

The genetics of pulmonary arterial hypertension in the post-*BMPR2* era

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ABSTRACT

Pulmonary arterial hypertension (PAH) is a rapidly progressive and fatal disease for which there is an ever-expanding body of genetic and related pathophysiological information on disease pathogenesis. The most common single culprit gene known is *BMPR2*, and animal models of the disease in several forms exist. There is a wealth of genetic data regarding modifiers of disease expression, penetrance, and severity. Despite the rapid accumulation of data in the last decade, a complete picture of the molecular pathogenesis of PAH leading to novel therapies is lacking. In this review, we attempt to summarize the current understanding of PAH from the genetic perspective. The most recent PAH demographics are discussed. Heritable PAH in the post-*BMPR2* era is examined in detail as the most robust model of PAH genetics in both animal models and human pedigrees. Important downstream molecular pathways and modifiers of disease expression are reviewed in light of what is known about PAH pathogenesis. Current and emerging therapies are examined in light of genetic data. The role of genetic testing in PAH in the post-*BMPR2* era is discussed. Finally, directions for future investigations that ideally will fulfill the promise of novel therapeutic or preventive strategies are discussed.

Key Words: *BMPR2*, heritable pulmonary arterial hypertension, idiopathic pulmonary arterial hypertension, pulmonary arterial hypertension, right ventricle

INTRODUCTION

Pulmonary arterial hypertension (PAH) is a disease of the pulmonary vasculature that is pathologically characterized by progressive neointimal proliferation leading to vaso-occlusive lesions as well as to dropout and pruning of the smallest pulmonary arteries.^[1] This drives the clinically apparent disease, characterized by progressive dyspnea, exercise intolerance, increasing pulmonary vascular resistance, and ultimately right ventricular failure and death.^[2] Under the current classification system, WHO Group 1 PAH is divided into disease subgroups that include heritable (HPAH, formerly familial PAH or FPAH), idiopathic (IPAH), and PAH associated (APAH) with a variety of other systemic diseases or drug/toxin exposures.^[3]

Untreated, PAH results in death from right heart failure in less than 3 years for most patients.^[4] Despite advancements in therapies, as evidenced by FDA approval

of 7 drugs specifically for the treatment of PAH, the currently available therapies are, for most patients, only partially or temporarily effective. No therapies tested to date have shown any significant ability to reverse the disease, and despite suggestions in epidemiologic studies of improvement there has been no conclusive demonstration in large clinical trials of the ability of currently available therapies to prolong survival, although patients who have a good initial response to therapy may derive some survival benefit.^[5-10]

Much has been learned about the genetic underpinnings of PAH since its initial descriptions as primary pulmonary hypertension by Dresdale and colleagues in 1951.^[11] This line of inquiry expanded to include the recognition of PAH as a familial disease in some cases, with the initial description in 1954 of a family in which PAH was identified

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in a mother and in her son and sister.^[12] Intense study of the inheritance and genetic patterns of familial PAH ultimately led to the identification of altered transforming growth factor beta (TGF- β) signaling via the bone morphogenetic protein receptor Type 2 (*BMPR2*) as being the key heritable risk factor for development of PAH,^[13,14] with other primary (e.g., mutations in *ALK1* or *ENG*) and modifier genetic risk factors having been identified as well. The evolution of the current understanding of the genetics of PAH, as well as existing questions, is the topic of this review.

DISCUSSION

PAH: Definition, incidence, and demographics

For the purposes of clinical and research definition, PAH is defined as a mean pulmonary artery pressure > 25 mmHg at rest,^[15] confirmed by right heart catheterization, and in the absence of other conditions known to elevate pulmonary vascular pressures, such as pulmonary embolism, left-sided heart disease, other lung diseases, and various conditions associated with PAH.^[16] HPAH is a subset of PAH in which patients either belong to a pedigree known to be affected by PAH in multiple family members or are found to have a mutation in a gene known to associate with PAH (most commonly *BMPR2*) in what was previously thought to be a case of sporadic or IPAH. It was this latter group of patients that prompted the official change in classification from familial PAH (FPAH) to HPAH.^[3] Because the mutations that drive HPAH show reduced penetrance, there can be multiple unaffected generations in a family pedigree, and thus family history can be apparently negative for pulmonary vascular disease. It is only with the advent of genetic testing for particular mutations that these patients have become apparent. In addition, genetic testing has allowed for the identification of what may be *de novo* mutations driving disease in patients previously classified as IPAH patients.^[17]

The overall incidence of PAH is difficult to determine with accuracy, as very few studies have actually reported incidence and prevalence data, and clinical under-recognition of the disease has been a challenge until recent years. One of the most recent studies to assess incidence was a large multicenter French study looking at 17 university hospitals across France during a 1-year period from October 2002 to October 2003.^[18] In this study, 18% (121 of 674) of the cases were new diagnoses during the period of the study. The low end of the range of estimated incidence was 2.4 cases per million adult population per year. Estimated prevalence was 15 cases per million, with an estimated 5.9 cases of IPAH per million adults. Within the cohort, 39.2% were classified as IPAH, and 3.9% were classified as familial. In the initial report of their study, the

investigators described a less severe clinical presentation for the familial PAH patients compared to IPAH. None of the familial patients presented with NYHA class IV heart failure, and as a group the familial patients had a better 6-minute walk distance than the IPAH patients. However, hemodynamics were similar in both groups. Subsequently, these same investigators compared *BMPR2* mutation carriers (28 familial and 40 idiopathic PAH patients) to non-carriers (155 IPAH patients) and found that mutation carriers are diagnosed and die approximately 10 years earlier and with worse hemodynamics (e.g., higher mean pulmonary artery pressure, lower cardiac index, and lower mixed venous oxygen saturation) compared to non-carriers. Mutation carriers also have shorter times from diagnosis to death or lung transplantation, but the overall survival is similar between mutation carriers and non-carriers.^[19] Following this, these investigators have examined the clinical presentation in HPAH caused by mutations in the activin A receptor Type II-like kinase-1 (*ACVRL1* or *ALK1*), a receptor in the TGF- β receptor family. Mutations in *ALK1* are associated with PAH and with hereditary hemorrhagic telangiectasia (HHT). Comparing a small group (32 patients) of *ALK1* mutation carriers to both *BMPR2* mutation carriers and IPAH non-carriers, the *ALK1* mutation carriers presented at a younger age than even the *BMPR2* mutation carriers. Despite better hemodynamics at the time of diagnosis and despite similar PAH therapies, the *ALK1* mutation carriers had shorter survival times compared to *BMPR2* carriers and to non-carriers.^[20] Overall, then, the data from the French Registry and others suggest that while histopathologically identical, there may be subtle differences between HPAH and IPAH that impact the clinical presentation and progression of disease in the two groups.^[21]

The most recent and largest observational cohort study of PAH to date has been compiled by the Actelion-sponsored Registry to Evaluate Early and Long-term PAH Disease Management (REVEAL) database. The registry was designed to enroll 3,000 prevalent and/or incident patients from 54 centers in the United States with WHO Group 1 PAH and to study their baseline characteristics as well as to examine their clinical progression and responses to therapy in a prospective way.^[22] The baseline characteristics on the first 2,525 adult patients enrolled between March 2006 and September 2007 have been reported.^[23] The proportions of PAH defined as IPAH and familial PAH were 46.2% and 2.7%, respectively, in line with what was described for the French Registry initially. Of note, subgroups of WHO Group 1 PAH were determined by the clinician enrolling the patient in the registry, based upon his/her assessment of what was the most likely etiology of the patient's PAH. Thus, genetic testing was not a necessary criterion, and the FPAH patients defined as such are not identical to the HPAH patients that have

been described in the later analyses from the French Registry. Some of the salient differences between REVEAL and the French Registry as well as the 1987 NIH Registry have recently been summarized.^[24] No studies from the REVEAL Registry comparing FPAH to IPAH have been forthcoming.

One of the most interesting findings to come out of the REVEAL Registry to date that may have a major impact on the future understanding of PAH disease and disease-modifying genetics has been the finding that 79.5% of the adult PAH patients in the registry are female. A female predominance has been noted in previous studies both in the U.S. and in the French Registry, with the female predominance being even more pronounced among blacks in these studies. The female-to-male ratio of 4.1:1 is much higher than that reported in the 1987 NIH registry (1.7:1)^[4] or in the French Registry (1.9:1), but is in keeping with what was observed in the Surveillance of Pulmonary Hypertension in America Registry (4.3:1).^[25] The prevalent hypothesis is that estrogen or its metabolites have an influence on development of disease and/or survival, and indeed recent studies have supported this notion (see below: Modifiers of *BMPR2*-mediated PAH, subsection *Steroid hormones*).

Heritable PAH and *BMPR2*

Dresdale first recognized a familial or heritable component to PAH in 1954, soon after the initial description in the literature of the disease itself.^[12] In the 30 years that

followed, a total of 13 families were described in the English literature with features of a heritable disease fitting the description of PAH. In 1984, these 13 families were reviewed and the pedigrees expanded by the PAH group at Vanderbilt, and a 14th family was added with 6 PAH deaths (all in women) already identified at that time.^[26] We have continued to follow this family (Fig. 1), which now has 36 members diagnosed with HPAH (29 females and 7 males) and at least another 48 members who are unaffected but who are obligate carriers of what has been identified as a mutation in the ligand-binding domain of *BMPR2*.

Prior to the identification of *BMPR2* as the major heritable risk factor for HPAH, there were a number of things known about the genetic behavior of HPAH, not all of which have been explained since the discovery of the culprit gene. The inheritance pattern in HPAH is best described as autosomal dominant with reduced penetrance.^[26,27] The penetrance is highly variable, from 20%-80%. This strongly suggests the presence of modifying factors, genetic or environmental, that confer increased or decreased risk. Age of onset or diagnosis of the disease is highly variable, with HPAH being diagnosed any time from infancy out to 70 years of age. As mentioned above, there is a female predominance in PAH that is seen in all forms of WHO Group 1 PAH including HPAH. Interestingly, there have been several reports of HPAH occurring at earlier and earlier ages in subsequent generations, a phenomenon known as genetic anticipation.^[28]

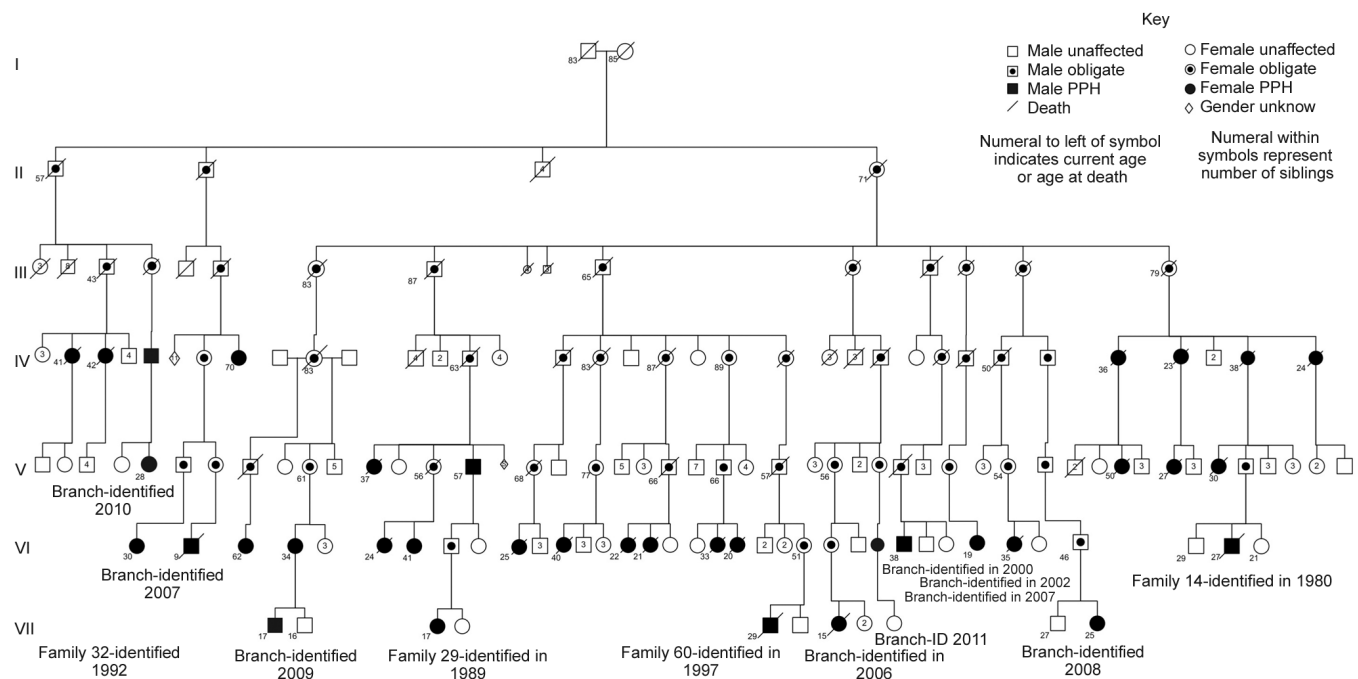


Figure 1: Updated pedigree of the 14th family reported in the literature with HPAH. Symbols are standard for pedigree analysis and are explained in the figure caption. This family carries a mutation in the ligand-binding domain of *BMPR2*.

There are two major genetic mechanisms by which anticipation is known to occur. The first is trinucleotide repeat expansion, first described for Fragile X syndrome and now recognized to be causative or contributory in 40 or more neurologic diseases, most of which exhibit genetic anticipation.^[29] The second mechanism is progressive telomere shortening, as has been described to explain the genetic anticipation observed with dyskeratosis congenita.^[30] This is a disease characterized by mutations in telomerase reverse transcriptase, which is one of the enzymes responsible for maintaining telomere length in humans. Both of these mechanisms have been investigated in HPAH, and to date neither has proven to be the explanation for the apparent genetic anticipation in families with PAH.^[31] There is a single report in the literature from Uziel et al. describing apparent genetic anticipation of neurologic disease associated with the mitochondrial mutation T8993G in 6 pedigrees. In this relatively small study, anticipation of symptoms correlated with increasing degree of heteroplasmy for the mitochondrial mutation.^[32] This potential mechanism has not been investigated in HPAH, and to date no mutations in the mitochondrial genome itself have been associated with HPAH. An important alternative explanation to consider is statistical artifact or bias, particularly ascertainment bias. With progressive improvements in genetic and clinical screening in families with PAH, it could simply be that family members are diagnosed earlier or with a greater frequency at younger ages than they would have been in prior generations. Or, sufficient time has not passed to allow for additional “older age” diagnoses to occur in more recent generations. However, given the particularly poor survival in HPAH, the temporal distance between diagnosis and death is short enough that profound differences in age at diagnosis according to ascertainment bias are less likely. Regardless, until a biological mechanism can be demonstrated to explain the anticipation phenomenon observed in HPAH, the possibility of statistical artifact will remain.

With this background information, two teams working independently first began to localize the gene responsible for the majority of HPAH in the mid-1990s. Using linkage analysis referenced to short tandem repeats and to other microsatellite markers, both groups identified chromosome 2q31-33 as the region of interest and published their findings in 1997.^[33,34] Again working independently, both groups subsequently found that the mutated gene responsible was *BMPR2*. Deng et al. used a modified candidate gene approach, examining 3 genes by denaturing high performance liquid chromatography (DHPLC) and identifying 5 nonsense and 2 missense mutations in *BMPR2*, which were not present in 196 control samples.^[35] Lane et al. used positional cloning of patient genomic samples and a candidate gene approach to examine 17 possible genes in the region of 2q33 and

identified 2 frame-shift, 2 nonsense, and 3 missense mutations in *BMPR2*.^[36] Subsequent to these reports in 2000, there have been numerous studies that have identified nearly 300 different mutations in *BMPR2* using methods as diverse as direct sequencing, melting curve analysis, DHPLC, Southern blotting, and multiplex ligation-dependent probe amplification.^[17] Mutations in *BMPR2* account for 75%-80% of the cases of HPAH, with a small percentage of cases attributed to known mutations in other TGF- β family members (e.g., *ACVRL1/ALK1* or endoglin, *ENG*). The remaining cases of HPAH that are negative for known mutations may well have as yet unidentified alterations in genes in the TGF- β pathway, as exemplified by a case report describing a Smad 8 gene mutation in a patient with sporadic PAH.^[37]

At the time of its identification as the gene responsible for the majority of cases of HPAH, *BMPR2* possessed biological plausibility. It was already known that mutations in *ACVRL1/ALK1* or in *ENG* were causative in HHT and were associated with pulmonary arterial hypertension.^[38] *ACVRL1/ALK1* is a Type I TGF- β receptor, and endoglin is its co-receptor. Moreover, altered TGF- β signaling had already been described in remodeling pulmonary arteries in PAH.^[39] Finally, TGF- β signaling was known to influence cell proliferation and survival, tissue growth and repair, and inflammation,^[40] and all of these processes seemed to be dis-regulated in PAH. Surprisingly, despite identification of *BMPR2* and implication of the TGF- β pathway, and despite the subsequent development of powerful investigative tools, a clear mechanistic connection between altered *BMPR2* and/or TGF- β signaling and PAH has remained elusive. More unfortunately, knowing the genetic underpinning of HPAH has not yet allowed the development of novel therapeutics that target the underlying molecular pathogenesis of the disease.

A number of important questions were immediately logical follow-ups to the identification of the gene responsible for HPAH. These were questions that were raised and addressed with very little knowledge of the function of the gene product or its molecular pathogenesis. The first of these was to ask whether *BMPR2* mutations were responsible for sporadic PAH in addition to HPAH, perhaps even serving as a common cause of all forms of PAH. Very soon after the report of *BMPR2* as the causative gene in familial PAH, Thomson et al. reported the detection of germline *BMPR2* mutations in 11 out of a sample of 50 unrelated patients with IPAH (sporadic IPAH without family history or known genetic association to explain PAH).^[41] These mutations encompassed the same spectrum of mutation types (frame shift, missense, and nonsense) as that described for familial PAH. Other groups have subsequently reported *BMPR2* mutations in cases previously identified as sporadic PAH.^[42,43] The

prevalence of *BMPR2* mutations among sporadic PAH patients is estimated to be between 11% and 40%, with the most recent report from the French Registry at 14.8% (49 of 332 patients) as of April 2009.^[20] *BMPR2* mutations have also been examined in other forms of PAH. In a series of 106 children and adults with congenital heart defects of various types, *BMPR2* mutations were detected in 6 patients.^[44] Most of these were atrioventricular canal or septation defects, and this was in keeping with prior studies in animal models showing that BMP signaling is important for normal cardiac septation and outflow tract formation in a *BMPR2* hypomorph mouse model.^[45] In small studies of less than 25 patients each, *BMPR2* mutations were not found in patients with PAH associated with scleroderma or in HIV-infected patients with PAH.^[46,47] In a larger series of 103 patients with chronic thromboembolic PAH, perhaps not surprisingly, no *BMPR2* mutations were detected.^[48] Taken together, the data suggest that *BMPR2* mutations are responsible for the majority of HPAH and for a significant subset of sporadic PAH patients initially identified as IPAH.

Molecular mechanisms underlying *BMPR2* mutations

The next step, following the identification of a culprit gene for a complex disease, would be to ask what types of mutations are present and how those mutations affect the gene product—e.g., haploinsufficiency, loss of function, gain of function, dominant negative, etc. From the initial investigations, frame shift, missense, and nonsense mutations were identified in the *BMPR2* coding region.^[35,36] On further investigation of intron/exon boundaries, splice site single nucleotide mutations have been identified.^[49] In addition, larger disruptions of the *BMPR2* gene, including both small and large rearrangements (exon or partial gene deletions or insertions and duplications) have been described.^[50] It has subsequently been demonstrated that at least some of the nonsense mutations identified result in haploinsufficiency through the process of nonsense-mediated decay (NMD).^[50,51] This is a feature of cellular quality control that exists between transcription and translation whereby nonsense mutations that result in significantly truncated transcripts are identified and degraded before they ever undergo translation.^[52] In a study of 45 families with HPAH caused by *BMPR2* mutations, 24 of the families were found to have a mutation that results in NMD. Other studies have estimated that the rate of NMD-causing *BMPR2* mutations in HPAH may be as high as 70%. Other *BMPR2* mutations have been shown to act in what seems to be a dominant negative fashion, and these mutations tend to have a more severe clinical phenotype. The major mechanism for dominant negative mutations is thought to be due to failed trafficking of the mutant receptor to the cell surface and the formation of nonfunctional intracellular heteromers composed of

mutant and wild-type receptors, which effectively traps the wild-type receptor in the cytoplasm.^[53] The *BMPR2* gene is comprised of 13 exons that encode for 4 major functional domains of the receptor—the extracellular ligand binding domain (exons 2-3), the transmembrane domain (exon 4), the serine/threonine kinase domain (exons 5-11), and a long cytoplasmic tail (exons 12-13) that is unique amongst the TGF- β receptor family members for its length. Mutations have been identified in all of these functional domains. Perhaps not surprisingly, the extracellular domain, kinase domain, and cytoplasmic tail domain are the sites of the vast majority of disease-causing *BMPR2* mutations, representing 187 out of 210 distinct mutations. Of these 187 mutations, 105 were found to affect the kinase domain.^[49]

Before engaging in a detailed biochemical investigation of how mutations affect *BMPR2* signaling and how this leads to PAH, a final question to ask from the standpoint of HPAH genetics is whether *BMPR2* mutation has any detectable impact on the clinical presentation or behavior of disease. As mentioned above, the French Registry examined *BMPR2* mutation carriers and non-carriers and compared their clinical disease course. The mutation carriers were younger at diagnosis, had worse hemodynamic parameters at diagnosis, progressed faster to death or lung transplantation, and died at younger ages, but their overall survival was the same as for non-carriers. *BMPR2* mutations have also been associated with decreased or absent vasoreactivity in PAH. Elliott et al. examined 67 unrelated patients with PAH (52 idiopathic, 15 familial) for *BMPR2* mutations. Non-synonymous *BMPR2* mutations were found in 16 of the idiopathic patients and 11 of the familial patients. Vasoreactivity at right heart catheterization was demonstrated for only 1 of the patients with non-synonymous *BMPR2* variations, compared to 14 of the 40 patients who did not have non-synonymous *BMPR2* changes ($P=0.003$).^[54] Rosenzweig et al. looked at vasoreactivity in a larger cohort of 147 patients, comprised of 69 adults and 78 children, with 114 IPAH patients and 33 familial PAH patients. Of the 147 patients, 23 were positive for a *BMPR2* mutation. The mutation carriers in this study were found to be much less likely to exhibit acute vasoreactivity at right heart catheterization (4% vs. 33% for non-carriers, $P<0.003$). Patients with *BMPR2* mutations also had lower mixed venous oxygen saturations and lower cardiac index, similar to what was described in the French Registry.^[55] Taken together, these findings suggest that *BMPR2* mutation carriers are less likely to exhibit acute vasoreactivity and are more likely to have worse hemodynamics at right heart catheterization. This suggests more severe disease at the time of diagnosis. Thus far, however, this has not seemed to translate into excess mortality in the studies that have been reported to date.

Identification of *BMPR2* as the gene responsible for HPAH has allowed for a number of subsequent informative inquiries into the nature of *BMPR2* mutations and how these affect the clinical presentation of disease. It has also allowed for widespread targeted genetic testing for HPAH. However, the central point to investigate following the identification of the culprit gene(s) for any complex disease is the central point of molecular pathogenesis. By elucidating how a mutant gene mechanistically leads to disease, the hope is that novel and specific therapeutic targets can be identified and pharmacologically engaged with the eventual goal of developing more effective treatments, and perhaps even curative or preventative therapies.

TGF- β /BMP signaling

Much was known about the TGF- β superfamily at the time of the discovery of *BMPR2* as the gene responsible for HPAH. The cytokine ligands in the TGF- β family are encoded by 42 open reading frames in humans. These ligands function as dimers and interact with heterotetrameric complexes consisting of 2 Type I receptors (7 subtypes identified) and 2 Type II receptors (5 subtypes identified, including *BMPR2*). The Type II receptor then phosphorylates the Type I receptor's kinase domain, activating it. The Type I receptor's kinase domain phosphorylates and activates 1 or more of the R-Smad proteins (Smads 1, 2, 3, 5, and 8). The phosphorylated R-Smads then interact with the Co-Smad, Smad 4. This complex then translocates to the nucleus to affect the transcription of target genes (Fig. 2). Regulation of TGF- β signaling is very complex, with negative regulators present at the level of ligand binding (e.g., ligand binding traps, decoy receptors), of intracellular receptor-binding proteins, and of Smad complexes (e.g., the I-Smads, which are Smads 6 and 7). TGF- β signaling has multiple roles in cellular differentiation during development,

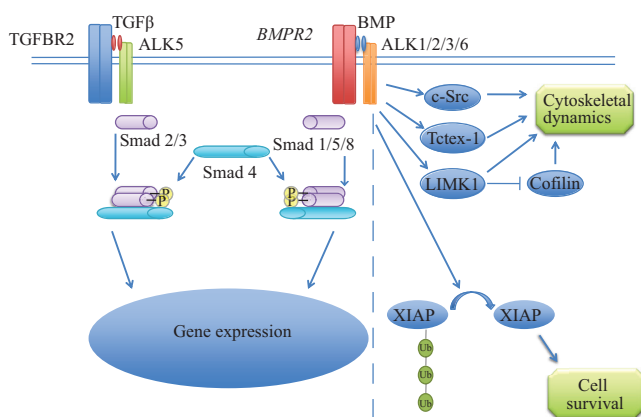


Figure 2: Simplified canonical TGF- β /BMP signaling and non-canonical signaling unique to *BMPR2*. The dashed line delineates canonical TGF- β signaling (on the left) from some of the pathways interacting with *BMPR2* via its long cytoplasmic tail.

determination and maintenance of cell fate, apoptosis, cell proliferation, and regulation of inflammation.^[17,40] In general, TGF- β signaling has a negative effect on cell growth in the post-development period, and loss of TGF- β signaling has been linked to tumorigenesis.^[56]

Central to the pathology of PAH are the findings of muscularization of the smallest pulmonary arteries, occlusion of small pulmonary arteries by aggregations of cells of unclear lineage, and loss of the smallest branches of the pulmonary vascular tree (so-called “pruning”). Given the neointimal and smooth muscle proliferation and the vaso-occlusive plexiform lesions seen in histologic sections of PAH lungs, as well as findings of altered cellular proliferation and apoptosis in cells isolated from PAH patients, the TGF- β pathway seems optimally positioned to mediate these effects. *BMPR2* is expressed in smooth muscle cells and endothelial cells in the human pulmonary vasculature, though its effects appear to be opposing in these two cell types.^[57-59] In pulmonary artery endothelial cells, loss of BMP responsiveness confers increased sensitivity to apoptosis with abnormal repopulation/repair responses. In pulmonary artery smooth muscle cells, decreases in BMP signaling result in a loss of growth restriction and abnormal smooth muscle proliferation.^[60] These phenotypes are likely mediated not so much by widespread and severe loss of BMP signaling but rather by more subtle changes in receptor multimer formation and makeup that shift the overall phenotype in the directions described.

BMPR2 participates in TGF- β signaling at the level of a Type II receptor. The most obvious hypothesis to link *BMPR2* mutation and PAH would be through altered Smad signaling. While there has been some evidence linking defective Smad signaling to abnormalities in pulmonary vascular homeostasis that are similar to what is seen in PAH,^[59,61-63] the molecular pathogenesis is more complicated than this. Mutations in the cytoplasmic tail domain of *BMPR2* that have been identified in HPAH patients have been shown to have essentially intact Smad signaling when expressed in vitro.^[64,65] The unusually long cytoplasmic tail of *BMPR2* is thought to participate in Smad-independent signaling and has been shown to interact with a number of other proteins, including Tctex-1 (a dynein light chain), LIMK-1 (involved in regulation of actin cytoskeletal dynamics), XIAP (a negative regulator of apoptosis), p38 MAPK, c-Src, and RACK-1 (Fig. 2).^[65-72]

The *BMPR2* transgenic mouse model of PAH

One of the best tools available for studying the molecular pathogenesis of any complex disease is a robust animal model. For laboratory research purposes, this often implies a rodent model, as rodents possess the necessary organ system complexity to more accurately reproduce human

disease while still being easy to work with and maintain as well as being genetically tractable. This is worth mentioning in light of the fact that there are strains of fowl and of cattle that develop pulmonary hypertension.^[73,74] Prior to the identification of *BMPR2* in HPAH, several rodent models of PAH existed, including monocrotaline treatment, chronic hypoxia with or without treatment with the VEGF receptor antagonist Sugen 5416, extrarenal overexpression of mouse renin in the Ren-2 rat, and endothelial nitric oxide synthase (eNOS) knockout plus hypoxia. The major animal models of PAH in current experimental use have been recently reviewed elsewhere.^[75] The fundamental problem with all of these models is that they required a condition, either an environmental exposure or a genetic condition, which was not present in patients with PAH. While this is a problem common to many animal models of complex diseases, and while subsequent studies have described altered *BMPR2* expression and signaling in at least some of these models, the discovery of the culprit gene in HPAH allowed for the development of much more robust transgenic animal models. This, however, was not as straightforward as it initially appeared.

Because TGF/BMP signaling plays a large role in development, terminal differentiation, and determination of cell fate, animals with severe germline loss of *BMPR2*, such as *BMPR2* hypomorphs or homozygous deletion of *BMPR2*, exhibit an embryonic lethal phenotype.^[76] Homozygous deletion leads to growth arrest at the early gastrulation/egg cylinder stage, and *BMPR2* hypomorphs have failure of septation of the cardiac outflow tract, as mentioned above.^[45] *BMPR2*^{-/-} heterozygotes show mildly elevated right ventricular systolic pressure (RVSP) and modest muscularization of small pulmonary arterioles in some studies, but not in others.^[77,78] To attempt to achieve a more dramatic and consistent phenotype, a transgenic mouse was made that expresses a dominant negative *BMPR2* allele only in smooth muscle, under the control of the Sm22 promoter. These animals showed more consistent elevation of RVSP and some muscularization of the small pulmonary arterioles, but many of the features of human PAH were not present.^[79] Hong et al. subsequently demonstrated that conditional knockout of *BMPR2* in pulmonary endothelial cells resulted in elevated RVSP with associated RV hypertrophy, muscularization of the distal pulmonary arteries, and perhaps some evidence of early “onion skin” lesions.^[80] Though still not a true mimic of the human disease, these studies are important for demonstrating that neither the pulmonary endothelial cell nor the vascular smooth muscle cell is clearly the dominant cell type in PAH, as both seem to drive disease in these animal models.

Further progress in the creation of a robust animal model of HPAH was realized when West et al. created a double

transgenic mouse that expresses rtTA under the control of the Sm22 promoter and expresses a *BMPR2* receptor with a dominant negative truncation mutation in the cytoplasmic tail (R899X) under the control of the TetO(7) promoter. These mice thus express the mutant *BMPR2* only in smooth muscle cells and only when exposed to doxycycline. Not only does this circumvent the problems associated with a *BMPR2* mutation expressed from conception, but these animals also exhibit elevated RVSP, muscularization of distal pulmonary arteries, and larger pulmonary vascular structural changes.^[81] Importantly, the particular mutation expressed in these animals is the most common *BMPR2* mutation in the cohort of HPAH patients followed at Vanderbilt University. A further refinement to the model has been the creation of a transgenic mouse that expresses the same *BMPR2* mutation only in the presence of doxycycline, but expresses the mutant gene in every cell in the body.^[82] This is actually a better representation of what occurs in the human disease, as *BMPR2* and its mutations exhibit widespread tissue expression. Moreover, it avoids the inherent bias of restricting expression of the mutant gene to one particular cell type. Finally, these animals recapitulate nearly every aspect of the human disease (Table 1). In addition to elevated RVSP and muscularization of the distal pulmonary arteries, these animals show plexiform lesions, influx of perivascular inflammatory cells, increase in oxidative stress, and alterations in actin cytoskeletal dynamics. Finally, and perhaps most interestingly, these animals show reduced penetrance of the disease phenotype. While perhaps a frustrating aspect of the animal model in one sense, this suggests that this transgenic animal model is the most robust available for the study of the molecular pathogenesis of HPAH.

Table 1: Comparison of changes observed in PAH patients and in mice expressing mutant *BMPR2*

Finding in late-stage human PAH	Present in <i>BMPR2</i> mutant mice?
Increase in RVSP	Yes – measured directly via catheter
Abnormal echocardiographic findings	Yes – particularly RV dilatation
Increase in total body mass	Yes – with mice showing 20-30% increase compared to wild-type
Pruning of small pulmonary vessels	Yes – measured by fluorescence microangiography and by histology
Presence of complex vascular lesions	Yes – though variable and well after increase in RVSP
Muscularization of pulmonary arterioles	Yes – most prominent in vessels <25 μm in diameter
Widespread gene expression changes	Yes – in pathways similar to those altered in PAH patients

RVSP: right ventricular systolic pressure; **PAH:** Pulmonary arterial hypertension

Modifiers of *BMPR2*-mediated PAH

Steroid hormones

As mentioned above, the reduced penetrance of *BMPR2* mutations in HPAH strongly suggests the presence of modifiers that increase and/or decrease disease risk. It is likely that these modifiers represent both genetic and environmental factors, as evidenced by the fact that the most robust transgenic mouse models are incompletely penetrant and should have very similar or identical genetic backgrounds from one individual to the next. Nonetheless, the evidence is strongest for genetic modifiers. The earliest identified modifier, noted in all of the epidemiologic studies of PAH discussed above, is female gender, which increases the incidence of the development of disease up to 4-fold. This would seem to be due either to a detrimental effect of estrogen or its metabolites or to a protective effect of testosterone or its metabolites, or perhaps a combination of these. It is also possible that more complex changes at the chromosomal level, such as aberrant X-inactivation, may play a role, though there is only scant data to support this idea.^[61] West et al. used expression arrays to examine EBV-immortalized B lymphocytes from HPAH patients with *BMPR2* mutations, from family members who were mutation carriers but had not developed disease, and from non-carrier family members, in an attempt to discover modifier genes not previously identified. Overall, the study concluded that pathway analysis was more informative than single gene changes. However, changes in the expression level of *CYP1B1*, an estrogen metabolizing enzyme, were highly correlated with disease penetrance in female but not in male PAH patients in the study. *CYP1B1* showed 10-fold lower expression levels in female patients compared to controls.^[83] This enzyme metabolizes estrogens to 2-hydroxy and 4-hydroxy metabolites.^[84] This reaction is in direct competition with other cytochrome P450 enzymes that metabolize estrogens to 16-alpha-hydroxy metabolites, which have been shown to possess significant mitogenic activity and to be pro-tumorigenic.^[85,86]

Austin et al. followed up the expression array findings by examining *CYP1B1* polymorphisms in 140 *BMPR2* mutation carriers. Of the 140 subjects, 92 had HPAH (62 of whom were female) and 48 were unaffected mutation carriers (24 of whom were female). Genotyping for a *CYP1B1* polymorphism (N435S mutation, previously associated with breast, endometrial, and prostate cancers) was done, and from the female subjects, a nested case-control study examining the urinary metabolites of estrogen (2-OHE and 16 α -OHE₁ metabolites) in 5 female HPAH patients and 6 female unaffected mutation carriers. Among female mutation carriers, there was a 4-fold higher penetrance of disease for those homozygous for the N/N *CYP1B1* allele compared to those who were heterozygous (N/S) or homozygous (S/S) for the polymorphism (P=0.005 for

Chi-squared analysis). In the nested case-control portion of the study, the 2-OHE/16 α -OHE₁ ratio was 2.3-fold lower (0.65 vs. 1.48 ng/mg creatinine/mL, P=0.006) in the 5 female HPAH patients compared to the unaffected mutation carriers.^[87] Taken together, these data strongly establish *CYP1B1* as a potentially important modifier of *BMPR2*-mediated PAH, at least in female patients.

The influence of estrogen and estrogen metabolites is likely a bit more complex, as estrogen has a number of metabolic fates in vivo. For example, estradiol is converted to 2-OHE by CYP1A1/CYP1B1, which is converted to 2-methoxyestradiol (2-ME) by catechol-O-methyltransferase (COMT). 2-ME has been demonstrated in at least some animal models of PAH to have potent antimitogenic and overall beneficial therapeutic effects, and it is possible that the overall balance of estrogen and its metabolites is the biologically relevant variable.^[88,89] However, it is likely that not all of the enzymes involved in estrogen synthesis and metabolism are equally important. The critical control point may still be *CYP1B1*, as there are no published reports linking PAH and COMT polymorphisms or COMT inhibitors.

Whether diagnosis of PAH and propensity to survive after diagnosis reflect distinct processes is unclear. Reports from the two large epidemiologic registries of PAH in France and in the US found reduced survival among males, although it was unclear if this was due to effects on the pulmonary vasculature, effects on the ability of the right ventricle to respond to stress, or both.^[90,91] Furthermore, any survival disadvantage for males may be specific to men over the age of 60 years. This was the case for the REVEAL study in terms of men over age 60 compared with younger men and compared with women at any age. Detailed prospective studies with validation will be necessary to fully appreciate the degree to which gender participates in survival, if at all.

A logical extension of the sex hormone discussion is to androgens. To date, though studies have indicated a potential protective role for testosterone via its actions as a pulmonary vasodilator,^[92,93] no investigations have revealed an association between polymorphisms in any of the androgen synthesis, signaling, or metabolic machinery and increased or decreased penetrance of HPAH in males or females. However, a study conducted by Roberts et al. examining genetic risk factors (independent of *BMPR2* or the serotonin transporter, SERT) for the development of portopulmonary hypertension in males and females did find that 2 polymorphisms in the gene for aromatase, which is the rate-limiting step in the conversion of androgens to estradiol, conferred an increased risk for developing portopulmonary hypertension. The increased risk, correlated with increasing levels of estradiol in a

dose-dependent fashion, controlled for gender.^[94] One possible explanation for this finding is a change in the balance of estradiol and its metabolites, but another possibility is a decreased protective effect of androgens due to increased conversion to estradiol. This study did not measure androgens or estrogen metabolites, so the question remains an open one.

Variation in the TGF β /BMP/BM $PR2$ /Smad axis

Interestingly, one modifier of disease expression has been described involving the *BM $PR2$* gene itself. It has been estimated that as many as 70% of the total mutations in *BM $PR2$* would be predicted to result in truncated transcripts that would likely be subject to nonsense-mediated decay.^[49] Indeed, NMD has clearly been demonstrated for some *BM $PR2$* mutations, which is predicted to result in functional haploinsufficiency. However, even amongst subjects harboring NMD+ mutations, disease penetrance is not uniform, and only 20% of mutation carriers will go on to develop HPAH. Hamid et al. hypothesized that variations in the expression of the wild-type *BM $PR2$* allele in these individuals may be an important disease-modifying factor by contributing to variable degrees of haploinsufficiency. To test this, they examined EBV-immortalized B lymphocytes from members of 4 families, each family with a different NMD+ *BM $PR2$* mutation. From each family, immortalized lymphocytes from both HPAH patients and related unaffected mutation carriers were analyzed for expression levels of wild-type *BM $PR2$* . Compared to unaffected family members, HPAH patients had significantly lower expression levels of the wild-type *BM $PR2$* allele ($P < 0.005$), a finding that was independent of the specific NMD+ mutation in the other allele.^[95]

Just as variations in the *BM $PR2$* receptor cause or contribute to disease, variations in the gene for at least one of the ligands in the TGF- β /BMP pathway, *TGF β 1*, have been shown to influence disease penetrance. *TGF β 1* SNPs were separated into least active, intermediate active, or most active groups and examined in 81 HPAH patients and 39 unaffected *BM $PR2$* mutation carriers. In the context of NMD-resistant *BM $PR2$* mutations, the relative activity of *TGF β 1* mutations present influenced both age of disease onset and penetrance. More active *TGF β 1* SNPs correlated with earlier age at disease onset; and the least, intermediate, and most active SNP groups showed penetrances of 33%, 72%, and 80%, respectively ($P < 0.003$). There also appeared to be a dose effect, as those with 0-1, 2, or 3-4 active SNPs had penetrances of 33%, 72%, and 75%, respectively ($P < 0.005$). Phosphorylated Smad-2 was shown to be increased on immunohistochemical analysis of lung sections from HPAH patients, though this was not clearly a difference in expression but more likely a difference

in activity of the TGF- β pathway.^[96] Interestingly, there appear to be only two reports in the literature of genetic alteration of any Smad gene in a patient with PAH. Both are case reports of alterations in the Smad-8 gene. One patient had a germline *BM $PR2$* mutation and a somatic deletion on chromosome 13 that involved loss of the gene for Smad-8.^[61] The other had a nonsense mutation reported in the Smad-8 gene as mentioned above.^[37]

Other modifiers and gene arrays

Alterations in a number of genes outside the *BM $PR2$* /TGF- β pathway have been associated with HPAH or IPAH. Most of these have been examined on the basis of perceived biological plausibility, as they primarily are in pathways that contribute to the regulation of vascular tone (including the renin/angiotensin pathway, the prostacyclin pathway, potassium channels, endothelial nitric oxide synthase, carbamyl phosphate synthetase 1 through its influence on nitric oxide production, and vasoactive intestinal peptide through vasodilator action that is at least partially dependent upon nitric oxide) and/or to cell proliferation (e.g., the serotonin transport pathway).^[97-107] Several issues should be considered when interpreting the findings from these various studies. Many of these are relatively small studies, making it more difficult to detect weaker associations and increasing the likelihood of statistical artifact. For those candidate risk factors that have been examined in multiple studies, such as polymorphisms in the serotonin transporter (*SERT* or 5-HTT), the data are conflicting, with some studies reporting an association with *SERT* polymorphisms and PAH while others have not shown any relationship.^[108-110] A number of these potential risk factors have been shown to associate with varieties of PAH in the pediatric population (such as ACE polymorphisms and persistent pulmonary hypertension of the newborn or CPS-1 polymorphisms and pulmonary hypertension following surgical correction of congenital cardiac defects) but not in the adult PAH population. Polymorphisms in several of these candidate genes have been shown to modify the expression of adult pulmonary hypertension in very specific circumstances, such as pulmonary hypertension associated with COPD, chronic thromboembolic pulmonary hypertension, exercise-induced pulmonary hypertension, and portopulmonary hypertension. The best available data suggest that the pathologies involved in these various settings are quite different from one another and from HPAH or IPAH, so the ability to generalize these findings is in question. For the most part, each of these has been examined as an independent risk factor or contributor, as opposed to being a modifier for the expression of disease mediated by other known heritable risk factors such as *BM $PR2$* mutations. One larger study by Machado et al. did examine *SERT* polymorphisms in PAH patients, a subgroup of whom had known *BM $PR2$* mutations, and was unable to detect

any modifying influence of *SERT* polymorphisms on the expression of disease (e.g., severity, age of onset, clinical course, etc.).^[109] These and other proposed modifier genes are summarized in (Table 2).

While the examination of polymorphisms in biologically plausible modifiers for HPAH and IPAH has proven to be only minimally informative, the increasing availability of gene array studies has allowed for the identification of potential modifier genes or pathways previously unsuspected. In particular, changes in pathways involved in actin cytoskeleton regulation, stress response, cellular metabolism, and inflammation have all been identified as potential contributors to IPAH and/or HPAH.^[111] These studies have been performed in mouse models of

disease, in human lung samples, and in circulating cells from human patients and controls. Mouse models have the disadvantage of not representing the actual human disease but only an approximation, and have the additional possibility of representing pathways that are only relevant in rodents. Studies of human patients are inherently biased because PAH has already affected the person to a degree severe enough to permit clinical diagnosis, and it can therefore be difficult to know what is contributory and what is response. The caveats and limitations germane to these studies, as well as some of the common important findings, have been recently reviewed.^[112] Despite the known limitations, gene array studies have been and will continue to be very informative for ongoing inquiry into the pathogenesis of PAH.

Table 2: Proposed modifier genes, their hypothesized mechanisms of action, and the observed effect on human PAH phenotype

Modifier gene	Hypothesized mechanism	Effect on PAH phenotype
SERT	Increased vascular tone and increased cell proliferation via increased serotonin availability	Variable, with some studies reporting a positive association between SERT polymorphisms and PAH and others failing to confirm such an association
Prostacyclin synthase	Increased vascular tone via decreased prostacyclin production	No consistent association with PAH, though reported association with CTEPH
eNOS	Increased vascular tone via decreased nitric oxide production	No reported association with PAH, but eNOS polymorphisms have been associated with pulmonary hypertension related to COPD
Angiotensin converting enzyme type 1	Increased vascular tone and increased cell proliferation via increased angiotensin signaling	Polymorphisms associate with pulmonary hypertension in type 1 Gaucher's disease, high altitude pulmonary hypertension, and some cases of CTEPH, but no association with PAH
Angiotensin II receptor type 1	Increased vascular tone and increased cell proliferation via increased angiotensin signaling	One polymorphism associated with later age at diagnosis in IPAH
Carbamoyl phosphate synthetase 1	Increased vascular tone via decreased substrate availability for nitric oxide synthase	Polymorphism associated with increased pulmonary artery pressures in children after repair of congenital heart defects, no known associations with HPAH or IPAH
Smooth muscle potassium channels (KCNQ, KCNA5)	Increased vascular tone via direct effects on smooth muscle cell membrane potential and increased cell proliferation/decreased apoptosis	Some polymorphisms associate with IPAH, though small numbers of patients
TGFβ1	Enhanced activation of TGFβ receptors coupled with reduced activity of mutated <i>BMPR2</i> promotes dysfunctional Smad signaling balance; causes increased cell proliferation/decreased apoptosis	Two polymorphisms associated with enhanced classic TGFβ signaling found in one study to modify age at diagnosis and penetrance of PAH among <i>BMPR2</i> mutation heterozygotes
CYP1B1	Unbalanced estradiol metabolism promotes increased cell proliferation/decreased apoptosis via genomic effects	Polymorphism in CYP1B1 associated with penetrance of PAH among female <i>BMPR2</i> mutation heterozygotes in one study; supported by urine metabolite data

SERT: serotonin transporter; **eNOS:** endothelial nitric oxide synthase; **TGFβ:** transforming growth factor β; **CYP1B1:** cytochrome P450 1B1; **PAH:** pulmonary arterial hypertension; **IPAH:** idiopathic pulmonary arterial hypertension; **HPAH:** heritable pulmonary arterial hypertension

the site of disease (e.g., peripheral blood mononuclear cells or a buccal wash/swab) strongly suggests such. Detection of a *BMPR2* mutation is often surprising, disappointing, and anxiety-provoking for a patient who previously thought that he or she had a “sporadic” disease, particularly if the individual has children. This is often the first time that increased risk in the patient’s family members is perceived. There can be significant emotional stress both for the patient, who can experience what has been termed the “guilt of heritability,” as well as for other family members (if informed of the result), who can have significant difficulty with the inherent uncertainty of HPAH and its genetic underpinnings.^[118] These issues thus highlight the importance of genetic counseling prior to testing.^[119]

The decision of whether or not to test a subject for *BMPR2* mutations or other single gene variants related to PAH is a complicated one, and particular caution should be used with children. Clinical genetic testing should only be considered at this time for children with diagnosed PAH, or for healthy children within a family affected by HPAH or IPAH. Several factors further complicate this issue in particular for children, including potentially profound psychological effects (e.g., seeing oneself as “sick” or “diseased” at a period of vulnerability during psychological development); concerns about future insurability and employer discrimination; and the uncertainty caused by the reduced penetrance and variable disease expressivity noted above, which can be especially difficult for both children and parents.

The question then arises of how to manage individuals who have tested positive for a mutation but who do not yet have any symptoms or evidence of clinical disease. To date there have been no studies specifically designed to investigate the best strategy for screening and early detection of clinically significant disease. The most current recommendation for these asymptomatic, mutation positive individuals is to have clinical and non-invasive echocardiographic screening every 3-5 years.^[120] Those members of HPAH families who do undergo genetic testing and are found to be negative do not require future screening. The further question of the optimal time to start therapies that can be expensive, complicated, disruptive to normal routines, and associated with sometimes significant side effects, has not been adequately addressed.

With specific regard to PAH in families, given the vast number of potential mutations in the large *BMPR2* gene, screening for mutations best starts with the patient, so that if present the specific mutation in the family can be identified.^[17] The current cost in U.S. dollars of genetic testing ranges between \$1,000 and \$3,000 to screen the entire *BMPR2* gene, with mutation-specific testing then

costing approximately \$300 to \$500 once a mutation is known. Genetic testing should only be provided in concert with professional genetic counseling by experienced counselors for the reasons noted above.^[119,120]

Summary and future directions

There are fundamental questions that stem from the current knowledge of PAH genetics that remain to be answered. It is not clear how or why a disease caused by a mutation in a gene expressed widely in many tissues has its primary manifestations only in the pulmonary vasculature (and perhaps the right ventricle). It is known that the pulmonary vasculature differs from systemic vascular beds in many ways, but whether any of these differences alone or in combination explains the phenotype of PAH is not known. There is mounting evidence that there are detectable abnormalities outside of the heart and lungs in PAH. It is possible that these are changes secondary to the known pathophysiology of PAH. However, it is also entirely possible that PAH is truly a systemic disease, with systemic manifestations that are fundamental to the development of elevated pulmonary vascular resistance and right heart failure, and that “pulmonary arterial hypertension” is a misnomer derived from the most easily observed and life-limiting manifestations of the disease. A systemic disease might actually make more intuitive sense given the expression of *BMPR2* in many tissues outside the heart and lungs. As discussed above, the reduced penetrance of *BMPR2* mutation continues to represent a large gap in our understanding of the pathophysiology of PAH. More broadly stated, the fundamental understanding of how a mutation in this gene leads to PAH is far from complete or what would even be described as robust. The canonical signaling pathways downstream from the receptor do not explain the development of PAH, and indeed, in many animal and human studies do not even seem to be directly related to the disease. Investigation of genetic modifiers has begun to yield insights into how penetrance is modified in HPAH, and thus how reduced penetrance in other “single gene” diseases might be investigated. Given the similarities between HPAH and IPAH in particular, a better understanding of the genetic and molecular pathogenesis of HPAH should further inform IPAH and all types of PAH, and thus make the study of HPAH critical to the community of PAH patients, families, and researchers.

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