

ORIGINAL ARTICLE

Colonization of subsurface microbial observatories deployed in young ocean crust

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Oceanic crust comprises the largest hydrogeologic reservoir on Earth, containing fluids in thermodynamic disequilibrium with the basaltic crust. Little is known about microbial ecosystems that inhabit this vast realm and exploit chemically favorable conditions for metabolic activities. Crustal samples recovered from ocean drilling operations are often compromised for microbiological assays, hampering efforts to resolve the extent and functioning of a subsurface biosphere. We report results from the first *in situ* experimental observatory systems that have been used to study seafloor life. Experiments deployed for 4 years in young (3.5 Ma) basaltic crust on the eastern flank of the Juan de Fuca Ridge record a dynamic, post-drilling response of crustal microbial ecosystems to changing physical and chemical conditions. Twisted stalks exhibiting a biogenic iron oxyhydroxide signature coated the surface of mineral substrates in the observatories; these are biosignatures indicating colonization by iron oxidizing bacteria during an initial phase of cool, oxic, iron-rich conditions following observatory installation. Following thermal and chemical recovery to warmer, reducing conditions, the *in situ* microbial structure in the observatory shifted, becoming representative of natural conditions in regional crustal fluids. Firmicutes, metabolic potential of which is unknown but may involve N or S cycling, dominated the post-rebound bacterial community. The archaeal community exhibited an extremely low diversity. Our experiment documented *in situ* conditions within a natural hydrological system that can pervade over millennia, exemplifying the power of observatory experiments for exploring the subsurface basaltic biosphere, the largest but most poorly understood biotope on Earth.

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Introduction

Knowledge about life in the marine sedimentary deep biosphere has increased considerably in the last decade, leading to a better understanding of the distribution of microorganisms in global sediments (Parkes *et al.*, 1994; D'Hondt *et al.*, 2004; Inagaki *et al.*, 2006), although many questions remain regarding the functioning of these microbial communities and their impacts on global chemical cycles. In contrast, the existence and functioning of a deep biosphere within exposed and deep oceanic crust is largely unknown and unconstrained. Oceanic crust comprises a vast and hydrologically

active potential habitat for microbial life. Although some oceanic crust is buried by thick sediment, large areas of the seafloor are characterized by exposed oceanic crust, and these exposures serve as the conduits of exchange for fluids, heat, solutes and biological materials between the ocean and the lithosphere (Fisher and Wheat, 2010). Circulation of fluid within oceanic crust and redox reactions are known to occur in crust <100 Ma in age (Bach and Edwards, 2003). The rate at which water flows into and out of oceanic crust rivals the flow of all of the world's rivers, and most of this flow occurs at low-to-moderate temperatures (0–40 °C) on ridge flanks (Wheat *et al.*, 2003). Considering that the circulating fluids are not in thermodynamic equilibrium with chemically-reduced basalts of the oceanic crust, life in the oceanic crust could be supported by lithotrophic reactions (Bach and Edwards, 2003; Edwards *et al.*, 2005).

Little is currently known about microbial communities that reside in oceanic crust. Surveys of

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seafloor-exposed and buried basaltic crust (Lysnes *et al.*, 2004; Mason *et al.*, 2008; Santelli *et al.*, 2008, 2009) and warm, near-surface fluids emanating from oceanic crust (Huber *et al.*, 2006) indicate microbial communities numerically dominated by a diverse assemblage of Bacteria, in particular the Proteobacteria. However, the functioning of the various bacterial members of these communities is poorly understood, as is knowledge of the presence and behavior of Archaea or microbial eukaryotes. A profound challenge in investigating the crustal deep biosphere is avoiding the confounding influence of contamination during sampling, drilling and experiments (Lever *et al.*, 2006; Santelli *et al.*, 2010). Microbial communities are likely enriched where the crust is most permeable—the same areas that are the most susceptible to contamination by drilling because of the introduction of surface ocean water (used as drilling fluid), pipe lubricants, mud and other materials. One promising approach to overcome potential microbial contamination during drilling is through the use of subsurface observatory systems, known as CORKs ('Circulation Obviation Retrofit Kit'; Davis *et al.*, 1992; Becker and Davis, 2005), where a borehole is cased and depths of interest are isolated and sealed, allowing the system to recover (thermally, chemically and microbiologically). Initial observatory systems addressed questions primarily concerning geophysics, hydrogeology and chemical composition and did not prioritize limiting potential sources of contamination for microbiological studies; however, in recent years, multidisciplinary teams that include microbiologists have focused on design and use of these systems to reduce contamination (Cowen *et al.*, 2003; Jannasch *et al.*, 2004; Fisher *et al.*, 2005; Orcutt *et al.*, 2010).

This study presents the first results from subsurface microbial colonization experiments within CORK observatories, demonstrating how these experiments can be used to capture *in situ* microorganisms and microbiological processes in ocean crustal settings.

Materials and methods

Observatory design, installation and recovery

Novel microbial colonization experiments were deployed within subseafloor borehole observatories on the eastern flank of the Juan de Fuca Ridge during Integrated Ocean Drilling Program Expedition 301 (Fisher *et al.*, 2005), to examine crustal microbial communities *in situ* within young (3.5 Ma) oceanic crust. Two boreholes were targeted for investigation in this study (Supplementary Figure 1): Ocean Drilling Program Hole 1026B and Integrated Ocean Drilling Program Hole U1301A, separated by 1 km and located ~6 km north of Baby Bare outcrop, a site where warm (~64 °C) altered basement fluids discharge at the seafloor (Wheat and

Mottl, 2000; Wheat *et al.*, 2000). The concept for these microbial experiments builds on the successful microbial colonization of mineral surfaces in the deep sea (Edwards *et al.*, 2003; Toner *et al.*, 2009; Orcutt *et al.*, 2010). Each experiment consisted of a polycarbonate plastic hanger onto which four plastic grids were mounted with polycarbonate screws (Figure 1d). Each grid contained four polished rock chips (~1 cm²) as colonization surfaces: one each of basalt, harzburgite (peridotite with high olivine content), pyrite/hematite and sheets of biotite. Experiment hangers were wrapped in aluminum foil, autoclaved for sterilization and stored sterile until inclusion on the CORK instrument strings that also contained fluid samplers and temperature loggers (Jannasch *et al.*, 2004; Fisher *et al.*, 2005; see Supplementary Materials), which were assembled in 2004 aboard R/V *JOIDES Resolution*. The subsurface experiment at Hole 1026B was deployed at a depth of 210 meters below seafloor, and the subsurface experiment at Hole U1301A was deployed at 280 meters below seafloor, ~18 m below the sediment/basement interface. Notably, the CORK at Hole U1301A did not seal properly when installed (Fisher *et al.*, 2005, 2008), and thus fluid exchange was possible between the seafloor and the lower borehole, with the direction of flow dependent on pressure (temperature) conditions within the borehole relative to that in the surrounding formation. Seawater flowed into the formation when the borehole was cold and contained excess fluid pressure, and formation fluid vented from the CORK at the seafloor when the borehole was warm and contained fluids at a pressure less than that in the surrounding formation. Instrument strings were recovered from the borehole observatories in August 2008 aboard the R/V *Atlantis* (cruise AT15-35) with the assistance of DSV *Alvin* (Woods Hole Oceanographic Institution; see Supplementary Materials for detailed recovery procedures).

Samples of borehole fluids from Holes 1026B and U1301A were collected using OsmoSamplers that were deployed directly below the microbial observatories (Jannasch *et al.*, 2004; Fisher *et al.*, 2005; Wheat *et al.*, 2010). Temperature loggers were also deployed in tandem with these instruments. Complete information regarding the collection and analysis of these samples and loggers are available elsewhere (Figure 2; Wheat *et al.*, 2010).

Cell and particle imaging with confocal epifluorescence, scanning electron microscopy (SEM) and micro-X-ray absorption spectroscopy

Particles and cells on the surfaces of the incubated chips were observed through a combination of staining, epifluorescence microscopy and SEM, with slight modification to protocols described previously (Edwards *et al.*, 2003; see Supplementary Materials). Separate chip fragments that had not

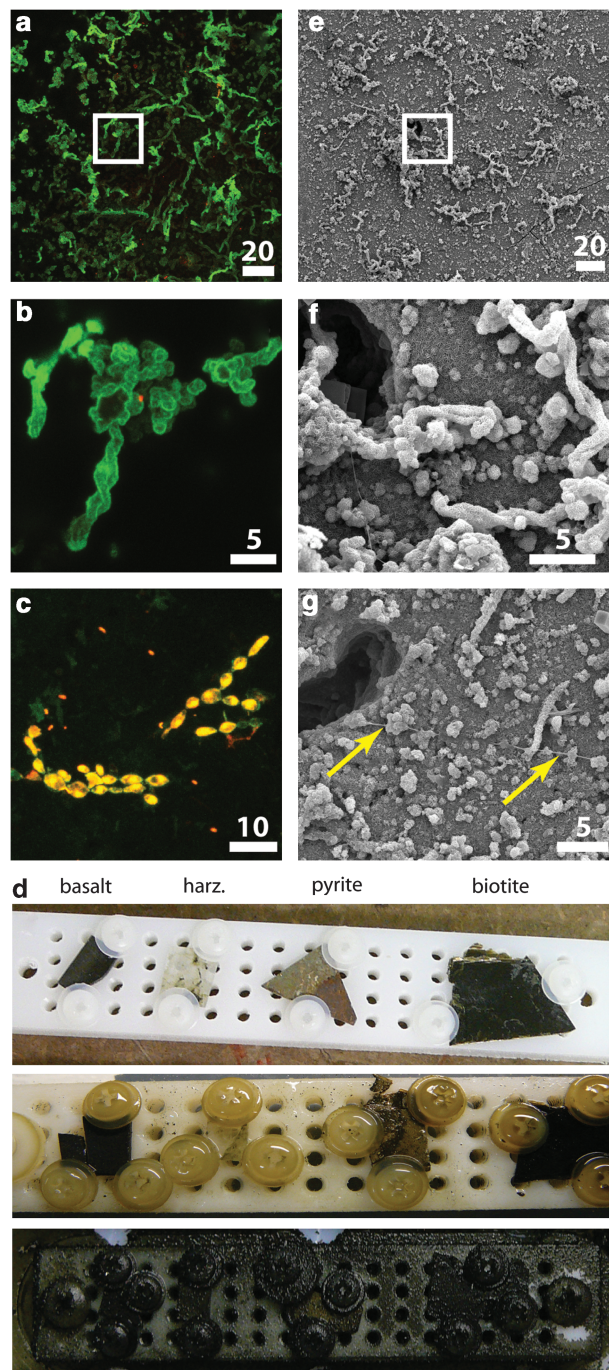


Figure 1 Conspicuous twisted-stalk particles (a, b, e, f, g) and cells (c) observed on the surfaces of the incubated rock chips from Hole U1301A (d), as imaged by epifluorescent confocal microscopy (a–c) and scanning-electron microscopy (e–g). Panels b and f are enlargements of the areas marked in (a) and (e), respectively. Panel d illustrates how the observatory device looked before (top row) and after (middle: Hole U1301A; bottom: Hole 1026B) 4 years of incubation, with each device containing four polished rock chips of basalt, harzburgite (harz.), pyrite/hematite (pyrite) and biotite. Fragments of the rock chips in (a–c) were dual stained with SYBR Green I (green) and propidium iodide (red; dual staining of cells appears orange/yellow); stalk particles appear green because of attachment of SYBR Green I stain to an unknown substance on stalks. The ‘chain of bulbous cocci’ cell morphology seen in panel c is also evident following dehydration for SEM. (g; chain marked by yellow arrows). The color reproduction of this figure is available on the html full text version of the manuscript.

been stained were also evaluated to check for autofluorescence of minerals or other compounds. In addition, some of the plastic materials (that is, plastic screws holding the chips to the grids) from the observatory grids were checked with microscopy to evaluate if particles had also formed on their surfaces. Finally, samples of pipe dope used for CORK drill collar and pipe installation (60% Lead Base and ZN50 products, Bestolife Corporation (Dallas, TX, USA) were also checked for autofluorescence properties and potential interaction with fluorescent stains used in this study. Fluorescence images were collected on an inverted stage Leica TCS SPE confocal microscope using 488 nm (blue light excitation for the SYBR Green I dye) and 532 nm (green light excitation for the propidium iodide dye) wavelength lasers at 20% power with 63X oil objective magnification. Following confocal imaging, chip fragments were removed from the glass coverslips, cleaned with ethanol and prepared for SEM analysis (see Supplementary Materials). Additionally, scrapings of the mineral crusts from the Hole 1026B and Hole U1301A experiments that had been frozen were used for mineralogical analysis by field-emission SEM using a Zeiss Supra 40 at the University of Bremen.

A fragment of the Hole U1301A pyrite/hematite chip was removed using sterile tools, dehydrated in 80% ethanol for 5 min, dried, and then embedded in quick-set epoxy under vacuum to create a ‘thin’ section for micro-X-ray absorption spectroscopy analysis, similar in principle to methods described elsewhere (Toner *et al.*, 2009). X-ray fluorescence elemental maps of areas of interest on the thin section were analyzed at the Advanced Light Source on the 10.3.2 beamline (Marcus *et al.*, 2004). Regions within and below the surface of the chip were then analyzed for Fe- and Mn-X-ray absorption near-edge structure spectroscopy in fluorescence and transmission modes simultaneously at the K-edge (method details in Supplementary Materials).

16S ribosomal RNA (rRNA) gene-based phylogeny

Environmental DNA was extracted from 50–100 mg (~4 mm²) frozen rock chip fragments, and from one of the plastic washers from the Hole U1301A experiment, for analysis of the microbial community based on the 16S rRNA gene following published protocols (Ausbel *et al.*, 1999) utilizing cetrimonium bromide/phenol-chloroform extraction. Bacterial 16S rRNA gene clone libraries were developed from both original environmental DNA extract and from multiple displacement amplification DNA product using the GenomiPhi kit, whereas archaeal 16S rRNA gene clone libraries were created from REPLI-g amplified DNA (see Supplementary Materials for method details). A negative control (that is, no sample added to DNA extraction buffers) was also run through all of the extraction, amplification and cloning procedures. 16S rRNA gene sequences from

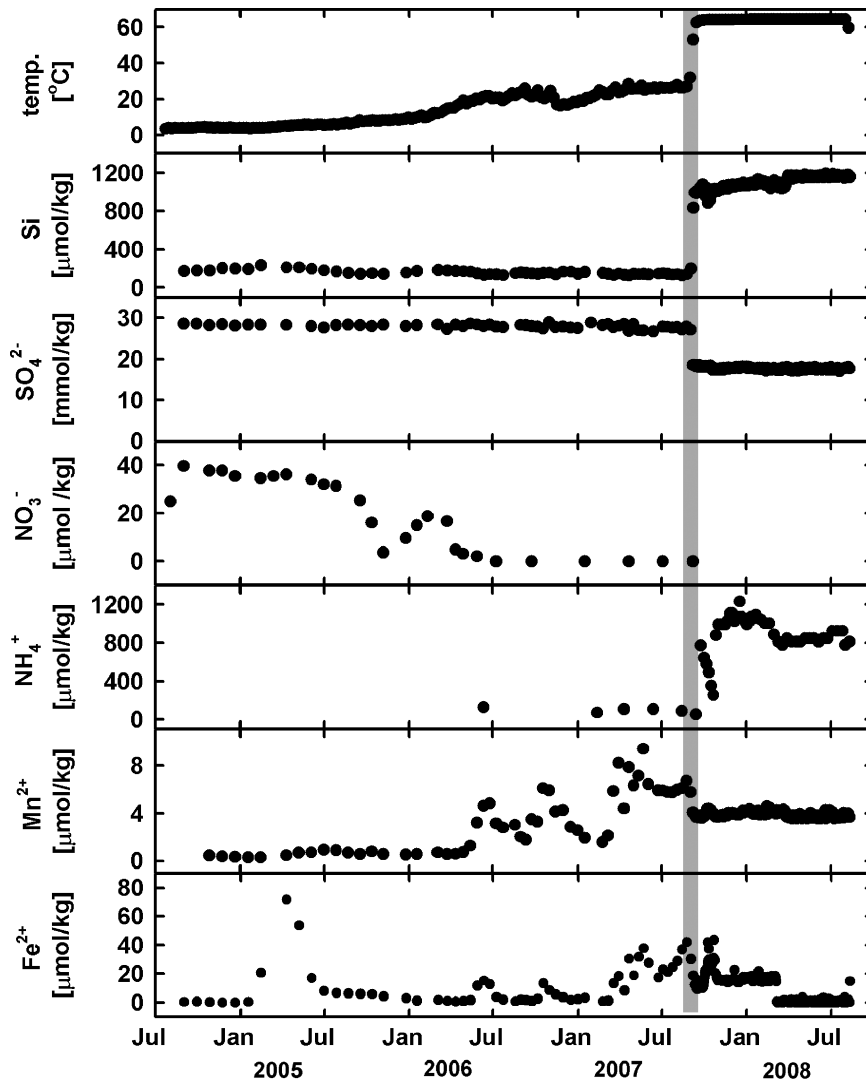


Figure 2 Records of temperature and chemical composition of borehole fluids from Hole U1301A document the history of rebound of the CORK borehole system to *in situ* conditions post-installation; final turn-around is marked by a gray vertical bar in all panels. x-axis for all panels are the same as listed for the lowest panel (modified from Wheat *et al.*, 2010).

this study were submitted to Genbank under accession numbers GU188991-GU189038 and HM635226-HM635263.

Thermodynamic modeling of potential reactions

To evaluate the thermodynamic potential of various redox reactions within the observatory environment, water-rock path models were calculated (using Geochemist's workbench; Bethke, 1996), assuming heating from 2–65 °C and the reaction of 30 g of basalt with 1 kg of seawater (see Supplementary Materials for further details). The available free energy was calculated for a range of redox reactions using the following equation:

$$\Delta_r G = \Delta_r G^0 + RT \ln Q,$$

where $\Delta_r G$ is the Gibb's energy of the reaction ($\text{kJ mol}^{-1} \text{e}^-$), $\Delta_r G^0$ is the standard Gibbs energy yield

of the same reaction at standard state (250 bar, $2^\circ\text{C} < T < 65^\circ\text{C}$, molal activities of solutes, unit activity of solids), R is the universal gas constant, T is the Kelvin temperature and Q is the activity quotient, which is a function of the reactants and products. Reactions with negative values have available Gibbs energy to fuel potential microbial metabolic activity.

Results

Thermal and chemical development of the boreholes
Experimental results are reported from both observatory sites, with emphasis on results from Hole U1301A, which document the dynamic response of borehole microbial communities to return towards natural environmental conditions following initial drilling- and observatory installation-related

disturbances. In contrast, Hole 1026B produced reduced fluids throughout the deployment. All experimental components recovered from Hole 1026B were visibly coated in crusts of dark green/black precipitate, whereas the Hole U1301A components were minimally altered by eye, although microscopic inspection revealed thin secondary mineralized biofilms (Figure 1d).

Temperature and fluid chemical data from these CORKs have been published elsewhere (Wheat *et al.*, 2010); salient features are presented here for context. Temperature loggers and OsmoSamplers from the Hole U1301A CORK record a complex evolution of the post-installation borehole environment (Figure 2). For roughly 1 year following the installation of the CORK, the borehole had thermal and chemical profiles similar to that of seawater (that is, low temperatures, high sulfate concentrations and low silica concentrations), indicating that the formation had not returned to post-drilling conditions. Nitrate concentrations gradually decreased from seawater values at installation until being consumed by mid-2006. Starting in mid-2006, the borehole fluid temperature began to increase, hovering around 30 °C until early September 2007. During this time interval, some chemical constituents such as silica and sulfate did not change appreciably, suggesting that the borehole still contained seawater that was now gaining heat. During this warming phase, spikes were observed in manganese and iron concentrations, possibly reflecting the effect of corrosion of the low-alloy steel used in the CORK casing. In early September 2007, borehole fluids dramatically increased in temperature to ~64 °C, which corresponded with pronounced increases in silica and ammonium concentrations and a decrease in sulfate concentrations. Temperature, silica and sulfate concentrations were steady until recovery of the instrument string in August 2008. During this period, the composition of the borehole fluid closely resembled that of pre-drilling formation conditions. Manganese concentrations leveled off around 4 $\mu\text{mol kg}^{-1}$, although iron concentrations remained relatively elevated.

Cells and particles on rock chip surfaces

Epifluorescence microscopy and SEM of the reacted mineral surfaces in the Hole U1301A colonization experiment revealed cells (Figure 1c), twisted mineral particles (stalks; Figures 1a, b, e and f; Supplementary Figure 2a–c) and secondary mineral encrustation (that is, Mn phases; Figure 1f; Supplementary Figure 2a–c). The observed twisted stalks are morphologically similar to those reported from similar mineral colonization experiments conducted on the Juan de Fuca Ridge axis (Edwards *et al.*, 2003; Toner *et al.*, 2009; Supplementary Figure 2d, e), resembling stalks produced by microaerophilic, neutrophilic and iron-oxidizing bacteria (for exam-

ple, *Mariprofundus ferrooxydans*; Emerson *et al.*, 2007) within iron oxide mats from oceanic hydrothermal vents (Emerson and Moyer, 2002; Supplementary Figure 2f). The pyrite chip, relative to the other three minerals, was the most covered by these stalk particles (64 \pm 4% of surface area covered with stalks), followed by the basalt chip (20 \pm 5%), with the least coverage on the dunite (13 \pm 5%) and biotite (9 \pm 4%) chips. Notably, brightly stained cells were not observed at the ends of these stalk particles, indicating a lack of activity of the stalk-forming microorganisms at the time of collection (Figure 1b). A common cell morphology observed on all chip surfaces was a string of bulbous ovoid-shaped cells that average ~3 μm in length on the long axis (Figure 1c). Following dehydration for SEM, this string morphology was still evident, seen as collapsed nodes along a fiber (highlighted with arrows in Figure 1g). Smaller individual cocci cells were also observed (Figure 1c). Neither cells nor stalk particles were observed on the plastic components of the colonization experiment.

Secondary encrustation and alteration of the stalk particles and chip surfaces was obvious at multiple spatial scales from epifluorescence microscopy, SEM and X-ray fluorescence mapping. SEM analysis revealed microcrystalline features on the chip and stalks (Supplementary Figure 2a,b) and secondary alteration features coating the stalks and mineral surfaces (Supplementary Figure 3). Mineral crusts analyzed by SEM from the Hole 1026B observatory materials were dominated by jasper- (botryoidal Fe-Si oxide) and metal (Fe, Cu, and Zn) sulfide-encrusted aragonite (CaCO₃) prisms (Supplementary Figure 3), which is consistent with the constant warm, reducing conditions exhibited in that hole during the entire experimental period (Wheat *et al.*, 2010) that allowed extensive mineralization to occur. Mineral crusts from the Hole U1301A observatory materials also contained botryoidal Fe-Si oxide, as well as pyrite encrustations exhibiting a range of morphologies from amorphous (not shown) to framboidal (Supplementary Figure 3a, b). Iron oxides were also observed on the Hole U1301A mineral crusts, although aragonite was not observed. X-ray fluorescence mapping of a cross-section through the pyrite/hematite chip fragment demonstrated that the upper surface of the chip was manganese-rich (Figure 3). Comparison with reference Mn K-edge X-ray absorption near-edge structure spectra indicated the Mn-containing mineral was a reduced Mn(II) phase. Underneath this top mineral crust were iron-rich particles (that is, the twisted stalks) that spectrally resembled Fe K-edge X-ray absorption near-edge structure spectra from Fe(III) oxyhydroxides such as goethite and two-line ferrihydrite. The presence of a goethite phase, which was confirmed by micro-X-ray diffraction patterns (data not shown), contrasts with the short-range ordered Fe(III) oxyhydroxides observed in young twisted stalks formed on seafloor-exposed mineral

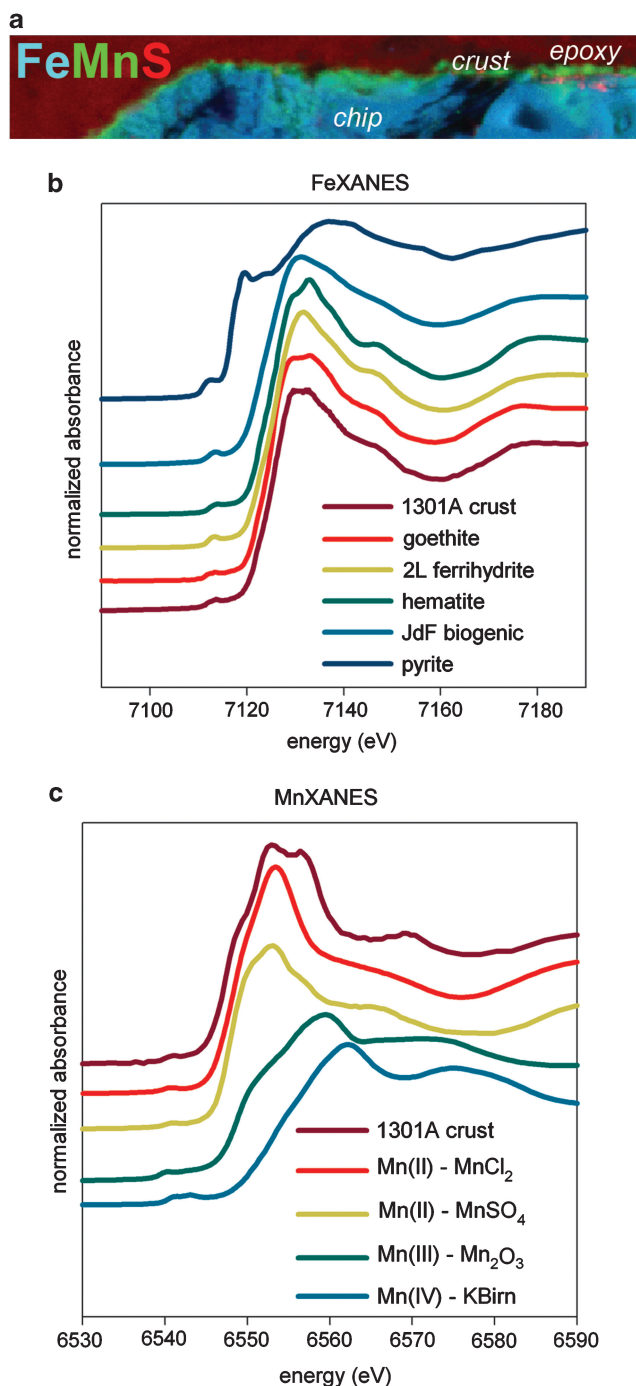


Figure 3 MicroXAS analysis of cross-section through reacted pyrite/hematite chip from Hole U1301A experiment. (a) XRF elemental map—with Fe in blue, Mn in green and S in red—revealing a Mn-rich crust coating the upper surface of the Fe-rich chip. (b) Fe K-edge XANES spectra of the Hole U1301A crust in comparison with reference standards (where ‘JdF biogenic’ refers to the material described in Toner *et al.*, 2009), indicating that the particles underneath the crust have an iron oxyhydroxide signature. (c) Mn K-edge XANES of the Hole U1301A crust in comparison with reference standards, indicating that the Mn in the crust is in the form of Mn(II). Note y-axis in panels (b) and (c) depict normalized absorbance on an arbitrary scale. The color reproduction of this figure is available on the html full text version of the manuscript.

surfaces at the Juan de Fuca Ridge (Toner *et al.*, 2009). This suggests that there was recrystallization of the microbial Fe(III) oxyhydroxides, likely resulting from hydrothermally-driven alteration reactions with warm, reducing fluids.

Microbial 16S rRNA gene sequencing

DNA was successfully extracted and amplified from fragments of the basalt and pyrite chips from the Hole U1301A experiment, as well as from one of the plastic washers from this experiment. Bacterial 16S rRNA gene clones were obtained from PCR reactions with both the direct DNA extract and whole genome amplified DNA, with no strong bias in the types of sequences recovered from either method (that is, similar dominant phylotypes were recovered using both approaches; data not shown). On account of the limited sample size of the original DNA extract, archaeal 16S rRNA gene clones were only recovered from REPLI-g-amplified DNA extract. Cloning was unsuccessful from the Hole 1026B rock chip fragments—either the straight DNA extract or Genomi-Phi-amplified extract yielded PCR products that were suitable for cloning.

A majority (52%, 45 out of 87 sequences) of bacterial sequences from the Hole U1301A subsurface experiments grouped with the Firmicutes phyla (Figure 4). For many of these sequences, the closest relatives are environmental sequences recovered from Hole 1026B during previous studies of the CORK fluids (Cowen *et al.*, 2003) and a black rust formed on the Hole 1026B CORK platform where it was in contact with venting formation fluids and bottom water (Nakagawa *et al.*, 2006a). Many of these sequences were not closely related to cultivated microorganisms, although some of the sequences (10%) were closely related to an anaerobic, thermophilic isolate cultivated from Hole 1026B (Huber *et al.*, 2006) and to *Caloranaerobacter azorensis*, an anaerobic, thermophilic chemo-organotroph isolated from a Mid-Atlantic Ridge hydrothermal chimney (Wery *et al.*, 2001). Other close environmental sequence relatives included environmental sequences from terrestrial subsurface mine waters (Gihring *et al.*, 2008) and from a high-temperature marine oil-reservoir service waters (Gittel *et al.*, 2009).

The second most prevalent bacterial phylogenetic group of the Hole U1301A subsurface experiment sequences was within the OP8 clade (13% of sequences; Supplementary Figure 4), a candidate phylum that does not contain cultivated members. Within this clade, the Hole U1301A sequences grouped most closely to other environmental sequences from marine sediments (Teske *et al.*, 2002; Bowman and McCuiag, 2003; Parkes *et al.*, 2005) and crustal fluids (Huber *et al.*, 2006). A few sequences from this study grouped within the Proteobacteria (Supplementary Figure 4), some showing closest sequence similarity to other environmental sequences from Juan de Fuca

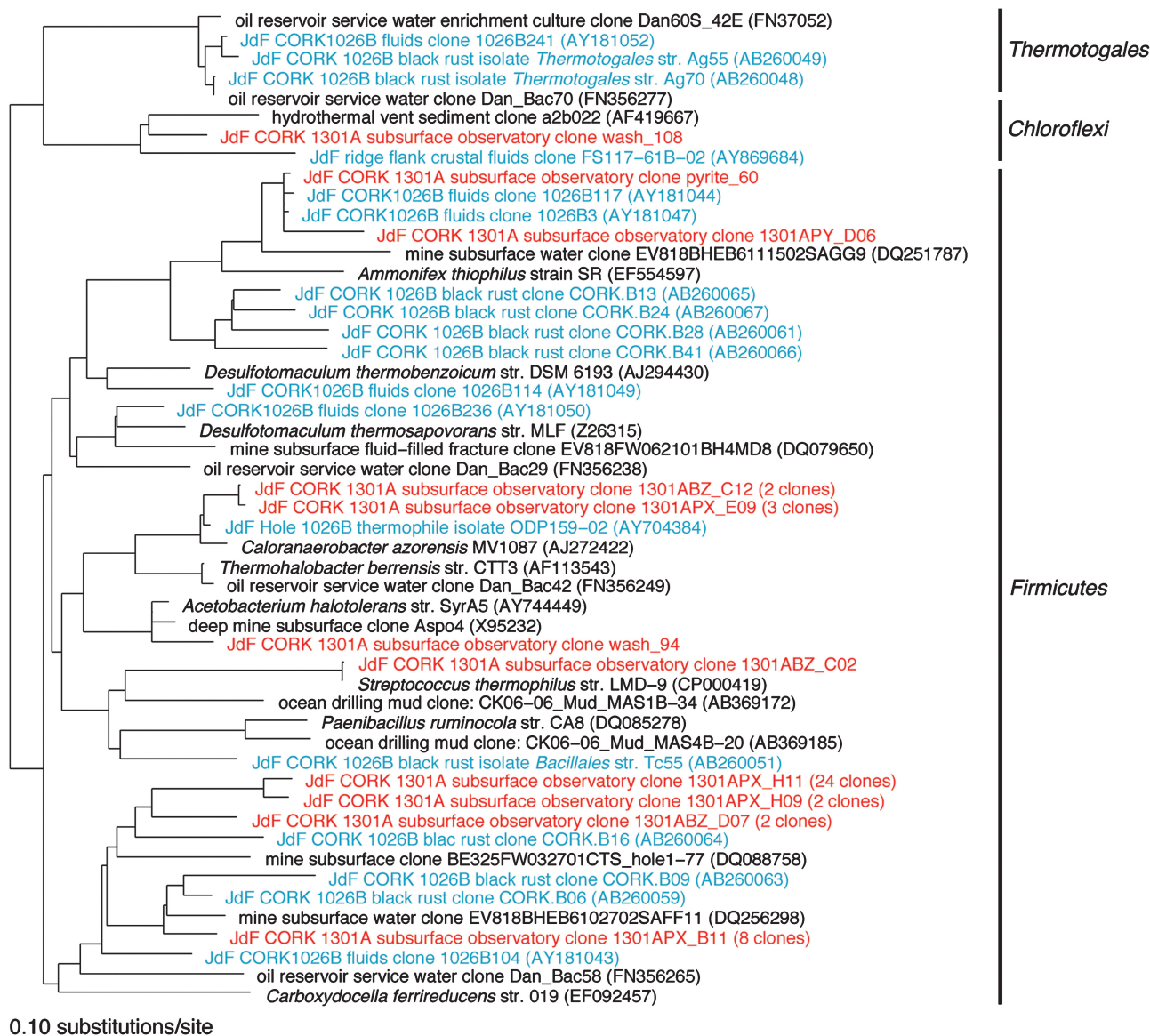
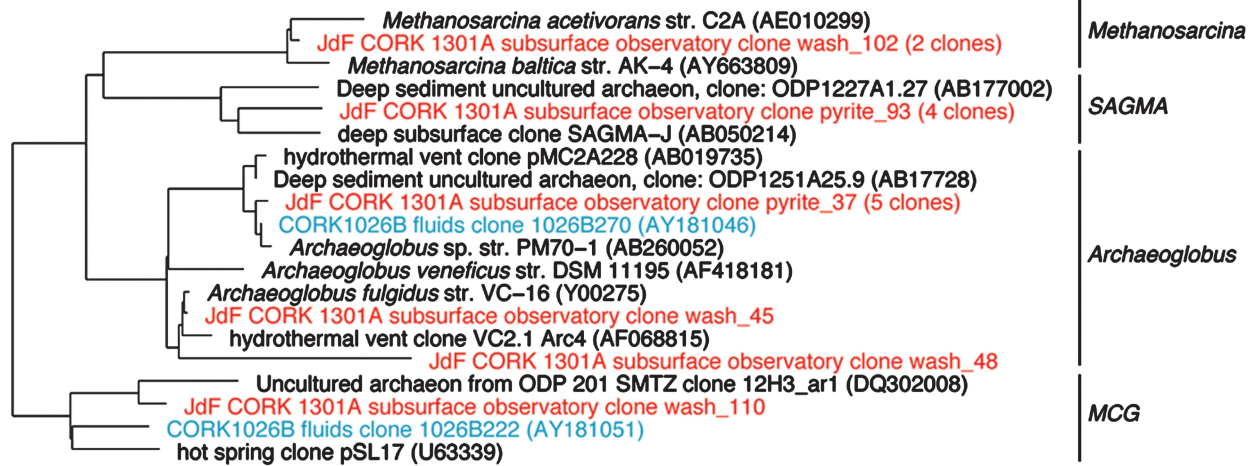


Figure 4 Phylogenetic tree of subset of bacterial 16S rRNA gene sequences (*Firmicutes* and *Thermotogae* phyla) from Hole U1301A colonized rock chips. Sequences from this investigation in red, sequences from Hole 1026B black rust (Nakagawa *et al.*, 2006a) and fluid (Cowen *et al.*, 2003) in blue; and isolates in italics. The color reproduction of this figure is available on the html full text version of the manuscript.

ridge flank crustal fluids (Huber *et al.*, 2006). Four sequences grouped relatively closely to environmental clones from marine basalts (Santelli *et al.*, 2008) and granite mines (Sahl *et al.*, 2008) that are related to the cultivated aerobic microorganism *Stenotrophomonas maltophila*, a known human pathogen that is also prevalent in aqueous environments. One sequence grouped near the *Desulfonatronum* and *Desulfonatronovibrio* genera, known to be heterotrophic and autotrophic, alkaliphilic, sulfate-reducing bacteria that can use hydrogen and formate and electron sources. Three sequences grouped closely to Alpha-proteobacteria and Verrucomicrobia microorganisms cultivated from the marine water column.

The archaeal communities observed on the Hole U1301A subsurface experiment had low diversity (Figure 5) with membership from only four groups of archaea. Two sequences grouped closely with cultivated species of the *Methanosarcina*, which are known as methanogenic euryarchaeota. Seven archaeal sequences grouped within the *Archaeoglobus* clade, members of which are commonly recovered from hydrothermal environments and deep marine-oil production (Gittel *et al.*, 2009). Four sequences grouped within the SAGMA-G clade of uncultivated crenarchaeota often found in terrestrial subsurface mines, and one sequence grouped with the uncultivated miscellaneous crenarchaeota group commonly found in deep marine sediments.



0.10 substitutions/site

Figure 5 Phylogenetic tree of archaeal 16S rRNA gene sequences from Hole U1301A colonized rock chips. Same conditions as specified in Figure 4. The color reproduction of this figure is available on the html full text version of the manuscript.

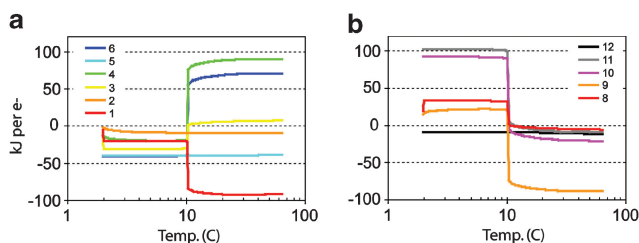


Figure 6 Gibbs energy yields of reactions (in $\text{kJ mol}^{-1} \text{e}^{-}$) calculated from a rock-seawater reaction model at temperatures ranging from 2–65 °C. Note logarithmic temperature axis in both panels. (a) Oxidation reactions (1–6) of Fe(II), Mn(II) and NH_4^+ with oxygen and nitrate. (b) Reduction reactions (8–12) of Fe(III), Mn(IV), SO_4^{2-} , and HCO_3^- with H_2 , and anaerobic methane oxidation with sulfate. Reactions considered include 1: $5\text{Fe}^{2+} + \text{NO}_3^- + 7\text{H}_2\text{O} \rightarrow 5\text{FeOOH} + 0.5\text{N}_2 + 9\text{H}^+$; 2: $5\text{Mn}^{2+} + 2\text{NO}_3^- + 4\text{H}_2\text{O} \rightarrow 5\text{MnO}_2 + \text{N}_2 + 8\text{H}^+$; 3: $\text{Fe}^{2+} + 0.25\text{O}_2 + 1.5\text{H}_2\text{O} \rightarrow \text{FeOOH} + 2\text{H}^+$; 4: $\text{Mn}^{2+} + 0.5\text{O}_2 + \text{H}_2\text{O} \rightarrow \text{MnO}_2 + 2\text{H}^+$; 5: $3\text{NO}_3^- + 5\text{NH}_4^+ \rightarrow 4\text{N}_2 + 9\text{H}_2\text{O} + 2\text{H}^+$; 6: $\text{NH}_4^+ + 2\text{O}_2 \rightarrow \text{NO}_3^- + 2\text{H}^+ + \text{H}_2\text{O}$; 8: $\text{FeOOH} + 0.5\text{H}_2 + 2\text{H}^+ \rightarrow \text{Fe}^{2+} + 2\text{H}_2\text{O}$; 9: $\text{MnO}_2 + \text{H}_2 + 2\text{H}^+ \rightarrow \text{Mn}^{2+} + 2\text{H}_2\text{O}$; 10: $\text{SO}_4^{2-} + 4\text{H}_2 + 2\text{H}^+ \rightarrow \text{H}_2\text{S} + 4\text{H}_2\text{O}$; 11: $\text{HCO}_3^- + 4\text{H}_2 + \text{H}^+ \rightarrow \text{CH}_4 + 3\text{H}_2\text{O}$; 12: $\text{SO}_4^{2-} + \text{CH}_4 + \text{H}^+ \rightarrow \text{H}_2\text{S} + \text{HCO}_3^- + \text{H}_2\text{O}$. The color reproduction of this figure is available on the html full text version of the manuscript.

Thermodynamic calculations

Results from the equilibrium rock-water reaction calculations indicate that Fe(II) oxidation with oxygen would be favorable (that is, negative $\Delta_r G$) during the cooler periods of the experiment, such as when seawater was flowing into Hole U1301A, becoming unfavorable as temperatures increased in September 2007 (Figure 6). The switch to unfavorable conditions is related to the removal of oxygen from the reaction environment. The oxidation of Mn(II) and NH_4^+ with oxygen also change from thermodynamically favorable to unfavorable as temperature increases. The temperature of the transition from favorable to unfavorable conditions

is dependent on the quantity of rock considered to have reacted in the model, with a greater water-to-rock ratio resulting in a lower temperature for the transition (data not shown). By contrast, Fe(II), Mn(II) and NH_4^+ oxidation with nitrate are thermodynamically favorable at all of the temperatures considered. Reduction reactions involving hydrogen-reducing iron oxyhydroxides, manganese oxide, sulfate and bicarbonate only become thermodynamically favorable at the warmer temperatures and in a reducing, anaerobic environment. These results indicate that iron oxidation would have been a possible reaction in the early, cooler periods of the observatory experiment (Figure 2).

Discussion

Results presented here offer the first evidence for the potential of subsurface microbial observatories to capture *in situ* microorganisms and processes in ocean crustal settings. Notably, the colonization experiments recovered from Hole U1301A recorded a dynamic microbial community responding to environmental conditions within the crustal borehole environment. These studies emphasize the transient nature of microbial communities and highlight the need for time-series observations and analyses to interpret the microbiological influence on mineralogical processes.

During the first year of the deployment at Hole U1301A, thermal and chemical conditions within the borehole indicate a strong seawater influence (Figure 2; Wheat *et al.*, 2010). Surface seawater is pumped into crustal boreholes during drilling for lubrication of the drill-bit and to remove borehole cuttings, and additional bottom seawater water flows in these holes because of the higher

hydrostatic pressure of cold seawater fluids in the borehole relative to ambient formation conditions (Fisher and Wheat, 2010). Incomplete sealing of the Hole U1301A borehole observatory at the seafloor allowed the flow of cold bottom water to continue for several years (Fisher *et al.*, 2008). The borehole fluid warmed over time (Figure 2), and eventually the natural-formation fluid overpressure overcame the excess pressure of the cold seawater in the borehole, resulting in producing borehole in which formation fluids within the crust flowed into and up the borehole, discharging at the seafloor. Borehole conditions rebounded towards a thermal ($\sim 64^\circ\text{C}$) and chemical state (Figure 2), consistent with regional basement conditions (Wheat *et al.*, 2004) as observed at Baby Bare outcrop (Wheat and Mottl, 2000; Wheat *et al.*, 2000) where there is no perturbation associated with a borehole observatory emplacement or recovery. Before the rebound, nitrate concentrations decreased and showed non-conservative mixing behavior relative to other ions such as Mg, suggestive of microbial consumption (Wheat *et al.*, 2010). Oxygen was likely present in borehole fluids when nitrate concentrations were at bottom seawater values and we infer that it also became depleted during the period of nitrate consumption, although no record of oxygen concentration is available. Nitrate and oxygen serve as electron acceptors in redox reactions involving iron, which also was present in the borehole fluids, likely because of the reaction of the low-alloy steel pipe used for observatory construction.

In combination with available chemical and temperature data (Figure 2; Wheat *et al.*, 2010), the results presented herein indicate that the Hole U1301A experiments recorded early colonization by iron oxidizing bacteria, followed by a shift in community structure when the borehole returned to reducing conditions. The twisted iron oxyhydroxides stalk particles observed on the rock chips (Figure 1, Supplementary Figure 2), exhibiting morphological similarity to seafloor mineral incubation experiments (Edwards *et al.*, 2003), indicate colonization by iron oxidizing bacteria when conditions were thermodynamically favorable for this process (Figure 6). Notably, the density of the twisted-stalk particles was highest on the pyrite/hematite chip, the most reactive mineral substrate (susceptibility to oxidation and dissolution) among those tested, indicating preferential colonization of that surface despite the probability that iron was present in borehole fluids at variable levels throughout the experimental period. Following the shift of borehole conditions towards a more natural reducing state, the loss of suitable electron acceptors thermodynamically disfavors iron oxidation (Figure 6); thus the microbial groups responsible for this process, and for forming the twisted-stalk particles, would have died out, leaving only the stalk particles as fossil evidence of their former presence. This interpretation is consistent with the

absence of 16S rRNA gene sequences related to known stalk-forming iron-oxidizing bacteria (that is, zeta-proteobacteria such as *Mariprofundus* spp.; Emerson *et al.*, 2007; Rassa *et al.*, 2009) and the lack of cells at the ends of twisted stalks.

A second phase of mineral alteration is evidenced by a Mn(II)-rich crust on the pyrite chip surface, identified by coupled X-ray fluorescence mapping and X-ray absorption near-edge structure (Figure 3), alteration in the form of etching and pitting of twisted-stalk particles (Figure 1f, Supplementary Figure 2), and identification of higher order Fe(III) oxyhydroxide signatures of the stalks compared with 'fresher' biogenic stalk material (Toner *et al.*, 2009). These data correlate well with the warm, reducing conditions in Hole U1301A following rebound (Figure 2). We infer that the incipient secondary mineralization on the Hole U1301A observatory materials (that is, reduced Mn mineralization) reflects an earlier stage of mineralization in reducing, warm borehole fluids by comparison with the more mature mineral products observed in the Hole 1026B experiment. This interpretation is consistent with microbiological evidence presented here and in other studies. Specifically, the contemporary microbial community associated with colonization materials deployed in Hole U1301A that were recovered after the hole rebounded (Figures 4,5, Supplementary Figure 4) exhibit significant phylogenetic similarity to microbial communities previously identified from Hole 1026B borehole fluids and black-rust formation at the producing well head (Cowen *et al.*, 2003; Nakagawa *et al.*, 2006a), which had experienced similar chemical and temperature conditions (Wheat *et al.*, 2004). This overlap in community composition, especially considering the different protocols used in the various investigations, provides further validation that the previous phylogenetic results from Hole 1026B (Cowen *et al.*, 2003; Nakagawa *et al.*, 2006a) are indeed representative of *in situ* populations of microorganisms. Furthermore, sequences recovered from Hole U1301A did not group closely to environmental sequences obtained from ocean drilling muds (Masui *et al.*, 2008), suggesting that the *in situ* microbial communities are not in-growths of drilling mud-related contaminants.

The contemporary Hole U1301A subsurface bacterial community was dominated by the Firmicutes phylum, phylogenetically grouping with microorganisms with unclear physiological function. Considering phylogenetic placement, some sequences grouped near *Ammonifex* genera (Figure 4), known as thermophilic, chemolithoautotrophs capable of growth utilizing hydrogen, formate or pyruvate coupled to nitrate, sulfate or S° reduction. Cowen *et al.* (2003) speculate that the association of environmental clones to *Ammonifex* in Hole 1026B fluids might indicate nitrate reduction to ammonia with hydrogen as the electron donor, as is the case for some *Ammonifex*-related microorganisms, although ammonium may also be derived from

sediment influence. The recent chemical record from Hole U1301A (Figure 2; Wheat *et al.*, 2010) indicates that high ammonium levels correspond to hydrothermal alteration of sediments or drilling muds within the basaltic formation and are not attributable to a biological reaction. Nakagawa *et al.* (2006a) suggest that the *Ammonifex*-related microorganisms may be involved in sulfate reduction coupled to hydrogen oxidation, with hydrogen formation resulting from reactions between water and steel-observatory components. Given that the environmental sequences from this study and others are distantly related to cultivated species (~90% sequence similarity), inference of physiology is likely tenuous and requires successful cultivation or other techniques (for example, activity measurements), as mentioned previously (Cowen *et al.*, 2003). Some (10%) of the sequences are related to an anaerobic, thermophilic isolate cultivated from Hole 1026B (Huber *et al.*, 2006), and to *Caloranaerobacter azorensis*, an anaerobic, thermophilic chemo-organotroph isolated from a Mid-Atlantic Ridge hydrothermal chimney (Wery *et al.*, 2001), further evidence that the Hole U1301A experiments were colonized by hydrothermal, basement-derived microbial communities. Environmental sequences from terrestrial subsurface mine waters (Gihring *et al.*, 2008) also grouped with Hole U1301A sequences, suggesting a common deep-biosphere linkage between marine and terrestrial realms.

Although there are strong similarities between the contemporary Hole U1301A observatory community and Hole 1026B communities (Cowen *et al.*, 2003; Nakagawa *et al.*, 2006a), the overall phylogenetic composition of the Hole U1301A microbial community is strikingly different from those inhabiting seafloor-exposed (Santelli *et al.*, 2008) and near-surface (Huber *et al.*, 2006) basalts, inactive chimney sulfides (Suzuki *et al.*, 2004; Toner *et al.*, in review), and hydrothermal chimneys and fluids (Nakagawa *et al.*, 2006b; Perner *et al.*, 2007; Takai *et al.*, 2008). Seafloor-exposed basalts and sulfides tend to harbor communities dominated by members of the α - and γ -Proteobacteria, whereas hydrothermal environments are often characterized by Epsilon-Proteobacteria and high proportions of Archaea. We suggest that the unique microbial communities of Holes 1026B (Cowen *et al.*, 2003; Nakagawa *et al.*, 2006a) and U1301A relate to *in situ* conditions of this crustal environment.

This unique dataset from the first colonization experiments to be deployed in long-term seafloor observatories in young oceanic crust documents the dynamic nature of subsurface ocean crust microbial communities in association with changing fluid conditions—recording early colonization by iron oxidizing bacteria, and later colonization by an anaerobic microbial community dominated by Firmicutes. This change took place

over a few years in Hole U1301A, due to the nature of observatory installation and formation response. These results document and explain two major population shifts in a deep seafloor habitat. This observation contrasts the current understanding of subsurface microbial populations as relatively stagnant, with long turnover times, as has been inferred in sedimentary deep-biosphere environments (Jørgensen and Boetius, 2007). Results demonstrated in this relatively short-term perturbation experiment are nonetheless representative of natural ridge-flank hydrothermal systems, which follow a similar trajectory in thermal and chemical conditions over centuries to millennia as they evolve from a more open and oxic state to a warmer, more isolated and more altered composition (Hutnak and Fisher, 2007; Wheat *et al.*, 2010). These results emphasize the need for multi-faceted approaches for interpreting current and past processes, as the dynamics, history and succession in the borehole environment can only be interpreted against the backdrop of coupled mineralogical, microbiological, thermal and geochemical approaches (for example, 16S rRNA gene analysis alone would only reveal the contemporary community). The upper oceanic crust is the most voluminous, continuous aquifer system on the planet, yet we know little about what kinds of life this habitat may harbor, what strategies microorganisms employ to generate energy and acquire carbon, or how similar/different these microorganisms are to the deep ocean, deep sedimentary and continental realms. Our results and others (Orcutt *et al.*, 2010) show how seafloor microbial observatories can yield a more complete understanding of the function, activity, size and consequence of this biosphere, which will elucidate how microbial activity in the ocean crust may influence global biogeochemical cycles, crustal properties and related processes.

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