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Importance of *Candida*-bacterial polymicrobial biofilms in disease

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Abstract

Candida albicans is the most prevalent human fungal pathogen, with an ability to inhabit diverse host niches and cause disease in both immunocompetent and immunocompromised individuals. *C. albicans* also readily forms biofilms on indwelling medical devices and mucosal tissues, which serve as an infectious reservoir that is difficult to eradicate, and can lead to lethal systemic infections. Biofilm formation occurs within a complex milieu of host factors and other members of the human microbiota. Polymicrobial interactions will likely dictate the cellular and biochemical composition of the biofilm, as well as influence clinically relevant outcomes such as drug and host resistance and virulence. In this manuscript, we review *C. albicans* infections in the context of *in vivo* polymicrobial biofilms and implications for pathogenesis.

Keywords

Candida albicans; Polymicrobial; Biofilm; Infection; Morphogenesis; Infection

Candida albicans monomicrobial and polymicrobial biofilms

Recently there has been a tremendous interest in the role of biofilms on infectious diseases. The NIH estimates that 80% of human infections result from pathogenic biofilms (http://grants.nih.gov/grants/guide/pa-files/PA-99-084.html). Biofilms are heterogeneous communities of microorganisms that attach to abiotic or biotic surfaces and are encased in an extracellular matrix (ECM), forming a complex three-dimensional architecture. Clinically, biofilm infections represent an overwhelming problem due to the highly recalcitrant nature of the embedded microbes, which are resistant to both antimicrobial drugs as well as host defenses. Biofilms can form on medical devices as well as on exposed and mucosal host tissues. As such, biofilms are often polymicrobial in nature, formed from

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members of the endogenous microbiota as well as nosocomial pathogens. An emerging finding in polymicrobial biofilm research is the presence of both eukaryotic and prokaryotic pathogens. Therefore, these biofilms can be difficult to both diagnose as well as treat, requiring complex multi-drug treatment strategies. Polymicrobial biofilms represent an understudied and clinically relevant health problem, with the potential to serve as an infectious reservoir for a variety of microorganisms, including bacteria and fungi.

The most prevalent fungal biofilm-forming pathogen is *Candida albicans*, which can cause both superficial and systemic infections. Much of what is known about C. albicans biofilm development has been learned from *in vitro* monomicrobial biofilm studies. In vitro, biofilm formation can be divided into several growth phases: early, intermediate and mature [1, 2]. During the early phase, yeast cells adhere to an appropriate surface and undergo morphogenesis, which is essential for normal biofilm formation; mutants of C. albicans that are deficient in hyphal growth form less adherent biofilms that lack the normal threedimensional architecture of wild-type biofilms [3, 4]. It should be noted that *in vitro* biofilm assays are typically performed using media that promotes morphogenesis, which could skew the importance of the role of morphogenesis in biofilm formation. Indeed, mutants in the transcriptional regulator BCR1, form thin, weakly adherent biofilms but are able to form hyphae [5]. The intermediate phase is characterized by continued hyphal growth and ECM production, which consists of cell wall polysaccharides and protein [6, 7]. Mature biofilms consist of a yeast base, with hyphal elements forming a complex network encased in ECM extending away from the surface. Although it has not been definitively proven in fungal biofilms, it is predicted that a dispersal phase exists [8]. This is supported by evidence that farnesol, a quorum sensing molecule that inhibits germination and biofilm formation, increases in concentration in aging cultures [9]. Farnesol does not inhibit growth of mature biofilms, but does inhibit germination of new blastospores, thus potentially promoting dispersal [10]. In addition, negative regulators of hyphal formation could play a role in biofilm dispersal by promoting yeast growth. Once such regulator, NRG1, was shown to promote dispersal when overexpressed during biofilm growth [11].

Candida biofilms have been studied primarily on abiotic surfaces [8, 12]. Significant attention has been given to Candida biofilm formation of indwelling catheters, which can lead to life-threatening systemic infections [13-15]. More recently, biofilm formation on biotic surfaces has been reported, including both oral and vaginal tissues [16, 17]. The mucosa provides an excellent environment for biofilm development (reviewed in [18]), and biofilm formation phases are similar to that observed in vitro, even in the absence of morphogenesis inducing media [17]. Microbes easily adhere to the mucosal surface and aggregate with other microbes. Because of the heterogeneity of species within polymicrobial biofilms, it has been difficult to assess the relevance and contribution of individual species to pathogenesis and disease. However, with the use of advanced molecular and microscopic techniques to identify and characterize clinically relevant biofilms, it has become clear that interspecies interactions play a role in colonization and infection dynamics, as well as the host response, which will be discussed below. There have been numerous reports concerning the effect of bacterial species on both C. albicans germination and in vitro biofilm formation. Candida-bacterial interactions have been reviewed previously, and both agonistic and antagonistic relationships can occur [19-21]. Therefore, the focus of this review is the clinical significance and *in vivo* infection models of polymicrobial biofilms with C. albicans.

Systemic infections

An estimated 27-56% of nosocomial *C. albicans* bloodstream infections are polymicrobial [22, 23]. In a survey of 372 patients with candidemia, the top three most commonly co-

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isolated bacterial species were *Staphylococcus epidermidis, Enterococcus spp., and Staphylococcus aureus* [22]. *In vitro*, using a catheter disc biofilm model, the presence of *C. albicans* enhanced the growth of *S. epidermidis*, with extensive association of the bacteria and fungal hyphae [24]. ECM production by *S. epidermidis* inhibited penetration of fluconazole into the mixed species biofilm; similarly, vancomycin resistance was increased in the presence of *C. albicans* [24-26]. Whether this increase in drug resistance occurs *in vivo* in unknown. However, if this is the case, it would help explain why concomitant bacteremia is associated with a poor prognosis despite antimicrobial therapy compared with monomicrobial candidemia [27, 28].

S. aureus does not form biofilms as readily on abiotic surfaces, requiring pre-coating and nutrient supplementation [29]. Although *S. aureus* forms poor monoculture biofilms in serum, it forms a substantial polymicrobial biofilm in the presence of *C. albicans* (Figure 1). In terms of architecture, *S. aureus* is found throughout the biofilm and forms microcolonies on the surface of the biofilm, with *C. albicans* serving as a scaffold upon which *S. aureus* adheres [30]. Ability to form hyphae is required for the interaction, as *S. aureus* preferentially adheres to hyphae as opposed to yeast forms [31, 32]. *S. aureus* resistance to vancomycin was also enhanced within the polymicrobial biofilm, required viable *C. albicans*, and was in part mediated by the *C. albicans* ECM, and required the ability of *C. albicans* to adhere to the substratum [30, 31]. While no single fungal adhesin was identified as being required for vancomycin resistance, there could be a combination involved as many *C. albicans* adhesins have overlapping function [33]. In addition, proteomic analysis demonstrated that interspecies interaction influences protein expression, which could help explain modulation of antimicrobial resistance and virulence [32].

Using an *in vivo* model of systemic intraperitoneal infection, co-inoculation of *C. albicans* and S. aureus had a synergistic effect on mortality in mice [34]. Infection with sublethal doses of either species alone resulted in no mortality, but with the same doses, co-infection resulted in 100% mortality; the effect was abrogated when either organism was heatinactivated. Similar results were observed with non-lethal doses of Serratia marcescens, Streptococcus faecalis, and Escherichia coli during experimental peritonitis [35, 36]. Strains of S. aureus expressing toxic shock syndrome toxin (TSST) or delta toxin had the most dramatic effects on morbitidity and mortality [37, 38]. Culture filtrate of toxin producing strains of S. aureus also mediated this effect, which could be ameliorated with non-steroidal anti-inflammatory drug (NSAID) treatment [38]. Interestingly, when mice were injected with either organism at opposite injection sites (subcutaneous vs. intraperitoneal inoculation), mixed infection was observed at the fungal injection site, but not vice versa [39]. Histological analysis showed that S. aureus was associated throughout areas of fungal growth, interspersed as opposed to at the periphery. This indicates that C. albicans could facilitate S. aureus growth and infection within host tissues and each species could influence gene expression in vivo, resulting in increased virulence and resistance to host defenses as was reported in vitro [32].

Vaginal infections

Vulvovaginal candidiasis (VVC) affects a significant number of women predominantly in their reproductive years [40-42]. An estimated 75% of all women will experience an episode of acute VVC in their lifetime with another 5-10% developing recurrent VVC (RVVC) [40, 41]. Vulvovaginitis involves infections of the vaginal lumen as well as the vulva. Symptoms include burning, itching, soreness, an abnormal discharge, and dyspareunia. Signs include vaginal and vulvar erythema and edema. Acute VVC has several known predisposing factors including antibiotic and oral contraceptive usage, hormone replacement therapy, pregnancy, and uncontrolled diabetes mellitus [40-42]. Antiobiotic use reduces the levels of bacteria

that prevent fungal overgrowth. In particular, members of the genus *Lactobacillus*, which is the most prevalent bacterial group in the vagina, can prevent hyphal growth and exert direct antifungal activity [43, 44]. The antagonistic interactions of vaginal lactobacilli with *Candida* and potential use in therapeutic applications have been reviewed elsewhere [45, 46].

Vaginal mucosal bacterial biofilms have been previously described for Gardnerella vaginalis, which causes vaginosis [47-49]. However, in VCC and RVVC it was unknown whether C. albicans exist as biofilms on the vaginal mucosa and if so, whether they play a role in the immunopathogenesis. To this end, in vivo and ex vivo murine vaginitis models were recently used to examine mucosal biofilm formation by scanning electron and confocal microscopy [17]. Wild-type C. albicans strains formed biofilms on the vaginal mucosa in vivo and ex vivo as indicated by high fungal burden and microscopic analysis demonstrating typical biofilm architecture and ECM that co-localized with the presence of fungi. In contrast, mutants in a regulator of hyphal formation (*efg1/efg1*) and biofilm formation (*bcr1/ bcr1*) exhibited weak to no biofilm formation and ECM production in both models despite comparable colonization levels. This raises an interesting question; does the presence of a biofilm determine whether C. albicans behaves as a pathogen and allows the switch from commensalism? In addition, does a biofilm influence the host response? Further, does growth in a biofilm allow other bacterial species to co-colonize and contribute to pathogenesis or resistance? Previous studies have shown that approximately 20-34% of RVVC samples contain vaginal bacterial pathogens such as Streptococcus agalactiae and G. *vaginalis* [50, 51]. Interestingly, one of the more effective therapies for recurrent and resistant vaginitis is boric acid. While the mechanism of action of this chemical in unknown, it effectively inhibits morphogenesis and biofilm formation in vitro [52].

While C. albicans biofilm formation on the mucosal surface represents biotic biofilm formation, there is the possibility of fungal or polymicrobial biofilm formation on vaginal or intrauterine devices (IUD) serving as a reservoir for various types of infections. A survey of biofilms formed on IUDs confirmed the presence of multiple bacterial pathogens as well as C. albicans [53]. The longer the IUD was in place, the greater the total microbial burden and heterogeneity of species isolated from the device. There is also a significantly higher prevalence of infections such as bacterial vaginosis in IUD users in comparison with users of other contraceptive methods [54, 55]. In addition, there is a higher risk of pelvic inflammatory disease (PID) immediately following IUD insertion, which is caused by several bacterial pathogens including Chlamydia trachomatis and Neisseria gonorrhoeae [56, 57]. Another type of contraception that has recently been introduced is the combined contraceptive vaginal ring (CCVR), which releases ethinyl estradiol and etonogenstrel hormones locally. C. albicans readily adheres to the ring in vitro, and estrogen is known to promote hyphal formation [58, 59]. Clinically vaginitis was reported more often in women using the ring as opposed to oral hormonal contraceptives [58]. Therefore monomicrobial or polymicrobial biofilm formation on these devices might contribute to incidence and recurrence of genital infections.

Skin infection and wound healing

Biofilms contribute to chronicity and delayed healing of most, if not all, chronic wounds [60]. Recently, the contribution of fungal pathogens to mixed species biofilms has been assessed due to the extremely recalcitrant nature of many wounds to antibacterial agents. Using molecular diagnostics, a survey of 915 clinical specimens taken from chronic wounds revealed 23% were positive for fungal species [61]. The most abundant fungi were yeasts in the genus *Candida*; however, *Curvularia, Malessezia, Aureobasidium, Cladosporium, Ulocladium, Engodontium* and *Trichtophyton* were also found to be prevalent components

of these polymicrobial infections. Quantification of bacteria versus fungi in these chronic wounds demonstrated that fungi contributed to >50% of the microbial burden in the majority of the wounds. In several cases, identification of fungal pathogens in the chronic wound biofilm led to the addition of an antifungal drug to the treatment regimen and gradual healing of the wound site. This clinical survey demonstrates that the incidence of fungal pathogens in wound biofilm infection is more significant than previously reported. However, to date, there are no reports of *in vivo* models to investigate fungal or bacterial-fungal biofilms in wounds. Nevertheless, new cost-effective diagnostics have been developed [62], which can aid in identification of underreported fungal pathogens in chronic wounds, thereby promoting targeted therapies and improving healing trajectories.

Oral infections

C. albicans is responsible for several types of oral infections, including oropharyngeal candidiasis (OPC) or thrush, and denture stomatitis (DS). OPC encompasses infections of the hard and soft palate, tongue, buccal mucosa, and floor of the mouth, and can present as reddened patches (erythematous) or white curd-like lesions (pseudomembranous). Although OPC occurs with several immunocompromising conditions, it appears to be much more common in HIV-infected persons than under any other condition [63-66]. The pseudomembranous lesions on oral mucosa consist of blastospores, pseudohyphae, and hyphae attached to underlying epithelium [67]. It has been hypothesized that these plaques are biofilms, however, this concept had not been studied in vivo. Using an immunosuppressed mouse model, researchers were able to induce development of characteristic white lesions on the surface of the tongue after inoculation with C. albicans [16]. Three-dimensional confocal imaging revealed a complex architecture similar to in vitro biofilms with considerable β -glucan being exposed during invasion of the epithelium. This masking and unmasking of β -glucan *in vivo* is hypothesized to play a role in immunomodulation, because it serves as a pathogen recognition receptor ligand [68]. In particular, β-glucan signals via dectin-1 and TLR2 to promote Th17 cytokine production, which has been proposed to play a protective role against mucosal fungal infections [69-72]. Another interesting observation was the contribution of host components to the biofilm and ECM. Both keratin and polymorphonuclear leukocytes (PMN) aggregates were observed within the ECM, suggesting that the ECM might inhibit migration of effector cells. PMNs exert potent antifungal defenses, and if they become trapped in the ECM, this would limit the ability of these cells to control the fungal infection. The contribution of bacterial species to the fungal biofilm was also investigated. Using fluorescent in situ hybridization (FISH), several groups of bacteria were identified on the basis of 16S RNA using probes that recognize Lactobacillus/Enterococcus spp. and Staphylococcus spp. They noted that mice were generally positive for only one bacterial group and were most often associated with the apical surface of the biofilm [16]. How these bacterial species play a role in disease remains to be determined.

DS is an inflammatory fungal infection affecting approximately 50-75% of otherwise healthy denture wearers and the most common form of oral candidiasis [73-75]. Recently, a new rat model of oral device associated infection was developed to study oral biofilm formed *in vivo* on dental material [76]. In this model, non-custom fitted oral devices were installed in mice, which were constructed with a 1 mm gap between the palate and device, to allow inoculation. This design does not permit contact between the device and the oral tissue. *C. albicans* formed biofilms on the device *in vivo*, and bacteria were found to be associated with the fungi. However, there was no evaluation of palatal biofilm formation and no palatal inflammation [76]. Therefore, the model does not represent a clinically relevant model of denture stomatitis. To investigate the role of biofilms in DS, a novel contemporary rat model was recently developed using a custom fitted denture system composed of both

fixed and magnetic removable plates [77]. The denture system was installed against the hard palate of rats without alteration of the dental architecture. The novel design of this denture system (removable portion) allows for longitudinal studies to evaluate the progression of the disease. Biofilm formation was analyzed on the denture and palate via scanning electron and confocal microscopy. Biofilm formation on the denture occurred by week 4 post-inoculation, characterized by the presence of yeast and hyphae coated with ECM. However, on the palate tissue, only blastospore colonization was observed and no clinical evidence of disease was observed. By week 6 post-inoculation, biofilm formation was observed on both the denture and the palate tissue and palatal erythema was evident [77]. This suggests that during DS, *C. albicans* biofilm formation occurs initially on the denture plate, which in turn seeds the palatal tissue, resulting in mucosal biofilm formation and signs of disease. Microscopic analysis of the infected denture and tissues revealed co-association of bacteria (Figure 2). Therefore, further investigation at the species level is warranted to determine whether these species play a role in disease.

There have been several reports investigating the interaction between C. albicans and oral streptococci. Streptococcus gordonii is a non-pathogenic oral commesal bacterium, but plays an integral role of formation of dental plaque on tooth surfaces, creating an adherent surface amenable to colonization by other oral pathogens. During early polymicrobial biofilm formation in vitro on saliva-coated surfaces, S. gordonii enhances hyphal development, binding to C. albicans hyphae via cell wall proteins SspA and SspB [78]. C. albicans hyphal specific adhesin Als3 is also required for optimal interaction with S. gordonii during biofilm formation [79]. A mutant lacking ALS3 was unable to form a biofilm on a salivary pellicle or on a layer of adherent S. gordonii nor were the bacteria able to adhere to mutant hyphae. Heterologous expression of C. albicans adhesins in Saccharomyces cerevisiae demonstrated a similar requirement for Als3 and Ssp protein in mediating the interaction with S. gordonii. Screening of several fungal adhesins heterologously expressed in S. cereivisiae revealed that specific proteins mediate binding to S. gordonii including: Als3 and Eap1, and to a lesser degree Hwp1 [80]. Therefore, multiple adhesins with overlapping functions work to promote polymicrobial interactions. Repression of C. albicans hyphae and biofilm production by the fungal quorum sensing molecule farnesol was also relieved by S. gordonii, which was mediated in part by the bacterial quorum sensing molecule, autoinducer 2 (AI-2). These results suggest that interactions between C. albicans and S. gordonii involve physical (adherence) and chemical (diffusible) signals that influence the development of biofilms. However, it remains to be investigated in vivo whether S. gordonii can promote C. albicans colonization of the oral cavity.

Lung Infections

While *C. albicans* is not often associated with pulmonary colonization or infection, there are several reports documenting isolation from clinical samples in the context of bacterial infections, including ventilator associated infection, pneumonia, and cystic fibrosis related infections with *Pseudomonas aeruginosa* [81, 82]. Interestingly, *in vitro* studies have pointed to an antagonistic interaction between bacteria and fungi. *P. aeruginosa* kills yeast, hyphae, and biofilms of *C. albicans* [83, 84]. This is accomplished in part through production of bacterial phenazine derivatives, which affect cell wall integrity and allows *P. aeruginosa* to form biofilms on killed hyphae [83, 85, 86]. While the clinical relevance of this relationship is unclear, both organisms have been isolated from lung samples of patients with cystic fibrosis [81, 87]. Although there appears to be an antagonistic relationship *in vitro*, killing of highly adherent hyphae on a mucosal surface could produce a fungal scaffold upon which *P. aeruginosa* can form a biofilm, thereby parasitizing *C. albicans*. In addition, *in vivo* rat studies have demonstrated that pre-colonization of lung tissue by *C. albicans* increases rates of *P. aeruginosa* pneumonia [88]. These results are corroborated by

a clinical study of 804 ventilator patients, which showed that pulmonary *Candida* colonization (which occurred in 26% of patients) is an independent risk factor for *Pseudomonas* pneumonia [82]. Therefore, this could represent a novel mechanism whereby prior *C. albicans* mucosal biofilm formation promotes secondary biofilm formation by a bacterial pathogen, leading to disease.

Gastrointestinal Infections

Candida species are normal inhabitants of the gastrointestinal (GI) tract, with colonization rates ranging from 30-70% among healthy adults [89, 90]. Persistence in the GI tract can lead to gastrointestinal candidiasis (defined as infection of the stomach and small and large intestines). More importantly, GI colonization and infection predispose patients to systemic candidiasis due to outgrowth from the GI tract, which is also known as candidiasis of endogenous origin. GI candidiasis is not easily recognized clinically due to the lack of specific symptoms and the lack of the ability to differentiate between pathogenic and commensal C. albicans. However, it is clear from many human studies that two major predisposing factors are immune deficiency and antibiotic therapy [91, 92]. Therefore, both the host immune response and a normal bacterial microbiota are involved in controlling commensalism vs. disease. While it could be assumed that *Candida* exists as a biofilm in the GI tract, this has not been demonstrated. Gastrointestinal colonization with C. albicans has been associated with alterations in mucosal immunity, promoting atopic responses at other mucosal sites [93, 94]. This was also associated with increases in enteric bacteria, which might have contributed to the alterations in mucosal immune responses. Further studies examining GI tract biofilms with C. albicans could provide insights as to the role of polymicrobial biofilms in disease and/or dissemination from the GI tract.

Conclusions

The development of animal models has been essential in demonstrating the ability of Candida to form polymicrobial biofilms on mucosal tissues in vivo. Advances in microscopic techniques will aid in the assessment of biofilm architecture *in situ*, as well as identify host and bacterial species that co-associate within the biofilm. It will be especially important to monitor expression profiles of *in vivo* mucosal biofilms, which could differ significantly from *in vivo* abiotic biofilms or *in vitro* biofilms. It has been shown that C. albicans alternatively regulates its gene expression in the oral cavity compared with the GI tract [95]. Therefore, virulence needs to be evaluated in terms of site-specific roles and in terms of colonization vs. biofilm growth in monomicrobial vs. polymicrobial biofilms. It is likely that site specific immunity plays a large role in determining how C. albicans responds within each microenvironment and tailors gene expression to maintain commensal status and/or biofilm growth. In addition, these fungal and bacterial biofilms could serve as a source of systemic infections that exert synergistic effects on morbidity and mortality. The role of polymicrobial biofilms in immune evasion and manipulation of immune responses is of utmost importance for future studies and will likely direct novel therapies that target the multiplicity of species comprising this immune and drug resistant mode of growth.

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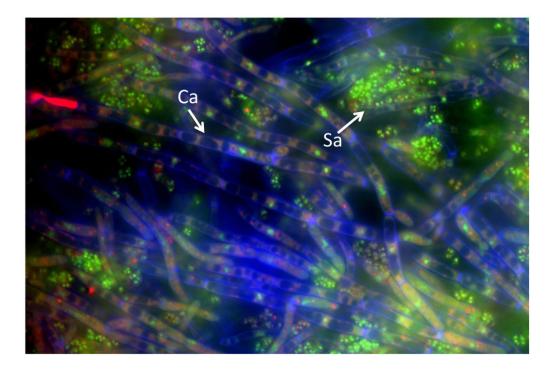


Figure 1.

Polymicrobial biofilm of *C. albicans* and *S. aureus. C. albicans* SC5314 was grown overnight in Sabouraud dextrose broth (SDB) at 30°C. *S. aureus* 29523 was grown overnight at 37°C in brain heart infusion (BHI) broth. Both species were washed, counted, and diluted in RPMI-1640 medium + 10% FBS. *C. albicans* (10⁶ CFU/ml) and *S. aureus* to (10⁷ CFU/ml) were concurrently added to 96-well tissue culture-treated chamber slides and incubated for 24 h at 37°C. Biofilm formation was monitored by fluorescence microscopy and scanning electron microscopy. To visualize the fungi and bacteria, biofilms were stained with SYTO 9 (green, live bacteria), FUN-1 (intracellular red, live fungal cells), calcofluor white (blue, fungal cell wall). Magnification of 1000×. Abbreviations: Ca, *C. albicans* hyphae; Sa, *S. aureus*.

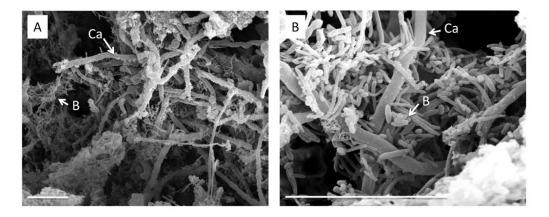


Figure 2.

Polymicrobial biofilms formed on dentures from a rat model of *C. albicans* denture stomatitis. A denture system was installed in Wistar rats that consists of a custom-fitted removable plate that fits over the rat palate and a fixed denture that is anchored to the rear molars. *C. albicans* 3153A was grown overnight in SDB at 30°C. Rats were inoculated with 25 mg of pelleted yeast on the palate tissue underlying the removable denture. Dentures were removed at 6 weeks post-inoculation and biofilm formation was analyzed by scanning electron microscopy. (a) $1000\times$, (b) $4000\times$ magnification. Scale bar indicates 50 µM. Abbreviations: Ca, *C. albicans* hyphae; B, bacteria.