalinosis) we evaluated the vessels of the anterior basal ganglia with a semiquantitative grading system from 0 (none) to 6 (severe).¹⁷

STATISTICAL ANALYSIS

Parkinsonian Sign Scores

The parkinsonian sign scores had positively skewed distributions. The global parkinsonian sign score and gait score were subjected to a square root transformation, and the transformed scores were used in all analyses. Bradykinesia, rigidity, and tremor were relatively infrequent and so were treated as present or absent in analyses. We used regression analyses

to compare global parkinsonian sign score and each of the four parkinsonian signs with demographic variables.

Brain Infarctions

In primary analyses, each case was classified according to whether any macroscopic infarcts were present. We created additional variables for secondary analyses. For quantity, we created a predictor with three levels: no (reference level), one, and multiple macroscopic infarcts as previously described and a predictor with four levels.¹¹ For location, we created two variables: cortical (presence of any macroscopic infarcts in any cortical region; reference = no cortical macroscopic infarcts) and subcortical macroscopic infarcts (presence of any macroscopic infarcts in any subcortical region; reference = no subcortical macroscopic infarcts). To investigate quantity and location simultaneously, we created four variables: one and multiple cortical macroscopic infarcts (compared to persons with no cortical macroscopic infarcts), and one and multiple subcortical macroscopic infarcts (compared to no subcortical macroscopic infarcts). A similar approach was used for analyzing microinfarcts. Because the interval between last clinical examination and death in this group was on average 10.8 months $(SD = 11.29)$, and perimortem infarcts (acute and subacute) would be unlikely to be related to clinical characteristics 11 months earlier, only chronic infarcts (estimated at being over 3 to 6 months in age) were included in the primary analyses. The associations among cerebrovascular pathologies were examined with oddsratios and chi-square tests.

Association of Cerebrovascular Pathologies and Parkinsonian Signs

We used a series of regression models to document the association of post-mortem indices of cerebrovascular disease with global parkinsonian score proximate to death. All analyses controlled for age, sex, education and post-mortem evidence of PD defined as moderate or severe nigral neuronal loss with Lewy bodies ($N = 36, 8.6\%$). We then added additional terms for several potential confounding variables to examine their influence on the association of cerebrovascular pathologies and global parkinsonian score. We included both linear and non-linear (quadratic) terms for BMI since both low and high values may adversely affect neuropathology and parkinsonian signs. We repeated our analyses excluding cases with PD as well as including both acute and subacute infarctions. Next, we added an interaction term to examine whether the association of cerebrovascular pathologies and global parkinsonian sign score were modified by the presence of dementia. This model explicitly tests whether the associations between measures of cerebrovascular disease and parkinsonian signs differ among persons with and without dementia. In further analyses we examined the association of cerebrovascular pathologies with each of the four individual parkinsonian signs. We employed linear regression models to examine parkinsonian gait, tobit regressions for bradykinesia and logistic regressions for presence or absence of tremor and rigidity. Model assumptions of linearity, normality, independence of errors, and homoscedasticity of errors were examined graphically and analytically and were adequately met. All analyses were carried out using SAS/STAT software Version 9 (SAS Institute Inc., Cary, NC) on a Hewlett Packard ProLiant ML350 server running LINUX.¹⁸

RESULTS

Summary of Parkinsonian Signs and Neuropathology Measures

There were 418 participants (61.2% female) included in these analyses, with a mean age at death of 88.5 years with a mean global Parkinsonian sign score of 18.6 (14.77). Individual parkinsonian signs, chronic conditions and post-mortem indices are included in Table 1. Almost 2/3 of cases showed evidence of one or more indices of cerebrovascular pathology (1 measure N=134, 32.1%; 2 measures N=89, 21.3% and N=45, 3 measures 10.8%).

Macroscopic infarcts were observed in more than 1/3 of cases and 110 cases (almost 75%) also had evidence of microinfarcts, arteriolosclerosis or both. There were almost 30% of cases (N=119, 28.5%) without evidence of macroscopic infarcts which nonetheless showed evidence of microinfarcts (N=33, 7.9%), arteriolosclerosis (N=62, 14.8%) or both (N=24, 5.7%). Additional details on the frequency and location of infarcts and the severity of arteriolosclerosis are included in Table 1.

Macroscopic infarcts were strongly related to both microinfarcts (OR=3.12, SE=0.69, p<0.001,) and arteriolosclerosis (OR=2.4, SE=0.62, p<0.001). Arteriolosclerosis and microinfarcts were not related (OR=1.32, SE=0.33, p=0.319).

Association of Cerebrovascular Disease and Global Parkinsonian Score

All 3 cerebrovascular pathologies were related to global parkinsonian score in unadjusted analyses (macroscopic infarcts (Rho=0.21; p<0.001); microscopic infarcts (Rho=0.12; $p<0.034$); arteriolosclerosis (Rho=0.23; $p<0.001$). Next, we conducted a series of linear regression models to examine the relation of cerebrovascular pathologies with global parkinsonian sign scores prior to death controlling for age at time of death, sex, education and post-mortem evidence of Parkinson's disease. We also evaluated the effect of location and number of infarcts which have been found to be important factors in other outcomes (e.g., dementia).

Macroscopic infarcts were related to global parkinsonian sign scores prior to death (Table 2, Model A). In secondary analyses, we found that multiple macroscopic infarcts (Estimate 0.577, S.E. 0.175, p=0.001) and in particular 3 or more infarcts were related to global parkinsonism (Estimate 0.882, S.E. 0.236, p<0.001). Both cortical (Estimate =0.421, S.E.,=0.206, p=0.041) and subcortical macroscopic infarcts (Estimate =0.391, S.E.=0.146, p=0.008) showed separate associations with a higher global parkinsonian score. In further analyses, multiple cortical (Estimate=1.054, S.E.=0.337, p=0.002) or a single subcortical macroscopic infarcts (Estimate=0.413, S.E.=0.174, p=0.018) were related to a higher global parkinsonian score.

Microinfarcts showed a trend for an association with global parkinsonian (Table 2, Model B), but the effect was attenuated and no longer significant when controlling for macroscopic infarcts (results not shown). In secondary analyses which controlled for macroscopic infarcts, multiple microscopic infarcts (Estimate 0.460, S.E. 0.208, p=0.027) and in particular 3 or more were associated with higher global parkinsonism (Estimate 1.090, S.E. 0.318, p<0.001). Cortical but not subcortical microinfarcts were associated with a higher global parkinsonian score (Estimate=0.401, S.E.=0.193, p=0.039). In further analyses in particular multiple cortical microinfarcts (Estimate=0.460, S.E.=0.208, p=0.027) were related to a higher global parkinsonian score.

Arteriolosclerosis was associated with global parkinsonian score (Table 2, Model C), but the effect was attenuated and no longer significant in the final model including all three cerebrovascular pathologies (Table 3).

Other conditions might affect parkinsonian signs and confound the associations of macroscopic infarcts and parkinsonian signs. We repeated the core models while adding terms for potential confounding variables. The estimates for the associations of cerebrovascular pathologies and global parkinsonian scores were unchanged when we included terms for BMI, depressive symptoms, as well seven chronic conditions listed in Table 1 in a single model (Table 2).

Since both infarcts and parkinsonian signs are associated with an increased risk of dementia, we investigated whether the relationship between infarcts and global parkinsonian sign score might vary with dementia by adding an interaction term to the core model (Table 2, Model A). While global parkinsonian sign score was higher in participants with dementia, the association of macroscopic infarcts and global parkinsonian sign scores did not vary between persons with and without dementia (Estimate=0.109; S.E.=0.253, p=0.666).

In further analyses we found that the associations of cerebrovascular pathologies and global parkinsonism (Table 2) were unchanged when we excluded cases with post-mortem evidence of PD (Table 2) as well as when we employed continuous measures for the frequencies of chronic macroscopic and microscopic infarcts (results not shown). Moreover, when we included the total number of all infarcts including acute, subacute and chronic infarctions the results were attenuated but still significant. (results not shown).

Association of Cerebrovascular Disease and the Individual Parkinsonian Signs

The global parkinsonian sign score is a summary of the 4 individual parkinsonian signs. In further analyses, we examined whether cerebrovascular pathologies were related to the individual parkinsonian signs. The presence of macroscopic infarcts were associated with parkinsonian gait and rigidity but not with bradykinesia or tremor prior to death (Table 3). The presence of subcortical (Estimate=0.827, S.E.=0.218, $p<0.001$) but not cortical macroscopic infarcts (Estimate=0.315, S.E.=0.307, p=0.306) were associated with a higher level of gait impairment. This association was observed for both single (Estimate=0.797, S.E.=0.262, p=0.003) and multiple (Estimate=0.892, S.E.=0.304, p=0.004) subcortical macroscopic infarcts. Microinfarcts were also associated with parkinsonian gait even after controlling for macroscopic infarcts (Table 3). In further analyses, we found that it was multiple subcortical microinfarcts (Estimate=0.888, S.E.=0.307, p=0.004) rather than cortical microinfarcts which were related to an increased parkinsonian gait score. Atheriolosclerosis had a separate effect on parkinsonian gait after controlling for both macroscopic and microinfarcts (Table 3). These associations did not vary between those with and without dementia (results not shown).

DISCUSSION

In this clinical-autopsy study of more than 400 community-dwelling older persons, we found that cerebrovascular pathologies including macroscopic and microinfarcts as well as smallvessel disease based on the severity of arteriolosclerosis were present in almost 2/3 of cases. Furthermore, almost 30% of cases without macroscopic infarcts, showed pathologies not likely to be directly detected by conventional brain imaging including microinfarcts or arteriolosclerosis or both . Each of the 3 cerebrovascular pathologies were separately associated with the severity of parkinsonian signs, especially parkinsonian gait. Subcortical macroscopic infarcts, subcortical microinfarcts, and arteriolosclerosis appear to be particularly important in the parkinsonian gait impairment. Together these data suggest that a substantial portion of older people have brain tissue damage and small-vessel disease that are unlikely to be detected prior to death and suggests that cerebrovascular disease may be an even larger public health challenge than currently estimated. Furthermore, cerebrovascular pathologies in older persons may contribute to the development of what is currently considered "normal" age-related motor symptoms such as parkinsonian signs.

There are currently about 40 million persons over age 65 in the US and by 2030, more than 70 million persons, about one in five Americans, will be over age $65¹⁹$ Loss of motor function is a familiar consequence of aging but the specific motor abilities impaired in old age vary and encompass a wide spectrum including reduced gait speed and loss of muscle strength and bulk, balance, and dexterity. Mild parkinsonian signs is one of the constructs

which has been used to assess mild motor symptoms in older persons and these signs have been shown to be related to adverse health consequences including death, disability and dementia.^{1–4, 20} While parkinsonian signs in old age are common and may affect up to 50% or more of community-dwelling older persons by age 85,⁴ little is known about their underlying neuropathology. Since the combination of both Lewy bodies and nigral degeneration, the pathognomic feature of PD are relatively uncommon in the aging brain, they cannot account for the common occurrence of parkinsonian signs reported in older persons. To investigate the possible role of extranigral factors, after accounting for PD pathology, the current study focused on cerebrovascular pathologies which are common in the aging brain and have been studied in vascular parkinsonism^{21–23}.

The term arteriosclerotic parkinsonism was first used by Critchley²⁴ and no clear consensus has been developed which allows clinical differentiation of the neurodegenerative disorder PD from cases caused by severe vascular disease.^{21–23} In contrast to the mild parkinsonian signs studied in the current study, the clinical parkinsonian signs in vascular parkinsonism are much more severe which underscores why these individuals may receive a clinical diagnosis of PD.^{25, 26} Both post-mortem and brain imaging studies have been employed in vascular parkinsonism to demonstrate the presence of underlying cerebrovascular pathologies as well as the absence of post-mortem evidence of PD.^{21–23} In contrast, we are not aware of any autopsy studies and there are few brain imaging studies which have explored the role of cerebrovascular pathologies and mild parkinsonian signs in old age.^{9, 10} Similar to cognition, structural brain imaging may provide a window to both direct and surrogate markers of currently undetectable vascular pathologies which may contribute to mild parkinsonian signs in old age. For instance, in a recent imaging-pathology study, microscopic infarcts were not only related to macroscopic infarcts but also to leukoencephalopathy.27 Indeed, 2 recent brain imaging studies showed that there was a robust association between the size of white matter hyperintensities, a presumed marker of vascular disease, and lacunar brain infarcts with mild parkinsonian signs in older persons, suggesting that cerebrovascular pathologies may play an important role in the development of parkinsonian signs.10 The current clinical-pathologic study supports and extends prior imaging studies by providing direct and compelling evidence that cerebrovascular pathologies including macroscopic and microscopic infarcts as well as the severity of smallvessel arteriolosclerosis contribute to the development of mild parkinsonian signs in old age.8, 28, 29

Cerebrovascular pathology appears to be particularly important in the severity of parkinsonian gait impairment and subcortical cerebrovascular pathology, including macroscopic infarct, microinfarcts, and arteriolosclerosis, appears to be the main culprit. We are not aware of previous studies demonstrating independent roles for microinfarcts and the severity of arteriolosclerosis in the development of parkinsonian gait. Given that microinfarcts and severity of arteriolosclerosis are not discernable during life, these pathologies likely represent unrecognized common etiologies for parkinsonian gait in older persons, 30 and support clinical – imaging studies that suggest subclinical cerebrovascular disease abnormalities are associated with gait dysfunction in older persons.^{8, 31}

These data have important translational implications. First, they raise the question as to whether improved public health strategies for the increased prevention and more aggressive treatment of vascular risk factors and diseases prior to death might decrease the burden of mild age-related parkinsonian signs. Second, because microinfarcts and arteriolosclerosis are not directly detected with conventional brain imaging and were observed in almost 30% of cases in this study, these data suggest that the contribution of cerebrovascular disease to loss of motor function in older age is likely underestimated. Indeed, the current study is among the first to show the clinical relevance of specifically subcortical microinfarcts, as well as a

deleterious effect of arteriolosclerosis separate from either macro or microinfarcts. Third, because small vessel disease has a separate relationship with parkinsonian gait after accounting for both macroscopic and microscopic infarcts, one may hypothesize that there are either structural or functional brain tissue changes other than infarcts that are also contributing to parkinsonian signs. Indeed, the small vessel changes observed in the current study may be related to the white matter hyperintensities previously recognized with brain imaging studies and which correlated with parkinsonian signs.10 Further clinical-pathologicimaging studies will be needed to delineate ante-mortem imaging markers, as well as the pathophysiology of both microinfarcts and small vessel disease in the development of motor impairment in old age.

This study has some limitations. Microinfarcts were measured in many regions classically related to cognitive function and arteriolosclerosis severity was estimated only in the anterior basal ganglia; which may underestimate the association with parkinsonian signs. Further study of microvascular pathology in additional brains regions is warranted. Brain imaging data was not obtained in this study and might provide a way to link post-mortem findings to ante-mortem brain imaging. Finally, our findings are cross-sectional so causal inferences are limited. It is possible that the cerebrovascular pathologies do not play a causal role in the development of parkinsonian signs, but rather both cerebrovascular pathologies and parkinsonian signs might be caused by a third factor. There are also several strengths to the study, including the community-based cohort with large numbers of women and men with high rates of clinical follow-up and autopsy. Uniform structured clinical procedures were used that included a detailed assessment of parkinsonian signs used in other studies. Uniform structured post-mortem procedures were employed that assessed several cerebrovascular pathologies which cannot be directly detected with current imaging techniques.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Buchman et al. Page 11

Figure 1. Microinfarction

Two examples of microinfarcts. On the left (A) is a hematoxylin and eosin stain of a microscopic infarct, subtle changes in the neurophil are outlined by arrows. On the right (B), an hematoxylin and eosin stain of a more obvious typical microinfarction

Buchman et al. Page 12

Figure 2. Arteriolosclerosis

The spectrum of small vessel changes in cases of arteriolosclerosis. On the left (A), an hematoxylin and eosin stain of a normal vessel (arrow). On the right (B), is an example of severe arteriolosclerosis (arrow).

Table 1

Clinical Characteristics and Cerebrovascular Disease Pathology of the Cohort

Table 2

Cerebrovascular Disease Pathology and Global Parkinsoman Sign Scores

*** Based on regression model which included terms for age, sex, education and post-mortem evidence of Parkinson's disease.

[†]
Based on regression model "*" above and also included terms for BMI, depressive symptoms and a term for the sum of the number of7 chronic conditions including cancer, hypertension, stroke, myocardial infarction, thyroid disease, diabetes and head injury.

‡ Based on regression model "*" above but excluding 36 cases with post-mortem evidence of Parkinson's disease.

Table 3

Cerebrovascular Disease Pathology and Parkinsonism* Cerebrovascular Disease Pathology and Parkinsonism*

Based on regression model which included terms for age, sex, education and post-mortem evidence of Parkinson's disease. Based on regression model which included terms for age, sex, education and post-mortem evidence of Parkinson's disease. t Based on logistic regression model of presence or absence of Parkinsonian sign which included terms for age, sex, education and postmortem evidence of Parkinson's disease. *†*Based on logistic regression model of presence or absence of Parkinsonian sign which included terms for age, sex, education and postmortem evidence of Parkinson's disease.

 $^{\sharp}$ Based on Tobit model which included terms for age, sex, education and post-mortem evidence of Parkinson's disease. *‡*Based on Tobit model which included terms for age, sex, education and post-mortem evidence of Parkinson's disease.