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MicroRNA and DNA Methylation Alterations Mediating Retinoic Acid Induced Neuroblastoma Cell Differentiation

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Abstract

Many neuroblastoma cell lines can be induced to differentiate into a mature neuronal cell type with retinoic acid and other compounds, providing an important model system for elucidating signalling pathways involved in this highly complex process. Recently, it has become apparent that miRNAs, which act as regulators of gene expression at a post-transcriptional level, are differentially expressed in differentiating cells and play important roles governing many aspects of this process. This includes the down-regulation of DNA methytransferases that cause the demethylation and transcriptional activation of numerous protein coding gene sequences. The purpose of this article is to review involvement of miRNAs and DNA methylation alterations in the process of neuroblastoma cell differentiation. A thorough understanding of miRNA and genetic pathways regulating neuroblastoma cell differentiation potentially could lead to targeted therapies for this disease.

Keywords

ATRA; MYCN; neuroblastoma; microRNA; *NCOR2*; differentiation; *NOS1*; DNA methylation

1. Introduction

1.1 Neuroblastoma as a clinically and genetically heterogeneous disease

Neuroblastomas originate from precursor cells of the sympathetic nervous system, and although these tumors are the leading cause of childhood cancer deaths, clinical outcome is highly heterogeneous, ranging from spontaneous regression to rapid progression in spite of intensive multimodal chemotherapy. Several clinical parameters are predictive of outcome, including age at diagnosis, disease stage, and histopathological characteristics of the tumors [1]. In addition, high risk neuroblastoma tumors are characterized by a number of genomic aberrations, including amplification of the *MYCN* oncogenic transcription factor, loss of

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heterozygosity of chromosome 1p or 11q regions, or gain of 1q or 17q regions, as summarized in a review by Stallings [2]. *MYCN* amplification and loss of 11q material seldom occur within the same tumor and therefore actually represent two clinically unfavorable genetic subtypes of this disease. The presence of any segmental chromosomal imbalances alone is also predictive of suboptimal patient survival [3]. In contrast, tumors that contain mostly whole chromosome gains or losses and that lack segmental imbalances are associated with significantly better patient survival, particularly when tumors have specific patterns of metastases that define stage 4S disease in infants. A number of signatures based on the patterns of expression of specific combinations of protein coding genes [4, 5] or microRNAs [6-8] are also predictive of patient survival.

1.2. Importance of differentiation in neuroblastoma biology and therapy

The degree of differentiation of neuroblastoma tumors is particularly important in assessment of prognosis. Shimada *et al*., (2001) reported overall patient survival to be 30% in undifferentiated tumors versus 61% in poorly differentiated tumors and 81.4% in differentiating tumors [9]. In some instances, tumors in infants with stage 4s disease can differentiate into a benign ganglioneuroma, further underscoring the importance of differentiation in this highly heterogeneous disease.

Given that more differentiated tumors are clinically less aggressive, it is perhaps not surprising that differentiation therapy involving 13-cis-retinoic acid, a derivative of vitamin A (retinol), following high dose chemotherapy improves event free survival [10]. A related compound, all trans-retinoic acid (ATRA) causes a number of neuroblastoma cell lines to undergo dramatic increases in neurite length during the process of neural cell differentiation [11]. Retinoic acid (RA) binds to heterodimers of retinoic acid receptors (RAR) or the retinoid X receptor (RXR), which in turn bind to retinoic acid response elements (RARE) located in the 5′ upstream regions of target genes. RA can modulate the expression of many protein coding genes and non-coding RNA sequences through causing the displacement of repressor complexes with activator complexes [12]. Although naturally occurring, RA levels are tightly controlled during normal embryonic development [13].

The process of neuroblastoma cell differentiation is clearly a complex, poorly understood process involving many genes and genetic pathways. Given the importance of differentiation therapy, a more thorough understanding of signalling pathways that trigger neuroblastoma cell differentiation is warranted and is of potential therapeutic benefit. Recently, a number of studies have demonstrated that both microRNAs (miRNAs) and genome–wide DNA methylation alterations are also involved with regulating this process. Here, we will review the role of miRNAs and DNA methylation changes in regulating neuroblastoma cell differentiation.

2. MicroRNAs and neuroblastoma pathogenesis

Mature miRNAs are 19 to 22 nucleotides in length, having been processed by the RNA cleavage enzymes DROSHA and DICER from much larger RNA precursor molecules, as reviewed in a number of articles [14, 15]. These small noncoding RNAs play major posttranscriptional regulatory roles by targeting complementary sequences found on the 3′ UTR regions of mRNAs, leading to either degradation of the mRNA sequence or translational inhibition at the RNA induced silencing complex (RISC). More recently, it has become apparent that miRNAs can also target sequences found within the 5′ UTR [16] and exonic regions [17], although global proteomic studies indicate that 3′ UTR targeting has the greatest effect on the down-regulation of proteins [18]. Until recently miRNAs were considered to be primarily negative regulators of gene expression at a post-transcriptional level. However, it is apparent that specific miRNAs can also enhance mRNA translation in

non-proliferating cells [19], and others are involved in directly regulating transcription through targeting complementary sequences in gene promoter regions [20]. Thus, much remains to be learned about the basic mode of action of miRNAs.

MiRNAs play major oncogenic and tumor suppressor roles in virtually all forms of cancer, affecting properties such as cell proliferation, migration, invasion, apoptosis, metastasis, angiogenesis and immune escape, as summarized in many excellent reviews [21-24]. More specifically, miRNAs play highly complex and diverse roles in the pathogenesis of neuroblastoma, as recently reviewed [25-27]. Expression profiling of miRNAs in primary tumors has led to the identification of numerous miRNAs that are associated with poor patient survival and aggressive disease course [6, 7, 28-30], while a number of functional studies have shown that some miRNAs can have either positive or negative effects on neuroblastoma cell proliferation rates and apoptosis, both *in vitro* and *in vivo* [28, 31-36]. In addition, it appears that multiple miRNAs significantly contribute to the process of ATRA induced neuroblastoma cell differentiation.

3. MiRNA expression profiling following ATRA induced neuroblastoma cell differentiation

Several studies have profiled miRNA expression in neuroblastoma cell lines following ATRA induced differentiation [28, 37-42]. Inspection of the miRNAs that were determined to be differentially expressed in response to ATRA indicated only partial overlap of miRNAs between the studies. However, these studies differed in the cell lines profiled (SK-N-BE versus SHSY-5Y), concentration of ATRA, addition of brain derived neurotrophic factor (BDNF) to the media, culture conditions, miRNA profiling platforms (qPCR, Northern blot and microarray), number of miRNAs profiled and the post-ATRA time points at which measurements were carried out. Under these circumstances, variation between studies might be expected. It is remarkable that in spite of the experimental variables, the differential expression of 37 miRNAs were validated in at least two or more studies (Table 1). Although some of the miRNAs identified in single studies will likely prove to be of great interest, the validated set of miRNAs, as detailed in Table 1, are a promising initial set for functional studies.

4. Molecular mechanisms mediating miRNA expression in response to RA

The molecular mechanisms responsible for the expressional alterations of miRNAs during ATRA induced differentiation have not been fully elucidated. In some instances, such as for miR-10a [39], the miRNAs have retinoic acid receptor elements positioned in an up-stream region and are therefore direct retinoid targets. An indirect effect of ATRA treatment is the down-regulation of *MYCN* [43], which directly or indirectly regulates the expression of numerous miRNAs [6-8, 44], thus MYCN could be responsible for miRNA expressional alterations following ATRA treatment. A decrease in *MYCN* mRNA levels can be detected within 6 hours post-ATRA treatment, occurring prior to the onset of neurite outgrowth, so that changes in MYCN levels could account for some of the changes in miRNA expression.

MYCN down-regulation might be a necessary condition for differentiation to occur in *MYCN* amplified cell lines, as ectopic over-expression of *MYCN* can render some cell lines unresponsive to retinoic acid [45]. In addition, siRNA or shRNA inhibition of *MYCN* in *MYCN* amplified cell lines can lead to a reduction in cell proliferation and/or increased neurite outgrowth [46-48]. In contrast, Edsjo *et al* [49] reported that high levels of ectopic over-expression in non-*MYCN* amplified cell lines does not prevent differentiation. Analysis of *MYCN* expression in embryonic tissues also would suggest that high expression is not completely incompatible with a differentiated cell state [49]. Thus, the effects of MYCN on

differentiation are complex, poorly understood, and might be dependent on cell line context (e.g. *MYCN* amplified versus non-amplified). It is conceivable that MYCN might play a role in the differentiation process through the modulation of miRNA expression, and in this regard, a number of miRNAs have been demonstrated to effect cellular phenotypic properties when they are artificially dysregulated in neuroblastoma cell lines.

5. MiRNAs mediated regulation of neuroblastoma cell differentiation

A number of functional studies have been carried out on miRNAs which were differentially expressed in response to ATRA induced differentiation, as summarized in Figure 1. Laneve *et al* [50] carried out one of the first functional studies, having identified miR-9, -125a and -125b as being over-expressed following ATRA treatment of SK-N-BE cells. Transfection of these miRNAs into SK-N-BE cells causes decreased cell proliferation in an additive manner. Knock-down of this set of miRNAs prior to ATRA treatment only partially restored the level of proliferation relative to untreated cells, indicating that the up-regulation of these miRNAs, while important, are not fully responsible for the differentiation phenotype. It is noteworthy that an indirect effect of the ectopic up-regulation of these three miRNAs is the down-regulation of *MYCN*, which is also an effect of ATRA treatment [43, 51]. None of these miRNAs are predicted to target the *MYCN* 3′ UTR, so presumably *MYCN* downregulation is a secondary effect. Intriguingly, all three of these miRNAs target a truncated isoform of a neurotrophic tyrosine receptor kinase, *NTRK3* (also known as *TRKC)* and siRNA mediated knock-down of this mRNA alone has similar anti-proliferative effects [50]. Neurotrophins are growth factors which regulate many important neural cell functions, including cell survival. Subsequently, miR-9-2 was determined to directly target the RE1 silencing transcription factor (REST) [52]. REST on the other hand, binds to the promoter region of this miRNA and represses transcription in coordination with the cAMP-response element binding protein (CREB), forming an auto-regulatory feedback loop [52].

It is unclear from the study of Laneve *et al* [50] whether over-expression of miR-125b in SK-N-BE cells results in neuronal differentiation, or only a decrease in the cell proliferation rate, as cell morphology changes were not discussed. A more recent study by Le *et al* [40] provided evidence that ectopic over-expression of miR-125b, as well as miR-124a, cause an increase in neurite outgrowth in the SH-SY5Y neuroblastoma cell line. Induced differentiation of the non-cancer derived RVM cell line by removal of growth factors also results in the up-regulation of miR-125b, while ectopic up-regulation of this miRNA in these cells promotes neurite outgrowth [40]. Further experiments with miR-125b revealed that this miRNA resulted in over-expression of neuronal markers *MAP2AB* and *GABBR1* and decreased expression of the neural progenitor marker *MSI1*. A very elegant analysis based on mRNA expression profiling was provided of the down-stream genetic pathways that are regulated by miR-125b. mRNA expression profiling of SH-SY5Y cells ectopically overexpressing this miRNA identified 164 down-regulated genes with predicted target sites. Le *et al* [40] developed a model whereby miR-125b directly post-transcriptionally represses ten genes that are key effectors of neuronal differentiation, launching a complex cascade of transcriptional changes of down-stream effectors for neuronal differentiation. Some of the miRNAs that are up-regulated in response to ATRA appear to overlap targets in the differentiation pathway, such as miR-184, which directly targets *AKT2 [32]*, a down-stream effector in the pathway.

As previously mentioned, Le *et al* [40] determined that ectopic over-expression of miR-124a causes human SH-SY5Y cells to under differentiation. Prior to this observation, Makeyev *et al* [53] determined that ectopic up-regulation of miR-124 at physiological levels causes the mouse CAD neuroblastoma cell line to undergo differentiation. They further showed that the polypyrimidine tract binding protein 1 (*PTBP1*), which is a regulator of mRNA splicing, is

directly targeted by miR-124 and that a decrease in PTBP1 protein is required for differentiation of CAD cells. PTBP1 represses a related family member, *PTBP2*, by causing exon skipping that generates a nonsense codon, subjecting PTBP2 to nonsense-mediated decay. Thus, miR-124 mediated inhibition of *PTBP1* leads to correctly spliced *PTBP2* mRNA that is translated into PTBP2 protein, which in turn causes a shift towards a neuronal pattern of pre-mRNA splicing of genes and a differentiated phenotype.

Artificial modulation of a number of additional miRNAs appears to also cause neuroblastoma cells to undergo differentiation. For example, ectopic over-expression of miR-214, and conversely, inhibition of the endogenous miR-7, causes an increase in neurite outgrowth in SH-SY5Y cells. However, the essential mRNA targets of these miRNAs have not been identified [38]. The oncogenic miR-17-5p-92 polycistronic cluster, which is upregulated in poor prognosis neuroblastoma tumors [6-8, 54], is down-regulated following ATRA-induced differentiation of neuroblastoma cells [37]. In a very interesting study, Loven *et al* [55] demonstrated that miR-18a and -19a, which are members of this polycistronic cluster, repress the estrogen receptor-α (*ES1*) transcription factor. ES1 is involved with the process of differentiation and these authors demonstrated that ectopically introduced *ES1* in SK-N-BE cells induces neuronal differentiation, while inhibition of endogenous miR-18a results in neurite outgrowth.

MiR-128 is another miRNA which is up-regulated in response to ATRA, resulting in decreased neuroblastoma cell motility and invasiveness through inhibiting the *Reelin* and *DCX* genes when ectopically over-expressed [56]. The function of miR-128 has some redundancy with miR-9, -125a and -125b, as all four of these miRNAs target the truncated isoform of *NTRK3 [*57*]*. Interestingly, the full length and truncated isoforms of *NTRK3* have non-overlapping 3′ UTRs and are regulated by different sets of miRNAs [57]. Guidi *et al* [57] demonstrated that ectopic up-regulation of miR-128 causes SH-SY5Y cells to become smaller, with shortened neurites, and to have increased cell proliferation. siRNA mediated inhibition of the truncated *NTRK3* recapitulated the phenotype obtained by miR-128, indicating that *NTRK3* silencing was primarily responsible for the observed effects of this miRNA. mRNA expression microarray analysis of cells over-expressing miR-128 indicated that anti-apopotic genes, most notably *BCL2*, tended to be up-regulated as an indirect effect of miR-128 up-regulation. The finding that miR-128 enhances cell proliferation is not necessarily contradictory to the earlier conclusion by Evangelisti *et al* [56] that miR-128 causes decreased cell motility and invasiveness, as these phenotypic traits can be independently regulated [58].

Recent studies have shown that miR-10a and -10b are extremely potent inducers of neuroblastoma cell differentiation. As demonstrated by Meseguer *et al* [41] and our own group [39], anti-miR knockdown of miR-10a/b prior to ATRA treatment of SHSY-5Y or SK-N-BE results in a partial inhibition of neurite outgrowth. Although Meseguer *et al* [41] found that ectopic over-expression of these miRNAs only marginally increased the expression of several markers of differentiation, we determined that over-expression of either miR-10a and -10b caused highly significant increased neurite outgrowth in SH-SY5Y, SK-N-BE and LAN5 cells, along with highly significant increases in the neuronal markers GAP43 and β-3-tubulin at mRNA and protein level. In addition, over-expression of either miRNA resulted in highly significant reduction in *MYCN* mRNA and protein in all three cell lines, similar to that which is observed following ATRA induced differentiation [39].

Through mRNA expression profiling, we also demonstrated that miR-10a and -10b overexpression inhibits a large number of genes with predicted target sites and launches a cascade of secondary and tertiary alterations to the transcriptome. In the study by Meseguer *et al* [41], the SR-family splicing factor (*SFRS1*) was determined to be a direct target of

miR-10a/b and that miRNA inhibition of this splicing factor can alter levels of mRNA isoforms, although this genes does not affect differentiation. In our study, the nuclear receptor co-repressor 2 (*NCOR2*, also known as *SMRT*), was identified as the primary target of miR-10a and -10b that is responsible for causing the differentiation phenotype, as siRNA mediated inhibition of this gene completely recapitulated a differentiated phenotype [39]. Moreover, co-transfection of an *NCOR2* expression construct lacking a 3′UTR target sequence with miR-10a synthetic mimics completely blocked differentiation [39].

A model of some of the steps involved with ATRA induced differentiation is summarized in Figure 2, with miR-10a over-expression being an early event. MiR-10a localizes within a *HOX* gene cluster on chromosome 17q, and available evidence indicates that this miRNA is a direct retinoic acid target, having a retinoic acid response element [59]. ATRA causes replacement of the NCOR2 associated repressor complex with an activator complex, leading to transcriptional activation of miR-10a, which in turn keeps *NCOR2* mRNA suppressed through direct targeting. This auto-regulatory feedback loop, as originally described by Foley *et al*, [39], explains why a differentiated state is maintained in the absence of ATRA. There seems to be considerable redundancy in the roles played by miRNAs in the process of differentiation, as miR-10a/10b are predicted to target some of the same targets as miR-125b (for example, *ITCH*, *GAB2* and *MKNK2*), while *NCOR2* is also a predicted target of miR-125b, as originally discussed by Foley *et al[*39*]*. For future studies, it would be of great interest to ascertain the direct targets of NCOR2 that are responsible for the induction of differentiation.

From all of the above cited studies it is obvious that miRNAs contribute in a major and highly complex way to the process of neuroblastoma cell differentiation. It is likely that many additional miRNAs that are either up or down-regulated in response to ATRA also contribute to the complex ATRA induced differentiated phenotype. As discussed in the next section, miR-152, which is up-regulated in response to ATRA in multiple neuroblastoma cell lines, has a profound impact on cell phenotype and on the DNA methylation status of many genes through direct targeting and down-regulation of a DNA methyltransferase (*DNMT1*) [60].

6. The role of DNA methylation changes in neuroblastoma differentiation

To date, limited information regarding the role of DNA methylation alterations in ATRA induced cellular differentiation has been reported. Previously, Love *et al*. [61] demonstrated hypermethylation and resulting transcriptional repression of the *hTERT* gene in response to ATRA induced differentiation of HL60 leukemia cells. The authors demonstrated that the typical phenotypic traits associated with ATRA induced differentiation such as reduced cell proliferation and induced apoptosis in HL60 cells were only observed after inhibition of the telomerase activity, thus highlighting the important role of DNA methylation in granulocytic differentiation. In contrast, Rowling *et al*. [62] reported an overall reduction in DNA methylation levels in rats treated with ATRA.

Recently, we have identified genome-wide DNA methylation alterations which occur during the process of ATRA induced neuroblastoma differentiation [60]. Using the ATRA sensitive neuroblastoma cell line SK-N-BE, a genome-wide methylation analysis of pre- and post-ATRA differentiated states revealed approximately 402 and 88 gene promoters which were hypo- and hypermethylated, respectively. Integration with mRNA expression data revealed 82 hypomethylated genes were over-expressed greater (>2-fold) and 13 hypermethylated genes were under expressed (>2-fold), indicating that many of the DNA methylation alterations had functional consequences. DNA demethylation has been previously shown to have a role in normal development [63, 64] as well as disease progression [65]. The reduced

levels of DNA methylation observed in the ATRA treated neuroblastoma cell lines is also consistent with what is detected in more differentiated tumor subtypes, ganglioneuroblastoma (GNB) and ganglioneuroma (GN) versus immature neuoblastoma [66]. On average, fewer hypermethylated loci were detected in the more differentiated GNB/ GN tumors than in the more clinically aggressive neuroblastomas [67].

An example of one of the genes that was demethylated and over expressed in several neuroblastoma cell lines treated with ATRA was *NOS1* [60]. The *NOS1* gene on chromosome 12 catalyzes the generation of nitric oxide and has been implicated in neural development [68] as well as neuroblastoma proliferation and differentiation [69]. Ciani *et al*. [69] reported that over expression of *NOS1* in SK-N-BE cells, resulting in an increase in nitric oxide, enhances neurite extension and decreases cell proliferation, thus highlighting the crucial role of NOS1 in the differentiation process.

To determine the mechanism underlying the overall reduced levels of DNA methylation following ATRA induced differentiation, mRNA and protein levels for the three DNA methyltransferases (*DNMT1, DNMT3A and DNMT3B*) were determined post-ATRA treatment [60]. DNMTs are responsible for *de novo* methylation and maintenance of methylation patterns in the cell [70]. A statistically significant decrease in expression of both *DNMT1* and *DNMT3A* was identified post ATRA treatment in several neuroblastoma cell lines. To determine a possible mechanism for the observed decrease in DNMT expression, a miRNA expression screen was performed pre- and post-ATRA which identified 17 upregulated miRNAs. One of these up-regulated miRNAs, miR-152, was determined to directly target *DNMT1*, which maintains methylation patterns during cell division with a preference for hemi-methylated DNA [71]. MiR-152 targeting of *DNMT1* was also recently demonstrated by Huang *et al*. [72] in hepatic cell lines, resulting in decreased methylation levels. In neuroblastoma, miR-152 is under-expressed in *MYCN* amplified tumors [6], so it is possible that the reduction in MYCN that occurs in ATRA treated cells is responsible for the miR-152 up-regulation. Ectopic over-expression of miR-152 in SK-N-BE cells did not cause the cells to undergo differentiation, but did have a negative effect on cell invasion without affecting proliferation rate. Ragusa *et al* [42], on the other hand, reported that inhibition of endogenous miR-152 in SH-SY5Y cells resulted in decreased cell invasion without affecting cell proliferation. This result, taken together with our result, might indicate that miR-152 targets genes that both promote and antagonize cell invasion, and that disturbing the balances between such genes is what causes a phenotypic effect.

Interestingly, other miRNAs up-regulated in response to ATRA, such as miR-125a/b and miR-26a/b, are predicted to target *DNMT3B*, and thus might also contribute to changes in the DNA methylome during differentiation. Our model for a miRNA driven differentiation process triggered by ATRA, which includes genome-wide DNA methylation alterations, is summarized in Figure 1. In this model, changes in gene expression occurring as a consequence of DNA demethylation are relatively late events. In summary, the shift in DNA methylation patterns observed during the process of ATRA induced neuroblastoma *in vitro* differentiation reflects the flexibility and dynamism of the epigenome and represents an area of cross-talk between two distinctly different modes of epigenetic regulation, namely miRNA and DNA methylation.

7. Concluding remarks and areas for further research

Figure 2 summarizes some of the events that have been determined to be of importance in ATRA induced differentiation of *MYCN* amplified neuroblastoma cell lines. As a direct retinoid target, up-regulation of miR-10a is predicted to be a very early event in differentiation. The displacement of the NCOR2 co-repressor complex with an activator

complex results in miR-10a up-regulation and subsequent constitutive post-transcriptional down-regulation of *NCOR2* through direct miR-10a targeting. The down-regulation of NCOR2 then causes a cascade of direct and indirect alterations to the transcriptome, including the down-regulation of *MYCN*. The identification of the direct targets of the NCOR2 co-repressor critical for inducing differentiation would be of great interest. Likewise, the exact mechanism leading to the inhibition of MYCN as a secondary consequence of NCOR2 inhibition would be of interest. It is tempting to speculate that MYCN is down-regulated post-transcriptionally, as MYCN has predicted target sites for some of the miRNAs that are up-regulated in response to ATRA. Later events in the model include the targeting of DNA methytransferases by miRNAs such as miR-152, which are up-regulated after being released from the repressive effects of MYCN. The downregulation of the DNA methytransferases ultimately leads to the demethylation and reexpression of genes known to be important in differentiation, such as *NOS1*. Clearly, alterations in miRNAs expression and DNA methylation play important and complex roles in the process of neuroblastoma cell differentiation. Although neuroblastoma has proven to be an excellent model for the elucidation of signaling pathways involved with the process of neural cell differentiation [45], it is important that we also further test the models developed from these lines in cells of non-cancerous origin.

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Figure 1.

Summary of functional studies carried out on miRNAs that are either up-regulated (red) or down-regulated (green) in neuroblastoma cell lines treated with ATRA. Experimentally validated mRNA targets are connected by red lines to each miRNA, while non-validated computationally predicted targets are designated by black lines. The biological effects of resulting from artificial over or under-expression of each miRNA is designated on the right side of the panel.

Figure 2.

Model depicting some of the miRNA and DNA demethylation driven events important for initiating and maintaining neuroblastoma cells in a differentiated state following treatment with ATRA.

Table 1

miRNAs Determined to be Differentially Expressed During ATRA Induced Differentiation of Neuroblastoma Cell Lines in at Least Two Independent miRNAs Determined to be Differentially Expressed During ATRA Induced Differentiation of Neuroblastoma Cell Lines in at Least Two Independent
Studies

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