

# NIH Public Access

**Author Manuscript**

*Brain Res*. Author manuscript; available in PMC 2012 November 14.

# Published in final edited form as:

Brain Res. 2011 November 14; 1423: 96–113. doi:10.1016/j.brainres.2011.09.040.

# **Preservation of function in Parkinson's disease: What's learning got to do with it?**

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# **Abstract**

Dopamine denervation gives rise to abnormal corticostriatal plasticity; however, its role in the symptoms and progression of Parkinson's disease (PD) has not been articulated or incorporated into current clinical models. The 'integrative selective gain' framework proposed here integrates dopaminergic mechanisms known to modulate basal ganglia throughput into a single conceptual framework: (1) synaptic weights, the neural instantiation of accumulated experience and skill modulated by dopamine-dependent plasticity and (2) system gain, the operating parameters of the basal ganglia, modulated by dopamine's on-line effects on cell excitability, glutamatergic transmission and the balance between facilitatory and inhibitory pathways. Within this framework and based on recent work, a hypothesis is presented that prior synaptic weights and established skills can facilitate motor performance and preserve function despite diminished dopamine; however, dopamine denervation induces aberrant corticostriatal plasticity that degrades established synaptic weights and replaces them with inappropriate, inhibitory learning that inverts the function of the basal ganglia resulting in 'anti-optimization' of motor performance. Consequently, mitigating aberrant corticostriatal plasticity represents an important therapeutic objective, as reflected in the long-duration response to levodopa, reinterpreted here as the correction of aberrant learning. It is proposed that viewing aberrant corticostriatal plasticity and learning as a provisional endophenotype of PD would facilitate investigation of this hypothesis.

## **Keywords**

corticostriatal plasticity; models of basal ganglia; motor learning; dopamine; dopamine denervation; PITx3

# **1. Introduction**

## **1.1 The many faces of the basal ganglia**

Associated with numerous neurological and psychiatric disorders—from Parkinson's disease to addiction-- the basal ganglia have been the subject of intense and growing research for decades. Historically there has been a 'great divide' between those who focus on the role of the basal ganglia in motor control, associated with primarily with the dorsal striatum and neurological disorders, and those who focus on motivational processes, reward and

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reinforcement, commonly associated with the ventral striatum. Reading older literature one might get the impression these two groups are investigating two different basal ganglia. This impression is diminishing as contemporary models, to an increasing extent, bridge this apparent gulf (eg., Bar-Gad and Bergman, 2001; Humphries and Prescott, 2010; Nicola, 2007). Nonetheless, a remnant of this divide persists as a lack of integration between the learning and performance functions of the basal ganglia. There is no widely accepted model describing the relationship between these two basal ganglia functions, though both are seen as crucial. This gap is nowhere more evident than in the investigation of Parkinson's disease. Though demonstrated that dopamine denervation/depletion induces abnormal corticostriatal plasticity in the striatum (Kreitzer and Malenka, 2007; Shen et al., 2008), no hypothesis has been put forth on the contribution of this pathology to the symptoms and progression of PD. In contrast, though learning—presumably mediated by corticostriatal plasticity-- has been extensively examined in PD patients, it has been treated primarily as an associated feature distinct from the core motor symptoms of the disease. It seems improbable that pathological corticostriatal plasticity would only affect learning and play no role in motor performance; however; how learning and performance aspects of the basal ganglia are related remains a substantial conceptual gap in the field. Here, focusing on the dorsolateral, sensorimotor circuit, I develop a framework for conceptually integrating learning and performance functions of the basal ganglia. Using this framework, I propose that abnormalities in corticostriatal plasticity are part of the core pathophysiology of PD and give rise to aberrant learning that contributes to the cardinal symptoms of the disease, its progression and its treatment. The focus here is on the *role* of corticostriatal plasticity in behavior and PD. Excellent reviews of the cellular mechanisms of corticostriatal plasticity can be found elsewhere (Lerner and Kreitzer, 2011; Lovinger, 2010).

## **1.2 The ever growing complexity of Parkinson's disease**

Parkinson's disease (PD) is the second most common neurodegenerative disorder after Alzheimer's (Hirtz et al., 2007), affecting over 4 million worldwide (Dorsey et al., 2007). The cardinal symptoms of PD are motor, including rigidity, bradykinesia/akinesia, postural instability, and resting tremor (Gelb et al., 1999; Jankovic, 2008). Without therapeutics to stop or slow the degenerative process, treatment focuses on mitigating motor symptoms and preserving function. Dopamine replacement with levodopa (L-DOPA) continues to be the mainstay of treatment (Poewe et al.; Schapira et al., 2009; Sethi, 2010). Though highly effective initially, over time its use becomes complicated with motor fluctuations and the development of dyskinesia (Espay; Marsden and Parkes, 1977; Nutt, 2003; Poewe et al., 2010). PD continues to be a devastating disease and the development of new therapeutic strategies remains a high priority.

PD is a complex disease. For decades perceived as primarily a motor disorder arising from the loss of dopamine cells, there is a growing appreciation for the involvement of other brain regions and neurotransmitters (Barone, 2010; Braak et al., 2003) and non-motor symptoms, including cognitive disturbances (Aarsland et al., 2010; Chaudhuri and Schapira, 2009; Cools, 2006; Cools et al., 2010; Frank, 2005; Levin and Katzen, 2005; Zgaljardic et al., 2003). Even the cardinal motor symptoms, such as bradykinesia and tremor, cannot be construed as necessarily arising from a single underlying pathophysiology (Rivlin-Etzion et al., 2010; Zaidel et al., 2009). A comprehensive model that captures all these aspects of PD has not emerged and is not likely in the near future. Instead, most models focus on particular aspects of the disease and incrementally expand and improve with further investigation. The hypothesis proposed here elaborates one such model, the classic-- and still most widely held-- model linking motor symptoms to dopamine denervation in the dorsal striatum. Though the present hypothesis may expand this model, it will not eliminate all its shortcomings, and like any hypothesis represents a partial step toward fuller understanding.

# **2. Models of the basal ganglia and the pathophysiology of PD**

Current understanding of how PD symptoms arise from dopamine denervation are based on models of the basal ganglia proposed in the late 1980s (Albin et al., 1989; Chevalier and Deniau, 1990; DeLong, 1990; Gerfen et al., 1990; Penney and Young, 1986). These models continue to evolve with on-going research (Albin et al., 1995; DeLong and Wichmann, 2009; DeLong and Wichmann, 2007; Mink, 1996). In much of the basic research on the basal ganglia, synaptic plasticity and learning are central to their function. However, as Graybiel pointed out in 1995, it is surprising that the role of learning and plasticity in the basal ganglia has not significantly entered into clinical models (Graybiel, 1995). This remains true today.

Models of the basal ganglia and PD pathophysiology can be roughly grouped into two types: *aggregate output* and *transformative function* models. The former are models that focus on the overall activity and output of the basal ganglia without regard to the information content being processed. The latter are models that characterize the neural transformations and information processing the basal ganglia is putatively implementing. In these models, the transformations yielded by the basal ganglia are critically mediated by synaptic plasticity and its behavioral correlate, learning. Each class of model implicitly assumes the other while diminishing its importance. Aggregate output models allow that plasticity and learning occur, but this is not viewed as critical in the context of a pathological output system. Conversely, transformational function models allow that the output mechanics of the system may be altered, but that it is the loss of specific learning-based functions that impairs behavior.

I propose a simple 'integrative selective gain' framework capturing both perspectives. It is well known that corticostriatal plasticity, modulation of glutamatergic corticostriatal transmission and medium spiny neuron (MSN) excitability all contribute to the modulation of behavior by the basal ganglia. What is less clear is the relationship between these neural processes; that is, how they interact and jointly contribute to final behavioral output. In the proposed framework, corticostriatal throughput and behavioral output arise as a composite of two functions: (a) *selectivity*, synaptic weights that enhance or diminish throughput of particular afferent inputs and arise as accumulating experience adjusts synaptic strengths through plasticity and (b) *system gain*, the on-line operating parameters of the basal ganglia, described below. Dopamine plays a crucial role in both (Beeler et al., 2010b; Braver et al., 1999).

### **2.1 Aggregate output perspective: classic direct/indirect motor control models**

In the classic models of the basal ganglia, afferent input, chiefly cortical, enters the striatum and is processed through two distinct pathways, the direct and indirect (Albin et al., 1989; Chevalier and Deniau, 1990; DeLong, 1990; Gerfen et al., 1990; Mink, 1996; Penney and Young, 1986). Activation of the striatonigral, dopamine D1 receptor expressing direct pathway facilitates cortical activity while activation of the striatopallidal, dopamine D2 receptor expressing indirect pathway inhibits cortical activity. Dopamine facilitates the emission of behavioral responses through dual action on these pathways, enhancing direct pathway activity through D1 activation and inhibiting indirect pathway activity through D2 activation (Hernandez-Lopez et al., 1997; Hernandez-Lopez et al., 2000). In PD, gradual dopaminergic denervation is believed to result in an imbalance between the direct, facilitatory and indirect, inhibitory pathways. The result is that the inhibitory pathway predominates, impairing the ability to select, initiate and perform motor movements (Albin et al., 1989; Chevalier and Deniau, 1990; Day et al., 2008; DeLong, 1990; Filion and Tremblay, 1991; Gerfen et al., 1990; Mallet et al., 2006; Mink, 1996; Obeso et al., 2000; Penney and Young, 1986). Both dopamine replacement therapy (L-DOPA) and treatment

with dopamine agonists are believed to temporarily restore the balance between facilitatory and inhibitory pathways, preserving motor function.

These models continue to evolve (Chesselet and Delfs, 1996; DeLong and Wichmann, 2009; DeLong and Wichmann, 2007; Mink, 1996). Initially focused on dopaminergic control over the relative firing rate and aggregate activity of the two pathways (Albin et al., 1989; Chevalier and Deniau, 1990; DeLong, 1990; Gerfen et al., 1990; Mink, 1996; Penney and Young, 1986), more recent iterations focus on dopaminergic effects on the synchrony/ patterns of activity (Bergman et al., 1998; Bevan et al., 2002; Costa et al., 2006; Costa, 2007; DeLong and Wichmann, 2009; Hammond et al., 2007; Israel and Bergman, 2008). These models focus on the 'online' output of the system and do not incorporate a role for synaptic plasticity. Learning and motor control are implicitly viewed as distinct processes where pathological motor control output overshadows putative learning functions. These models remain agnostic on the contribution of prior experience-- established synaptic weights and skills (ie., synaptic potentiation and depression established through corticostriatal plasticity during learning)-- to basal ganglia output and motor performance.

Consistent with these models, dopamine has been demonstrated to modulate striatal activity, differentially modulating the excitability and response properties of direct and indirect pathway medium spiny neurons (Hernandez-Lopez et al., 1997; Hernandez-Lopez et al., 2000; Lovinger, 2010; Nicola et al., 2000) as well as modulating corticostriatal glutamatergic transmission (Bamford et al., 2004; Cepeda et al., 2001; Flores-Hernandez et al., 1997; Yin and Lovinger, 2006). By increasing and decreasing corticostriatal throughput in the direct and indirect pathways, dopamine modulates the operating parameters of the system and has direct, on-line effect on motor performance: *system gain*. The classic, aggregate output models capture this on-line modulatory, *gain* function of dopamine (Servan-Schreiber et al., 1990). 'System gain' is intended to capture modulatory effects of dopamine that (a) directly modulate processing *as it occurs*, for example, shifting the balance between the direct and indirect pathways and (b) exert effects non-selectively across the system, for example, increasing D1-expressing MSN excitability in the entire circuit rather than synapse selective modulation.

#### **2.2 Transformational function perspective: incorporating experience into behavior**

Historically associated with motor control (Calabresi et al., 1997a; Verschueren et al., 1997) —the so-called extrapyramidal system—anatomical structure and connectivity suggests the basal ganglia may subserve a more global information processing function critically modulating cortical processing and output (DeLong and Wichmann, 2009; DeLong and Wichmann, 2007; Graybiel, 2005; Parent and Hazrati, 1995). The striatum, a principle input structure of the basal ganglia (Bolam et al., 2000; Wilson, 1998), receives massive cortical afferents that are segregated into parallel loops with different regions of the cortex projecting to specific regions in the striatum which in turn ultimately project back to the same cortical regions (Alexander et al., 1986; Alexander and Crutcher, 1990; Alexander et al., 1990; Doron and Goelman; Draganski et al., 2008; Hoover and Strick, 1993; Lehericy et al., 2004; McGeorge and Faull, 1989; Middleton and Strick, 2000; Wiesendanger et al., 2004). In its simplest characterization, these projections are segregated into limbic, associative and sensorimotor loops; the latter represented by afferents from the sensory and motor cortical regions to the dorsal lateral striatum (posterior putamen in primates, DLS/PP hereafter). Evidence is accumulating that these loops are interconnected, facilitating progressive processing and learning across striatal compartments (Doron and Goelman, 2010; Draganski et al., 2008; Haber, 2003; Joel and Weiner, 1994; McGeorge and Faull, 1989; Parent, 1990). Though the larger selective gain framework proposed is relevant to the entire basal ganglia system, the current discussion will be limited to the sensorimotor circuit

as the DLS/PP is a primary site of dopamine denervation in PD (Bernheimer et al., 1973; Graybiel et al., 1990; Hornykiewicz, 2001; Kish et al., 1988).

The DLS/PP has been widely associated with sensorimotor integration (Aosaki et al., 1994; Bailey and Mair, 2006; Carli et al., 1985; Cavaco et al., 2010; Dunnett and Robbins, 1992; Fornaguera et al., 1994; Iversen, 1984; Konczak et al., 2009; Lidsky et al., 1985; Mahon et al., 2004; Manetto and Lidsky, 1986; Messier et al., 2007; Poldrack et al., 2001; Ramanathan et al., 2002; Schwarz et al., 1984; Seidler et al., 2006), representing a key neural substrate for acquiring, optimizing and deploying motor responses integrated with ongoing sensory information. Critically, this is experience- and learning- dependent. Associated with implicit, procedural learning (Barnes et al., 2005; Foerde et al., 2006; Jog et al., 1999; Packard and White, 1991; Packard and Knowlton, 2002; Poldrack et al., 2001; Willingham et al., 2002), the DLS/PP is widely believed to acquire stimulus-response (S-R) associations (Balleine et al., 2007; Balleine et al., 2009; Balleine and O'Doherty, 2010; Divac et al., 1967; Faure et al., 2005; Graybiel, 1998; Haruno and Kawato, 2006; Kimchi et al., 2009; Knowlton et al., 1996; Konorski, 1967; Mahon et al., 2004; Packard and Knowlton, 2002; Tang et al., 2007; Yin et al., 2004; Yin and Knowlton, 2006) that provide a substrate for skill learning (Benecke et al., 1987; Boyd et al., 2009; Graybiel, 1998; Hikosaka et al., 1999; Jog et al., 1999; Kermadi et al., 1993; Sakai et al., 2003; Seidler et al., 2007; Yin et al., 2009), especially automated and habitual responses (Balleine and O'Doherty, 2010; Costa, 2007; Doyon et al., 2009; Faure et al., 2005; Graybiel, 2008; Jog et al., 1999; Knowlton et al., 1996; Miyachi et al., 1997; Miyachi et al., 2002; Packard and Knowlton, 2002; Poldrack et al., 2005; Puttemans et al., 2005; Redgrave et al., 2010; Tang et al., 2007; Yin and Knowlton, 2006) that underlie rapid expression of behaviors under stimulus control to optimally match action selection and motor execution to on-going stimuli. As a putative substrate for action selection, the DLS/PP provides a mechanism for initiating, terminating and switching responses (Benecke et al., 1987; Cameron et al., 2009; Cools et al., 2004; Cools et al., 2006a; Cools et al., 2006b; Hikosaka and Isoda, 2010; Jin and Costa, 2010). S-R associations in which the 'stimulus' could be external sensory information (eg., stop sign or sensory feedback in on-going movement), internal sensory information (eg., proprioceptive feedback) or efferent copies of immediately preceding actions/movements, can be chained together facilitating sequence learning, including the chunking of discrete actions into a single fluid movement (Benecke et al., 1987; Boyd et al., 2009; Ghilardi et al., 2009; Graybiel, 1998; Jog et al., 1999; Sakai et al., 2003).

This summary characterization collapses many distinct models to capture in broad strokes the basic transformation of cortical processing commonly ascribed to the DLS/PP. These models all share a basic feature: the output of the system modulates behavioral responding based on prior experience and learning in order to optimize current and future behavior. Corticostriatal plasticity is not a secondary or collateral function, but rather is itself the primary function: *selectivity* based on accumulated experience. Insofar as these models provide an account of PD symptoms, they suggest a failure of motor learning and/or an extinction process. Attempting to account for all symptomatology through learning mechanisms—abnormal selectivity—these models do not incorporate on-line, gain functions of dopamine and have not been integrated into current clinical models.

Dopamine plays a critical role inducing and regulating synaptic changes in the striatum. A three-factor Hebbian rule (Berke and Hyman, 2000; Calabresi et al., 1997b; Calabresi et al., 2007; Kerr and Wickens, 2001; Kreitzer and Malenka, 2008; Reynolds et al., 2001; Reynolds and Wickens, 2002; Shen et al., 2008) has been proposed for striatal plasticity in which synaptic potentiation or depression require coincident pre- and post- synaptic activity as well as dopamine, with dopamine concentration differentially regulating the direction of plasticity (Calabresi et al., 2007; Centonze et al., 2001; Lovinger et al., 2003; Reynolds and

Wickens, 2002; Thivierge et al., 2007; Wickens et al., 1996) in the direct and indirect pathways (Kreitzer and Malenka, 2008; Shen et al., 2008; Surmeier et al., 2007). Thus, dopamine is positioned to modulate the accumulation and integration of experience as synaptic weights in the striatum: *selectivity*. The transformational function models capture this selective, *learning/acquisition* function of dopamine.

### **2.3 Synthesis: an integrative selective gain framework**

I adopt the perspective that the basal ganglia are modulating cortical processing in the service of optimizing behavioral expression based on cumulative prior experience. The DLS/PP contributes specifically to integrating rapid motor responses with on-going sensory stimuli—sensorimotor integration by—mediating S-R learning that can be chained together into fluid sequences in which the response(s), under stimulus control, are emitted automatically, facilitating optimal performance without engaging conscious, deliberative mechanisms thereby facilitating implicit, procedural learning and habit.

In the selective gain framework, the final corticostriatal throughput and behavioral output arises as a consequence of two dopamine-dependent functions: (1) selectivity, the modulation of corticostriatal throughput by synaptic weights (ie., synaptic strength) established through accumulated experience and learning (ie, synaptic plasticity) and (2) system-gain, modulation of the operating parameters of the basal ganglia, including the balance between the direct and indirect pathway, modulation of MSN excitability and glutamatergic corticostriatal transmission. Thus, dopamine is in a position to critically shape corticostriatal throughput by modulating both selectivity and gain, the *accumulation* and *expression* of experience. Conversely, dopamine denervation may potentially induce abnormal behavior and motor deficits through either mechanism. The integrative selective gain model provides an explicit framework for investigating the relative contribution and interaction of these two dopaminergic mechanisms to basal ganglia control over behavior in normal and pathological states. In this framework (conceptually illustrated in Fig 1), performance is a function of both learning (represented by the x-axis), which can be optimal or, as proposed below 'anti-optimal,' and system gain (represented by the y-axis and construed here as dopamine-dependent). The aberrant learning hypothesis proposed below arises within this framework.

# **3. Consensus and controversy: pinning down learning deficits in PD**

Consistent with functions commonly attributed to the DLS/PP, studies of PD and learning have observed deficits and abnormalities in implicit and sequence learning (Carbon and Eidelberg, 2006; Jackson et al., 1995; Krebs et al., 2001; Siegert et al., 2006; Wilkinson and Jahanshahi, 2007; Wilkinson et al., 2009), automization (Ghilardi et al., 2009; Lehericy et al., 2005; Seidler et al., 2007; Wu and Hallett, 2005)-- particularly evident with increasing task difficulty or multi-tasking (Mentis et al., 2003a)-- and sensory-motor mapping (Helmich et al., 2010; Krebs et al., 2001; Marinelli et al., 2009; Smith and McDowall, 2006; Stefanova et al., 2000). Findings, however, are not uniform (Abbruzzese et al., 2009; Doyon, 2008; Nieuwboer et al., 2009; Siegert et al., 2006) with some studies reporting spared learning (eg., (Behrman et al., 2000; Cohen and Pourcher, 2007; Jordan and Sagar, 1994). Several factors contribute to variability across studies. First, learning occurs in stages (Doyon and Benali, 2005; Floyer-Lea and Matthews, 2005; Lehericy et al., 2005; Miyachi et al., 1997; Miyachi et al., 2002). Most studies examine only early stages of learning (Agostino et al., 2004; Doyon, 2008); however, deficits in striatal function may be more relevant to later stages of learning, consolidation and automization (Agostino et al., 2004; Cohen and Pourcher, 2007; Marinelli et al., 2009; Wu and Hallett, 2005). Second, observable learning impairments will depend upon the stage of disease and symptom severity. Though some studies report that learning is only impaired during later stages

(Harrington et al., 1990; Muslimovic et al., 2007), considerable evidence suggest that learning abnormalities arise early in PD (Baglio et al., 2009; Carbon et al., 2004; Carbon and Eidelberg, 2006; Ghilardi et al., 2003; Marinelli et al., 2009; Mentis et al., 2003a; Mentis et al., 2003b; Nakamura et al., 2001), possibly preceding frank motor symptoms (see Ogura et al., 2005). Third, methodological and conceptual differences further complicate assessing learning in PD. For example, though we draw a distinction between implicit and explicit learning, the relationship and role of these two putative types of learning in performing particular tasks is often unclear (Ghilardi et al., 2003; Ghilardi et al., 2009; Wilkinson and Jahanshahi, 2007; Wilkinson et al., 2009). Critically, as most tests associated with striatum based learning are primarily based on measuring speed of responding, potential confounds with motor control impairments remain challenging to control. In short, though accumulating evidence indicates learning impairments associated with PD, these studies provide a rudimentary map of a complex, poorly understood landscape, consistent with our evolving and increasingly complex understanding of the role of the basal ganglia in behavior. As difficult as behaviorally characterizing learning deficits in PD has proven to be, linking those deficits to specific underlying neural mechanisms and pathologies is fraught with even greater hazard.

### **3.2 Neural compensation: masking pathophysiology**

Assessing putative learning impairments in PD is further complicated by compensations that mask underlying neural abnormalities. Numerous studies have demonstrated that early stage PD patients show activation patterns different than controls during various learning tasks, requiring greater activation of the regions engaged by controls as well as recruitment of additional areas not utilized by controls in the same tasks (Appel-Cresswell et al., 2010; Baglio et al., 2009; Helmich et al., 2007; Helmich et al., 2010; Mentis et al., 2003a; Mentis et al., 2003b; Nakamura et al., 2001; Wu et al., 2007). These studies suggest that behavioral performance does not necessarily index underlying neural pathophysiology. Further, these studies highlight the difficulty in mapping a learning typology onto distinct brain regions (eg., implicit learning -> striatum) as anatomically distinct regions function interdependently in functional circuits (DeLong and Wichmann, 2007).

### **3.3 Reframing corticostriatal plasticity and learning**

Rather than equating corticostriatal plasticity with a specific phenomenological type of learning, such as implicit learning-- which belies our incomplete understanding of the neural complexity underlying this typology-- the question may be how an underlying pathology in corticostriatal plasticity progressively alters basal ganglia throughput affecting an array of functions, including learning commonly ascribed to the striatum, putatively 'cortical' functions as well as direct, motor control functions. The pattern of learning deficits associated with progressive dopamine denervation, in the context of neural compensations, is likely to be complex rather than a simple, monotonic worsening of a single type(s) of learning. More importantly, the effects of pathological corticostriatal plasticity may not be limited to traditionally defined learning but may exert pervasive effects on all functions subserved by the basal ganglia. The integrative selective gain framework proposed here conceptually dissociates corticostriatal plasticity from the traditionally defined typology of learning and instead situates plasticity and the resulting synaptic weights (ie., potentiated/ depressed synaptic strengths) as a primary determinant of basal ganglia throughput, providing a foundation for a more comprehensive and fundamental view of its role in generating and modulating behavioral output. Throughout the remainder of the text, I use 'learning' to generically denote experience-induced neural changes, reflecting both acquisition and expression of those changes (with expression sometimes denoted as 'skill'). Synaptic plasticity refers to the physiological processes that mediate neural modification.

# **4. Dissociating two sides of a coin: discerning the dual function of**

# **dopamine**

From this perspective, dopamine's role in motor learning and motor control are not two distinct functions but rather two sides of a coin: regulating the accumulation (plasticity, learning, synaptic weights) and influence (system gain) of prior experience on current behavior, presumably in the service of behavioral optimization. In the hypothesis below, I argue that altered corticostriatal plasticity secondary to dopamine denervation is a core pathophysiology that not only impairs corticostriatal processing, but inverts it, transforming basal ganglia output from optimizing cortical processing and performance to actively degrading performance: anti-optimizing. The challenge in investigating such a hypothesis is to dissociate learning and plasticity effects from on-line, gain functions in assessing performance deficits associated with dopamine denervation. The studies described next represent an initial step in addressing this challenge.

### **4.1 Established learning, dopamine denervation and performance**

To assess the contribution of dopamine mediated learning (selectivity in the proposed framework) to the motor performance deficits associated with dopamine denervation, we conducted a series of recent experiments using genetic and pharmacological mouse models (Beeler et al., 2010a). It is well established that diminished dopamine impairs motor performance, but can prior, established learning—established synaptic weights—mitigate the performance decrement associated with decreased dopamine? We approached this question with two animal models.

First, we used the PITx3-deficient mouse line (aka, *aphakia*, Varnum and Stevens, 1968) that exhibits a 90% denervation of dorsal striatal dopamine (Beeler et al., 2009; Hwang et al., 2003; Nunes et al., 2003; Smits et al., 2005; van den Munckhof et al., 2003). These mice perform extremely poorly on the accelerating rotarod (Ardayfio et al., 2008; Beeler et al., 2010a), but their performance can be rescued with L-DOPA treatment (Beeler et al., 2010a). We trained these mice under L-DOPA administration and after waiting minimally 72 hours, continued testing without L-DOPA. The traditional view of PD pathophysiology—that dopamine critically facilitates motor control and performance—would predict that upon discontinuation of L-DOPA and a return to a dopamine deficient state, the mice will not be able to perform the task, similar to untreated PITx3-deficient mice. This is not what we observed. Initially, their performance is preserved—even in the face of dopamine depletion that grossly impairs naïve PITx3 mice (Fig 2A). However, this preserved performance gradually declines (Fig 2A).

Further experiments demonstrate this is not a residual effect of L-DOPA (Beeler et al., 2010a). We can extend the period between L-DOPA discontinuation from 3 to 10 days without a loss of the observed preservation of function. We can administer L-DOPA daily 6 hours *before* training and observe no rescue, though presumably putative residual effects of L-DOPA treatment would still be present. We can introduce training on a similar task after L-DOPA discontinuation (ie., treadmill) before retesting without diminishing the observed preservation of function. Finally, we can demonstrate (high performance liquid chromotagraphy, HPLC) that tissue dopamine content 3 and 10 days following discontinuation is identical to untreated PITx3-deficient mice. In short, the initial retention of performance does not appear to be the result of residual L-DOPA effects and the gradual decline is dependent upon experience with the task in a dopamine depleted state.

To validate that the above observations were specifically mediated by changes in dopamine, we conducted experiments with wild-type mice. We observe a similar effect of prior

learning in initially preserving function in the face of diminished dopamine signaling. After being trained to asymptotic performance, we administered a dopamine D2 antagonist and continued daily training sessions. Similar to the PITx3 study, after blockade of D2 signaling, performance is initially retained and shows a gradual decline (Fig 2B).

These data suggest two crucial points: 1. That prior learning-- established synaptic weights (ie., pattern of potentiated/depressed synaptic strengths induced by experience-dependent corticostriatal plasticity)-- can initially sustain performance counteracting the direct effects of diminished dopamine on motor control and performance and 2. that dopamine is crucial to *maintaining* prior learning. Together, these data suggest that learning, in the form of synaptic plasticity and weights, contributes critically to motor performance and that dopamine depletion actively degrades established learning accelerating motor decline.

### **4.2 The long-duration response to L-DOPA**

L-DOPA treatment induces two therapeutic components, a short- and long-duration response (Muenter and Tyce, 1971; Nutt et al., 1995; Nutt and Holford, 1996). The short-duration response is reflected in the rapid improvement and subsequent return of symptoms that correlate with the pharmacokinetic rise and fall of L-DOPA concentrations (Muenter and Tyce, 1971; Nutt and Holford, 1996; Nutt, 2008; Tedroff et al., 1996), generally believed to arise directly from restoring the balance between the direct and indirect pathways through increased dopamine concentrations in the striatum. The long-duration response, in contrast, is poorly understood.

The long-duration response is observed as a gradual improvement in symptoms not correlated with pharmacokinetics of L-DOPA (Fahn et al., 2004; Hauser and Holford, 2002; Muenter and Tyce, 1971; Nutt et al., 1995; Nutt et al., 1997; Quattrone et al., 1995; Zappia et al., 1997). As a consequence, the worsening of symptoms associated with troughs in L-DOPA concentration between doses is diminished. That is, L-DOPA gradually induces symptomatic improvement independent of the actual drug being in the system. This LDR can be sustained for days to weeks after discontinuation of treatment with motor performance declining only gradually (Hauser and Holford, 2002). The physiological basis of the LDR remains unknown with arguments focusing on long-term changes in either pre- (Doller and Connor, 1980; Nutt and Holford, 1996; Quattrone et al., 1995; Zappia et al., 1997) or post-synaptic function at corticostriatal synapses (Barbato et al., 1997; Cotzias et al., 1969; Metman et al., 1997; Nutt and Carter, 2000). To date, however, neither theory has gained widespread support or conclusive empirical validation.

#### **4.3 The long-duration response reinterpreted**

An alternative hypothesis is that the LDR reflects the correction of aberrant corticostriatal plasticity associated with dopamine denervation (Beeler et al., 2010a). The PITx3-deficient mouse study described above suggests that established skills can initially preserve function in the face of dopamine depletion. It also demonstrates that dopamine is essential for the maintenance of prior skill. I hypothesize (detailed below) that dopamine denervation induces aberrant, inhibitory learning which actively 'unlearns' established skill replacing previously established synaptic weights (potentiated/depressed synaptic strengths) with new weights that inhibit rather than facilitate movement and performance.

From this perspective, the LDR can be reinterpreted. The SDR directly alleviates the imbalance between the direct and indirect pathways and restores the 'gain' associated with corticostriatal processing allowing the striatum to process volitional movement efficiently and fully exploit prior learning. However, prior to diagnosis and initiation of treatment, an on-going aberrant learning process has degraded the synaptic weights associated with

established skills, reducing the protective potential of prior learning. Consequently, when treatment is first initiated, the difference in symptoms between peak and trough L-DOPA concentrations is pronounced as this reflects strictly 'on-line' restoration of direct and indirect pathway balance. With continued L-DOPA treatment, however, the underlying aberration in corticostriatal plasticity is corrected. Consequently, the process reverses and appropriate learning replaces aberrant learning, giving rise to synaptic weights that facilitate appropriate striatal function and are protective against decreases in dopamine concentration. This results in reduced symptoms during the trough periods of the SDR. Moreover, analogous to the mouse model, when L-DOPA treatment is discontinued, established skills —appropriately calibrated synaptic weights—initially continue to support facilitatory corticostriatal throughput and effective movement despite diminished dopamine. However, without sufficient dopamine, aberrant learning sets in gradually replacing performance optimizing synaptic weights with performance degrading weights. This hypothesis is schematically illustrated in Figure 3 using the same two axes presented in Figure 1 (ie., learning represented by the x-axis and system gain by the y-axis). In this schematic, normal and disturbed performance are parsed to different quadrants and arrows are used to represent the effect of synaptic weight on corticostriatal throughput.

If 'normal' and 'aberrant' plasticity fluctuates with dopamine concentration and treatment, the question arises how one predominates over the other giving rise to sustained improvement. That is, why aren't the learning effects we associate with the LDR susceptible to the same pharmacokinetics fluctuations associated with the SDR? The temporal dynamics of L-DOPA restoration of on-line performance (gain) and corticostriatal plasticity (selectivity) likely differ. Synaptic plasticity engages multiple cellular mechanisms, including gene expression, that occur over time. L-DOPA treatment may allow the initiation of these processes of plasticity that then continue on their own time course independent of L-DOPA concentration. The LDR, then, may be decoupled from the pharmacokinetics of L-DOPA by virtue of activating cellular and genetic processes that once initiated are dopamine independent, introducing a secondary set of temporal dynamics in the mechanisms of L-DOPA efficacy. Such a 'permissive' or 'initiating' mechanisms may explain the observation that the LDR requires lower doses (Quattrone et al., 1995; Wider et al., 2006; Zappia et al., 1999; Zappia et al., 2000), suggesting aberrant corticostriatal plasticity may be more sensitive to treatment. Moreover, it is only during activity that aberrant and corrected learning are initiated. Consequently, the extent to which patients modify their activities according to their symptoms— do more during peaks and less during troughs— could potentially contribute to the balance between corrected and aberrant learning.

### **4.4 Role of learning in other PD treatments**

If this hypothesis is correct, the question arises as to the role of learning processes in other treatments for PD. The LDR has been observed in dopamine agonist treatment (Nutt and Carter, 2000), consistent with the current hypothesis. Whether deep brain stimulation (DBS) is associated with an LDR response is uncertain. The question is difficult to address as patients frequently continue L-DOPA treatment after stereotaxic surgery, usually at a lower dose (Nutt et al., 2001). One study found that DBS reduced symptoms during the 'trough' between L-DOPA dosing. This might reflect an LDR response to DBS as improvement during 'trough' is associated with the LDR (Nutt et al., 2001). However, it could equally be argued that this represents direct, 'on-line' performance improvement arising from DBS that improves symptoms independent of L-DOPA fluctuations across the board. A later study specifically compared DBS patients who did or did not continue L-DOPA treatment and found that when both the stimulator and L-DOPA treatment were discontinued, "off off," those receiving concurrent L-DOPA demonstrated less symptoms than those treated with DBS alone, suggesting that the LDR to L-DOPA continues to play an important role and

that DBS itself does not provide an LDR response (Wider et al., 2006). In a recent study, Zaidel et al (Zaidel et al., 2010) demonstrate that DBS efficacy does not correlate to preoperative L-DOPA responsiveness and argue that L-DOPA and DBS act through different therapeutic mechanisms. In the framework proposed here, these data suggest the difference might lie in the effects on corticostriatal plasticity: DBS may improve systemgain only with no efficacy against aberrant corticostriatal plasticity and learning while L-DOPA corrects abnormal corticostriatal plasticity inducing an LDR. Clearly this requires further investigation.

# **5. Aberrant Learning: a hypothesis**

#### **5.1 Established skill can mitigate performance decline in DA denervation**

Synaptic weights (potentiated/depressed synaptic strengths) facilitate some inputs into the striatum while diminishing others, by definition. That corticostriatal synaptic changes correlate with motor learning and performance has been clearly demonstrated in mice (Costa et al., 2004; Jin and Costa, 2010; Yin et al., 2009) and strongly suggested in human imaging studies (Doyon et al., 2009; Lehericy et al., 2005). What has not been established is the relative contribution of these changes in synaptic strengths (weights) to performance compared to direct dopaminergic effects on motor control. The question is novel and has not been systematically investigated. Notably, within the field of dopamine, distinguishing learning (or motivation) *from* performance and viewing the two as potential confounds has a long history (see Salamone, 2007 for excellent discussion), but historically the objective is to eliminate or control the effects of one or the other, not to assess their relative contribution to performance. The studies described in section 4.1 attempt to ask this question and the data suggest that established, prior learning (presumably in the form of synaptic weights) can diminish the performance deficit associated with dopamine denervation. Notably, studies by Horvitz and colleagues have demonstrated that overtrained, habitual responding can become dopamine independent (Choi et al., 2005), consistent with the current hypothesis, though these authors offer a different interpretation (Ashby et al.) suggesting the behavior becomes *striatum*-independent. Importantly, when they administered D1 or D2 antagonists to assess the dopamine-dependence of behavior, they did so for only a single day before resuming drug-free training. The present hypothesis would suggest that overtraining would *initially* result in apparent dopamine-independence (ie., Fig2A) but that continued training would induce aberrant learning and result in gradual degradation of responding. Interestingly, in their studies, blocking D2 had no effect on the overtrained behavior, but again D2 blockade was not sustained over time. Their studies, like ours, provoke interesting questions about the precise role of the D1 and D2 expressing pathways in the acquisition and maintenance of an overtrained response/skill, but dissecting the role of the two pathways in detail is beyond the scope of the present review.

#### **5.2 Dopamine denervation induces aberrant, inhibitory learning**

The protective effects of established learning, however, appear to decline in an experiencedependent manner (section 4.1), suggesting that dopamine is necessary to *maintain* these synaptic weights. Dopamine is widely believed to modulate the direction of plasticity in corticostriatal synapses (Calabresi et al., 2007; Centonze et al., 2001; Lovinger et al., 2003; Reynolds and Wickens, 2002; Thivierge et al., 2007; Wickens et al., 1996). Evidence indicates that dopamine denervation/depletion inverts this directional control in the indirect pathway, resulting in LTP where normally LTD would be observed (Centonze et al., 2004; Kreitzer and Malenka, 2007; Shen et al., 2008). Moreover, insofar as Hebbian plasticity arises probabilistically as a function of correlated activity, changes in synaptic weight are more likely in pathways that are more active. As a consequence, the decreased and increased activity in the direct and indirect pathways, respectively, that results from dopamine

denervation (Hernandez-Lopez et al., 1997; Hernandez-Lopez et al., 2000; Mallet et al., 2006) would favor greater potential for plasticity in the indirect pathway (Wiecki et al., 2009; Wiecki and Frank, 2010). These two factors, increased probability of plasticity in the indirect pathway together with inverted direction of plasticity provides an empirical basis for aberrant learning in the striatum where plasticity in the inhibitory, indirect pathway dominates and establishes inhibitory learning where facilitatory learning should occur. Thus, although established skills may initially facilitate motor performance in the face of declining dopamine, the synaptic weights that underlie these skills are, under diminished dopamine, actively modified degrading their protective contribution.

#### **5.3 Aberrant learning impedes rather than optimizes performance**

Associated with habit and automaticity (Balleine and O'Doherty, 2010; Graybiel, 2008; Poldrack et al., 2005; Puttemans et al., 2005; Redgrave et al., 2010; Yin and Knowlton, 2006), the DLS/PP appears to be an important in providing stability and long-term retention of acquired motor skills. However, under conditions of dopamine denervation and aberrant corticostriatal plasticity, the dopaminergic mechanisms and conditions that control and maintain this stability are altered. As a consequence, new 'rules' of plasticity (ie., inverted direction of plasticity) are instantiated and the currently stable constellation of synaptic weights—prior learning and established skills—are actively revised in accordance to aberrant processes. As a consequence, performance optimizing facilitatory weights arising from prior learning (ie., established patterns of potentiated/depressed synaptic strengths) are actively replaced with performance impeding, inhibitory weights. Though the role of corticostriatal plasticity in motor learning has been demonstrated (section 5.1) and aberrant corticostriatal plasticity under dopamine denervation has been demonstrated (section 5.2), no electrophysiological study of *aberrant* corticostriatal plasticity in awake, behaving animals has yet been conducted. The studies described in section 4.1 suggest a behavior paradigm in which such studies might yield invaluable insight but this remains a task for future investigations. The notion of aberrant learning that inverts the optimizing function of the dorsal striatum and impedes motor control fits well with a recent hypothesis published by Redgrave et al. (Redgrave et al., 2010). They suggest that the automatic/habitual system is not functioning properly in PD patients (but see de Wit et al., 2011). This, in turn, necessitates greater reliance on volitional, goal-directed processing, eliminating the motor performance benefits arising from automization and habit. They further suggest that, in addition to the failure of habit and automization, pathophysiology in this system may actually interfere with compensatory goal-directed processing by generating pathological signals that actively impede volitional motor control. The hypothesis proposed here links such interference and resulting performance deficits directly to altered corticostriatal plasticity and provides a clear framework for further experimental investigation.

#### **5.4 Remediating aberrant learning to preserve function**

Remediating putative aberrant learning and plasticity may protect the performance preserving capacity of established skills by blocking aberrant, anti-optimal inhibitory learning. At present, the relative contribution of established learning and synaptic weights to motor performance in comparison to direct, on-line modulation of motor control by dopamine remains a novel and open question. Moreover, the degree to which current PD treatments correct a putative abnormality in learning/plasticity and the role that may play in therapeutic efficacy is also unknown. The data presented in section 4.1 (Beeler et al., 2010a) suggests that the poorly understood LDR in L-DOPA treatment may arise from correcting an underlying learning process. Consistent with this, abnormal LTP in D2 MSNs induced through dopamine depletion is corrected and normal LTD restored with the activation of D2 receptors (Kreitzer and Malenka, 2007; Shen et al., 2008). Dopamine replacement through L-DOPA would activate D2 receptors and presumably correct abnormalities in plasticity as

observed in these studies. Though both the behavioral and physiological data are consistent with the proposal that the LDR arises through the correction of aberrant corticostriatal plasticity and learning, like other theories of the LDR this remains to be definitively proved. Though currently unrecognized, changes in underlying learning processes may contribute significantly to therapeutic efficacy in a number of current treatments (section 4.3/4.4) and may represent a target for the development of novel therapeutics.

# **6. Aberrant corticostriatal plasticity and aberrant learning: an**

# **endophenotype**

Investigation of putative aberrant learning in PD is challenging because it is masked by direct motor control impairments on one hand and compensatory mechanisms on the other. The predominant animal model (Fleming et al., 2005; Fleming and Chesselet, 2006; Taylor et al., 2010)—lesions of dopaminergic fibers with 6-OHDA or MPTP (Sedelis et al., 2001; Ungerstedt, 1968)—induces acute and severe dopamine denervation, inconsistent with the gradual loss of dopamine cells and progressive onset of symptoms observed in PD (Betarbet et al., 2002; Brooks, 1998; Dawson et al., 2010; Fleming and Chesselet, 2006; Taylor et al. 2010 but see Lang and Lozano, 1998). Grossly impaired motor function in these models make studying learning nearly impossible (Bove et al., 2005). Genetic models, in contrast, have been criticized as none, to date, recapitulate dopamine cell loss, few show phenotypic characteristics comparable to PD and concern arises over potential unrecognized developmental compensations (Betarbet et al., 2002; Dawson et al., 2010; Jenner, 2008).

Finding an ideal animal model in PD research remains elusive (Betarbet et al., 2002; Dawson et al., 2010; Fleming et al., 2005; Fleming and Chesselet, 2006; Taylor et al., 2010). Increasingly, it is suggested that the relative utility of particular models, each with inherent strengths and weaknesses, depends upon the objectives of the investigation (Betarbet et al., 2002; Dawson et al.); that is, a model is useful to the extent that it captures what an investigator wants to study. To date, though work is beginning (Ardayfio et al., 2008; Beeler et al., 2010a; Ogura et al., 2005), there has been no fully developed animal model designed to investigate learning deficits in PD. Borrowing from psychiatric research the concept of endophenotype (Gottesman and Gould, 2003; Gould and Gottesman, 2006)—the notion that a complex disorder cannot be modeled in its entirety but subsets of pathology can be tackled individually—I propose that aberrant learning be treated as a provisional endophenotype in PD. Though the endophenotype approach has not taken root in PD research generally (but see Racette et al., 2006; van Nuenen et al., 2009), the increasing recognition of PD as a complex disease extending beyond the traditional view of dopamine cell loss and impaired motor control (Aarsland et al., 2010; Bosboom et al., 2004; Chaudhuri et al., 2006; Chaudhuri and Schapira, 2009; Frank, 2005; Jankovic, 2008; Levin and Katzen, 2005; Owen et al., 1992; Wiecki and Frank, 2010; Zgaljardic et al., 2004) suggests that this approach is likely to be increasingly germane to future research.

The etiology of PD remains unknown and evidence points to complexity in genetic contributions (Dauer and Przedborski, 2003). In favor of a plasticity/learning endophenotype, genetic mutations associated with familial PD (DJ-1, Parkin, PINK1), produce abnormalities in corticostriatal plasticity in mice despite a lack of observed degeneration in dopamine cells (Goldberg et al., 2005; Kitada et al., 2007; Kitada et al., 2009). Moreover, considerable evidence suggests that putative learning abnormalities in PD may be an early feature, preceding significant motor decline (Carbon et al., 2004; Carbon and Eidelberg, 2006; Ghilardi et al., 2003; Marinelli et al., 2009; Mentis et al., 2003a; Nakamura et al., 2001; Ogura et al., 2005). The degree to which such learning impairments may serve as a biomarker for genetic studies has not been established. Nor has learning been significantly investigated in non-affected family members in familial PD (but see van der

Vegt et al., 2009). Directly investigating synaptic plasticity in humans is not practical, except as inferred from deficits in learning and through imaging. Whether alterations in learning ultimately represent a useful biomarker for genetic research and clinical assessment remains to be determined (see Maetzler et al., 2009). Adopting a provisional endophenotype approach using animal models to explore aberrant plasticity, its pathogenesis and its functional consequences in behavior can facilitate basic understanding of the contribution of altered corticostriatal plasticity to the progression, pathophysiology and treatment of PD and contribute to developing a potentially useful endophenotype for human genetic and clinical studies.

# **7. Testing the hypothesis**

The proposed hypothesis can be specifically investigated in several ways. First, Costa and colleagues (Costa et al., 2004; Jin and Costa, 2010; Yin et al., 2009) have conducted numerous elegant studies correlating changes in MSN activity and synaptic plasticity to motor learning and performance using the accelerating rotorod. The data presented above indicate that after overtraining (ie., established skill), the administration of dopamine blocking drugs will result in a gradual decline in performance that reflects an underlying alteration in D2 mediated synaptic plasticity rather than direct effects of dopamine on MSN firing rates. This can be empirically tested using the same procedures and techniques Costa *et al* have used to correlate MSN activity and plasticity to the acquisition of learning under normal conditions. The current hypothesis predicts that the gradual decline in behavior, like acquisition, will correlate with changes in plasticity not overall firing rate. Specifically, this will be observed with blockade of D2 while blocking D1 will result in an immediate performance decrement correlated with immediate changes in firing rate rather than synaptic plasticity. There are multiple approaches to this line of investigation, including using exvivo slice electrophysiology to examine the differential effect of behavioral experience on synaptic plasticity under conditions of normal and depleted dopamine.

Second, genetic manipulations targeting subcellular signaling molecules regulating plasticity (Kheirbek et al., 2008; Kheirbek et al., 2009) can be applied to animal models of dopamine denervation to isolate the contribution of learning and plasticity to performance following dopamine dysfunction. The hypothesis predicts that blocking abnormal corticostriatal plasticity and aberrant learning will be partially protective against dopamine denervation and prolong retention of established skills.

Finally, in a human clinical population, the difference in performance between ON/OFF medication states is obvious; however, what has not been investigated is whether or not extended practice (ie., repetition of a specific motor task/skill) under ON/OFF will induce a change in performance independent of medication status. Our interpretation of LDR suggest that extended practice ON medication induces gradual improvement independent of L-DOPA pharmacokinetics (ie., whether L-DOPA is in the system or not). Conversely, we predict that extended practice OFF medication will degrade subsequent performance ON medication; that is, the degree to which L-DOPA restores performance will be dependent upon the extent of practice under normal (ON) or aberrant (OFF) learning conditions.

# **8. Clinical Implications: recognizing and targeting aberrant learning**

Insofar as the hypothesis put forth here is supported by further evidence, it captures an important, previously unrecognized dimension of the therapeutic mechanisms and efficacy of current treatments in PD. Further investigation may yield important insights into the best use of current therapies to optimize their treatment benefits and minimize adverse sideeffects, including a new perspective on dosage and timing of medication regimens, use of

concurrent medications and when to start treatment, as well as a better understanding of the interaction between dopamine replacement therapies and deep brain stimulation. In addition, this hypothesis may have interesting implications in understanding the development of motor fluctuations and L-DOPA induced dyskinesia, though this is not explored here.

Moreover, this hypothesis suggests a novel strategy for identifying pharmacological targets for the development of new therapies in which the objective is to block abnormal corticostriatal plasticity and aberrant learning. Though not expected to slow the underlying degenerative process, such therapies may slow the progression of symptoms contributing to the preservation of function and may enable delayed and reduced use of dopamine replacement therapy thereby diminishing risk of motor fluctuations and dyskinesia.

Finally, this hypothesis has important implications for rehabilitation therapies. The literature on rehabilitation in PD is equivocal, generally showing such treatment has value but that it is limited, particularly by putative learning deficits associated with PD (Abbruzzese et al., 2009; Deane et al., 2002; Keus et al., 2009; Nieuwboer et al., 2009). Rehabilitation is essentially based on practice and learning, consequently understanding when and how learning is impaired in PD and, more importantly, how to remediate aberrant learning, would be critical to the success of rehabilitative approaches.

# **9. Conclusion**

Since the introduction of L-DOPA and despite profound advances in research on the basal ganglia-- famously described as 'mysterious' (Marsden, 1982)-- there has been only limited innovation in PD treatment in the last half century. Dopamine replacement therapy, specifically L-DOPA, remains the mainstay of treatment and the problems of motor fluctuations and dyskinesia with prolonged treatment continue to significantly limit longterm clinical efficacy. An on-going challenge is integrating advances in our understanding of the basal ganglia into clinical models and the development of new therapeutics. The framework and hypothesis proposed here contributes to addressing this challenge by integrating corticostriatal plasticity into a prevalent clinical model and in the process suggesting a perspective that unites learning-based, transformational function models of the basal ganglia in basic research with the traditional, aggregate output models widely accepted in clinical research. The veracity and utility of this framework and hypothesis awaits further research. Though such investigation is likely to be difficult, the potential rewards are significant.

# **Acknowledgments**

Thank you to Xiaoxi Zhuang and Nathaniel Daw for helpful feedback on the manuscript. This work was supported by grant DA025875.

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#### **Fig 1. Conceptual mapping of performance onto dual axes of learning/synaptic weights and output/system gain**

Color mapping of performance on the two axes of synaptic weight optimization (selectivity, horizontal axis) and system gain (vertical axis). Normal/increased dopamine with optimized weights (upper right quadrant) results in optimal performance (green shading). In contrast, sub- or anti-optimal weights in combination with diminished gain from dopamine denervation (lower left quadrant) results in impaired performance (red shading). In the lower right quadrant, performance is contingent upon both factors such that optimal weights will support performance even with diminished gain associated with dopamine loss, to a degree. Moreover, the less weights are optimal, the less protection is provided. In the upper left quadrant, with normal dopamine but sub- or anti-optimal learning, the effects are unknown but likely favor impairment. According to the hypothesis advanced here, the upper right and lower left quadrants are clearly defined as healthy and Parkinsonian. However, during early and middle stages of the disease, particularly under dopamine replacement therapy, most patients will likely function alternatingly in the less understood upper left and lower right quadrants.



#### **Fig 2. Effect of discontinuation of L-DOPA treatment in PITx3-deficient mice and administration of D2 antagonist in wild-type mice on established skills**

(A) Mice were trained on the rotarod with either saline or L-DOPA ( $25 \text{ mg/kg}$ ) for 5 sessions (sessions 1–5). After a 3-day treatment discontinuation break the mice were again tested without treatment (session 6). After one refresher training session on either saline or L-DOPA (session 7, not shown) and a 5-day treatment discontinuation break, mice were run for 3 sessions without any treatment (sessions 8–10). Top panel shows latency to fall for each trial and lower panel shows the average latency to fall during each session. ( $N = 6$  per genotype/treatment). (B) Wild-type C57BL/6 mice were trained on the rotarod for 12 days without injections (last training session, session 12, is shown). Animals were then given a D2 blocker (eticlopride, 0.16 mg/kg) and tested on the rotarod for 5 consecutive days (sessions 13–17).



#### **Fig 3. Schematic mapping corticostriatal plasticity onto integrated selective gain framework**

Arrows represent corticostriatal synapses with green and red representing direct/facilitatory and indirect/inhibitory pathways, respectively. Synaptic weight is represented by the thickness of the line. Learning: (top portion of panel): (i) shows undifferentiated weights prior to learning. Subsequent to normal learning (ii) weights are variously adjusted reflecting the facilitation and inhibition of different responses. In aberrant learning (iii), inhibitory weights in the indirect pathway are inappropriately increased while direct pathway weights are not. System gain: (bottom portion of panel): increase or decrease in throughput of direct/ indirect pathways are denoted by the intensity of color. (iv) under normal conditions, an increase in dopamine is synergistic with weights facilitating selection of previously reinforced/strengthened responses. (v) Increased dopamine under conditions of aberrant learning are hypothesized to result in favoring direct over indirect pathway activity (diminishing inhibitory throughput); however, the weights inappropriately favor inhibitory activity. The behavioral output under these circumstances is unknown, but speculatively could contribute to dyskinesia. (vi) Under conditions of diminished dopamine but normal learning, the inhibitory pathway is inappropriately enhanced but the weights still favor appropriate actions. The behavioral output here, also, is unknown but we hypothesize that normal function may be preserved initially by the established synaptic weights. (vii) when dopamine is diminished under conditions of aberrant learning, both synaptic weights and the system gain favor the inhibitory pathway, resulting in impaired corticostriatal output and behavioral deficits.