

# Malaria antifolate resistance with contrasting *Plasmodium falciparum* dihydrofolate reductase (DHFR) polymorphisms in humans and *Anopheles* mosquitoes

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Surveillance for drug-resistant parasites in human blood is a major effort in malaria control. Here we report contrasting antifolate resistance polymorphisms in *Plasmodium falciparum* when parasites in human blood were compared with parasites in *Anopheles* vector mosquitoes from sleeping huts in rural Zambia. DNA encoding *P. falciparum* dihydrofolate reductase (EC 1.5.1.3) was amplified by PCR with allele-specific restriction enzyme digestions. Markedly prevalent pyrimethamine-resistant mutants were evident in human *P. falciparum* infections—S108N (>90%), with N51I, C59R, and 108N+51I+59R triple mutants (30–80%). This resistance level may be from selection pressure due to decades of sulfadoxine/pyrimethamine use in the region. In contrast, cycloguanil-resistant mutants were detected in very low frequency in parasites from human blood samples—S108T (13%), with A16V and 108T+16V double mutants (~4%). Surprisingly, pyrimethamine-resistant mutants were of very low prevalence (2–12%) in the midguts of *Anopheles arabiensis* vector mosquitoes, but cycloguanil-resistant mutants were highly prevalent—S108T (90%), with A16V and the 108T+16V double mutant (49–57%). Structural analysis of the dihydrofolate reductase by *in silico* modeling revealed a key difference in the enzyme within the NADPH binding pocket, predicting the S108N enzyme to have reduced stability but the S108T enzyme to have increased stability. We conclude that *P. falciparum* can bear highly host-specific drug-resistant polymorphisms, most likely reflecting different selective pressures found in humans and mosquitoes. Thus, it may be useful to sample both human and mosquito vector infections to accurately ascertain the epidemiological status of drug-resistant alleles.

**E**mergence of drug-resistant *Plasmodium falciparum* continues to pose a key obstacle to malaria control and elimination efforts (1, 2). It is widely recognized that *P. falciparum* has a daunting potential for developing resistance to any drug upon wider introduction, including the new combination regimens with or without artemisinin (3–7). Effective public health strategies for surveillance and containment of resistance are therefore paramount, and the World Health Organization has urged that drug efficacy be closely monitored (8).

An instrumental approach widely adopted to help control programs detect and thwart drug resistance is the molecular surveillance of drug resistance-conferring *P. falciparum* mutants. These molecular markers for resistance are best characterized for chloroquine (9) and antifolate drugs (10–12). Antifolates are extensively used across endemic countries in intermittent preventive therapy programs for malaria in pregnant women and in children (13, 14). Antifolates are also constituents of artemisinin combination therapy (ACT) regimens, such as proguanil–atovaquone–artesunate and artesunate–sulfadoxine/pyrimethamine.

Antifolate resistance by *P. falciparum* malaria is well recognized (15). Pyrimethamine resistance was first reported in rural Tanzania >50 y ago (16), suggesting the existence of populations of mutant organisms in the endemic region rather than rapid appearance of new mutations. Discovery of pyrimethamine-resistant mutations in parasite DNA encoding dihydrofolate

reductase (DHFR) implicated amino acid substitutions at key residues—S108N, N51I, and C59R, as well as double or triple mutants (15, 17–19). Cycloguanil, the active metabolite of proguanil, is known to induce a different set of mutations in the gene encoding parasite DHFR—S108T and A16V, as well as the double mutant (15, 20, 21). During emergence of resistance, the mutation at DHFR amino acid codon 108 is known to arise (22), followed by mutations at the other positions in a stepwise course accompanied by increasing levels of resistance in the multiple mutants (11).

A combination of mutations S108N and I164L confers cross-resistance to pyrimethamine and cycloguanil, which is heightened when the C59R or N51I is also present. A parallel array of mutations in the *P. falciparum* dihydropteroate synthetase (DHPS) gene at codons 436, 437, 540, and 581 similarly confers resistance to DHPS inhibitors. Tracking such mutations has proved valuable for monitoring the emergence of antifolate drug resistance, as has been a corresponding chloroquine-resistant marker in the *P. falciparum* chloroquine-resistant transporter (*Pfcr*) gene (23).

Current monitoring for *P. falciparum* drug-resistant alleles is mostly based on genotyping malaria infections in humans found positive by microscopic analysis of their blood. Infections in vector mosquitoes are rarely considered, despite the insects being the definitive host where parasite genetic recombination occurs. With evident field association between mosquito control and drug-resistant *P. falciparum* prevalence in humans (24, 25), we hypothesized that mosquitoes may play a role in influencing drug-resistant allele epidemiology. To better define the existence of drug-resistant alleles in native populations, we undertook genomic phenotyping of *P. falciparum* DNA in parasites from both humans and vector mosquitoes in an endemic region of southern Zambia.

## Results

Our study of malaria in southern Zambia involved visits to rural households, where peripheral blood was drawn from the residents by finger prick and pyrethrum insecticide spray catches were performed in their sleeping rooms. The region in Choma District surrounding the settlement of Macha was known to exhibit seasonal malaria with thousands of cases of clinical malaria seen at the regional hospital. Sulfadoxine/pyrimethamine had been used in the area for decades. Insecticide-treated bed nets

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had been introduced 3 y earlier, as had ACT. A severe drought had occurred the previous year.

After giving informed consent, 2,779 human subjects were studied. The individuals ranged from 2 wk to 96 y of age, median age 12 y. Peripheral blood samples from all were examined by microscopy the same day. Only 169 individuals tested positive for malaria parasites (referred to as “blood smear-positive”). Most had low-grade asexual parasitemia, ranging from 38 to 245,000 parasites per microliter (geometric mean parasite density, 1,478; 95% CI, 1,060–2,061 parasites per microliter). Of these, 23 (14%) were pyrexial with axillary temperatures of  $\geq 37.5$  °C. Of the 2,610 individuals that tested negative by microscopy (referred to as “blood smear-negative”), 65 (2.5%) were pyrexial.

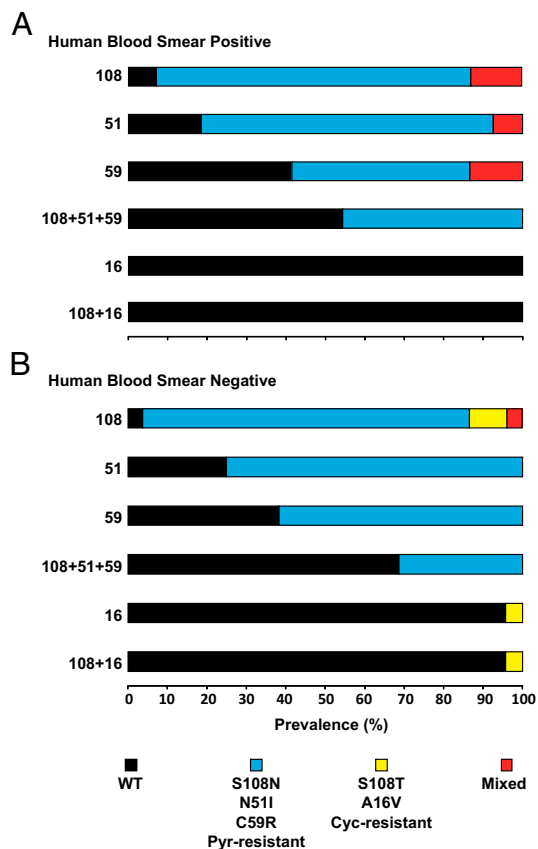
Insecticide spray catches yielded 796 malaria vector mosquitoes from human sleeping rooms. Nearly all (99%) were *Anopheles arabiensis*, and the remainder (1%) were *Anopheles funestus*. The mosquitoes were examined microscopically and individually dissected to separate the abdomen from the head and thorax section. Eighty-one (10%) were positive for *P. falciparum* in midguts and 64 (8%) in salivary glands, with only 4 (0.5%) infected in both body sections.

**DHFR Mutant Parasites in Human Blood.** Because pyrimethamine had been used in the region for decades, resistance polymorphisms were expected in the *P. falciparum* gene encoding DHFR. From the 169 blood smear-positive individuals, a random subset of samples from 86 was PCR amplified. Genotypes for antifolate-resistant mutations (26) were obtained at one or more loci from all 86. From the 2,610 blood smear-negative individuals, a random subset of 475 samples was screened for submicroscopic parasitemia by the same nested PCR for detection of *P. falciparum* antifolate-resistant polymorphisms (26). Only 93 were PCR-positive, and 88 of these were successfully genotyped for antifolate-resistant mutations at one or more loci, whereas the other 5 had amplicon products too faint for analysis of restriction enzyme digestions (26). High levels of pyrimethamine-resistant mutations were observed in each group (Fig. 1 and Table 1). The sentinel mutation, S108N, was practically saturated (>90%). The other mutants, N51I, C59R, and the 108N+51I+59R triple mutant, were also prevalent (30–80%).

Cycloquanil-resistant mutants were not expected because the progenitor molecule, proguanil, had not been routinely used in the region or adopted for the Zambian national malaria control program, despite clinical trials of the proguanil-atovaquone regimen (27). The sentinel cycloquanil-resistant mutant S108T was not detected in samples from blood smear-positive individuals (Fig. 1 and Table 1), but samples from blood smear-negative individuals revealed S108T, in a modest number (13%). The second mutant, A16V, and the S108T+A16V double mutants were not detected in samples from the blood smear-positive individuals, but they were found in a small percentage of samples (~4%) from blood smear-negative individuals.

Because they were predominantly asymptomatic, 145 (83%) of the 174 individuals whose samples were genotyped were unaware of having taken antimalarials. Ten (6%) of the others had taken sulfadoxine/pyrimethamine within the past 2 mo. Proportions of pyrimethamine-resistant mutants were slightly higher in samples from these individuals, albeit not statistically significant, possibly due to the limited numbers. None of the individuals whose samples were typed had previously taken trimethoprim/sulfamethoxazole (Cotrimoxazole or Septrin).

**DHFR Mutant Parasites in Vector Mosquitoes.** Despite high prevalence of mutant parasites in humans, prevalence of pyrimethamine-resistant mutant parasites was substantially diminished in midguts of *Anopheles* vector mosquitoes (Fig. 2 and Table 1). This result was unexpected, because the mosquitoes had acquired parasites from humans as recently as 10 d previously. The likelihood of finding these mutants had dropped 100-fold in the mosquito phase; these differences were highly significant statistically (Table 2). Although parasites containing pyrimethamine-



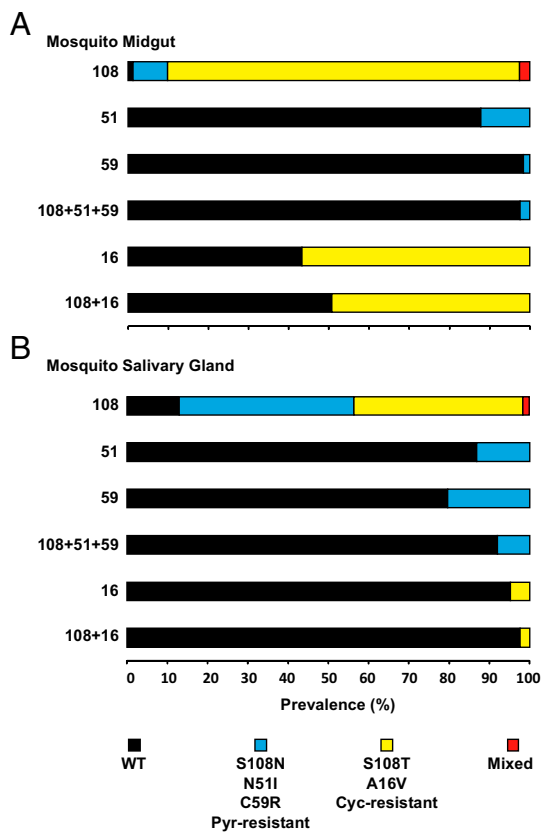
**Fig. 1.** Prevalence of *P. falciparum* pyrimethamine- and cycloquanil-resistant DHFR mutants in human blood. Finger-prick samples were obtained from humans with microscopic parasitemia (blood film-positive;  $n = 86$ ; A) and submicroscopic parasitemia (blood film-negative;  $n = 88$ ; B). DNA was PCR amplified and analyzed for allele-specific polymorphisms by restriction digestion. y-axis numerals denote DHFR codons. Represented are WT, pyrimethamine-resistant mutants (S108N, N51I, C59R, and triple mutants), cycloquanil-resistant mutants (S108T, A16V, and double mutant), and mixed alleles (WT+S108T or S108N+S108T).

resistant mutations were least common in midguts, the sentinel mutation, S108N, was relatively more prevalent in salivary glands (>40%) than the other mutations (Fig. 2 and Table 1).

Surprisingly high levels of cycloquanil-resistant mutants were observed in vector mosquitoes, especially in midgut samples (Fig. 2 and Table 1). Mosquito infections exhibited high prevalence of cycloquanil-resistant S108T, A16V, and the 108T+16V double mutant, which are currently believed to be rare or absent in natural *P. falciparum* infections of Africa and elsewhere (28). One midgut infection carried the I164L residue, which, as a multiple mutant with S108N, C59R, and/or N51I, confers high levels of resistance to both pyrimethamine and cycloquanil. Another midgut infection bore a unique I164R residue that has not been previously described for this locus. The composition of antifolate-resistant mutants in mosquito infections was independent of feeding status (Table 3).

**DNA Sequence Confirmations.** Genotypes were confirmed by DNA sequencing. Amplicons from M3-F/ and F-M4 primers (26) were analyzed from subsets of human samples (six) and vector mosquito midgut (seven) and salivary gland samples (six). Polymorphisms in the gene encoding *P. falciparum* DHFR, as determined by PCR and allele-specific restriction enzyme digestions (Figs. 1 and 2 and Table 1), were confirmed by the DNA sequencing. The sequences flanking the sentinel mutation site at amino acid codon 108 are shown (Fig. 3).





**Fig. 2.** Prevalence of *P. falciparum* pyrimethamine- and cycloquanil-resistant DHFR mutants in 796 malaria vector mosquitoes. Spray catches were obtained. Midguts (A) and salivary gland (B) specimens were separated, and DNA was PCR amplified and analyzed for allele-specific polymorphisms by restriction digestion confirming midgut infections ( $n = 81$ ) and mosquito gland infections ( $n = 62$ ). y-axis numerals denote DHFR codons. Represented are WT, pyrimethamine-resistant mutants (S108N, N51I, C59R, and triple mutants), cycloquanil-resistant mutants (S108T, A16V, and double mutant), and mixed alleles (WT+S108T or designated mutant+S108T).

Our results point to a pivotal role of mosquitoes in the epidemiology of drug-resistant *P. falciparum*. Apart from harboring minority alleles subpatent in humans, *An. arabiensis* clearly exerted a dramatic depletion (as much as 100-fold) on *P. falciparum* pyrimethamine-resistant alleles, despite prevailing sulfadoxine/pyrimethamine drug pressure in the area. This mosquito selection phenomenon on *P. falciparum* polymorphisms is distinct from

**Table 3.** Odds of *P. falciparum* DHFR resistant polymorphisms in mosquitoes with a blood meal compared with unfed mosquitoes

Mutant	Odds ratio (95% CI)	P
S108N	0.985 (0.371–2.616)	0.975
S108T	0.971 (0.379–2.491)	0.952
N51I	1.461 (0.295–7.227)	0.924
C59R	2.824 (0.343–23.255)	0.539
A16V	0.833 (0.274–2.535)	0.748
I164L*	—	—

Mosquitoes with a blood meal,  $n = 105$ ; unfed mosquitoes,  $n = 60$ . \*Statistics could not be computed because only one sample bore mutant.

Kublin's in vivo fitness burden in humans (33) and was evidently independent and opposing to existing drug selection.

We speculate that vector-selective constraints on parasite-resistant polymorphisms may reflect field links between mosquito control and suppression of *P. falciparum* drug resistance, as reported with sulfadoxine/pyrimethamine and with chloroquine (24, 25, 34). Increasing prevalence of *P. falciparum* DHFR WT alleles was observed in Tanzania after the use of insecticide-treated nets (24). In Zimbabwe, the introduction of indoor residual insecticide spraying (IRS) was associated with a fourfold reduction in the odds of chloroquine therapeutic failure (25), despite years of ongoing drug pressure. Cessation of the IRS was subsequently linked to a rebound in chloroquine resistance (25). Given the vector-selective pressure on parasite-resistant alleles observed in this study, it would be expected that mosquito control may affect the prevalence of drug resistance, and this link should be considered in control programs.

It is important to understand specific mechanisms by which the relative proportions of resistant alleles change between human and mosquito phases. One possibility might be the disparity in differentiation to gametocytes reported to occur between WT and resistant alleles (35). This difference may also relate to the curious switch in the proportions of S108T and S108N alleles seen between midgut and salivary gland infections. Drug-resistant alleles are known to be associated with altered biological fitness of the parasite (36, 37). It is therefore possible that survival differences under immune clearance within the human and mosquito phases, and presence or absence of drug selection, may play significant roles. Analogous differences were observed in isolation frequencies of *Borrelia* species from ticks and human patients from the same endemic region (38).

The *P. falciparum* polymorphisms found in humans were expected from selection pressure due to decades of sulfadoxine/pyrimethamine use. The underlying basis for *P. falciparum* polymorphisms in mosquitoes is not clear. Structural modeling revealed distinct differences between the pyrimethamine-resistant mutation, S108N, and the cycloquanil-resistant mutation S108T,

**Table 2.** Odds for key *P. falciparum* DHFR resistant polymorphisms in human blood smear-positive samples compared with blood smear-negative samples and mosquito midguts and salivary glands

Allele	Odds ratio (95% CI), human microscopy positives compared with		
	Mosquito midgut	Mosquito salivary gland	Human submicroscopic infections
S108N	101 (34.3–299.0); $P < 0.001$	15 (5.7–39.5); $P < 0.001$	1 (0.4–3.5); $P = 0.753$
N51I	32 (11.4–87.6); $P < 0.001$	29 (10.9–76.5); $P < 0.001$	1 (0.5–4.3); $P = 0.684$
C59R	88 (11.6–662.5); $P < 0.001$	6 (2.5–12.2); $P < 0.001$	1 (0.4–1.8); $P = 0.724$
S108N+N51I+C59R triple mutant	18 (1.9–172.2); $P < 0.001$	5 (1.2–22.7); $P < 0.001$	2 (0.6–5.8); $P = 0.292$
S108T	—; $P < 0.001$	—; $P < 0.001$	—; $P = 0.006$
A16V	—; $P < 0.001$	—; $P = 0.208$	—; $P = 0.054$
S108T+A16V double mutant	—; $P = 0.948$	—; $P < 0.001$	—; $P = 0.054$
I164L	—; $P = 0.081$	—	—

Mosquito midgut,  $n = 165$ ; mosquito salivary gland,  $n = 146$ ; human submicroscopic infections,  $n = 147$ . —, Odds ratio could not be calculated due to zero frequency in human infections.

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GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA Majority
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          310      320      330      340
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GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA 3D7
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA F1 A
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA F2 A
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA F3 A
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA F9 A
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA F10 A
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA F13 A
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA F18 A
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA F19 A
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA F21 A
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA M1 A
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA M3 A
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA M7 A
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA M9 A
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GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA M15 A
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GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA M23 A
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GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA F2 H
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA F3 H
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GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA M1 H
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA M2 H
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA M3 H
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA M4 H
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA M5 H
GTTGTAGTTATGGGAAGAACAACTGGGAAAGCATTCCAAAAAAA M6 H

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**Fig. 3.** DNA sequence alignments for *P. falciparum* DHFR. DNA flanking nucleotide 323 (amino acid codon 108) obtained from amplicons M3-F/ (M) and F-M4 (F) from human (H) and *Anopheles* mosquito (A) samples are plotted against standard clone 3D7 (GenBank accession no. AL844503; gene ID 812524). Nucleotide 323 encoding amino acid 108 is accentuated. AGC encodes WT S108; AAC encodes Pyr-resistant mutant S108N (blue); ACC encodes Cyc-resistant mutant S108T (yellow). Identical DNA sequences were obtained from both duplicate amplicons except one mosquito set (F19 A, M19 A) and one human set (F2 H, M2 H), which contained mixed infections. All results confirmed the PCR and restriction enzyme typing.

and these changes may provide a molecular explanation for the host specificities. Overall, mutations causing pyrimethamine resistance were all nonconservative substitutions—replacement of

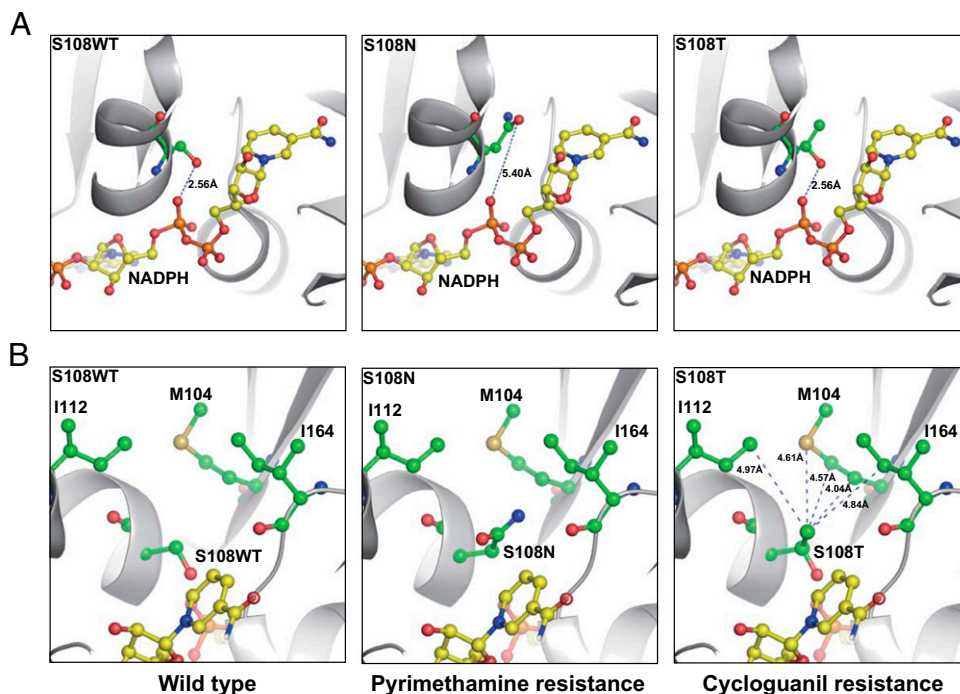
a serine with an asparagine, an asparagine with an isoleucine, or a cysteine with an arginine. In contrast, mutations causing cycloquanyl resistance were very conservative—replacement of a serine with a threonine or an alanine with a valine.

The Ser-108 residue plays a key role in DHFR catalysis and protein structure and may explain the contrasting antifolate-resistant polymorphisms reported here. Previous kinetic studies (39, 40) showed that S108N is a loss-of-function mutant, consistent with reduced fitness in the mosquito despite pyrimethamine-induced selection in humans (Figs. 1 and 2). In contrast, S108T is a gain-of-function mutant. The specific activity of S108T is approximately twice that of the WT, whereas  $K_m$  values of this mutant for 7,8-dihydrofolate and NADPH are <25% of the WT (39). These data suggest that the S108T mutation enhances the DHFR activity, possibly conferring an advantage to the parasite, whereas within the midgut, *P. falciparum* parasites carrying this mutation may outgrow WT parasites while forming oocysts. After rupture of the oocysts, the emerging sporozoites are nonproliferating. Additionally, because sulfadoxine/pyrimethamine has long been used in this region of Africa, the advantage of S108T parasites may only apply to the mosquito cycle, and not the human cycle, because pyrimethamine imposes selective pressure favoring mutations such as S108N. In this study, S108T accounts for 90% of alleles in mosquito midgut infections, supporting the above hypothesis. Nevertheless, it does not explain why S108T prevalence is not elevated in human infections during absence of sulfadoxine/pyrimethamine selection pressure. Future studies will be needed to elucidate the candidate factors underpinning these allele patterns.

The human populations and mosquitoes surveyed in this study were all from one rural area in southern Zambia. Before general significance can be established, it will be essential to undertake similar surveys in other areas where malaria is endemic. Polymorphisms in *P. falciparum* genes encoding resistance to other antimalarial drugs should also be examined. If contrasting drug-resistant phenotypes are confirmed in other regions, it may be useful to examine both human and vector infections during epidemiological surveillance for antimalarial drug-resistant alleles.

## Materials and Methods

See *SI Materials and Methods* for additional details.



**Fig. 4.** Key structural variances at amino acid 108 in WT and resistant mutants of *P. falciparum* DHFR. Represented are crystal structures of WT DHFR (S108WT) and S108N mutant (PDB ID codes 1J3I and 3J5U). The structure of the S108T mutant was derived by molecular modeling. (A) Note a 2.56-Å hydrogen bond between the pyrophosphate moiety of NADPH and the hydroxyl group of the S108 WT or S108T residue; no effective hydrogen bond exists in the S108N mutant due to the 5.40-Å distance. (B) Note extra methyl group in the S108T mutant forms van der Waals interactions with a nearby hydrophobic area composed of Met-104, Ile-112, and Ile-164. The side chains of S108WT, S108T, S108N, Met-104, Ile-112, Ile-164, and NADPH are highlighted with sticks and spheres; the hydrogen bond is shown as the dashed line with the distance labeled, and the protein backbone is shown in a cartoon model.

**Area and Population.** The study was conducted during the 2006–2007 peak malaria transmission season in 15 representative 25-km<sup>2</sup> geographical grids near Macha in the Southern Province of Zambia. Willing individuals of all ages from the resident BaTonga communities were eligible to participate in the study.

**Study Design and Sample Collection.** The study was a prospective cross-sectional design. *P. falciparum* malaria infections in sympatric contemporaneous human and mosquito populations were typed for DHFR-resistant alleles.

**DNA Extractions.** Mosquito samples were subjected to a simplified Chelex protocol (41) for *P. falciparum* DNA extraction. Human blood samples spotted on filter paper were air-dried, and the Chelex protocol (12) was used to extract parasite DNA.

**Assays for Antifolate Drug-Resistant Alleles.** *P. falciparum* was genotyped by nested PCR and allele-specific restriction enzyme digestion (26) at DHFR amino acid codons 108, 51, 59, 16, and 164.

A random subset of six samples from human, seven mosquito midgut, and six mosquito salivary gland infections were subjected to independent sequence confirmation of *P. falciparum* amplicons at the Johns Hopkins DNA analysis core facility.

**Structural Modeling.** The structure of S108T DHFR mutant was modeled with PyMol ([www.pymol.org](http://www.pymol.org)) by using the WT DHFR crystal structure (PDB ID code 1J3I) as the template. The quadruple DHFR mutant structure (PDB ID code 3JSU) was used as the S108N mutant.

**Data Analysis.** Mantel–Haenszel's  $\chi^2$  test and multivariate binary logistic models were used to analyze differences in composition of resistant alleles among human and mosquito phases. Odds of resistant mutants were determined from the logistic regression models.

**Ethics.** The study was approved by both the national (University of Zambia) research ethics committee and the Johns Hopkins institutional review board.

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