

Mapping physicians' admission diagnoses to structured concepts towards fully automatic calculation of acute physiology and chronic health evaluation score

Subhash Chandra,^{1,2} Rahul Kashyap,^{1,2} Cesar A Trillo-Alvarez,² Mykola Tsapenko,^{2,3} Murat Yilmaz,² Andrew C Hanson,² Brian W Pickering,^{2,4} Ognjen Gajic,^{1,2} Vitaly Herasevich^{2,4}

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¹Division of Pulmonary and Critical Care Medicine, College of Medicine, Mayo Clinic, Rochester, Minnesota, USA

²Multidisciplinary Epidemiology and Translational Research in Intensive Care, Rochester, Minnesota, USA

³Department of Nephrology, Rochester, Minnesota, USA

⁴Department of Anesthesiology, Rochester, Minnesota, USA

Correspondence to

Dr Vitaly Herasevich;
herasevich.vitaly@mayo.edu

ABSTRACT

Objective: Acute Physiology and Chronic Health Evaluation (APACHE) is most widely used as a mortality prediction score in US intensive care units (ICUs), but its calculation is onerous. The authors aimed to develop and validate automatic mapping of physicians' admission diagnoses to structured concepts for automated APACHE IV calculation.

Methods: This retrospective study was conducted in medical ICUs of a tertiary healthcare and academic centre. Boolean-logic text searches were used to map admission diagnoses, and these were compared with conventional APACHE database entry by bedside nurses and a gold-standard physician chart review. The primary outcome was APACHE IV predicted hospital mortality. The tool was developed in a larger cohort of ICU patients.

Results: In a derivation cohort of 192 consecutive critically ill patients, the diagnosis coefficient coded by three different methods had a positive correlation, highest between manual and gold standard ($r^2=0.95$; mean square error (MSE)=0.040) and least between manual and automatic tool ($r^2=0.88$; MSE=0.066). The automatic tool had an area under the curve (95% CI) value of 0.82 (0.74 to 0.90) which was similar to the physician gold standard, 0.83 (0.75 to 0.91) and standard manual entry, 0.81 (0.73 to 0.89). The Hosmer–Lemeshow goodness-of-fit test demonstrated good calibration of automatically calculated APACHE IV score ($\chi^2=6.46$; $p=0.6$). The automatic tool demonstrated excellent discrimination with an area under the curve value of 0.87 (95% CI 0.83 to 0.92) and good calibration ($p=0.58$) in the validation cohort of 593 patients.

Conclusion: A Boolean-logic text search is an efficient alternative to manual database entry for mapping of ICU admission diagnosis to structured APACHE IV concepts.

ARTICLE SUMMARY

Article focus

- To develop a fully automated APACHE IV calculator.
- To evaluate the efficiency of automatic tool.
- To validate the automated APACHE IV calculator on a large cohort of ICU patients.

Key messages

- Fully automated calculation of the APACHE IV prognostic score with good discrimination and calibration is possible.
- A Boolean logic text search is feasible to map the medical ICU admission diagnosis to the corresponding APACHE IV disease group.

Strengths and limitations of this study

- To our knowledge, this study is the first to describe a fully automatic calculation of the APACHE IV score.
- The automated tool presented in this study has a number of limitations. The tool was developed and validated using medical ICU populations in a single institution. Another limitation of the presented tool is related to the difficulty in coding the reason for ICU admission from unstructured clinical notes.

INTRODUCTION

Intensive care medicine consumes a large proportion of hospital budgets (10–30%) and national healthcare expenditures.¹ Owing to increased demands for quality assessment, qualification of patient treatment and cost–benefit analysis, the need for an accurate outcome prediction score has increased.² One of the earliest modern risk-adjustment systems, the Acute Physiology and

Chronic Health Evaluation (APACHE) score, was introduced in 1981.³ Modified versions (APACHE II, APACHE III and APACHE IV) and various other scores have been developed over the past 20 years.^{4–6}

A major limitation in the use of any prediction score is the amount of time required for its calculation. For example, to calculate the APACHE II score,⁴ medical personnel have to collect 12 physiological parameters, age, patient's chronic diseases and ICU admission diagnosis. The number of collected variables increased to 26 in APACHE IV along with the number of admission disease categories (116 different groups).

APACHE IV shows a better discrimination ability in predicting hospital mortality than other mortality-prediction models such as MPM₀ III and SAPS III. However, the data collection for the APACHE IV calculation takes twice as long as SAPS, and three times as long as the MPM₀ III⁷ calculations. The average time required to calculate APACHE IV manually is 37.3 min (95% CI 28.0 to 46.6 min) per patient. Even the online interfaces offered to calculate the APACHE IV score require a manual entry of up to 52 data points.⁸ The development of fully automated calculators, which exploit the strengths of high-fidelity Electronic Medical Records (EMRs), could support the use of better prediction models without the additional data-collection burden usually associated with their adoption.

Shabot *et al* already demonstrated the usefulness of automatic extraction of data from a computerised intensive care unit (ICU) flow sheet for the calculation of an intensity-intervention score.⁹ Today, programs for automatic calculation of manually entered values are more widely available. Some patient-data-management systems now offer 'automatic score calculation.'¹⁰ Nevertheless, in all these systems, some or all of the data values must be entered manually through a separate interface. In addition to saving time, completely computerised score calculation can reduce interobserver and intraobserver variability and transcription error.^{11–13} The ideal system would search EMRs automatically for all the components of score calculation including demographics, hospital monitoring, medication administration, laboratory values, and physician and nursing narrative clinical notes.¹⁴

For an automated APACHE IV calculation to succeed, the major challenge to be overcome is that associated with 'mapping' (matching) chronic conditions and ICU admission diagnoses to structured APACHE disease groups. With this challenge in mind, the specific aims of this study were:

- ▶ to develop a fully automated APACHE IV calculator, which reliably maps free text physician's notes to structured APACHE IV diagnostic disease groups, using Boolean logic text search of the EMRs of medical ICU patients;
- ▶ to evaluate the efficiency of this tool by comparing its performance with conventional APACHE manual

data entry by bedside nurses and a gold-standard posthoc physician review;

- ▶ to validate the tool's performance in a larger cohort.

METHODS

The study was conducted at the Mayo Clinic in Rochester, Minnesota, an academic medical centre with 1900 beds and 135 000 hospital admissions per year. The combined capacity of the ICUs is 204 beds and 14 800 admissions per year. Saint Mary's Hospital has 183 ICU beds: 24 general medical, 16 medical cardiology, 25 cardiac surgery, eight transplant surgery, 20 thoracic or vascular surgery, 24 trauma critical care, 20 neurological, 26 neonatal (with the option of dual-occupancy stay for twins in four of them) and 16 paediatric. Rochester Methodist Hospital has a 21-bed medical-surgical ICU. The Mayo Clinic Institutional Review Board approved the study protocol and waived the need for informed consent for this minimal-risk observational study (approval number 07-005642).

Subject selection

In this study, we included a retrospective cohort of patients admitted to Medical ICU. For the derivation cohort, we evaluated consecutive patients' EMRs over 50 days (October–November 2006). Randomly selected patients from the entire year 2006 (excluding derivation cohort) were included in the validation cohort. Patients who had an ICU stay of less than 24 h were excluded.

Data source and data collection

The structural query language (SQL)-based integrative Multidisciplinary Epidemiology and Translational Research in Intensive Care (METRIC) database (METRIC Data mart) accumulates data within 1 h from its entry into the EMRs.¹⁵ METRIC Data mart was the primary data source, providing the linked demographic, monitoring, laboratory, intervention and outcome data required for the automated APACHE IV score calculator.

Age was recorded as a continuous variable and calculated from the date of birth to the date of admission to ICU. For acute physiological variables, the most abnormal value available in the first 24 h of ICU was used. Chronic health variables were extracted from the APACHE database and were collected manually by nurses. To capture the required ICU admission diagnosis (the reason for ICU admission), a free-text search was applied to the physician's ICU admission note. In a pilot study, we compared natural-language processing and a Boolean-logic text search to map the ICU admission diagnosis.¹⁶ The performance of the Boolean logic free-text search was equivalent. Also, the use of natural-language processing required additional hardware and software resources that increased the complexity. Because of this, we chose to use the Boolean-logic free-text search in this project.

The first diagnosis mentioned under the subheading of 'Impression' (Problems/diagnoses) was captured, and

this was mapped to the structured APACHE IV diagnostic groups. The rules which matched the impression diagnosis with the APACHE IV diagnostic group were developed by the authors, who linked them directly to the disease group. When the ICU admission diagnosis was unavailable or not coded by the automatic tool, the corresponding predictive coefficient was replaced by the 'generic' adjusted diagnosis coefficient of -0.42772 . Adjusted diagnosis coefficients were calculated using mean structured diagnosis coefficients, adjusted for diagnosis prevalence.

Free-text search

A free-text search is a technique where the designed search engine screens all the words in a document or database to match the provided search words. When screening large databases, the major limitation of a free-text search is precision. Several techniques have been described to improve the precision. In the current project, we have used field-restricted search and Boolean logic to perform a more specific search. A field-restricted search enables the search to be limited to a particular section of the document. We have limited the search to the first diagnosis mentioned under the 'Impression' section of the clinical notes. The Boolean logic or operators (eg, AND, OR, NOT) further refine the search based on the logic used. The use of AND operator limits the search until both the given search terms are matched where the OR operator includes either of the terms matched.

APACHE score

APACHE is the most widely used mortality prediction model in adult ICUs in the USA. In the APACHE score, the physiological variables are derived from the worst values in the first 24 h period of the patients' ICU stay.^{4 5} The score is also derived from textual concepts including chronic health status, physiological measures and acute diagnoses.¹⁷

Standard manual mapping of admitting diagnosis for APACHE score calculation

As a standard practice in the host institution, diagnosis mapping is performed by trained bedside nurses, and data entered into the APACHE database in the 24 h time frame after admission to the ICU. Diagnosis mapping is based on the nurses' interpretation of the free-text admission diagnosis. As a nurse standard practice we used APACHE III coded diagnoses recorded in hospital EMR. For the purposes of this study, APACHE III structured diagnoses (78) were mapped to APACHE IV structured diagnoses (116) by a coinvestigator clinician intensivist (OG).

Development of the gold standard for mapping of admission diagnosis for APACHE score calculation

ICU admission diagnosis was mapped using the ICU admission note of the attending physician. Attending physicians at our institution are present on site 24/7 and

dictate their notes which are transcribed with priority and 24 h a day. Admission notes are usually available within 2–6 h after their dictation and within 24 h of patients' ICU admissions. Admission diagnoses were defined as originally described by Zimmerman *et al*:⁶ 'injuries, surgical procedures, or events that were most immediately threatening to the patient and required the services of the ICU.' Two physician researchers reviewed cases and assigned APACHE diagnostic codes ($\kappa=0.58$). First reviewer utilised all clinical information available in EMR and second only pertinent admission note. Mismatched cases were analysed by a physician coinvestigators (CAT-A). Agreement between two of the three reviewers was considered the gold standard. Where there was no agreement between the three reviewers, a super reviewer (physician researcher) was utilised to adjudicate. In five cases, the gold standard could not be determined, as the super reviewer refused to accept the diagnosis of any of the three earlier reviewers, and so the records were excluded from the study.

Automatic calculation of APACHE IV predicted mortality

The automatic tool was an SAS program that retrieves all information necessary for APACHE IV calculation data from METRIC Data mart using SQL queries. For text processing, a Boolean-logic text search of predefined terms was used. APACHE IV outcome data were saved back to METRIC Data mart. The SAS program ran automatically using a schedule and required minimal ongoing support. For APACHE IV calculation, the automated APACHE IV calculation system was based on the equations available at Cerner Corporation web page (<http://www.cerner.com/public/filedownload.asp?LibraryID=40394>).

Statistical analysis

Correlation statistics and Bland–Altman plots were used to compute the agreement in coding diagnosis coefficient using different mapping methods, manual, gold standard and automatic. Receiver operating characteristic curves were plotted to calculate the area under the curve (AUC) and determine the accuracy of the APACHE IV prediction of hospital mortality. An AUC of >0.70 is considered evidence of a good predictive value.¹⁸ Hosmer–Lemeshow goodness-fit statistics were used to test the calibration of the automated calculator. All statistical analyses were performed using JMP and SAS statistical software packages.

RESULTS

After excluding patients who did not have research authorisation ($n=14$), those for whom the ICU length was less than 24 h ($N=138$) and patients whose gold standard diagnosis could not be determined ($n=5$), a total of 192 patients were enrolled in the derivation cohort. Complete data on physiological parameters, chronic health conditions and admission diagnosis

Table 1 Characteristics of the derivation and validation cohorts

Variables	Derivation cohort (n = 192)	Validation cohort (n = 593)	p Value
Age (years), mean±SD	61±19.6	60.8±20.9	0.92
Gender, male (%)	100 (52)	308 (51.8)	0.97
APACHE III score, median (IQR)	56 (40–75)	51 (32–71)	<0.05
Most common APACHE IV diagnosis groups; n (%)	1. OD, 26 (13.5) 2. RESPOTH, 19 (9.9) 3. BACPNEU, 18 (9.4)	1. OD, 129 (21.8) 2. BACPNEU, 53 (9.0) 3. GIBLEED, 49 (8.3%)	
ICU mortality (%)	9.4	4.7	0.01
Hospital mortality (%)	16.1	12.3	0.17
ICU length if stay, median (IQR)	1.6 (0.8–3.0)	1.1 (0.7–1.9)	<0.01
Hospital length of stay, median (IQR)	5.6 (2.6–10.1)	3.7 (1.8–6.8)	<0.01

APACHE, Acute Physiology and Chronic Health Evaluation; BACPNEU, pneumonia, bacterial or other; GIBLEED, bleeding, GI, upper or unknown location; ICU, intensive care unit; OD, overdose, drug withdrawal; RESPOTH, sleep apnoea, atelectasis, pulmonary haemorrhage/haemoptysis, haemothorax, primary/idiopathic hypertension—pulmonary, near-drowning accident, pneumothorax, respiratory—medical, other, restrictive lung disease (ie, sarcoidosis, pulmonary fibrosis), smoke inhalation, weaning from mechanical ventilation (transfer from another unit or hospital only).

required for APACHE IV calculation and hospital mortality were available for all patients in the cohort. Clinical and demographic characteristics of the derivation cohort are shown in table 1.

The diagnosis coefficient coded by three different methods had a positive correlation, the highest correlation being between the manual and the gold standard (r^2 , mean square error (MSE)=0.95, 0.040), the lowest between the manual and the automatic calculation tool (r^2 , MSE=0.88, 0.066) and an intermediate correlation between the automatic tool and the gold standard (r^2 , MSE=0.91 (0.058)). The bias in value of diagnosis coefficient was least when manual calculation was compared with the gold standard, 0.013 (95% CI -0.547 to 0.574) and maximal when comparing the manual with the automatic calculation tool; 0.115 (95% CI -0.778 to 1.008). On drawing Bland–Altman plot for diagnosis coefficient coded by three methods, bias between gold standard and automatic calculation tool, calculation was intermediate: -0.102 (95% CI -0.881 to 0.677) (figure 1).

Table 2 shows the mismatch in coding admission diagnosis by manual, gold standard and automatic tools. A Boolean-logic text search did not code ICU admission

diagnoses for 37 (19.3%) subjects. Among diagnoses that were not coded by a Boolean-logic text search, hypotension was most prevalent (in 10 subjects) followed by altered mental status (in four subjects) and alcohol intoxication (in two subjects). ‘Hypotension,’ ‘altered mental status’ and ‘alcohol intoxication’ are not directly available in the list of APACHE IV diagnoses.

For the remaining patients (n=155), where a first ICU diagnosis was available in the APACHE diagnoses list, the automatic tool mapped APACHE IV diagnoses correctly in 143 (93.8%) patients and miscoded in 12 patients (6.2%). Among diagnoses which were miscoded, respiratory distress was the most common (six subjects with respiratory distress were coded as ‘RESPCA’ which is allotted for ‘Cancer, laryngeal/oral/tracheal/lung’). A common minor mismatch was the coding of lower GI bleeding as GIBLEED (unspecified GI bleed, three subjects) and SGIBLEE (surgery for GI bleed, one subject).

On plotting the receiver operating characteristic curve of predicted hospital mortality, the automatic calculation tool using a Boolean logic text search showed an AUC (95% CI) value of 0.82 (0.74 to 0.90), which was similar to the physician gold standard, 0.83 (0.75 to 0.91) and

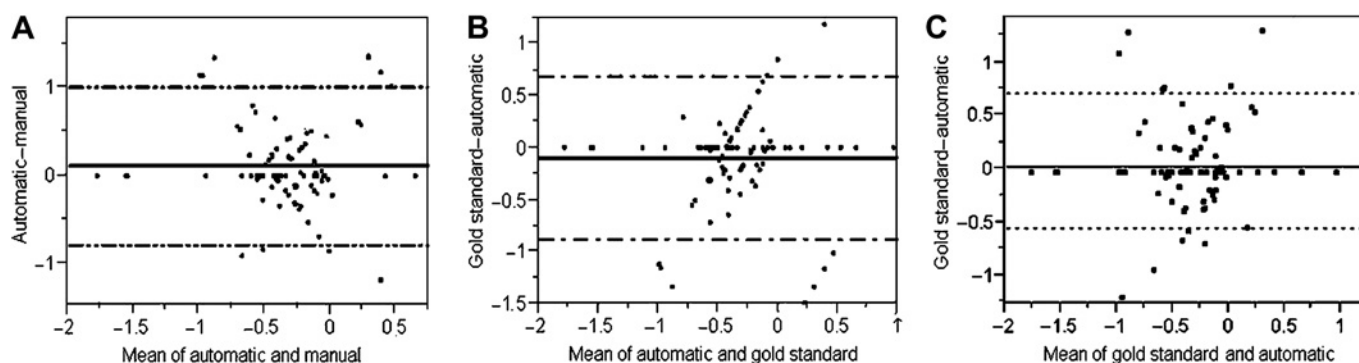


Figure 1 Bland–Altman plot of the predictive mortality coefficient showing the correlation between manual and automatic calculation (A), gold standard and automatic calculation (B), and gold standard and manual calculation (C) in the derivation cohort.

Table 2 Disagreement among automatic tool, manual entry and the gold standard, and the corresponding differences in predictive coefficients

Case No.	Gold standard	Manual entry	Automatic tool	Case No.	Gold standard	Manual entry	Automatic tool	Case No.	Gold standard	Manual entry	Automatic tool	Case No.	Gold standard	Manual entry	Automatic tool
1	-0.2028	0	0	49	-0.57947	-0.3677	-0.3677	97	-0.202824	0	0	145	-0.942171	0	0
2	-0.2028	-0.15946	-0.1595	50	-1.54067	0	0	98	-0.202824	0	0	146	-0.093377	0	0
3	-0.6406	-0.27092	-0.2709	51	-1.55262	0	0	99	-0.202824	0	0	147	-0.130109	-0.03673	-0.0367319
4	-1.5526	0	0	52	-1.55262	0	0	100	-0.202824	0	0	148	-0.043366	0	0
5	-1.5526	0	0	53	-1.55262	0	0	101	-0.258766	-0.1654	-0.1654	149	-0.093377	-0.5103	-0.5102966
6	-1.5526	0	0	54	-1.55262	0	0	102	-0.258766	-0.1654	-0.1654	150	-0.119676	0	0
7	-1.5526	0	0	55	-1.55262	0	0	103	-0.372237	-0.3289	-0.3289	151	-0.130109	0.421724	0.4217235
8	-1.5407	0	0	56	-1.55262	0	0	104	-0.043366	0	0	152	-0.130109	-0.03673	-0.0367319
9	-0.6406	-0.5472	-0.5472	57	-1.55262	0	0	105	-0.241687	-0.1983	-0.1983	153	-0.241687	1.310932	1.3109322
10	-0.5518	-0.34006	-0.3401	58	-1.55262	0	0	106	-0.202824	-0.1595	-0.1595	154	-0.176829	0.80084	0.8008405
11	-0.5028	0.230037	0.23004	59	-1.55262	0	0	107	-0.043366	0	0	155	-0.202824	0	0
12	-0.3697	0	0	60	-1.55262	0	0	108	-0.043366	0	0	156	-0.202824	0	0
13	-1.5526	0	0	61	-1.55262	0	0	109	-0.202824	-0.1595	-0.1595	157	-0.551833	0	0
14	0.4169	0.807551	0.80755	62	-1.55262	0	0	110	-0.043366	0	0	158	-0.551833	-0.34006	-0.340061
15	-0.5795	-0.03789	-0.0379	63	-1.55262	0	0	111	-0.043366	0	0	159	-0.258766	-0.2154	-0.2154005
16	-0.3697	0	0	64	-1.55262	0	0	112	-0.043366	-0.0434	-0.0434	160	-0.372237	0	0
17	-0.3697	0.233402	0.2334	65	-1.55262	0	0	113	0.9663138	0	0	161	-0.043366	0	0
18	-0.3987	0	0	66	-0.94217	0	0	114	-0.603061	0	0	162	-0.202824	0.774846	0.7748455
19	-0.3987	0	0	67	-0.66757	0	0	115	-0.603061	0	0	163	-0.732789	-0.19121	-0.1912084
20	-0.3987	-0.35533	-0.3553	68	0.649149	0	0	116	-1.775702	0	0	164	-0.54158	0	0
21	-0.3424	0.20948	0.20948	69	-0.55183	0	0	117	-1.775702	0	0	165	-0.502752	-0.13309	-0.1330935
22	-0.2526	0	0	70	-0.09337	0.63941	0.63941	118	-0.258766	-0.1654	-0.1654	166	-0.258766	-0.16539	-0.165389
23	0.1899	0.392724	0.39272	71	-0.1301	0.38352	0.38352	119	-0.043366	0.05001	0.05001	167	0.10295	0	0
24	-0.5795	-0.3677	-0.3677	72	-0.09337	0.0263	0.0263	120	-0.258766	-0.1654	-0.1654	168	0.258172	0	0
25	-0.2028	0.187808	0.18781	73	-0.73278	-0.6394	-0.6394	121	-0.202824	-0.1595	-0.1595	169	-0.372237	0	0
26	-0.0434	0	0	74	-0.09337	0	0	122	-0.043366	0	0	170	-0.422588	0	0
27	-0.3906	0	0	75	-0.09337	0	0	123	-0.043366	0	0	171	-0.502752	-0.13309	-0.1330935
28	-0.0423	0.051041	0.05104	76	-0.09337	0	0	124	-0.043366	0	0	172	-0.093377	0	0
29	0.1029	0.501647	0.50165	77	-0.54158	-0.668	-0.668	125	-0.043366	0	0	173	-0.369945	-0.27657	-0.2765675
30	0.5195	0.612831	0.61283	78	-0.42258	0	0	126	-0.043366	0	0	174	-0.551833	0	0
31	0.5195	0.57098	0.57098	79	0.059962	0.48255	0.48255	127	-0.258766	-0.2588	-0.2588	175	-0.369659	0	0
32	-1.5407	0	0	80	-0.54158	0	0	128	-0.551833	0	0	176	-0.986438	0	0
33	-1.5407	0	0	81	-0.54158	0	0	129	-0.342353	0	0	177	-0.043366	0.328871	0.3288707
34	-1.5407	0	0	82	0.19513	0.44772	0.44772	130	-1.122355	-0.9106	-0.9106	178	-0.043366	0.159458	0.1594577
35	-1.5407	0	0	83	-1.54067	0	0	131	-0.051527	0	0	179	-0.043366	0.159458	0.1594577
36	-0.5136	0	0	84	-0.42258	1.11809	1.11809	132	-0.051527	0	0	180	-0.513628	0.472811	0.4728107
37	-0.5136	0	0	85	-0.62259	0.36385	0.36385	133	0.4169191	0	0	181	-0.372237	0	0
38	-0.1197	0.416107	0.41611	86	-0.92715	0	0	134	0.4169191	0	0	182	-0.202824	0.169413	0.169413
39	-0.1197	0	0	87	-0.42258	0	0	135	0.4169191	0	0	183	0.966314	1.33855	1.3385504
40	-0.5795	-0.02764	-0.0276	88	-0.42258	0	0	136	0.4169191	0	0	184	-0.372237	-0.32887	-0.3288707
41	-0.5518	0	0	89	-0.42258	0	0	137	-0.551833	0	0	185	-0.202824	-0.15946	-0.1594577
42	-0.5518	0	0	90	-0.20282	0.19587	0.19587	138	-0.527719	0	0	186	-0.372237	0.018395	0.0183949
43	-0.5518	0	0	91	-0.39869	0	0	139	-0.579472	-0.3677	-0.3677	187	-1.55262	0	0
44	-0.5518	0	0	92	-0.25876	0.13993	0.13993	140	-0.579472	-0.3677	-0.3677	188	-1.55262	0	0
45	-0.5518	0	0	93	-0.20282	0.19587	0.19587	141	-0.579472	-0.3677	-0.3677	189	-1.55262	0	0
46	-0.5518	0	0	94	-0.24168	0	0	142	-0.130109	0.23955	0.23955	190	-1.55262	0	0
47	-0.5518	0	0	95	-0.20282	0	0	143	-0.130109	-0.0367	-0.0367	191	-1.55262	0	0
48	-0.5518	-0.02411	-0.0241	96	-0.20282	0	0	144	-0.579472	-0.3677	-0.3677	192	-1.55262	-1.18038	-1.1803829

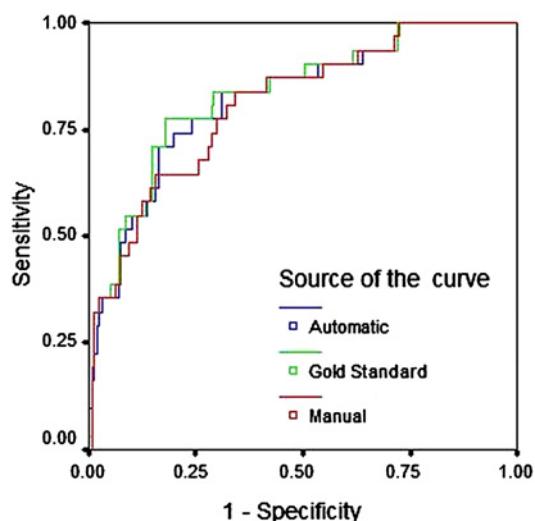


Figure 2 Receiver operating curve showing the predictive performance of the Acute Physiology and Chronic Health Evaluation (APACHE) IV calculation when the diagnosis was mapped by an automatic tool, manual entry and a gold standard (derivation cohort).

standard manual entry, 0.81 (0.73 to 0.89) (figure 2). The Hosmer–Lemeshow goodness-of-fit test demonstrated sufficient calibration of automatically calculated APACHE IV scores ($\chi^2=6.4651$; 8 degrees of freedom; $p=0.5953$).

Validation cohort

Based on the analysis of the derivation cohort, additional concepts for automatic calculation were added; likewise, ‘alcohol intoxication’ was coded as ‘OD,’ ‘code 45/ cardiac arrest’ as ‘CARDARR’ and ‘hypokalemia’ as ‘ACIDBASE.’ Modified rules were tested on 593 random subjects. The automatic tool did not code ICU admission diagnoses for 192 (32.2%) patients. On plotting the Bland–Altman plot using the difference and mean value of the diagnosis coefficient coded manually and by the automatic tool, the bias between methods in coding diagnosis coefficient was found to be 0.168 (95% CI –0.799 to 1.135) (figure 3). The discriminatory power of APACHE IV score calculated using the automatic tool remained excellent (AUC=0.87 (0.83 to 0.92)) and was similar to manual coding of the admission diagnosis: AUC (95% CI)=0.88 (0.84 to 0.93) (figure 4). The Hosmer–Lemeshow goodness-of-fit test showed a good calibration for the APACHE IV score calculated by the automatic tool (χ^2 6.6381; 8 degrees of freedom; $p=0.5761$).

DISCUSSION

In this retrospective study, we developed and internally validated a model for automatic calculation of APACHE IV using Boolean logic text search for mapping medical ICU admission diagnosis. The automatic model showed a modest agreement in coding medical ICU admission diagnosis with routinely performed manual coding

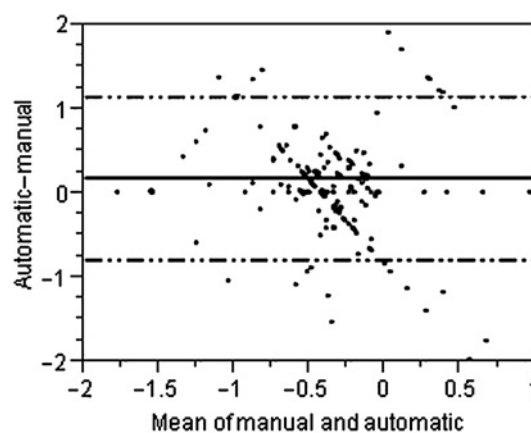


Figure 3 Bland–Altman plot of predictive mortality coefficient on manual and automatic calculation in the validation cohort. The correlation between manual and automatic model coding of the predictive mortality coefficient was less than it was in the derivation cohort (r^2 , mean square error=0.42, 0.423).

by trained bedside nurses, and the study initiated a physician gold standard. Despite this limitation, the APACHE IV calculated using the developed automatic model demonstrated excellent discrimination in predicting hospital mortality. The discriminatory ability of the automatic tool was improved by reviewing the mismatches, and this was confirmed in the larger validation cohort. Having an excellent prognostic value in spite of moderate interobserver agreement with the Gold Standard is likely due to the modest specific contribution of ‘diagnosis’ to the overall APACHE IV calculation which also takes into account multiple physiological and laboratory values. Therefore, the small differences seen between the coefficients of clinically

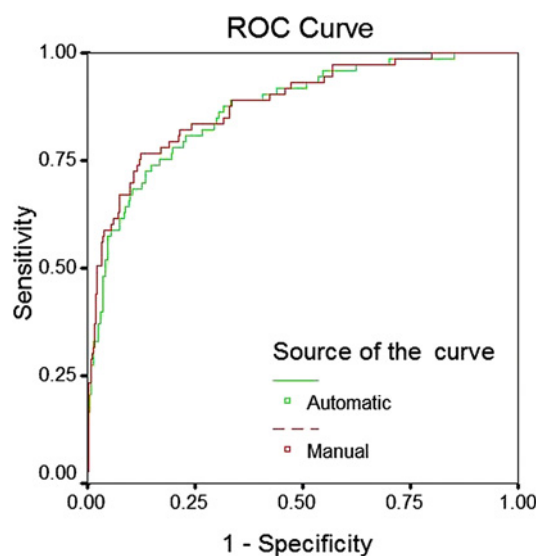


Figure 4 Receiver operating characteristic (ROC) curve showing the predictive performance of the Acute Physiology and Chronic Health Evaluation (APACHE) IV calculation when the diagnosis was mapped using an automatic tool or manual entry (validation cohort).

related diagnoses were unlikely to influence the overall accuracy of the fully automatic APACHE IV calculation. This study also demonstrates the poor interobserver agreement in medical ICU admission diagnosis mapping for the purpose of APACHE IV calculation.

The major factors influencing the use of any mortality prediction model in ICU include the electronic availability of risk scores, resources and technology.¹⁹ The increasing availability of EMR in conjunction with the significant burden associated with manual collection and calculation of mortality prediction parameters will likely drive the development of automated alternatives such as that presented in this paper.

In the past, little effort was made in developing automatic calculations based on mortality-prediction scores such as APACHE IV. Some of the key barriers to their development included the unavailability of data within EMRs and difficulties associated with mapping admission diagnoses and chronic health status to structured APACHE IV concepts. Automated calculation of the APACHE II score from EMRs in the ICU has been attempted previously. Junger and colleagues at University Hospital Giessen, Germany,²⁰ used SQL scripts on a dataset of 524 patients. In their retrospective study, physiological parameters and age were extracted directly from the EMR database, and International Classification of Diseases, Version 9 (ICD-9) was used to map chronic diseases. The AUC for the automatically calculated modified APACHE II score was 0.790 (95% CI 0.712 to 0.825) and a goodness-of-fit test showed good calibration.²⁰ They found all the acute physiological parameters easy to collect, but chronic health conditions, which are entered manually as free text by the medical personnel, were difficult to map. The major limitation of their study was the unavailability of the comparison group, no-manual-entry comparison group and the absence of the gold standard. Mapping from APACHE IV classification to Systematized Nomenclature of Medicine—Clinical Terms has also been carried out in previous studies. Eighty-four per cent of diagnostic categories in APACHE IV could be mapped to Systematized Nomenclature of Medicine—Clinical Terms concepts.²¹

Similar efforts were made to calculate the SAPS II score automatically in a retrospective cohort of 524 patients from an academic surgical ICU at University Hospital Giessen, Germany.² The study cohort had many missing laboratory values and clinical parameters required for SAPS II score calculation. Despite these limitations, their automatic tool demonstrated a good discriminatory power and calibration.

To our knowledge, this study is the first to describe a fully automatic calculation of the APACHE IV score. Several limitations need to be acknowledged for appropriate interpretation of our results. The automated tool presented in this study was developed and validated using medical ICU populations in a single institution. The tool has not been developed for the surgical ICU population, and an algorithm for surgical diagnoses

needs to be included and tested prior to deployment in this environment. To ensure external validity, the methodology should be replicated in other institutions equipped with EMRs and using the expertise of local clinical experts. The major limitation of the presented tool is related to the difficulty in coding the reason for ICU admission from unstructured clinical notes. In this study, we used the first diagnosis mentioned under the heading 'impression' in the ICU admission note, assuming this to be the primary reason for medical ICU admission. On other hand, the Boolean-logic text search was not run on all the diagnoses in the admission note. A larger number of diagnoses would reduce the discrepancy, as the computer algorithm developed did not have the ability to determine the primary reason for ICU admission from a list of diagnoses. The automatic tool coded the first diagnosis accurately in three-quarters of patients. In missed subjects, the diagnosis mentioned in the ICU admission note was not present in the APACHE diagnosis groups (unspecified 'hypotension'). Despite coding the admission diagnosis with good accuracy, the bias between gold-standard and automatic calculations suggests that the first listed diagnosis in the ICU admission note is not always the primary reason for ICU admission in our setting. An effort to distinctly document the primary ICU admission diagnosis could potentially improve the efficacy of such computer-based automatic calculations.

Alternative solutions such as mapping of the APACHE IV diagnosis to ICD-9 codes are problematic, as the ICD-9 coding in the ICU is often delayed until after hospital discharge. Moreover, in many health systems (including US), ICD-9 codes are used for billing, which limits its clinical accuracy.²²

On reviewing mismatching in coding admission diagnosis, it was observed that relatively similar diagnoses were allotted different diagnosis groups for APACHE calculation. For example, gastrointestinal (GI) bleeding and lower GI bleeding are coded as GIBLEED and GIBLEUL, respectively. The structured diagnosis coefficients for these conditions are very similar to each other, -0.55183 and -0.57947 , respectively. As a result, many of the miscoded diagnoses did not affect predicted mortality to any great extent. In 16 subjects, mismatched codes contributed to a significant difference in structured diagnosis coefficient (ie, >0.35). This was largely attributed to the fact that many of these patients had than one admission diagnosis and that the first diagnosis in the list of diagnosis in ICU admission note was not always the primary reason for ICU admission.

CONCLUSION

This study outlines the development and validation of a fully automated calculation of the APACHE IV score, which utilised a Boolean-logic text search to map the medical ICU admission diagnosis to the corresponding APACHE IV disease group. The tool developed here demonstrated consistent and good discrimination and

calibration compared with the established and gold-standard references, when used for medical ICU mortality prediction.

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Competing interests None.

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