

# Nicotinamide mononucleotide adenylyl transferase 1 protects against acute neurodegeneration in developing CNS by inhibiting excitotoxic-necrotic cell death

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**Hypoxic-ischemic (H-I) injury to the developing brain is a significant cause of morbidity and mortality in humans. Other than hypothermia, there is no effective treatment to prevent or lessen the consequences of neonatal H-I. Increased expression of the NAD synthesizing enzyme nicotinamide mononucleotide adenylyl transferase 1 (Nmnat1) has been shown to be neuroprotective against axonal injury in the peripheral nervous system. To investigate the neuroprotective role of Nmnat1 against acute neurodegeneration in the developing CNS, we exposed wild-type mice and mice overexpressing Nmnat1 in the cytoplasm (cytNmnat1-Tg mice) to a well-characterized model of neonatal H-I brain injury. As early as 6 h after H-I, cytNmnat1-Tg mice had strikingly less injury detected by MRI. CytNmnat1-Tg mice had markedly less injury in hippocampus, cortex, and striatum than wild-type mice as assessed by loss of tissue volume 7 d days after H-I. The dramatic protection mediated by cytNmnat1 is not mediated through modulating caspase3-dependent cell death in cytNmnat1-Tg brains. CytNmnat1 protected neuronal cell bodies and processes against NMDA-induced excitotoxicity, whereas caspase inhibition or B-cell lymphoma-extra large (Bcl-XL) protein overexpression had no protective effects in cultured cortical neurons. These results suggest that cytNmnat1 protects against neonatal HI-induced CNS injury by inhibiting excitotoxicity-induced, caspase-independent injury to neuronal processes and cell bodies. As such, the Nmnat1 protective pathway could be a useful therapeutic target for acute and chronic neurodegenerative insults mediated by excitotoxicity.**

magnetic resonance imaging | transgenic | apoptosis | necrosis

Injury to the developing brain in newborns caused by hypoxia-ischemia (H-I) is a major cause of chronic disability and mortality often resulting in cognitive impairment, seizures, and motor abnormalities in the survivors (1, 2). Despite advances in the quality of perinatal medical care, functional abnormalities among the survivors of H-I remain common. Understanding the molecular mechanisms of H-I brain injury may provide new insights into pathogenesis and treatment. Our laboratory and those of others have used a well-characterized animal model of neonatal H-I in rodents (modified Levine model) to study the molecular mechanisms of neonatal brain injury and potential treatment strategies (3–6). In rats and mice, the H-I insult results in histological brain injury to the hemisphere ipsilateral to carotid ligation that is similar in many ways to injuries in the developing human brain after H-I (7–9). Studies have shown that this H-I insult to the neonatal brain results in several morphological forms of cell death: (i) early necrotic cell death; (ii) delayed cell death that has many features of apoptosis; and (iii) a form of cell death with a continuum of necrotic and apoptotic features (10–12). Blocking NMDA-type glutamate receptors can prevent most of the brain injury in this model (13), indicating that excitotoxicity can initiate all these cell-death pathways.

Nmnat1 is an NAD biosynthetic enzyme present in all living organisms (14). Because of its significant role in maintaining the equilibrium of reductive equivalents in cells, Nmnat1 is considered

a potential target for the development of novel therapeutics for several pathological conditions (14). The *Wlds* mutant mouse that overexpresses a slow Wallerian degeneration protein (WldS), a fusion protein composed of the N-terminal 70 amino acids of ubiquitination factor E4 linked to full-length Nmnat1 exhibits slow axonal Wallerian degeneration in response to nerve injury in the peripheral nervous system (PNS). This WldS fusion protein protects axons from degeneration initiated by a variety of insults both in vitro and in vivo (15–17). Further, it has been shown in multiple axonal injury paradigms that expression of Nmnat1 robustly protects axons in vitro and in vivo in the PNS (18–20).

Here, we hypothesized that overexpression of Nmnat1 in the brain could be neuroprotective against acute neurodegeneration in the developing brain. We tested this notion using mice overexpressing the NAD synthesizing enzyme nicotinamide mononucleotide adenylyl transferase 1 (cytNmnat1-Tg) and a neonatal H-I paradigm; further, we sought to identify possible cell-death pathways influenced by Nmnat1 expression. We found that Nmnat1 overexpression was markedly neuroprotective in this model of brain injury. However, unlike previous studies with Nmnat1, we found that in this model Nmnat1 prevented neuronal cell death as well as axonal degeneration. Although Nmnat1 blocked excitotoxic, NMDA-dependent axonal degeneration and neuronal cell death, it did not block the activation of caspase-3 induced by the ischemic injury. These findings suggest that targeting pathways modulated by Nmnat1 specifically to block excitotoxicity-induced brain injury could be useful therapeutically.

## Results

### CytNmnat1 Protects the CNS of Neonatal Mice Against H-I-Induced Tissue Injury.

Studies have shown that changes observed with MRI 3–6 h after H-I predict the histopathological and behavioral defects present several weeks later in mice and rats (21, 22). This observation suggests that blocking the early changes seen on MRI can be used as a biomarker for protection against later tissue loss or neurodevelopmental disability after H-I. To determine the pattern of early injury in animals at postnatal (P) day 7, we performed MRI on cytNmnat1-Tg ( $n = 6$ ) and wild-type ( $n = 7$ ) littermate mice 6, 12, and 24 h after H-I. T2-weighted (T2W) images were obtained with the following parameters: repetition time (TR) 4 s, echo time (TE) 80 ms, and resolution of  $59 \times 59 \times 250 \mu\text{m}$ . As early as 6 h after H-I, marked differences were found between T2W images from cytNmnat1-Tg and wild-type animals.

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T2W hyperintensity was clearly evident in the striatum and hippocampus in the wild-type mice ipsilateral to carotid ligation, but no corresponding changes were found in *cytNmnat1* Tg mice (Fig. 1). Similarly, changes on MRI were increased 12 and 24 h after H-I in the wild-type mice, but no MRI changes were noted at these time points in the *cytNmnat1*-Tg mice (Fig. 1).

Growth rates did not differ in wild-type and *cytNmnat1*-Tg animals with or without H-I, as measured by their weights at P7 and P46 (Fig. S1). We demonstrated previously that tissue loss 7 d after injury is a useful histological measure of long-term outcome of neonatal H-I (8, 23, 24). At P14, 7 d after H-I, mice were killed, and their brains were processed for histological analysis. The volume of the hippocampus, cortex, striatum, and thalamus was assessed as described previously (9). *CytNmnat1*-Tg mice are markedly protected against neonatal H-I injury in all four brain regions examined (Fig. 2). The difference in tissue volume loss in wild-type versus *cytNmnat1*-Tg mice was notable in hippocampus (36.84 vs. 18.55%,  $n = 19$ ,  $P < 0.0001$ ), cortex (5.53 vs. 0.75%,  $n = 19$ ,  $P < 0.0001$ ), striatum (15.83 vs. 11.86%,  $n = 19$ ,  $P < 0.0002$ ), and thalamus (4.69 vs. 2.93,  $n = 15$ ,  $P < 0.008$ ) and represented  $\sim 50$ , 86, 25, and 37% less injury, respectively (Fig. 2). Findings on MRI corresponded closely with the tissue loss analysis 7 d after H-I; however, although there was virtually complete protection against MRI changes, the protection was not complete at the tissue level as assessed after 7 d. That *Nmnat1* protection was more robust against early changes after H-I than against later effects on tissue loss suggests that *Nmnat1* selectively affects an earlier event or pathway in the H-I-induced damage to a greater extent than a more delayed pathway.

H-I causes severe metabolic perturbation in the brain (25). To investigate the metabolic status of the brain after H-I, we measured NAD and ATP levels in wild-type and *cytNmnat1*-Tg littermates 24 h after H-I. Consistent with the previous reports, the steady-state NAD level in the cortex or hippocampus in the absence of HI did not differ between wild-type and *cytNmnat1*-Tg mice (19). The steady-state levels of ATP in the hippocampus of P7 wild-type and *cytNmnat1*-Tg animals that had not undergone H-I also did not differ significantly (Fig. S2). Hence, *cytNmnat1* does not up-regulate the steady-state levels of ATP and NAD in transgenic animals.

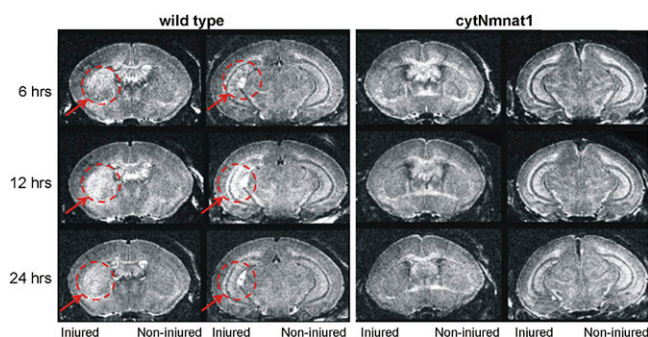
At 24 h after H-I, NAD and ATP levels in the injured hippocampus of wild-type mice were  $\sim 20\%$  lower than those observed in the hippocampus of the uninjured hemisphere (ratio of injured/uninjured = 0.82 and 0.84 respectively,  $n = 5$ ,  $P < 0.0001$ ) but were maintained in *cytNmnat1*-Tg animals (ratio of injured/uninjured = 1.0 and 1.0 respectively,  $n = 5$ ,  $P < 0.0001$ ) (Fig. 3). Further, to estimate other adenine nucleotides at 24 h after H-I, we measured ADP and AMP levels in the injured hippocampus of wild-type mice. ADP and AMP levels were  $\sim 20\%$  lower than those observed in the hippocampus of the uninjured hemisphere (ratio of injured/

uninjured = 0.82,  $n = 5$ ,  $P < 0.002$  and 0.82,  $n = 5$ ,  $P < 0.0005$ , respectively), but the levels were maintained in *cytNmnat1*-Tg animals (ratio of injured/uninjured = 0.95,  $n = 5$ ,  $P < 0.002$  and 1.0, respectively,  $n = 5$ ,  $P < 0.0005$ ) (Fig. S3). These results further confirm that *cytNmnat1* overexpression protects the developing brain both histologically and metabolically.

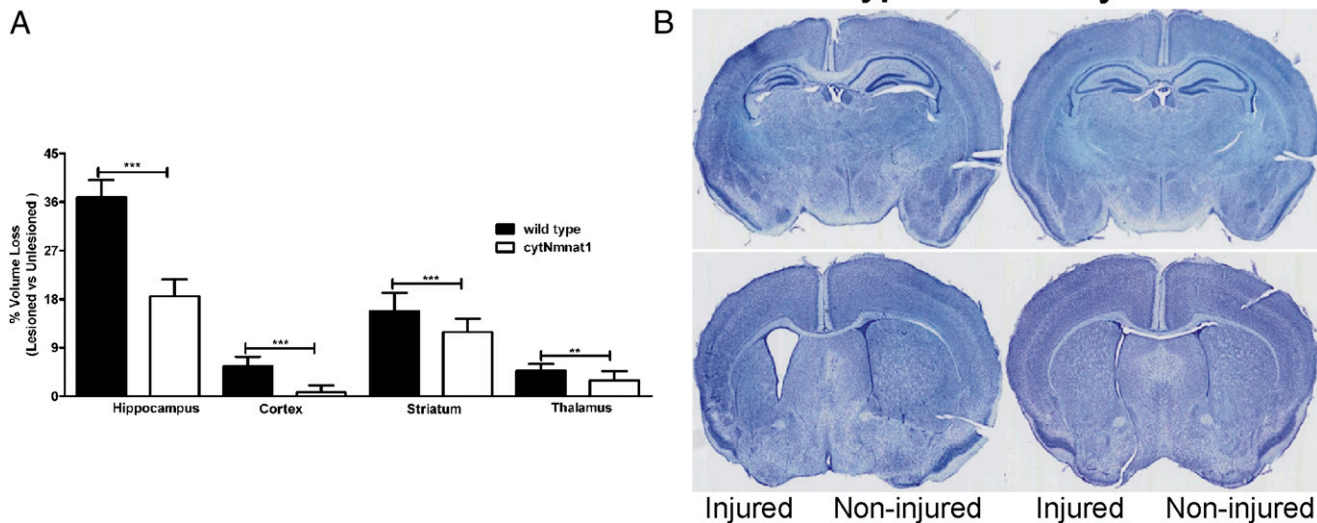
Inflammatory markers in the developing brain increase in response to H-I (26–28). To assess the level of neuroinflammation, we determined the levels of cytokines in the hippocampus of injured and noninjured hemispheres by multianalyte profiling and markers of microglia and astrocytes by immunohistochemistry in tissues of wild-type and *cytNmnat1*-Tg animals at P9 (2 d after H-I) and P14 (7 d after H-I), respectively. Cytokine levels were significantly higher in the injured hemispheres of wild-type and *cytNmnat1*-Tg animals than in the noninjured hemisphere (Table S1). *CytNmnat1*-Tg animals had significantly reduced levels of cytokines in the injured hemisphere compared with wild-type animals in 17 of the 20 cytokines tested, whereas the majority of cytokine levels were not significantly different in the noninjured hemisphere (Table S1). The levels of microglial activation were assessed by the area of the hippocampus covered by ionized calcium-binding adaptor molecule 1 (IBA1)-positive microglia. IBA1 staining was increased significantly in the injured hemisphere of wild-type animals compared with *cytNmnat1*-Tg animals (Fig. S4). The uninjured hemisphere of wild-type and *cytNmnat1*-Tg animals had significantly lower levels of microglial activation compared with the injured hemisphere. Interestingly, in the uninjured hippocampus the transgenic animals had higher levels of IBA1 staining than the wild-type mice (Fig. S4A). The astrocyte marker GFAP was present at high levels in the injured and uninjured hemispheres (injured > uninjured) of the animals (Fig. S4B). Although there clearly was some increase in GFAP staining in the injured hemisphere of both wild-type and *cytNmnat1*-Tg mice, the extent of staining of GFAP in the wild-type and *cytNmnat1*-Tg animal brains made it difficult to quantify the amount of GFAP staining reliably. Qualitatively, it appears that the GFAP staining is similar in *cytNmnat1*-Tg and wild-type animals after HI. Overall, compared with wild-type animals, *cytNmnat1*-Tg animals exhibited reduced cytokine levels and microglial activation in the injured hemisphere.

**CytNmnat1 Does Not Influence Caspase-3 Activation After H-I in Neonatal Mice.** Caspase-3 activation plays an important role in H-I-induced injury in neonatal mice and occurs later than excitotoxicity-induced cell death (6, 23, 29, 30). Activation of caspase-3 is robust in many neurons after neonatal H-I, and both pan-caspase and caspase-3-specific inhibitors have been reported to protect against neonatal H-I in rats and mice (6, 23). To assess whether *cytNmnat1* is neuroprotective through a caspase-3-dependent mechanism, we examined the levels of caspase-3 activation (measured by DEVDase activity) in the hippocampus 24 h after H-I (Fig. 4A). DEVDase activity was  $\sim 15$ – $20$  fold higher in the injured hemispheres than in the uninjured hemispheres of both wild-type and *cytNmnat1*-Tg mice. Interestingly, DEVDase activity in the injured tissue of *cytNmnat1*-Tg mice was not significantly different from that in the injured wild-type tissue (3.2 vs.  $3.8 \text{ pmol} \cdot \text{min}^{-1} \cdot \text{mg}^{-1} \text{ protein}$ ,  $n = 9$  and  $n = 12$ , respectively) (Fig. 4A). Immunohistochemical examination of brain tissue further confirmed that activated caspase-3 staining of neurons in the hippocampus, striatum, and cortex is similar in the injured hemispheres of wild-type and *cytNmnat1* animals (Fig. 4B). Our results suggest that the robust CNS protection against H-I-induced damage observed in *cytNmnat1*-Tg mice does not result from modulation of the caspase-3-dependent cell-death pathway.

**CytNmnat1 Protects Neuronal Processes and Cell Bodies Against NMDA-Mediated Excitotoxicity in Cortical Neurons.** Both necrosis and apoptosis are prominent forms of cell death in neonatal animals that undergo H-I, with necrotic cell death beginning earlier in the course of neurodegeneration and apoptotic pathways invoked at a later stage (10, 11, 23). However, most of the



**Fig. 1.** Acute H-I damage in hippocampus, cortex, and striatum is inhibited in *cytNmnat1*-Tg mice. P7 mice underwent unilateral carotid artery ligation and exposure to hypoxia for 45 min. T2W MRI images were acquired 6, 12, and 24 h after H-I. Representative examples of T2W MRI images from wild-type ( $n = 7$ ) and *cytNmnat1*-Tg ( $n = 6$ ) mice are shown. Circles with arrows demarcate areas of early tissue injury observed only in wild-type animals.



**Fig. 2.** Decreased tissue loss in hippocampus, cortex, striatum, and thalamus of cytNmnat1-Tg mice after H-I. (A) P7 mice underwent unilateral carotid artery ligation and exposure to hypoxia for 45 min. At P14, animals were killed, and brain tissues were assessed for regional size analysis in the ipsilateral (lesioned) hemisphere vs. the contralateral (unlesioned) hemisphere. (B) Representative examples of brain injury in wild-type ( $n = 19$ ) and cytNmnat1-Tg ( $n = 19$ ) animals. Error bars show SE;  $**P < 0.001$  and  $***P < 0.0001$ .

H-I-induced brain injury in P7 rats can be blocked by pretreatment with the NMDA receptor (NMDAR) antagonist, MK801 (13, 31). This finding suggests that glutamate-mediated toxicity via NMDARs in the setting of neonatal H-I initiates cell death with both necrotic and apoptotic features.

To test whether cytNmnat1 protects against NMDA-mediated excitotoxicity, we treated cultured embryonic (E) day 14.5 cortical neurons with NMDA. We examined neurons infected with lentivirus expressing EGFP (as a control) or with cytNmnat1. Seven days after infection [day in vitro (DIV) 10], the neurons were treated with 0, 25, 50, or 100  $\mu\text{M}$  of NMDA for 12 or 24 h. Neuronal processes were stained with  $\alpha$ -tubulin antibody to enable quantification of axonal degeneration through automated image analysis (32). NMDA induced dose- and time-dependent neurite degeneration in control EGFP-expressing neurons. The degeneration was inhibited completely by treatment of the cells with the NMDAR antagonist MK801 at 5  $\mu\text{M}$ . CytNmnat1 expression strongly protected the neuronal processes against all doses of NMDA studied both 12 and 24 h after exposure (Fig. 5A and B and Fig. S5). B cell lymphoma-extra large (Bcl-XL) protein is highly expressed in mammalian brain and inhibits cytochrome *c*-mediated caspase activation in neurons (33). To assess the role of caspase-dependent cell death in our current excitotoxicity paradigm, cortical neurons expressing Bcl-XL and neurons treated with pan-caspase inhibitor [N-Benzyloxycarbonyl-Val-Ala-Asp(O-Me) fluoromethyl ketone (Z-VAD-FMK), 50  $\mu\text{M}$ ] were treated for 12 or 24 h with 0, 25, 50, or 100  $\mu\text{M}$  of NMDA. Unlike the effects of Nmnat1, Bcl-XL expression or treatment with pan-caspase inhibitor did not protect neuronal processes against NMDA-mediated excitotoxicity (Fig. 5A and B).

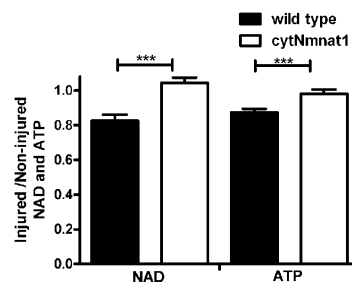
When we examined these cultures, Nmnat1 also appeared to protect cortical neuronal cell bodies from dying. Most other studies of Wlds or Nmnat1 consistently have observed axonal protection with minimal or no effects on the cell body. To explore this potentially unique effect of Nmnat1, we used a lactate dehydrogenase (LDH) release assay. Degenerating neurites do not release LDH (34, 35); thus prevention of LDH release suggests that cell bodies likely are being protected. Conditioned media from the cortical neuron cultures were collected 12 h after NMDA treatment, and LDH was measured to determine the extent of neuronal cell death. CytNmnat1 and MK801 significantly protected against neuronal LDH release (Fig. 5C). Thus, cytNmnat1

robustly blocks NMDA-mediated damage to neuronal processes and likely to cell bodies. Interestingly, Bcl-XL and the pan-caspase inhibitor provided protection against NMDA-mediated neuronal LDH release but did not protect neuronal processes, further distinguishing the effects of Nmnat1 and processes affecting apoptotic death. Overall, these results suggest that cytNmnat1, unlike antiapoptotic agents, can block NMDA-mediated damage both to neuronal processes and to cell bodies.

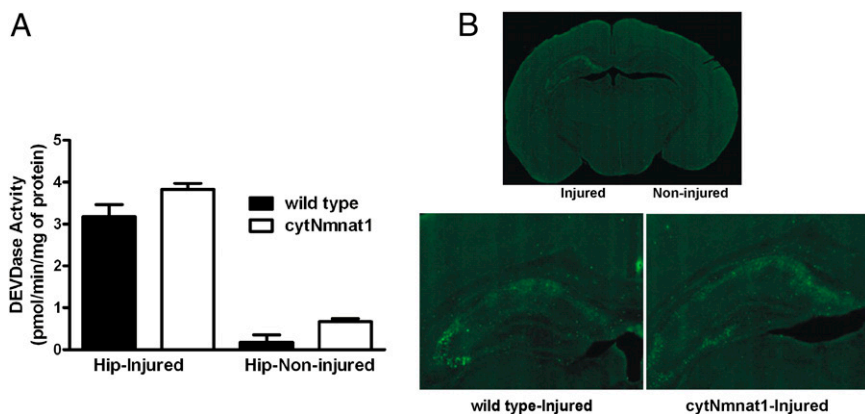
Given the key role of NMDARs in excitotoxic death, we tested the possibility that cytNmnat1 neuroprotection might arise from depression of NMDAR function or expression. We measured NMDA-mediated currents in cultured hippocampal neurons in response to the application of 10  $\mu\text{M}$  NMDA. There was no difference between cytNmnat1- and EGFP-expressing neuronal cells in the peak current or in the amount of receptor desensitization (Fig. S6). This result suggests that the protective effect of Nmnat1 affects a pathway downstream of the NMDAR.

## Discussion

Axonal degeneration is considered a key predictor of the outcome after CNS damage in many disorders such as head and spinal cord trauma, metabolic encephalopathies, multiple sclerosis, and white-matter diseases. In other neurological diseases such as Alzheimer's disease, Huntington disease, and Parkinson disease,



**Fig. 3.** H-I-induced changes in metabolism are blocked in cytNmnat1-Tg mice. NAD and ATP levels in injured and noninjured hippocampus tissue lysates of wild-type ( $n = 5$ ) and cytNmnat1-Tg ( $n = 5$ ) mice were assayed 24 h after H-I using HPLC. Error bars show SE;  $***P < 0.0001$ .

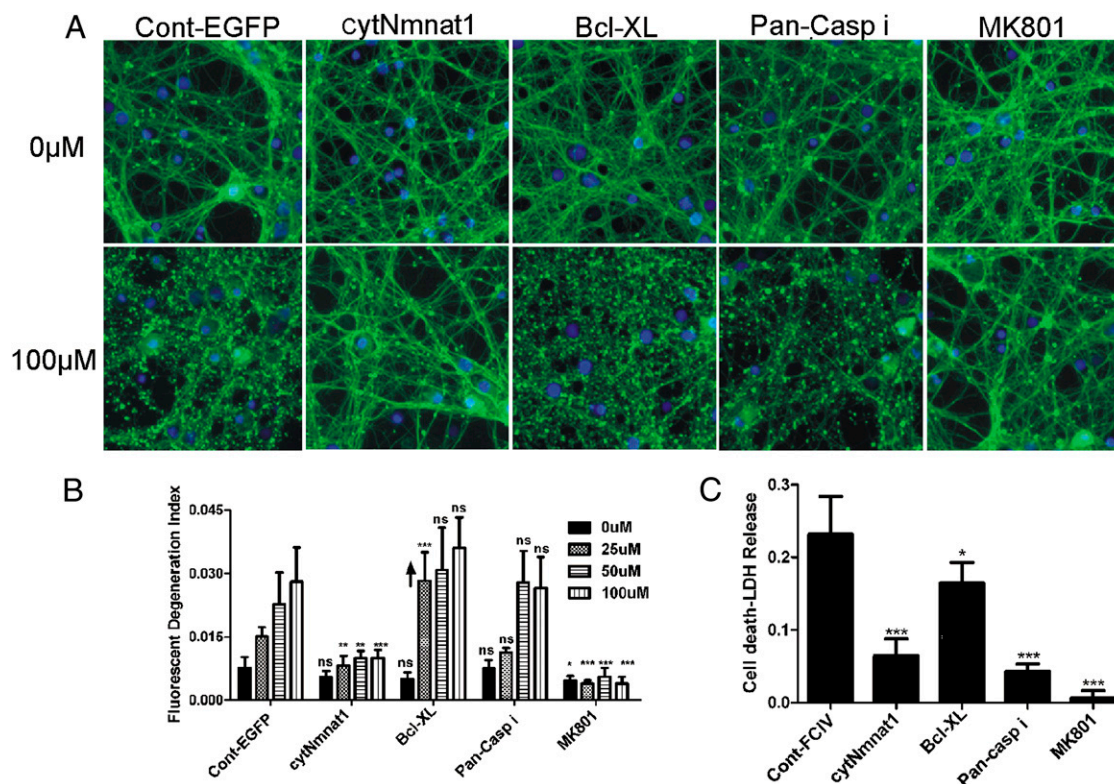


**Fig. 4.** Caspase-3 activation after H-I is similar in wild-type and cytNmnat1-Tg mice. (A) Asp-Glu-Val-Asp-7-amino-4-methylcoumarin (DEVD-AMC) cleavage activity was assayed 24 h after H-I in hippocampal lysates from both injured and noninjured wild-type ( $n = 9$ ) and cytNmnat1-Tg mice ( $n = 12$ ). There were no quantitative differences in DEVD-AMC cleavage activity between wild-type and cytNmnat1-Tg animals. (B) The presence of cleaved (activated) caspase-3 in the hippocampus of wild-type and cytNmnat1-Tg mice was assessed 24 h after neonatal H-I by immunostaining using a cleaved caspase-3-specific antibody in wild-type ( $n = 5$ ) and cytNmnat1-Tg ( $n = 5$ ) mice.

axonal degeneration is thought to precede neuronal cell death and to be an important cause of brain dysfunction (36). In this study we tested whether overexpression of cytNmnat1 in the CNS delays or protects neuronal process and/or cell body degeneration in a model of neonatal H-I brain injury. We used a well-described H-I animal model and primary neuronal culture to show that cytNmnat1 markedly protects neuronal processes as well as cell bodies against H-I-induced cellular injury. The protective effect of cytNmnat1 is strikingly visible within 6 h of the insult in vivo. The protective effect is independent of caspase-3-dependent cell death, and primary neuronal culture studies suggest that Nmnat1

exerts its effect by inhibiting pathways downstream of the NMDA excitotoxicity necrosis-mediated pathways.

The cortex, hippocampus, dorsolateral basal ganglia, thalamus, and periventricular white matter are major areas of damage in the human infant brain after significant H-I, and a large number of surviving patients suffer from motor disturbances, intellectual impairment, and seizures (1, 2). MRI findings within hours or days of injury in neonatal rats and mice identify the extent of injury and predict tissue loss and neurodevelopmental impairments later in life (21, 22, 37). Hence, interventions that block MRI-detectable injury after H-I are likely also to be associated with improved



**Fig. 5.** CytNmnat1 protects neuronal processes and cell bodies against NMDA-mediated excitotoxicity. Primary cortical neurons infected with EGFP (control), cytNmnat1, or Bcl-XL lentiviruses were treated with 0, 25, 50, or 100  $\mu$ M of NMDA on DIV10 for 24 h. In cells treated with the pan-caspase inhibitor Z-VAD-FMK (Pan-Casp i) or the NMDA antagonist MK801, primary cortical neurons were incubated on DIV10 before NMDA treatment with 0, 25, 50, and 100  $\mu$ M for 24 h. The cells then were stained with  $\alpha$ -tubulin (green, neuronal process) and with bisbenzamide (blue, nucleus). (A) Representative images of DIV10 primary cortical neurons treated with 0 or 100  $\mu$ M NMDA. (B) The fluorescent axonal degeneration index was quantified 24 h after NMDA treatments as described in *S1 Materials and Methods*.  $P$  values were calculated by one-way ANOVA comparing control with other treatments. The upward arrow on the Bcl-XL graph indicates that the degeneration is significantly higher than with EGFP. (C) Conditioned media from cortical cultures were collected 12 h after exposure to NMDA and assayed for LDH activity using a cytotoxicity detection kit.  $P$  values were calculated by one-way ANOVA comparing EGFP (control) with other treatments. Error bars show SE; \* $P < 0.05$ , \*\* $P < 0.001$ , \*\*\* $P < 0.0001$ ; ns, not significant.



## Materials and Methods

**Animals.** Mice overexpressing the *cytNmnat1* transgene under the control of the mouse prion promoter on a C57BL6/CBA (B6/CBA) background were produced as described (19). To produce *cytNmnat1* and wild-type littermate pups for the H-I experiments, *cytNmnat1* mice on a B6/CBA background were bred to B6/CBA F1 nontransgenic, wild-type mice. Care was provided to animals in compliance with National Institute of Health guidelines on the use of laboratory animals.

**MRI.** MR images were acquired on a Varian Unity INOVA MRI system with an 11.74-Tesla, 26-cm-diameter clear-bore horizontal magnet (Agilent/Varian/Magnex). The system was equipped with an 8-cm inner diameter and an actively shielded gradient and shim coil assembly driven by Copley high-performance gradient amplifiers (Copley Controls), providing a gradient of 120 G/cm with a rise time of 298  $\mu$ s. A 15-mm-diameter quadrature rf Litzcage coil (Doty Scientific) was used for rf transmission and reception. P7 mice were immobilized in the supine position in a custom-built, MRI-compatible head holder and were kept anesthetized using 0.4 ~1.0% isoflurane

(balance O<sub>2</sub>). The pup's respiratory rate was monitored and used to adjust the anesthetic level. The body temperature, monitored by a temperature probe taped to the abdomen, was kept at 37 °C by blowing heated air through the magnet bore. T2W images were acquired using a multislice, spin-echo sequence which incorporated a binomial-series water/fat frequency-selective excitation 3°–15°–30°–30°–15°–3° plus spoiling gradients at the beginning of each slice-selection loop. The time delay between the adjacent pulses was set to 298.7  $\mu$ s, thereby generating a 180° phase shift between water and fat transverse magnetizations after each pulse. The pups were imaged 6, 12, and 24 h after H-I with the following parameters: TR 4 s, TE 80 ms, four signal averages, and spatial resolution of 59 × 59 × 250  $\mu$ m.

Additional materials and methods are available in *SI Methods and Materials*.

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