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Role of African Ancestry and Gene-Environment Interactions in Predicting Preterm Birth

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Abstract

Objective—To estimate whether African ancestry, specific gene polymorphisms, and geneenvironment interactions could account for some of the unexplained preterm birth variance within blacks.

Methods—We genotyped 1,509 African ancestry informative markers, cytochrome P-450 1A1 (*CYP1A1*) and glutathione S-transferases Theta 1 (*GSTT1*) variants in 1,030 self-reported black mothers. We estimated the African ancestral proportion using the ancestry informative markers for all 1,030 self-reported black mothers. We examined the effect of African ancestry and *CYP1A1* and *GSTT1* smoking interactions on preterm birth cases as a whole and within its subgroups: very preterm birth (gestational age less than 34 weeks); and late preterm birth (gestational age greater than 34 and less than 37 weeks). We applied logistic regression and receiver operating characteristic (ROC) curve analysis, separately, to evaluate if African ancestry and *CYP1A1*- and *GSTT1*-smoking interactions could make additional contributions to preterm birth beyond epidemiological factors.

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Conclusion—Our data underscore the importance of simultaneously considering epidemiological factors, African ancestry, specific gene polymorphisms and gene-environment interactions to better understand preterm birth racial disparity and to improve our ability to predict preterm birth, especially very preterm birth.

Introduction

Preterm birth (PTB) is commonly defined as delivery before 37 weeks of gestation. PTB is a serious public health problem¹, threatening all ethnic groups, but particularly Black populations.

The contemporary African American population is a genetic admixture between Africans and Europeans⁵. Genetic ancestry has been linked to a number of health outcomes with a known Black-White disparity, including asthma, cardiovascular diseases, prostate cancer, lung function and $PTB^{11–13}$. However, it remains largely unknown whether genetic ancestry can further explain individual variation in self-reported Black women¹⁴.

In an earlier report¹⁴, using 57 ancestry informative markers (AIMs) in 812 women, we found that African ancestry was associated with PTB and its related traits. However, our previous ancestral estimates may have not been highly accurate due to the fact that we only used a set of 57 AIMs. Therefore, our current study is necessary to strengthen and expand on our previous findings, and is bound to provide more convincing evidence for the potential usefulness of genetic ancestry in the preterm birth research. In addition, our team previously identified interactions of two genes, cytochrome P-450 1A1 (*CYP1A1)* and glutathione Stransferases Theta 1 (*GSTT1)*, and maternal smoking associated with PTB in the same population^{18–20}.

In this study, we first examined whether the previously observed association was retained between African ancestral proportion and PTB and its subphenotypes (including very PTB and late PTB) by genotyping several more AIMs in a larger set of subjects to estimate African ancestral proportion. Second, we assessed the association between African ancestry and PTB, very PTB and late PTB in self-reported Black women after controlling for pertinent risk factors. Finally, we examined whether including African ancestral proportion, gene polymorphisms and GxE interactions could make additional and independent contributions to predicting PTB, very PTB, and late PTB beyond known epidemiological risk factors.

Materials and Methods

Study Subjects and Data Collection

This study included a subset of 1,030 Black mothers (518 PTB cases and 512 controls) enrolled in an ongoing case-control study of preterm birth at the Boston Medical Center $(BMC)^{21}$. The current study overlaps with our earlier study¹⁴, in that 441 of the 812 subjects in our first study also participated in this study. The enrollment period was from 1998 to 2008. Case mothers were those who delivered singleton, live births occurring at less than 37 weeks of gestation, and controls were defined as mothers delivering at greater than or equal

to 37 weeks of gestation with birth weight appropriate for gestational age as defined by the National Center for Health Statistics/CDC guidelines (birth weight between 2,500 and 4,000 grams)²². Pregnancies resulting in multiple births and newborns with major birth defects were excluded. A detailed description of the study population was previously published 21 . The Institutional Review Boards of the Boston University Medical Center, the Massachusetts Department of Public Health, and Children's Memorial Hospital in Chicago approved the study protocol. All participants gave written informed consent.

Definition of Phenotype

Preterm birth (PTB) was evaluated as both a binary ($\langle 37 \rangle$ weeks of gestation vs. ≥ 37 weeks of gestation) and a continuous (gestational age) variable. Gestational age was assessed using an algorithm based on last menstrual period and the result of early ultrasound (<20 weeks of gestation). The last menstrual period estimate was used only if confirmed by ultrasound within 7 days or if no ultrasound estimate was obtained; otherwise, the ultrasound estimate was used. This approach has been used in previous studies $2^{1,23}$.

In this study, we used a cut-off point of <34 weeks to define very preterm birth (very PTB), and 34.0–36.86 weeks to define late preterm birth (late PTB), which has been used by other groups^{24,25}. In addition, we categorized PTB cases as spontaneous PTB if they occurred secondarily to documented active preterm labor (uterine contractions with cervical effacement and dilation at less than 37 weeks), or preterm premature rupture of membranes (PPROM) (< 37 weeks without uterine contractions) or both uterine contractions and PPROM occurring simultaneously; or as indicated, including PTB that was defined as delivery, which was not preceded by the presence of uterine contractions and/or rupture of membranes. A detailed description was previously published 14 .

Genotyping Data

We genotyped a total of 1,509 AIMs previously identified as highly informative between African and European ancestry^{12,26} for 1,030 Black mothers (518 PTB cases and 512 matched controls). Specifically, we applied the Illumina African American Panel as our genotyping platform

[\(http://www.illumina.com/products/african_american_admixture_panel.ilmn\)](http://www.illumina.com/products/african_american_admixture_panel.ilmn). For quality control, four duplicate DNA samples were placed on each 96-well plate. The concordance rate of these duplicate samples was >99.5%.

In addition, we genotyped genetic markers within the two genes, *CYP1A1* and *GSTT1,* separately. Detailed information regarding DNA extraction, PCR condition and quality check of *CYP1A1* and *GSTT1* has been described elsewhere^{19,21}

Statistical Analysis

Several analytical approaches were used in this study. First, we obtained ancestral estimates for each subject using the Structure program 27,28 . Second, we compared the equality of ancestral distributions between PTB and term controls, very PTB and term controls, and very PTB and late PTB, respectively, using the Kolmogorov-Smirnov statistic. Third, using stepwise model selection in a logistic regression framework, we identified a set of significant risk factors and further tested the association of significant risk factors, African ancestry, *CYP1A1*, *GSTT1*, and their interactions with smoking with PTB, very PTB and late PTB, individually. Last, the receiver operating characteristic (ROC) curve analysis and the corresponding *c* statistic were obtained, and a non-parametric statistic was applied to assess the discrimination ability of different predictive models. Below, we provide detailed information about each analytical approach applied in this study.

First, we applied an admixture model implemented in the program Structure to estimate individual admixture proportions using our panel of $1,509$ AIMs^{27,28}. Specifically, the admixture model assumes that each individual inherits some proportion of their ancestry from each ancestral population. To compute African ancestral estimates, we input genotyping data from both ancestral populations (Africans and Europeans) (of note, genotyping data for both ancestral populations were from the International HapMap project [\(http://hapmap.ncbi.nlm.nih.gov/](http://hapmap.ncbi.nlm.nih.gov/))), specified as *known populations*, and from admixed subjects, specified as an *unknown population*. We then assumed an admixture model and used default values for other parameters provided by Structure with 5,000 burn-in and 50,000 further iterations through the Markov chain Monte Carlo (MCMC) algorithm. In addition, we generated plots to compare the distribution of African ancestral proportion between PTB and term controls, very PTB and term controls, and very PTB and late PTB, respectively. We also carried out the non-parametric test using the Kolmogorov-Smirnov statistic for the equality of distributions.

Since this study was based on a "loosely age-matched" design, we further took into account this "matching-adjusted age effect" to ensure that we did not misinterpret the results. We adjusted for this matching effect as follows. We first obtained the prevalence of PTB for each matching stratum. In the formula we let *ncase* be the number of PTB cases in the stratum *a*, $n_{control}$ be the number of controls in the same stratum and p_a be the prevalence of PTB in this stratum. We used this formula: $log(n_{case}/n_{control}) - log(p_a(1-p_a))$ to compute an age-adjusted variable for each subject within each corresponding stratum²⁹. Then we applied subsequent logistic regression while including this age-adjusted variable using an "offset" option implemented in STATA.

Next, we identified a set of risk factors using stepwise model selection (cutoff *p* value < 0.15). Specifically, the examined known risk factors of PTB including: maternal age (<20, 20–24, 25–29, 30–34 and \geq 35 years), education (\leq middle school, high school, and $>$ high school), parity $(0, 1, \text{ and } \geq 2)$, marital status (married, other), maternal pre-pregnant body mass index (BMI) (<20, 20–24, 25–29, and \geq 30 Kg/m²), maternal smoking during pregnancy (current smoking, quitters and none smoking), illicit drug use (yes/no), stress (not stressed, average stress and very stressed) and number of years in the U.S. (born in the U.S., <5, and >5 years). In addition, we used logistic regression to examine the association of African ancestry proportion, *CYP1A1*, *GSTT1* and their interactions with maternal smoking, with PTB, very PTB and late PTB, respectively. The odds ratio (OR) is expressed for each 10% increment of African ancestry proportion.

We further assessed the model performance for the added value of African ancestry, *CYP1A1*, *GSTT1* and their interactions with maternal smoking for the prediction of PTB, very PTB and late PTB, individually, using the ROC curve analysis³⁰. Specifically, we evaluated the predictability across different models by calculating the concordance (*c*) statistic, the most commonly used quantity for indicating the discrimination ability of different models. In addition, we applied a non-parametric statistic implemented in STATA to test the equality of the area under the curve across four sequential predictive models 31 . The set of risk factors assessed in the predictive models was as follows: the known epidemiological variables described above, African ancestral proportion, two previously identified genetic polymorphisms (*CYP1A1* and *GSTT1*) and their interactions with maternal smoking. Finally, to evaluate if African ancestry was co-linear with known PTB epidemiological risk factors, we computed the Pearson correlation coefficient between African ancestry and maternal age, BMI and years in the US, and the point-biserial correlation coefficient between African ancestry and education, marital status and parity. Data analyses were performed using statistical packages R 2.10.0 [\(http://www.r-project.org\)](http://www.r-project.org) and Intercooled STATA 11.0 (College Station, TX).

Results

A total of 1,030 Black mothers (518 PTB cases and 512 term controls) were included in the study. The 518 PTB cases were further divided into 211 very PTB and 307 late PTB. Table 1 shows the demographic, clinical and genetic characteristics of the study subjects, stratified by PTB, very PTB, late PTB and term controls. The average and corresponding standard deviation (SD) of African proportions was 0.88 (SD = 0.15) in PTB cases, 0.88 (SD = 0.12) in very PTB, 0.87 (SD = 0.16) in late PTB and 0.85 (SD = 0.16) in controls. The distribution of African ancestry between PTB cases and controls is provided in Figure 1 in the Appendix, available online at [http://links.lww.com/xxx.](http://links.lww.com/xxx) In addition, the Kolmogorov-Smirnov statistic indicated that the distributions of African ancestral proportion did not differ significantly in PTB vs. term controls ($P = 0.78$), very PTB vs. term controls ($P =$ 0.20), and very PTB vs. late PTB $(P = 0.18)$.

For the known PTB epidemiological risk factors, we applied stepwise model selection to identify a subset of important risk factors, which were included in the subsequent predictive models (Table 2). Consistent with previous findings, maternal smoking during pregnancy and overall stress were significantly associated with PTB, whereas illicit drug use was borderline significant. In addition, we examined the association of African ancestry and *CYP1A1*, *GSTT1*, and their interactions with maternal smoking with PTB, very PTB and late PTB, separately. . Notably, African ancestry was significantly associated with PTB (22% vs. 31%, OR=1.11 for every 10% increment; 95% CI: 1.02–1.20) and very PTB (23% vs. 33%, OR=1.17; 95% CI: 1.03–1.33), but not with late preterm birth (22% vs. 29%, OR=1.06; 95%CI: 0.97–1.16), whereas *CYP1A1*-maternal smoking was significantly associated with PTB (OR=1.83; 95% CI: 1.20–2.81) and late PTB (OR=2.03; 95% CI: 1.30–3.19), but not *GSTT1*-maternal smoking (Table 2).

Moreover, we performed stepwise model selection by stratifying PTB into the subtypes of spontaneous PTB and indicated PTB to identify a set of important PTB risk factors. Interestingly, the same set of important risk factors was identified among PTB, very PTB, late PTB and spontaneous PTB, but not indicated PTB (Tables 1 and 2 in the Appendix, available online at <http://links.lww.com/xxx>).

Furthermore, we performed ROC curve analysis. We evaluated four different models for predicting PTB, very PTB and late PTB, separately, as follows: 1) Model 1: a set of known PTB epidemiological risk factors, including: smoking, illicit drug use and overall stress, which were significant factors identified from the above association tests; 2) Model 2: Model 1 plus African ancestry; 3) Model 3: Model 1 plus *GSTT1* and interaction of *GSTT1* and maternal smoking, *CYP1A1* and interaction of *CYP1A1* and maternal smoking; 4) Model 4: Model 3 plus African ancestry. As shown in Table 3, Model 4 (containing African ancestry, genetic findings and GxE interactions) showed the highest area under curve at 0.66 (95% CI: 0.61–0.70) among the predictive models for very PTB. Likewise, Model 4 (containing African ancestry, genetic findings and GxE interactions) for PTB and late PTB, individually, also showed the best discrimination ability among the four presented models. Similarly, we performed ROC curve analysis and evaluated the four predictive models described above for spontaneous PTB and indicated PTB, respectively. We also used another set of important risk factors identified for indicated PTB and carried out the corresponding ROC curve analysis (Tables 3–5 in the Appendix, <http://links.lww.com/xxx>). The results in Tables 5–6 in the Appendix (<http://links.lww.com/xxx>), showed that the best discrimination ability was observed in Model 4 (containing African ancestry, genetic findings and GxE interactions) for spontaneous PTB.

We further applied a non-parametric statistic to test the equality of the area under curve. There was significant improvement when adding African ancestry, genetic findings and GxE interactions in Model 4 (Table 4). The results in Table 4 indicated that Model 4 was significantly better than all the other models (Model 1 vs. Model 4, $p = 0.002$ for PTB; $p =$ 0.0007 for very PTB; $p = 0.004$ for late PTB).

We further examined whether African ancestral proportion was associated with the two PTB susceptible genetic polymorphisms (*CYP1A1* and *GSTT1*). We found that neither of these genetic polymorphisms was significantly associated with African ancestral proportion (Table 1 in the Appendix, <http://links.lww.com/xxx>). In addition, we tested whether African ancestry was co-linear with known PTB epidemiological risk factors such as age, BMI, education, marital status, parity and years in the US. Interestingly, we did not find any substantial degree of correlation between African ancestry and the above known PTB epidemiological risk factors (data not shown).

Discussion

While the impact of genetic ancestry and its potential confounding effect have been examined in several common complex diseases such as asthma, breast cancer, prostate cancer and cardiovascular disease, few studies have assessed the impact of genetic ancestry in PTB and very PTB. This study has evaluated the distributions of genetic ancestry among PTB, very PTB, and late PTB compared to term controls in a sample of 1,030 U.S. Black women. We further examined the association of genetic ancestry with PTB, very PTB, and late PTB in self-reported Black subjects. Moreover, we evaluated if African ancestry, two PTB susceptibility genes and their interactions with maternal smoking could make additional and independent contributions to predicting PTB, very PTB and late PTB beyond known epidemiological risk factors of PTB.

This study has evidenced several important findings. First, we demonstrated that African ancestry was significantly associated with PTB (OR= 1.11 ; 95% CI: 1.02–1.20) and very PTB (OR=1.17; 95% CI: 1.03–1.33), but not with late PTB (OR=1.06; 95%CI: 0.97–1.16) in the logistic regression models. However, no difference was observed in known epidemiological factors between the very preterm birth group and the late preterm birth group in this study. It indicated that those known epidemiological factors may have similar impact in both preterm subgroups. Interestingly, compared to PTB, previous studies also reported a greater Black-White disparity for very PTB². This finding indicates that the influence of genetic ancestral background may have a greater impact on very PTB than on late PTB. Additionally, it is likely that the effect of gene-environment interaction may be also stronger in very PTB. However, at present, the underlying mechanism of genetic ancestral influence in very PTB remains largely unexplored. It will be of importance to confirm this finding in another study and further conduct functional investigation. Second, we showed that the model including African ancestry, specific gene polymorphisms and their interactions with maternal smoking provided the best discrimination ability with an AUC of 0.66 (95% CI: 0.61–0.70) for very PTB (Table 3).

Previous studies have identified a certain number of PTB-related genetic variants in the hope that these discoveries will provide deeper insights into the dissection of the etiology of PTB, and ultimately lead to the development of new therapies and/or preventive strategies^{18,19,32}. However, for many complex diseases including PTB, limited studies have applied these genetic discoveries to risk assessment in clinical practice. In this study, we included African ancestry along with genetic findings, gene-smoking interactions on PTB and the known epidemiological factors in predictive models for PTB, very PTB and late PTB, respectively. Notwithstanding the results suggesting that incorporating African ancestry only or genetic

findings and their interaction with smoking only offer merely limited improvements in our ability to predict PTB, very PTB and late PTB beyond known PTB epidemiological risk factors, the results also showed that the model including African ancestry, PTB susceptible genes and gene-smoking interactions provided the highest AUC of 0.66 (95% CI: 0.61–0.70) for very PTB among the sequential predictive models (Table 3).

This study has several strengths. First, the accuracy of estimating ancestral proportion is strongly affected by the number of AIMs. In this study, we genotyped a panel of 1,509 AIMs, which provided a robust estimation of African ancestry. Second, this is a large sample of inner city Black women assembled to study the influence of African ancestry on PTB and its subgroups: very PTB and late PTB. Third, we applied ROC/AUC methods to evaluate sequential models of African ancestry, genetic contributions and GxE interactions beyond known epidemiological risk factors. Our results suggested that genetic ancestry, gene polymorphisms and their interactions with maternal smoking together can improve predictability for very PTB, although our predictive model is far from perfect and there is tremendous work that remains. Finally, our predictive models, which integrated epidemiological factors, genetic discoveries and GxE interactions, illuminate a future direction towards a better and more accurate predictive model of PTB in clinical practice.

On the other hand, this study also has some limitations. Although we have included major known risk factors of PTB in this population, as reflected in relatively modest discrimination ability, it is likely that additional risk factors are necessary to take into account in the predictive models. In addition, we only included two genes and their interactions in the predictive models. Recent studies have reported a potential interaction of cytokine polymorphisms and bacterial vaginosis in PTB development as well as the interaction of inflammatory-response regulatory polymorphisms and bacterial vaginosis^{35,36}. Therefore, we anticipate that there are other important genetic factors and GxE interactions yet to be considered or discovered. The ultimate goal of our work is to develop a highly accurate and predictive model for PTB that can be used in clinical and public health settings. However, while our predictive models presented in this study were far from perfect given their modest discrimination ability, this was only the first step towards our goal. Looking forward, the performance of our predictive models needs to be validated and evaluated in independent samples.

In summary, consistent with our previous report, African ancestry was significantly associated with an increased risk of PTB and very PTB in this sample of inner city Black mothers. Our data underscore the need to simultaneously consider African ancestry, important gene polymorphisms and GxE interactions in order to better understand preterm racial disparity and to improve our ability to predict, treat and prevent PTB, especially very PTB.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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Demographic, clinical and genetic characteristics of Black mothers*** at the Boston Medical Center, grouped by degree of preterm birth (PTB)**** .

Note:

*** Based on self-reported Black race.

****PTB: preterm birth with gestational age <37 weeks; very PTB: preterm birth with gestational age <34 weeks; late PTB: preterm birth with gestational age 34.0–36.86 weeks.

a Only used non-US born subjects to calculate year in the US.

Major risk factors of preterm birth (PTB), very PTB and late PTB* in Black mothers** at the Boston Medical Center. Major risk factors of preterm birth (PTB), very PTB and late PTB*** in Black mothers**** at the Boston Medical Center.

Obstet Gynecol. Author manuscript; available in PMC 2012 November 1.

* TB: preterm birth with gestational age <37 weeks; very PTB: preterm birth with gestational age <34 weeks; late PTB: preterm birth with gestational age 34.0-36.86 weeks. PTB: preterm birth with gestational age <37 weeks; very PTB: preterm birth with gestational age <34 weeks; late PTB: preterm birth with gestational age 34.0–36.86 weeks.

**** Based on self-reported Black race.

 a Ancestry is defined as African ancestry proportion and OR is expressed for each 10% increment of African ancestry. *a*Ancestry is defined as African ancestry proportion and OR is expressed for each 10% increment of African ancestry.

 b CYP1A1 (AA) as the reference group. bCYP *IA1* (AA) as the reference group.

 \emph{c}_{GSTTI} (present) as the reference group. *cGSTT1* (present) as the reference group.

Evaluation of sequential predictive models of preterm birth (PTB)*, very PTB*, and late PTB* in Black mothers**, using receiver operating characteristic
curve analysis/area under curve analysis. ***, very PTB***, and late PTB*** in Black mothers****, using receiver operating characteristic Evaluation of sequential predictive models of preterm birth (PTB) curve analysis/area under curve analysis.

Note:

^{*} TB: preterm birth with gestational age <37 weeks; very PTB: preterm birth with gestational age <34 weeks; late PTB: preterm birth with gestational age 34.0-36.86 weeks. PTB: preterm birth with gestational age <37 weeks; very PTB: preterm birth with gestational age <34 weeks; late PTB: preterm birth with gestational age 34.0–36.86 weeks.

**** Based on self-reported Black race.

 $a_{\rm Covariates}$ included in model 1 are smoking, illicit drug use and overall stress. *a*Covariates included in model 1 are smoking, illicit drug use and overall stress.

Area under curve for each predictive model shown in Table 3 and pairwise p-values for model comparisons of preterm birth (PTB)*** , very PTB*** , and late PTB*** in Black mothers****

Note:

*** PTB: preterm birth with gestational age <37 weeks; very PTB: preterm birth with gestational age <34 weeks; late PTB: preterm birth with gestational age 34.0–36.86 weeks.

****Based on self-reported Black race.

*a*The values in the diagonal are the area under curve for each predictive model.

b The values in the off-diagonal are the *p*-values for testing the equality of the area under curve