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## Early outcomes and the virologic impact of delayed treatment switching on second-line therapy in an antiretroviral roll-out program in South Africa

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### Abstract

**Background**—More patients in resource-limited settings are starting 2<sup>nd</sup>-line ART following 1<sup>st</sup>-line ART failure. We aimed to describe predictors of lack of virologic suppression in HIV-infected patients on 2<sup>nd</sup>-line ART in a roll-out program in South Africa.

**Methods**—Retrospective analysis was performed on an adult HIV treatment cohort who started 2<sup>nd</sup>-line ART (lopinavir/ritonavir, didanosine, and zidovudine) after virologic failure of 1<sup>st</sup>-line ART (2 consecutive HIV RNA >1000 copies/ml). Predictors of week-24 lack of suppression (HIV RNA > 400 copies/ml) on 2<sup>nd</sup>-line ART were determined by bivariate analysis where missing equals failure. A multivariable model adjusted for gender, age, and time to ART switch. We tested these findings in sensitivity analyses defining lack of suppression at week-24 as HIV RNA > 1000 and > 5000 copies/ml.

**Results**—Of 6,339 patients on ART, 202 started 2<sup>nd</sup>-line ART. At week-24 an estimated 41% (95% CI 34–47%) did not achieve virologic suppression. Female sex (adjusted OR=2.25; 95% CI, 1.03–4.88) and time to ART switch, (adjusted OR=1.07; 95% CI, 1.01–1.14 for each additional month) increased the risk of lack of virologic suppression. Age, CD4 count, and HIV RNA at 2<sup>nd</sup>-line ART initiation did not predict this outcome. In multivariate models, these findings were insensitive to the definition of lack of virologic suppression.

**Conclusions**—A substantial number of HIV-infected patients do not achieve virologic suppression by week-24 of 2<sup>nd</sup>-line ART. Women and patients with delayed start of 2<sup>nd</sup>-line ART after 1<sup>st</sup>-line ART failure were at an increased risk of lack of virologic suppression.

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### Conflicts of Interest Statement

No authors declare a conflict of interest.

## Keywords

2<sup>nd</sup>-line antiretroviral therapy; HIV outcomes; resource-limited settings; South Africa

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## Introduction

Enrollment in antiretroviral treatment (ART) programs has escalated dramatically due to expanded global efforts towards universal ART access in the last several years [1]. World Health Organization (WHO) estimates suggest that global ART coverage rose from 400,000 to nearly 3 million people from 2003 to 2007. The focus of this increase has been in low and middle-income countries, predominantly in sub-Saharan Africa, where the number of patients enrolled on ART doubled between 2006 and 2007, to total nearly 2 million [2]. Worldwide, an estimated 180,000 individuals were on 2<sup>nd</sup>-line ART in 2008, based on a 3% treatment switch per year [3].

There has been an urgent interest among HIV providers and policy-makers for a rational approach to 2<sup>nd</sup>-line ART use [3–6]. As ART options are limited in resource-limited settings, the consequences of inappropriate treatment switches are greater than in well-resourced areas [5, 7]. There have been few trials testing 2<sup>nd</sup>-line ART efficacy after 1<sup>st</sup>-line virologic failure on stavudine or zidovudine-based regimens, those most commonly used in resource-limited settings [8].

Furthermore, consideration of the timing of treatment switch after 1<sup>st</sup>-line ART failure is particularly important in resource-limited settings where salvage regimens are scarce and costly. Prospective data from two clinical cohorts suggest that treatment delay after virologic failure on a non-protease inhibitor based-regimen had an increased risk of mortality [9]. A single randomized-controlled trial did not complete enrollment and did not detect a difference in timing of treatment switch to 2<sup>nd</sup>-line ART on the outcome of either drug resistance or CD4 change [10]. The objective of the current study was to describe early outcomes on 2<sup>nd</sup>-line ART after 1<sup>st</sup>-line virologic failure in a community-based setting in South Africa and to examine predictors of lack of virologic suppression on 2<sup>nd</sup>-line ART. We hypothesized that delayed switching from confirmed 1<sup>st</sup>-line ART failure to 2<sup>nd</sup>-line ART initiation would adversely impact the effectiveness of 2<sup>nd</sup>-line ART.

## Methods

### Study setting

The Gugulethu Clinic is an HIV referral center for Nyanga, a periurban township of Cape Town, South Africa. The clinical site has been described in detail and provides HIV-related care for more than 6,000 patients [11–15]. The district population is roughly 300,000, with antenatal HIV prevalence at 29% in 2006 [15]. Low socioeconomic status predominates, with the majority of inhabitants living in high density housing. Nearly 50% report no personal monthly income [15].

### Study population and design

All patients received initial therapy per South African national antiretroviral and WHO guidelines [16, 17]. First-line ART consisted of three drug therapy with two nucleoside reverse transcriptase inhibitors (NRTIs) and a non-nucleoside reverse transcriptase inhibitors (NNRTIs) (i.e., efavirenz or nevirapine) in the majority of cases. Second-line ART consisted of protease inhibitor-based ART with lopinavir/ritonavir, didanosine, and zidovudine.

Clinical practice at this site involves patient laboratory testing with CD4 count and HIV RNA every 16 weeks (Figure 1). Any patient without virologic suppression (HIV RNA > 1000 copies/ml) after baseline or if tablet count at clinic visit is < 85% of expected tablet consumption, receives adherence counseling until virologic suppression is achieved and tablet adherence is improved. Patients with virologic suppression and subsequent viral rebound (i.e., first HIV RNA measurement >1000 copies/ml after virologic suppression) undergo a targeted adherence intervention over one month, which includes a pill dosing diary, pill counts, home visits, and patient education sessions. Within 6–8 weeks of the first observed HIV RNA >1000 copies/ml, the HIV RNA assay is repeated [11, 18]. A second HIV RNA >1000 copies/ml confirms the diagnosis of virologic failure and eligibility for switching to 2<sup>nd</sup>-line ART. CD4 count and HIV RNA are measured every 16 weeks during 2<sup>nd</sup>-line ART. Local laboratories perform HIV RNA assays by branch DNA hybridization techniques (HIV-1 RNA 3.0 assay®, Bayer Healthcare, Leverkusen, Germany) and CD4 count by flow cytometry (FACS Count™, Beckton Dickinson, NJ, USA). Clinical records were maintained for each patient prospectively from clinic entry and transferred weekly into an electronic database.

We included HIV-infected patients (age ≥ 15 years) who were part of a prospective HIV treatment cohort, and were eligible for at least 6 months follow-up on 2<sup>nd</sup>-line ART. To assure validation of patient treatment history and to limit patient heterogeneity, we excluded from the analysis patients who started 1<sup>st</sup>-line ART elsewhere and transferred into the study site.

### Data elements

Data elements available for this analysis were clinical, immunologic, and virologic characteristics, including calendar dates of ART initiation and switch.

We evaluated patients who were on 2<sup>nd</sup>-line ART after virologic failure of 1<sup>st</sup>-line ART, defined as 2 consecutive HIV RNA levels >1000 copies/ml or the last available HIV RNA > 1000 copies/ml prior to 2<sup>nd</sup>-line ART initiation. These criteria are consistent with South Africa national guidelines for monitoring of ART failure and treatment switching.

To assess early predictors of lack of virologic suppression on 2<sup>nd</sup>-line ART, we defined the primary study outcome as week-24 HIV RNA > 400 copies/ml. We included HIV RNA data obtained within 12 weeks (before or after) the week-24 date.

Potential predictors of the primary outcome related to demographic, clinical, and programmatic characteristics, here defined as the calendar year of 2<sup>nd</sup>-line ART initiation. The time to 1<sup>st</sup>-line ART failure was measured from the time of ART initiation to the first observed HIV RNA >1000 copies/ml in patients in patients who had confirmed virologic failure, or the time to the last available HIV RNA >1000 copies/ml if a second HIV RNA was not available. Time to switch was defined as the time from detected virologic failure (i.e., first HIV RNA > 1000 copies/ml) to 2<sup>nd</sup>-line ART initiation. To assess if initial lack of virologic suppression on 1<sup>st</sup>-line ART predicted lack of virologic suppression on 2<sup>nd</sup>-line ART at week-24, we measured if patients on 2<sup>nd</sup>-line ART had experienced a 1 log<sub>10</sub> decrease in HIV RNA at week-24 while on 1<sup>st</sup>-line ART.

### Statistical Analysis

We conducted a conservative analysis assuming that those with missing HIV RNA at week-24 on 2<sup>nd</sup>-line ART lacked virologic suppression. Mean proportion of week-24 lack of virologic suppression was reported with standard deviations calculated from a normal approximation. Demographic, clinical, and programmatic characteristics of subjects on 2<sup>nd</sup>-line ART were tested for association with the primary outcome by bivariate analysis.

Immunologic and virologic co-variables were examined as categorical variables to facilitate clinical interpretation. Time to 1<sup>st</sup>-line ART failure and time to switch were examined as continuous variables. Student's *t-test* and the chi-square tests were used to compare continuous and categorical data, respectively.

To assess if the group that started 2<sup>nd</sup>-line ART was representative of treatment failures we compared the demographic and clinical characteristics of those who did and did not switch to 2<sup>nd</sup>-line ART after 1<sup>st</sup>-line ART failure. To further evaluate the comparability of the sample on which the analysis has been conducted with the patients excluded from the analysis, characteristics of excluded and included patients on 2<sup>nd</sup>-line ART were compared.

An *a priori* multivariate model included those co-variables (i.e. gender, age at 2<sup>nd</sup>-line ART initiation, and time to 2<sup>nd</sup>-line ART initiation) that were hypothesized as related to the outcome of week-24 lack of virologic suppression. We performed logistic regression to assess if these factors predicted week-24 lack of virologic suppression on 2<sup>nd</sup>-line ART. Statistical tests were two-sided with a significance level of 0.05.

Several sensitivity analyses examined the impact of 3 major areas (e.g. the definition of lack of virologic suppression, co-variables related to timing of virologic non-suppression, and the analytic methodology) on the outcome to assure the robustness of conclusions.

With respect to the first, we examined the group in-care with available week-24 HIV RNA and defined lack of virologic suppression as HIV RNA > 1000 copies/ml and > 5000 copies/ml. These cut-points were to test treatment failure thresholds proposed by the literature. The WHO 2010 *Rapid Advice* suggested a persistent HIV RNA greater than 5,000 copies/ml as confirmation of ART failure [19]. Prior 2006 guidelines suggested a range of 5,000–10,000 copies/ml [20]. South Africa national guidelines have used a range of > 400 – > 5,000 copies/ml as criteria for ART failure [17].

The second sensitivity analysis varied the definition of two co-variables. The variable that represented a 1 log<sub>10</sub> decrease in HIV RNA at week-24 on 1<sup>st</sup>-line ART, now considered missing HIV RNA as failure (HIV RNA > 1000 copies/ml). To assess whether a proxy for week-24 HIV RNA obtained between weeks-12 and 24 (i.e. “early”) had a differential risk of lack of virologic suppression at 6 months than a proxy obtained after week-24 and before week-36 (i.e. “late”), we performed chi-square and multivariate analysis including this co-variant in the model.

The third sensitivity analysis used a proportional hazards model with survival analysis to estimate predictors of week-24 lack of virologic suppression. Patients who died, went out of care in the first 24 week of 2<sup>nd</sup>-line therapy or had less than 6 months of follow-up were included. The multivariate model adjusted for age, time to switch to 2<sup>nd</sup>-line ART, and gender. All statistical analyses were performed using SAS 9.1 software (Cary, North Carolina).

## Results

### Cohort Description

Of the cohort of 6,339 patients on ART, 724 had confirmed virologic failure on 1<sup>st</sup>-line ART (Figure 2). Of these, 315 started 2<sup>nd</sup>-line ART between October 23, 2002 and March 12, 2010, and 409 remained on 1<sup>st</sup>-line ART. Compared with individuals who failed 1<sup>st</sup>-line ART and switched to 2<sup>nd</sup>-line ART, individuals who failed 1<sup>st</sup>-line ART but did not switch to 2<sup>nd</sup>-line were less likely to be female (63% vs. 76%,  $p=0.0004$ ), had a longer median time to detection of 1st-line ART failure (16 months [IQR 8–30 months] vs. 12 months [IQR 7–

23 months],  $p=0.002$ ) and failed 1<sup>st</sup>-line ART with a lower median CD4 count (202 cells/ $\mu$ l [IQR 112–330 cells/ $\mu$ l] vs. 246 cells/ $\mu$ l [IQR 153–349 cells/ $\mu$ l],  $p=0.003$ ).

One hundred thirteen of 315 on 2<sup>nd</sup>-line ART were ineligible for analysis due to age < 15 years ( $n=25$ ), ART non-naïve at baseline ART initiation ( $n=39$ ), and had < 6 months follow-up on 2<sup>nd</sup>-line ART ( $n=49$ ). Compared with the eligible group, those on 2<sup>nd</sup>-line ART who were excluded from the analysis were younger but did not differ by gender. The ineligible group had a higher median CD4 count at 1<sup>st</sup>-line ART failure at 312 cells/ $\mu$ l [IQR 190–460 cells/ $\mu$ l] and 2<sup>nd</sup>-line ART initiation at 281 cells/ $\mu$ l, [IQR 176–416 cells/ $\mu$ l], both  $p < 0.0001$ .

The remaining 202 patients were analyzed. One hundred ninety-eight of these individuals were switched after two consecutive HIV RNA > 1000 copies/ml on 1<sup>st</sup>-line ART, and  $n=4$  switched with the last available HIV RNA > 1000 copies/ml. At 2<sup>nd</sup>-line ART initiation, mean age was 34 years and almost 44% of the cohort initiated 2<sup>nd</sup>-line ART between 2008 and 2009, where the remainder started between 2004 and 2007 (Table 1). A majority of the cohort was female (75%).

First-line ART for all patients included lamivudine. The second NRTI component was stavudine in 86% ( $n=173$ ) and zidovudine in 14% ( $n=29$ ). The non-nucleoside component was efavirenz in 63% ( $n=128$ ) and nevirapine in 36% ( $n=72$ ).

### Clinical outcomes prior to 2<sup>nd</sup>-line ART

On 1<sup>st</sup>-line ART, 82 patients (41%) had a nadir CD4 count  $\leq 50/\mu$ l. Twenty-five patients (14%) of 173 patients with available HIV RNA data at week-24 on 1<sup>st</sup>-line ART never experienced a 1  $\log_{10}$  decline in HIV RNA within the first 24 weeks of 1<sup>st</sup>-line ART. Median time to 1<sup>st</sup>-line ART failure was 11 months [IQR 7–18 months] and the median time to switch to 2<sup>nd</sup>-line ART was 5 [IQR 3–8 months]. The median CD4 count change between 1<sup>st</sup>-line ART failure and 2<sup>nd</sup>-line ART initiation was 0/ $\mu$ l [IQR -44 to + 21 / $\mu$ l].

### Early outcomes on 2<sup>nd</sup>-line ART

Between 2<sup>nd</sup>-line ART initiation and the week-24 endpoint, there were 4 deaths, 7 patients transferred out of care, and 24 did not have available virologic data, either because they were lost to follow-up or did not return for clinic within the 24 week follow-up period. One hundred sixty-seven patients were in care at week-24 on 2<sup>nd</sup>-line ART (Figure 2). Between 2<sup>nd</sup>-line ART initiation and week-24, median CD4 count increase was 90 cells/ $\mu$ l [IQR -4 to 177/ $\mu$ l].

### Lack of virologic suppression on 2<sup>nd</sup>-line ART

In the primary analysis, lack of virologic suppression (HIV RNA > 400 copies/ml) at week-24 was 41% ( $n=82$ , 95% CI, 34–47). Women (OR 2.08; 95% CI, 1.04–4.17) and those who waited to switch from failed 1<sup>st</sup>-line ART, per each month delay (OR 1.06; 95% CI, 1.00–1.12) were at increased odds of lack of virologic suppression on 2<sup>nd</sup>-line ART at week-24 (Table 2). In the multivariate analysis, the odds ratio for lack of virologic suppression in women compared with men was 2.25 (95% CI, 1.03–4.88) and per each month delay in switching was 1.07 (95% CI, 1.01–1.14). The data did not suggest that **age**, CD4 count, or HIV RNA at 2<sup>nd</sup>-line ART initiation significantly predicted week-24 lack of virologic suppression.

### Sensitivity Analysis

In the secondary analysis where missing data were excluded, lack of virologic suppression (HIV RNA > 400 copies/ml) at week-24 was 28% ( $n=47$ , 95% CI, 21–35%). When lack of suppression at week-24 was defined as HIV RNA > 1000, 25% ( $n=42$ , 95% CI, 19–32%)

did not suppress; and when lack of suppression was defined as HIV RNA > 5000 copies/ml, 22% (n=36, 95% CI, 16–28%) did not achieve virological suppression at week-24.

We examined the impact of alternative definitions of lack of virologic suppression on results. The results were robust across these definitions.

In a chi-square analysis, an “early” proxy for week-24 HIV RNA incurred a higher odds of the week-24 HIV RNA > 400 copies/ml, OR 7.36 (95% CI 3.92–13.85), compared with a “late” proxy. The findings of the multivariate model were insensitive to the addition of this covariate.

A proportional hazards model of the probability of lack of virologic suppression on 2<sup>nd</sup>-line ART showed a similar trend as the logistic regression model but female sex was no longer statistically significant.

## Discussion

The consequences of 2<sup>nd</sup>-line ART selection after HIV treatment failure are magnified in resource-limited settings because of the scarcity and higher costs of salvage ART regimens. Despite the gravity surrounding the clinical decision to start protease inhibitor-based 2<sup>nd</sup>-line ART, there is a paucity of evidence on the determinants of lack of virologic suppression on this regimen due to the relative recent expansion of ART delivery and the challenges in identifying treatment failures with scarce resources for laboratory monitoring. We analyzed week-24 virologic outcomes and determined predictors of lack of virologic suppression on protease-inhibitor based 2<sup>nd</sup>-line ART in a public sector HIV-infected treatment cohort in South Africa. Reported deaths were low and a majority of patients (83%) remained in care and on-ART at week-24. Despite this, a significant proportion of patients failed to achieve virologic suppression at week-24. Our data suggested that delays in switch to 2<sup>nd</sup>-line ART after the recognition of 1<sup>st</sup>-line ART failure and female sex may have contributed to these virologic outcomes.

Of individuals who switched to 2<sup>nd</sup>-line ART, we found that for every month waiting on failed 1<sup>st</sup>-line ART, there was a 7% increased risk of lack of virologic suppression in the primary analysis, a finding that was insensitive to wide thresholds in the definition of lack of virologic suppression. This observation likely relates to the negative impact on 2<sup>nd</sup>-line ART effectiveness of time-dependent acquisition of reverse transcriptase mutations during persistent HIV viremia and immunosuppression on 1<sup>st</sup>-line ART [21, 22], and is consistent with results from the Development of Antiretroviral Therapy in Africa Trial (DART) in Uganda and Zimbabwe, a trial of laboratory and clinical monitoring compared with clinically-driven monitoring. In the DART study, switching to 2<sup>nd</sup>-line ART was more frequent in the laboratory monitoring arm, which had a lower relative difference in death rates than the arm with clinical monitoring alone. While the absolute number of deaths in clinical monitoring arm was small, this finding also supports a negative impact in treatment outcomes from delayed switching from NNRTI-based 1<sup>st</sup>-line ART.

Heterogeneity in the calculation and definition of time to 1<sup>st</sup>-line ART failure and time to switch limits comparisons of the rate of treatment switching to 2<sup>nd</sup>-line ART among ART roll-out programs. Clinical and immunologic monitoring for detection of treatment failure predominates in resource-limited settings and likely impacts the longer intervals between treatment failure and switch in these programs compared with programs with virologic monitoring [23, 24].

The risks associated with delays in switching to 2<sup>nd</sup>-line ART were also reflected in the HIV-infected individuals who failed 1<sup>st</sup>-line ART but did not switch to 2<sup>nd</sup>-line ART within

the follow-up period. This group had more advanced immunosuppression and had a longer time to detection of 1<sup>st</sup>-line ART failure compared with those who switched to 2<sup>nd</sup>-line ART. These observations are corroborated by an analysis of 11 HIV-treatment programs in nine countries in sub-Saharan Africa; in this study, patients who remained on failing 1<sup>st</sup>-line ART, compared with those who failed and switched to 2<sup>nd</sup>-line ART and those who never failed 1<sup>st</sup>-line ART, had greater immunosuppression and a higher cumulative 1-year mortality [25]. Together, these findings highlight the potential long-term clinical risks for individuals who remain on failing 1<sup>st</sup>-line ART.

There are patient-, clinician-, and clinic-level factors that may delay switch to 2<sup>nd</sup>-line ART after treatment failure. Patient-level factors include missed or delayed clinic visits or treatment preparedness sessions [26]. Clinic-level factors that impede clinic attendance and medication adherence include ART stock outs or inaccessible clinic location [27]. Pharmacy refill and supply data and clinic attendance records, which were unavailable for this analysis, can corroborate suspected medication non-adherence [28]. For individuals co-infected with HIV and tuberculosis and in settings where rifabutin is unavailable, clinicians may delay protease inhibitor-based 2<sup>nd</sup>-line ART until tuberculosis treatment is completed. This is because rifampin significantly lowers protease inhibitor levels through induction of metabolic enzymes and cellular drug transporters [29]. In this study setting and consistent with WHO guidelines, clinicians double the dose of lopinavir/kaletra to counteract the anticipated decrement in protease inhibitor levels by rifampin, rather than delay 2<sup>nd</sup>-line ART initiation [20]. Since elevated ritonavir levels can increase the risk of gastrointestinal side effects, this course of action may cause long-term tolerability issues [30]. This risk must be balanced against the benefits of integrated treatment of HIV and tuberculosis.

Women were more likely than men to switch to 2<sup>nd</sup>-line ART after 1<sup>st</sup>-line ART failure and they had a two-fold increased odds of lack of virologic suppression at week-24 on 2<sup>nd</sup>-line ART. While our study was not designed to examine predictors of sex-based differences in virologic outcomes, the large effect size of female sex on risk of lack of virologic suppression may recognize an important confluence of risk factors for ART failure: youth, female status, and adherence if women enter care at a younger age with competing social and financial responsibilities in addition to HIV care [15, 31, 32]. The large effect size persisted in sensitivity analyses on the definition of lack of virologic suppression. The non-significant statistical association at the higher HIV RNA thresholds may relate that more women had missing HIV RNA data at week-24.

Overall, we found a significant proportion of patients lacked virologic suppression (HIV RNA > 400 copies/ml). This finding was lower than other public sector cohorts in South Africa, Uganda, and Malawi on protease inhibitor-based 2<sup>nd</sup>-line ART who report ranges of suppression (defined as HIV RNA < 50 or 400 copies/ml) between 65–85% [23, 33–36]. Comparisons of ART effectiveness between clinical sites are limited by heterogeneity in patient populations, rates of loss to follow-up, monitoring strategies to detect ART failure, and definitions of treatment failure. One potential explanation for our finding of lower rates of 2<sup>nd</sup>-line ART effectiveness include the development of nucleoside resistance to zidovudine/didanosine compared with other sites that supplement the nucleoside backbone with the non-thymidine analogue, tenofovir [37, 38].

Furthermore, 2<sup>nd</sup>-line ART effectiveness was lower when compared to prior reports of virologic suppression on NNRTI-based 1<sup>st</sup>-line ART published from this and other South African cohorts [12, 39]. While this study was not intended to compare 2<sup>nd</sup>-line with 1<sup>st</sup>-line ART, this observation of lower 2<sup>nd</sup>-line ART effectiveness has been substantiated in the literature. A recent South Africa-based study compared patients switched to 2<sup>nd</sup>-line ART with matched controls on 1<sup>st</sup>-line ART. Here patients on 2<sup>nd</sup>-line ART were less likely to be

alive and in-care than there 1<sup>st</sup>-line ART [33]. Possible explanations for this difference in clinical outcomes between 1<sup>st</sup>- and 2<sup>nd</sup>-line ART include poorer adherence on 2<sup>nd</sup>-line ART, as we found that of patients on 2<sup>nd</sup>-line ART, 25 patients (14%) did not experience a 1 log<sub>10</sub> drop in HIV RNA within the first 6 months of 1<sup>st</sup>-line ART. We hypothesize that preexisting lopinavir resistance was not a significant contributor to 2<sup>nd</sup>-line ART effectiveness since a prior analysis of drug resistance mutations from this and other cohorts failing NNRTI-based combination 1<sup>st</sup>-line ART have reported negligible rates of major protease inhibitor resistance at failure of NNRTI-based 1<sup>st</sup>-line ART and at failure of lopinavir-ritonavir regimens in previously lopinavir-naïve patients [40, 41].

The strengths of this study were that virologic and immunologic data, which is uncommonly available in public health ART roll-out programs, here provides insight into outcomes on the rising population on 2<sup>nd</sup>-line ART. Patient characteristics at baseline and 1<sup>st</sup>-line ART failure are also comparable to other published cohorts with the majority of participants as women [23, 39, 42–47]. Thus, our findings may be generalizable to 2<sup>nd</sup>-line ART community-based cohorts in resource-limited populations both in South Africa and elsewhere.

There are several limitations to this study. Data on potential key socioeconomic determinants that affect gender-related outcomes such as neighborhood effects, employment, family structure and social support were not available for this analysis. We attempted to partially account for this by excluding patients transferred in to the clinic and the introduction of potential unmeasured patient-level differences. Potential confounding by indication also existed since patients were selected for 2<sup>nd</sup>-line ART in a non-random fashion. Odds ratios may overestimate effect size compared with relative risk at when the event size is large.

In summary, a substantial number of patients lacked virologic suppression on boosted-lopinavir in a large ART roll-out program in South Africa. Delays in switching to 2<sup>nd</sup>-line ART increased the odds of lack of virologic suppression. The impact of timing of treatment switch will likely depend on the potency of 2<sup>nd</sup>-line ART regimens, as well as on the increased availability of additional ART options. Routine laboratory monitoring with HIV RNA and targeted drug resistance testing may prevent the negative clinical impact of the delay in 2<sup>nd</sup>-line ART initiation.

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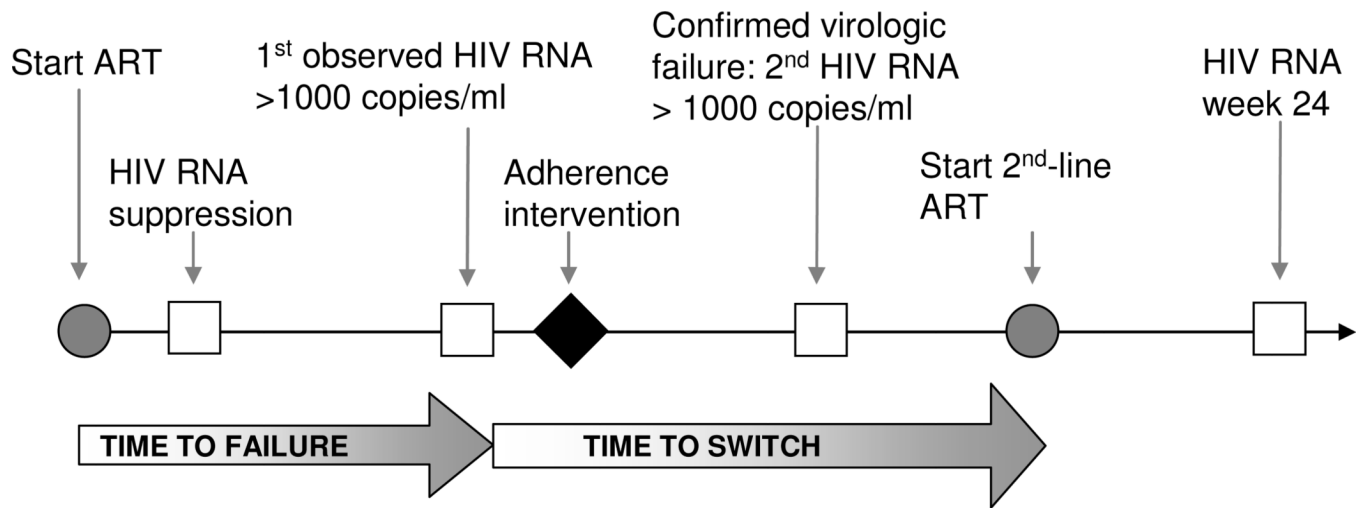
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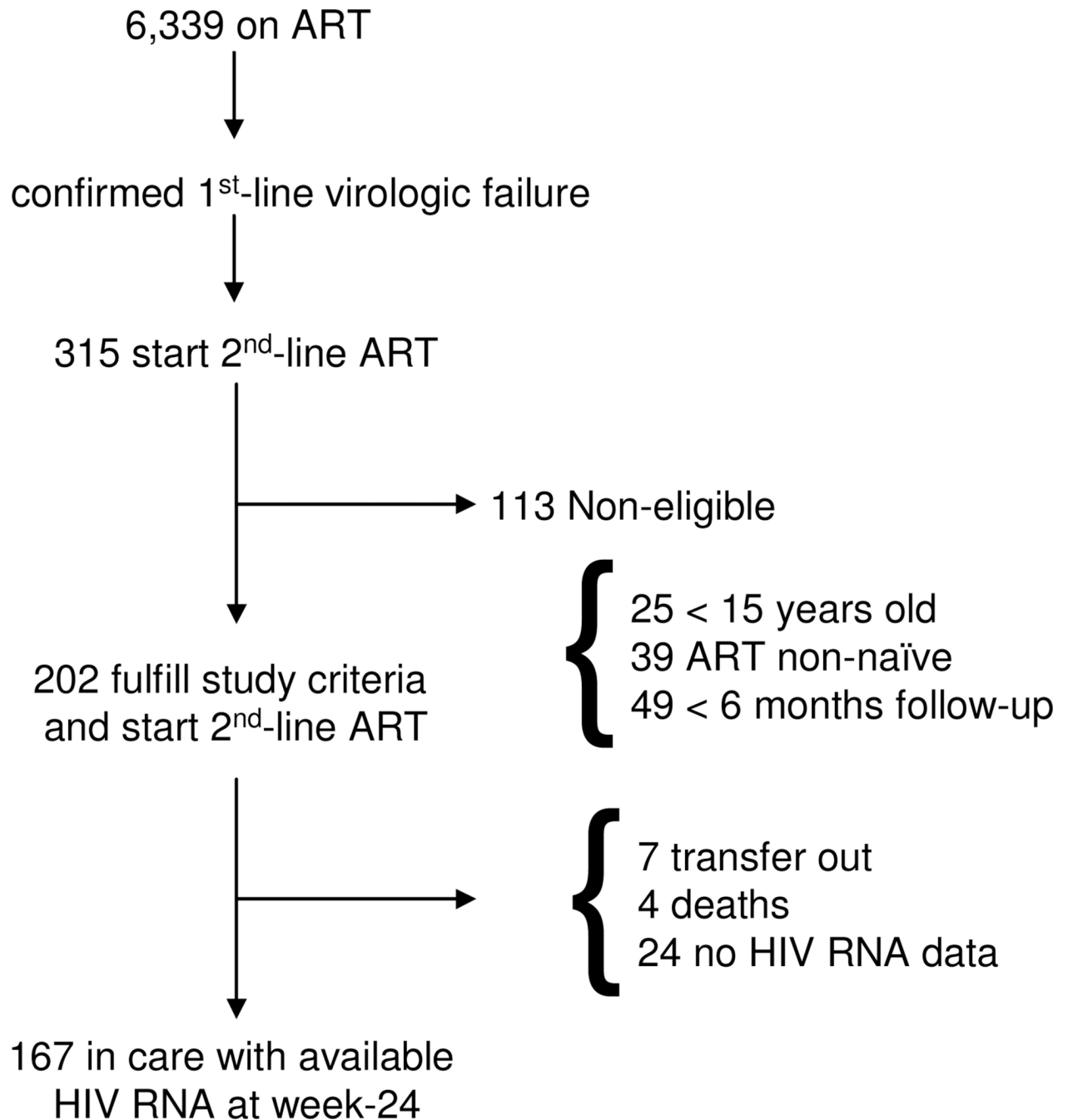
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**Figure 1.** Schematic overview of initiation of antiretroviral therapy (ART), laboratory monitoring, detection of 1<sup>st</sup>-line ART failure, and treatment switch in a community-based HIV treatment center in South Africa.

□ = laboratory test   ● = ART   ◆ = intervention



**Figure 2.**  
Study flow diagram.

**Table 1**

Demographic and laboratory characteristics of patients resource-limited community of Cape Town, South Africa.

Characteristic	Total Cohort N=202 (%)
Age, Mean years $\pm$ SD	34 $\pm$ 7
Female sex	152 (75)
First-line ART Results	
Time to virologic failure, median months [IQR]	11 [7–18]
Time to switch, median months [IQR]	5 [3–8]
Nadir CD4 count prior to 2nd-line ART	
$\leq$ 50 cells/ $\mu$ l	82 (41)
$>$ 50 cells/ $\mu$ l	120 (59)
CD4 count at 1 <sup>st</sup> -line ART failure <sup>a</sup>	
$\leq$ 200 cells/ $\mu$ l	87 (46)
201–350 cells/ $\mu$ l	71 (37)
$>$ 350 cells/ $\mu$ l	32 (17)
No 1 log <sub>10</sub> decrease in HIV RNA at week-24 <sup>b</sup>	25 (14)
Second-line ART Results	
Year of 2 <sup>nd</sup> -line ART start	
2004–2007	114 (56)
2008–2009	88 (44)
CD4 count at 2nd-line ART start, median cells/ $\mu$ l [IQR]	212 [133–289]
$\leq$ 200 cells/ $\mu$ l	95 (47)
201–350 cells/ $\mu$ l	82 (41)
$>$ 350 cells/ $\mu$ l	25 (12)
HIV RNA at 2nd-line ART start, median log copies/ml [IQR]	3.97 [3.63–4.38]
0–3000 copies/ml	38 (19)
3001–10,000 copies/ml	65 (32)
$>$ 10,000 copies/ml	99 (49)
Lack of week-24 suppression, HIV RNA $>$ 400 copies/ml (% , 95% CI)	82 (41%, 95% CI, 34–47)

<sup>a</sup> Available data for 190 patients.

<sup>b</sup> Available data for 173 patients.

ART= antiretroviral therapy; SD= standard deviation; IQR= interquartile range; CI= confidence intervals; wk=week.

**Table 2**

Bivariate and multivariate logistic regression analysis of predictors of 24-week lack of virologic suppression in 202 patients on protease inhibitor-based 2<sup>nd</sup>-line ART in a community-based cohort in South Africa.

Characteristic	Bivariate analysis		Multivariate analysis	
	Odds Ratio (95% CI)	P	Odds Ratio (95% CI)	P
Female sex	2.08 (1.04–4.17)	0.04	2.25 (1.03–4.88)	0.04
Factors related to First-line ART				
Time to virologic failure, per 1 month increase	1.00 (0.98–1.03)	0.75	--	
Time to switch, per 1 month increase	1.06 (1.00–1.12)	0.04	1.07 (1.01–1.14)	0.02
Nadir CD4 count, > 50/μl	1.45 (0.81–2.58)	0.21	--	
Absent 1 Log <sub>10</sub> decrease in HIV RNA, wk-24	1.25 (0.53–2.95)	0.60	--	
Factors related to 2nd-line ART				
Age at 2 <sup>nd</sup> -line initiation	0.98 (0.95–1.02)	0.38	0.99 (0.95–1.04)	0.67
Calendar year 2 <sup>nd</sup> -line ART start, 2004–2007	0.83 (0.47–1.46)	0.51	--	
CD4 count at 2nd-line ART start				
≤ 200/μl	Reference		--	
> 200/μl	0.58 (0.33–1.03)	0.06	--	
HIV RNA at 2 <sup>nd</sup> -line ART start				
≤10,000 copies/ml	Reference		--	
>10,000 copies/ml	1.49 (0.85–2.61)	0.17	--	